

## Item 1- Cover Page

# Trevor Holsinger

## Aspen Wealth Management, Inc.

9300 W. 110<sup>th</sup> Street, Suite 680  
Overland Park, KS 66210  
(913) 491-0500

**This brochure supplement provides information about Trevor Holsinger that supplements the Aspen Wealth Management brochure. You should have received a copy of that brochure. Please contact Steve Small (CCO) if you did not receive Aspen Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Trevor Holsinger is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

TREVOR K. HOLSINGER, President

DOB: 2/1/1967

B.S. University of Kansas

Investment Advisor Representative

Trevor Holsinger is a principal and the founder of Aspen, establishing the business as a registered investment advisor (RIA) in early 2000. Originally founded as Aspen Advisors, Inc., the company has broadened the wealth services it provides to its clients and thus felt the name should reflect those services.

Trevor serves as President and CEO of the organization. In this capacity, Trevor maintains overall responsibility for client financial health, including investment selection and portfolio management. Prior to becoming the founder of Aspen, Trevor was the Vice President of Planning at another Kansas City-based financial services firm with responsibility for the organization's investment strategy and comprehensive financial planning. During his tenure there, Trevor assisted in management of over \$300 million in client assets. Firm-wide investment policies, asset allocation, and investment decisions were a result of Trevor's analysis and contribution. He also managed the comprehensive financial planning

process for many clients. This entailed designing and implementing financial planning recommendations for individuals and families.

Before moving into the investment services field, Trevor was a manager at Andersen Consulting, LLP (now Accenture). He holds a B.S. in Business Administration from the University of Kansas with an emphasis in computer science. Trevor holds the Series 63 license.

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

### **Item 4- Other Business Activities**

Trevor is the trustee of a family trust.

### **Item 5- Additional Compensation**

None.

### **Item 6 - Supervision**

On a quarterly basis, Trevor's personal trades are reviewed by the Chief Compliance Officer, Steve Small (913) 491-0500 as is the performance of Trevor's client portfolios.

### **Item 7- Requirements for State-Registered Advisers**

Not applicable.

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Stephen J. Small  
Aspen Wealth Management, Inc.  
9300 W. 110<sup>th</sup> Street, Suite 680  
Overland Park, KS 66210  
(913) 491-0500

**This brochure supplement provides information about Stephen Small that supplements the Aspen Wealth Management brochure. You should have received a copy of that brochure. Please contact Steve Small (CCO) if you did not receive Aspen Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Stephen Small is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

STEPHEN SMALL

Chief Compliance Officer

DOB: 7/18/1961

B.S. Iowa State University

Investment Advisor Representative

Stephen Small is a registered investment advisor representative who provides financial planning and investment advice to individuals. Stephen also assists small and medium-sized businesses with the definition, implementation and on-going support of corporate 401(k) retirement and profit-sharing plans. In addition to advising clients, Stephen participates in the review and analysis of portfolio investment options. Stephen serves as the Chief Compliance Officer for Aspen Wealth Management, Inc. and is a minority shareholder. Steve holds a series 65 license.

Prior to joining Aspen, Stephen co-founded and managed successful entrepreneurial businesses in the retail, wholesale and internet arenas. Stephen also worked as a senior manager in the Telecom Industry Group of Andersen Consulting (now Accenture) where he consulted with several multi-billion dollar clients. Stephen brings a unique blend of corporate and industry perspectives to Aspen's investment analysis activities.

Stephen received his Bachelor of Science degree in Industrial Engineering, with honors, from Iowa State University.

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

### **Item 4- Other Business Activities**

Swift Investments LLC is an entity owned by Steve and his three sisters. Steve is the Managing Member.

### **Item 5- Additional Compensation**

None.

### **Item 6 - Supervision**

On a quarterly basis, Steve's personal trades are reviewed by the President, Trevor Holsinger (913) 491-0500 as is the performance of Steve's client portfolios.

### **Item 7- Requirements for State-Registered Advisers**

Not applicable.

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**Tavis C. Holsinger**  
**Aspen Wealth Management, Inc.**  
9300 W. 110<sup>th</sup> Street, Suite 680  
Overland Park, KS 66210  
(913) 491-0500

**This brochure supplement provides information about Tavis Holsinger that supplements the Aspen Wealth Management brochure. You should have received a copy of that brochure. Please contact Steve Small (CCO) if you did not receive Aspen Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Tavis Holsinger is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2- Educational Background and Business Experience**

TAVIS HOLSINGER

DOB: 5/18/1963  
B.S.: University of Kansas  
M.B.A.: University of Kansas

Investment Advisor Representative

Tavis Holsinger is a registered investment advisor representative who provides financial planning and investment advice to individuals. Tavis holds a Series 65 license.

Tavis has been involved in various aspects of commercial real estate lending and investment for more than 30 years. Prior to joining Aspen, Tavis was a Director with Gearing Capital Partners, Inc. and was responsible for credit review and portfolio management for more than \$200 million of Real Estate Assets. Tavis was the Regional Director of Originations for the CMO Group at Wells Fargo Bank where he was responsible for the origination and loan structuring of fixed and variable rate CMBS debt. Additionally, Tavis was the Senior Loan Underwriter for JP Morgan Mortgage Capital where he funded and securitized more than \$500 million in commercial real estate loans.

Tavis holds a Bachelor of Science degree in Mechanical Engineering as well as a Master of Business Administration degree with a Finance Concentration from the University of Kansas.

**Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

**Item 4- Other Business Activities**

None.

**Item 5- Additional Compensation**

None.

**Item 6 - Supervision**

On a quarterly basis, Tavis' personal trades are reviewed by the Chief Compliance Officer, Steve Small (913) 491-0500 as is the performance of Tavis' client portfolios.

**Item 7- Requirements for State-Registered Advisers**

Not applicable.