

Direct observation of an ensemble of stable collapsed states in the mechanical folding of ubiquitin

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Statistical theories of protein folding have long predicted plausible mechanisms for reducing the vast conformational space through distinct ensembles of structures. However, these predictions have remained untested by bulk techniques, because the conformational diversity of folding molecules has been experimentally unapproachable. Owing to recent advances in single molecule force-clamp spectroscopy, we are now able to probe the structure and dynamics of the small protein ubiquitin by measuring its length and mechanical stability during each stage of folding. Here, we discover that upon hydrophobic collapse, the protein rapidly selects a subset of minimum energy structures that are mechanically weak and essential precursors of the native fold. From this much reduced ensemble, the native state is acquired through a barrier-limited transition. Our results support the validity of statistical mechanics models in describing the folding of a small protein on biological timescales.

force-clamp spectroscopy | protein folding

More than 2 decades ago statistical theories of protein folding, developed from simplified models of proteins (1) and scaling laws borrowed from polymer physics (2), offered an appealing framework for how proteins fold (3–5). These pioneering studies laid down the foundation of the “new view” of the protein folding theory (6–8). From this viewpoint, a polypeptide exposed to folding conditions is thought to go through progressively smaller conformational ensembles along a rough, funnel-like energy surface leading to the native state topology (8, 9). The envisaged stages of folding involve an initial hydrophobic collapse into a large set of nonspecific globular structures, which then select a few minimum energy collapsed conformations that fold via activated all-or-none transitions (4, 5, 10–14). Time scales for each one of these phases were estimated from simplified models to range from microseconds for the collapse to seconds for the final fold (2, 12). Although theoretical models propose physical mechanisms underlying protein folding (12, 15–17), their experimental verification has been inaccessible. Observing the multitude of trajectories and folding structures using bulk techniques averages out the ensembles predicted by the statistical theories of protein folding. Much of the literature studying bulk denaturation and refolding of proteins therefore reports 2-state folding reactions where even the presence of single folding intermediates is controversial (18, 19). Indeed, as pointed out by Dill and Chan (15) in their seminal review, the predictions made by the statistical theories are in conflict with the view that folding proceeds through a well defined pathway that crosses a single energy barrier to the native state. This longstanding debate can only be addressed by sampling the conformational diversity of a single protein along its folding trajectory.

Here, we demonstrate that single protein force-clamp spectroscopy using the AFM captures the diversity of the collapsed conformations and their time scales, which are necessary to evaluate the scenario for protein folding (20, 21). In our experiments, the protein is first extended to a well-defined state and its subsequent journey to the native conformations is then

monitored as a function of the protein length over time (20–23). By mechanically perturbing the ensembles that form along the folding trajectories, we gain insights into their role in folding (24). A crucial component of the statistical theories of protein folding was the existence of a reduced ensemble of minimum energy collapsed conformations that were thought to play a key role in reducing the dimensionality of the search for the native basin (10–13). Our experiments demonstrate the existence of this ensemble and the key role that it plays in folding. Our observations directly confirm the predictions made by statistical theories of folding, and demonstrate a unique experimental approach for an in-depth exploration of the folding energy landscape of a protein, a central problem in biology.

Results and Discussion

Using the AFM in the force-clamp mode, we have shown the ability to observe unfolding and refolding trajectories of single polyprotein molecules (20, 22). A single polyubiquitin was first mechanically unfolded and extended at a high force of 110 pN (Fig. 1A), resulting in a series of length steps of 20 nm. The repeating steps serve as a signature of the natively folded protein. Each step marks the release of 61 aa (25), trapped behind the mechanical transition state located between the $\beta 1$ – $\beta 5$ strands (26). Quenching to a low force of 10 pN triggers the collapse of the extended polypeptide, followed by refolding to the mechanically stable native state (20). Here, we expand our previous observations by varying the time that the protein is held at the low force, Δt , to probe the structures sampled by the refolding protein (24). Recovery of mechanical stability of the proteins then serves as a signature of their native-like character. A test pulse back to a high force of 110 pN measured the resulting unfolding kinetics for each Δt . As shown in Fig. 1A, the unfolding kinetics of the same molecule depends on Δt , such that after a short quench (100–200 ms) the subsequent test pulse results in fast unravelling of the polypeptide and an absence of 20-nm unfolding steps. As Δt increases to 500 ms, these steps become detectable, whereas after 3 s they are predominant. This behavior qualitatively captures the kinetics of refolding. By systematically varying Δt between 0.2 and 15 s (Fig. 1B) for an average of 30–60 individual trajectories, we quantified the unfolding rate kinetics of the conformational states visited along the folding process (24).

