

FARA and RICO Filing Information

Important Disclaimer

This page is provided for general informational purposes only and does not constitute legal advice. FARA registration requirements are federal and are handled through the U.S. Department of Justice, while RICO claims are filed in federal court and may be subject to local district court rules. Filing obligations can change based on the facts, the jurisdiction, and the court's local procedures, so users should consult qualified counsel before filing.[nysd.uscourts+2](#)

Overview

If you are preparing a filing under the Foreign Agents Registration Act (FARA), the process is a federal disclosure and registration process administered by the DOJ's National Security Division.[justice+1](#)

If you are preparing a civil RICO matter, the filing is usually a federal civil complaint that must clearly identify the statutory basis, enterprise, predicate acts, injury, and damages, and some courts require a supplemental RICO case statement shortly after filing.[jenner+1](#)

FARA Filing Instructions

The DOJ states that new registrations and supplemental statements are filed electronically through the FARA eFile system.[wiley+1](#)

A filing generally includes the Registration Statement and required exhibits, along with any supporting documents, payment of the applicable fee, and a signed certification page.[justice+1](#)

Current DOJ guidance says supplemental statements are due every six months, and the filing fee is \$305 for each foreign principal.[justice](#)

Registrants should keep their records current and file amendments when information changes.[justice](#)

FARA Filing Steps

1. Determine whether the activity triggers FARA registration or qualifies for an exemption.[justice](#)
2. Gather the foreign principal information, contracts, activity descriptions, compensation details, and related records.[justice](#)
3. Complete the required forms in the FARA eFile system.[justice](#)
4. Upload the signed signature page and any required attachments.[justice](#)
5. Submit the filing and pay the required fee.[justice](#)
6. File supplemental statements every six months and keep records updated.[justice](#)

RICO Filing Instructions

Civil RICO claims are typically filed in federal district court and must be pled with care.[nysd.uscourts+1](#)

The complaint should identify the defendants, the enterprise, the predicate racketeering acts, the dates and participants, the injury to business or property, causation, and the relief requested.[nysd.uscourts](#)

Many federal courts require a separate RICO statement or case statement early in the case, so the filer should always check the local rules of the district where the case is filed.[jenner+1](#)

RICO Filing Steps

1. Confirm the proper federal court, jurisdiction, and venue.
2. Draft the complaint and identify the specific RICO subsection being invoked.
3. State the enterprise, pattern of racketeering activity, predicate acts, and how the injury occurred.[nysd.uscourts](#)
4. Add any required fraud allegations with particularity when applicable.
5. File any court-required RICO statement or supplement within the deadline set by local rules.[nysd.uscourts](#)
6. Serve the complaint and follow the applicable federal procedural rules.

Downloadable Checklist

Use this checklist as a practical filing organizer.

FARA Checklist

- Confirm whether FARA registration is required.
- Identify the foreign principal and the nature of the agency relationship.
- Collect contracts, payment records, and activity descriptions.
- Complete and submit the required forms through FARA eFile.
- Upload the signed certification page and attachments.
- Pay the filing fee.
- Calendar the six-month supplemental statement deadline.
- Update the filing if facts change.

RICO Checklist

- Confirm federal jurisdiction and venue.
- Identify the statutory RICO basis.
- List the enterprise and each defendant's role.

- Describe the predicate acts with dates and facts.
- Explain the injury to business or property.
- State causation and requested damages or relief.
- Check local rules for a required RICO statement.
- File and serve within the court's deadlines.

FOOTNOTE

For questions about whether a matter requires FARA registration or whether a claim is properly brought under RICO, consult a licensed attorney familiar with federal practice and the relevant court rules. FARA filings are handled through DOJ's eFile system, and RICO filing requirements vary by federal district court