

## Privacy Policy

Effective Date: February 11, 2026

Company Name: Riedel & Vogel

Address: 999 Brickell Bay Drive, Miami, FL 33131

Email: [contactus@riedelvogel.com](mailto:contactus@riedelvogel.com)

Phone: 786-277-0008

### 1. Introduction

Riedel & Vogel (“Company,” “we,” “our,” or “us”) is committed to safeguarding the privacy and security of your personal information.

We comply with applicable federal and Florida laws including the Bank Secrecy Act (BSA), USA PATRIOT Act, AML regulations, KYC requirements,

Gramm-Leach-Bliley Act (GLBA), Florida Financial Institutions Codes, and the Florida Information Protection Act (FIPA).

### 2. Information We Collect

We may collect personal information including your name, address, email, phone number, date of birth, government-issued ID,

SSN or TIN (when required), occupation, source of funds, and transaction details.

We may also collect website data such as IP address, browser type, and cookies.

### 3. Purpose of Collection

We collect information to provide financial services, verify identity, prevent fraud and money laundering, file regulatory reports, maintain transaction records, and improve our website and services.

### 4. Legal & Regulatory Disclosures

We may disclose information to federal and state regulators, FinCEN, law enforcement, financial institutions,

payment processors, auditors, and compliance professionals as required by law.

#### 5. Information Sharing

We do not sell or rent personal information. Information may be shared only with authorized service providers,

regulatory authorities, or as required to prevent fraud or comply with law.

#### 6. Data Security

We maintain administrative, technical, and physical safeguards to protect your information in accordance with GLBA and FIPA.

In the event of a data breach, notice will be provided as required by law.

#### 7. Data Retention

We retain records for at least five (5) years as required under the Bank Secrecy Act and other applicable regulations.

#### 8. Consumer Privacy Rights

You may request access, correction, or deletion of your personal information where legally permissible.

Certain information cannot be deleted if retention is required by federal financial regulations.

Requests may be submitted to:

Email: [contactus@riedelvogel.com](mailto:contactus@riedelvogel.com)

Phone: 786-277-0008

#### 9. Children's Privacy

Our services are not directed to individuals under 18 years of age.

#### 10. Changes to This Policy

We reserve the right to update this Privacy Policy at any time. Updates will be posted with a revised effective date.