A 2-state model of folding would imply an all-or-none transition to the folded state, resulting in the observation of either no mechanical stability of the collapsed protein, or the same rate

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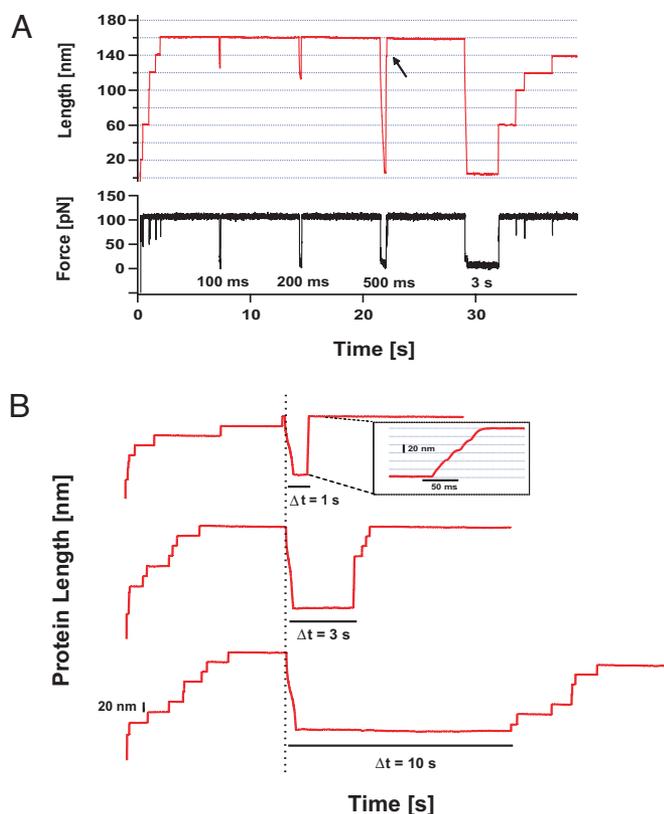


Fig. 1. Identification of a weakly stable ensemble of collapsed conformations in the folding of ubiquitin. (A) We repeatedly unfold and extend a ubiquitin polyprotein at 110 pN and then reduce the force to 10 pN for a varying amount of time, Δt , to trigger folding. First the polyprotein elongates in well defined steps of 20 nm, because each protein in the chain unfolds at a high force. Upon quenching the force the extended protein collapses. We probe the state of the collapsed polypeptide by raising the force back to 110 pN and measuring the kinetics of the protein elongation. (B) After full collapse we observe that the protein becomes segregated into 2 distinct ensembles: The first is identified by a fast heterogeneous elongation made of multiple sized steps (*Inset*); the second corresponds to well defined steps of 20 nm that identify fully folded proteins. The ratio between these 2 states of the protein depends on Δt . Longer values of Δt favor the native ensemble.

kinetics as the initial unfolding staircase. Instead, we capture 2 distinct phases; a fast initial extension that unravels the collapsed states in a stepwise manner featuring different lengths (Fig. 1*B Inset* and *SI Appendix*, Fig. S1) followed by a much slower staircase of 20-nm steps, characteristic of fully refolded ubiquitin.

Normalized ensemble averages of protein length over time from 308 refolding polyproteins are shown in Fig. 2*A*, obtained for each Δt . In all cases, the time course of polyprotein extension was well described by a double exponential fit. This fit corresponds to a fast phase (phase 1) and a slow phase (phase 2). The rate constants for each phase, k_1 and k_2 , show almost no dependence on Δt in Fig. 2*B*, suggesting that the protein does not gradually progress from higher to lower energy states, but populates 2 distinct conformational states. The rate constant k_1 is 40 times faster than the slow phase, characteristic of a mechanically weak structure. The slow phase exhibits the same rate constant, $k_2 = 0.5 \pm 0.1$ s, as the natively folded protein (see Fig. 4*B*). The amplitude of the latter phase, A_2 , which corresponds to the folded fraction, increased exponentially with waiting time, Δt , at a rate of 0.3 s⁻¹ (Fig. 2*C*). In our experiments, we quench the force to a low value (10 pN) such that the protein reaches the collapsed state in a short time (<100 ms). However, in a few cases the trajectories took longer to collapse (*SI*

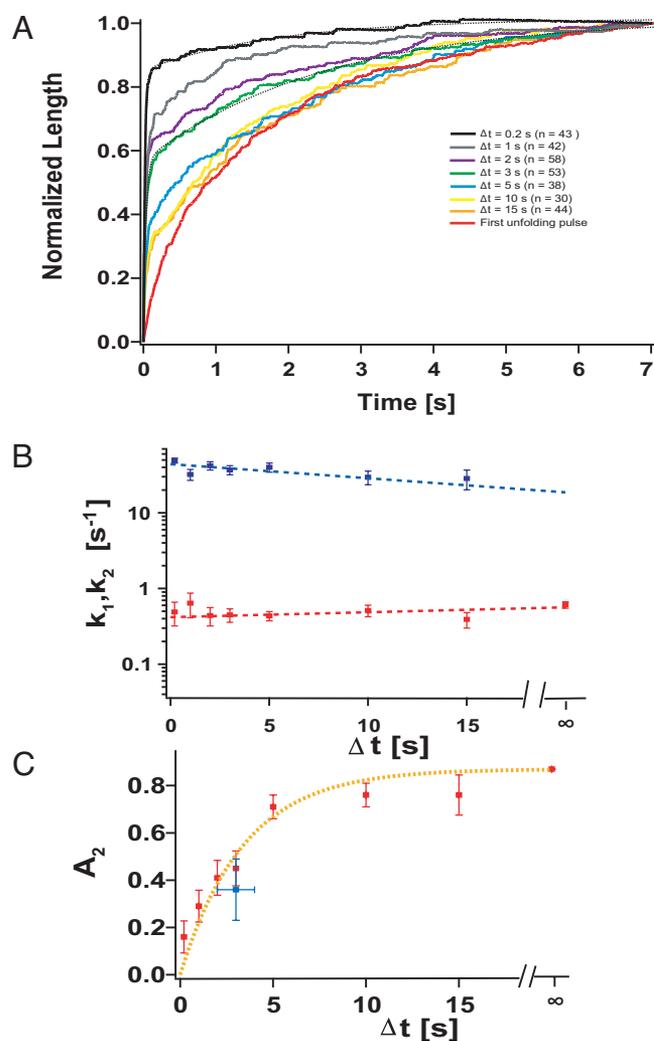


Fig. 2. Kinetics of conversion from collapsed to native conformations. Extended proteins were allowed to collapse for a duration Δt , and then were reextended at a high force to probe the nature of the collapsed ensemble. (A) For a given Δt , averages of 30–60 extensions are well described by double exponential fits (dotted lines). The fits measure the unraveling rate constant of the weakly stable collapsed state, k_1 , and the fully stable protein, k_2 , and their relative amplitude A_1 and A_2 . (B) k_1 is 40-fold faster than k_2 , while both are nearly independent of Δt (blue and red squares, respectively). The rate $k_2 = 0.5$ s⁻¹ is in close agreement with the kinetics of unfolding of native ubiquitin. (C) The fraction of native conformations, A_2 , increased exponentially with Δt (dotted line) with a rate constant of $k_f = 0.3$ s⁻¹. The blue data point highlights that the formation of native contacts occurs only after the folded length is reached (*SI Appendix*, Fig. S2).

Appendix, Fig. S2). For those cases, the folded fraction (Fig. 2*C*) corresponds to a value of Δt measured from the time when full collapse was reached, which was shorter than the duration of the quench. The blue symbol in Fig. 2*C* demonstrates this point. The exponential recovery shown in Fig. 2*C* indicates that the conversion from the mechanically weak collapsed states into the native conformations occurs through a barrier-activated process (24, 27).

Stepwise unfolding under force-clamp conditions is the signature of a barrier crossing event where a protein unravels and extends in an all-or-none manner, as seen in Fig. 3*A*. The size of the step increase in length, ΔL , is a measure of the number of amino acids behind the transition state of the protein (25). In the case of a folded ubiquitin the position of the transition state is well defined (26), giving rise to a narrow distribution of step sizes

0.015 native ubiquitin unfolding events, which is negligible compared with the high rate of unraveling of the collapsed conformations at this force ($\sim 120 \text{ s}^{-1}$; Fig. 4B). As a further proof that we are indeed unraveling the ensemble of collapsed states we observe that the stepwise elongation concomitant with the force pulse matches the length distribution characteristic of the minimum energy collapsed states observed in Fig. 3C (SI Appendix, Fig. S7). If the set of mechanically labile collapsed conformations are a prerequisite to folding, their disruption would cause a delay in the recovery of mechanical stability as compared with the unperturbed trajectories. By contrast, if the collapsed states represent unproductive traps, then unraveling them would accelerate the rate of folding. In Fig. 5B we show that an average unfolding trajectory after 5 s of folding has a higher content of folded proteins than the same trajectory with the mechanical interruption. This experiment shows that the collapsed conformations are necessary precursors of the folded state.

Protein folding has been studied using single molecule techniques revealing complex behavior that is difficult to reconcile with the widely accepted 2-state folding scenario. For example, Förster resonance energy transfer (FRET) experiments have allowed decoupling of the polypeptide collapse from folding (36), characterization of the unfolded state of proteins (37) and revealing signatures of conformational heterogeneity along individual folding trajectories (38). However, the limited bandwidth of these experiments (37) places limitations on the detection of complete single protein folding trajectories. Using mechanical force to control the folding status of a protein offers some very significant advantages in that force slows down folding exponentially, thus allowing ample time for the study of complete individual folding trajectories. The ability to precisely control forces of a few pico-Newtons was recently applied to study the refolding properties of proteins such as ddFLN4 and RNase H. Pulling on ddFLN4 with constant-velocity AFM resulted in a well-defined intermediate under a loading force and the absence of a thermodynamically distinct collapse state before final folding occurred (39). By contrast, stretching RNase H protein, using optical tweezers, showed the presence of a weakly stable collapsed folding intermediate (40). This folding intermediate was identified as a “molten globule” state, in accord with the metastable thermodynamic state that is most often used to describe the collapsed states of a folding protein (41). The concept of a “molten globule” as a thermodynamic state that is mostly structured and native-like shares similarities with the minimum energy collapsed states that we report (16, 42). However, the collapsed ensemble that we observe does not correspond to a well defined state.

In the present study we have taken advantage of the versatility of the single molecule force-clamp technique to separate the distinct stages of the folding process of ubiquitin, characterized by substates belonging to the extended, collapsed and native state ensembles in each individual molecule. From the unfathomably large number of conformations available to a 76 aa long polypeptide, the initial hydrophobic collapse of the protein reduces its conformational space to $C \sim 10^{34}$, as estimated for a self-avoiding compact polymer (43). The stochastic nature of this process is demonstrated in our experiments by the fact that collapsing proteins have never been observed to follow the same path (21). However, the resulting short-lived collapsed ensemble is still incompatible with biological folding timescales. A further reduction in the number of possible configurations was predicted by simplified models to arise from attractive hydrophobic interactions between particular residues that lead to minimum energy compact structures (MECS) (11). These are thought to be a small subset with as few as 13 configurations (11, 30). An important feature of this selection process is that the conformational space remains largely independent of the length of the

protein chain and occurs on millisecond timescales (11, 27). In agreement with such a folding scenario, we have discovered a population of mechanically weak but stable structures that form just milliseconds after the hydrophobic collapse.

These structures correspond to minimum energy configurations, as demonstrated by their activation energy barriers to unravel and to reach the native state. The presence of an activation barrier in the unravelling of such collapsed states is made evident by their stepwise extension under force (Fig. 3), and their Arrhenius-like force dependency (Fig. 4B). The slow exponential acquisition of the native state shown in Fig. 2C identifies the presence of an energy barrier that must be overcome to reach the native state. Although the exact number of such states is still difficult to glean from our data, their diversity is represented by a broad distribution of unraveling lengths that does not evolve with time. Even though there is a single barrier to the native basin of attraction, the rough native state of ubiquitin has been shown to exhibit a broad distribution of energies (29). It is feasible that the diversity of states sampled in the MECS gives rise to the range of native conformational energies encountered in the native state ensemble.

Lattice theories of protein folding had predicted a 3 stage ensemble folding scenario that was remarkably prescient. The existence of an ensemble of collapsed minimum energy compact structures that could interconvert into the native ensemble was a crucial prediction of these models. Our single molecule force-clamp experiments unambiguously demonstrate their existence, lending strong support to the statistical theories of protein folding.

Materials and Methods

Protein Engineering. Wt-Ubiquitin polyprotein was subcloned using the BamHI and BglIII restriction sites (44). The 9-domain ubiquitin was cloned into the pQE80L (Qiagen) expression vector, and transformed into the BLR(DE3) *Escherichia coli* expression strain. PolyI27 (I27_g) was constructed by consecutive subcloning of the respective monomers, using the BamHI and BglIII restriction sites. Constructs were purified by histidine metal-affinity chromatography with Talon resin (Clontech) and by gel-filtration, using a Superdex 200 HR column (GE Biosciences). The same procedure was used for monomer engineering (ubiquitin and I27) except for the multistep cloning step (22). The PEVK-I27 construct is based on the human titin I27 and PEVK (exon 161) sequences. The core sequence for the chimera consists of 3 tandem repeats of the PEVK exon followed by an I27 module, giving rise to the construct (I27-PEVK₁₆₁)₂I27.

Force Spectroscopy. Force-clamp Atomic Force Microscopy experiments were conducted at room temperature, using a home-made set-up under force-clamp conditions described in ref. 33. The sample was prepared by depositing 1–10 μL of protein in PBS solution (at a concentration of 1–10 $\mu\text{g}\cdot\text{mL}^{-1}$ in the case of polyproteins) onto a freshly evaporated gold coverslide. Each cantilever (Si_3N_4 Veeco MLCT-AUHW) was individually calibrated using the equipartition theorem, giving rise to a typical spring constant of $\approx 15 \text{ pN nm}^{-1}$. Single proteins were picked up from the surface by pushing the cantilever onto the surface exerting a contact force of 500–800 pN so as to promote the nonspecific adhesion of the proteins on the cantilever surface. The piezoelectric actuator was then retracted to produce a set deflection (force), which was set constant throughout the experiment (≈ 15 –30 s) thanks to an external, active feedback mechanism while the extension was recorded. The force feedback was based on a proportional, integral and differential amplifier (PID) whose output was fed to the piezoelectric positioner. The feedback response is limited to ≈ 3 –5 ms. Thanks to the high resolution piezoelectric actuator, our measurements of protein length have a peak to peak resolution of $\approx 0.5 \text{ nm}$. Data of the force traces was filtered using a pole Bessel filter at 1 kHz. Experiments were carried out in a sodium phosphate buffer solution, specifically, 50 mM sodium phosphate (Na_2HPO_4 and NaH_2PO_4), 150 mM NaCl, pH = 7.2. Surface effects were ruled out in our experiments as described in SI Appendix, Figs S9 and S10.

Data Analysis. All data were recorded and analyzed using custom software written in Igor Pro 5.0 (Wavemetrics). We analyzed only recordings corresponding to the test pulse in which the protein was extended back to the full

unfolded length or that detached after a long time (≥ 6 s). We summed and normalized the test pulse trajectories of numerous ($n = 30$ – 50) recordings obtained for each particular Δt (Figs. 2 and 5) and for each particular pulling force (Fig. 4). To obtain the unfolding rate at each particular pulling force, we fitted these averaged traces with single (Fig. 4B) or double (Figs. 2, 4B, and 5) exponentials. To estimate the error on our experimentally obtained rate constants, we carried out the nonparametric bootstrap method. At a given value of force and Δt , n staircases were randomly drawn with replacement from our original dataset. These were summed and fitted to obtain a rate constant. This procedure was repeated 500 times for each dataset, resulting in

a distribution that provided the standard error of the mean corresponding to the unraveling rate of the ensemble of collapsed conformations and to the unfolding rate of the native fold (Fig. 4).

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