

Receipt No.		DIS	<input type="checkbox"/> Yes <input type="checkbox"/> No	Application No.	
Receipt Date		Nomination	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Scheme		Power of Attorney	<input type="checkbox"/> Yes <input type="checkbox"/> No	Introducer	

Demat Account Opening Form

(Individual / HUF / NRI / Foreign National)

CLIENT NAME : _____

DP ID :

1	2	0	7	1	9	0	0
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CLIENT ID :

--	--	--	--	--	--	--	--



Stripes of Success

RELIABLE STOCKS & SHARES (INDIA) LTD.

CDSL DEPOSITORY PARTICIPANT

Sebi Regn. No. : IN-DP-CDSL-290-2016

Regd. Office :

"Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road, Teynampet, Chennai - 600 018.

Phone : 044 - 24332522 / 4722 E-mail : rss.bakup@gmail.com, www.reliablestocks.net

Instructions to the Applicants (Bos) for account opening :

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applicants containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

Kindly read the following instructions before filling up Nomination Details

1. The nomination can be made only by Individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-Individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot nominate. If the account is held jointly, all the joint holders must sign the nomination form.
2. Nomination can be made in favour of a Minor, in that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner(s). Guardian should be someone other than the holders of the beneficiary account.
3. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a Family or a Power of attorney holder. A Non-resident Indian (NRI) can be Nominee subject to the RBI Regulations in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a nominee shall be valid discharge by the Depository or Depository Participant against the legal heirs of the beneficial owner(s).
6. The cancellation of Nomination can be made by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all the joint holders must sign the cancellation form.
7. On cancellation of nomination, the nomination shall stand rescinded and the Depository / Depository Participant shall not be under any obligation to transfer the securities in favour of the Nominee.
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of shares for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. In case you do not wish to nominate, we request you to tick the first option.

*** Note : Mobile No. & E-Mail ID - Mandatory**

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 E-mail : rss.bakup@gmail.com@gmail.com Website : www.reliablestocks.net

Application No. _____

CLIENT ID : _____

(Please fill in **ENGLISH** and in **BLOCK LETTERS** with black ink)**A. IDENTITY DETAILS (Please see guidelines overleaf)**

1. Name of the Applicant : _____

2. Father's/ Spouse Name : _____

3. a. Gender : ☐ Male ☐ Female b. Marital Status : ☐ Single/ ☐ Married c. Date of birth : _____ (dd/mm/yyyy)4. a. Nationality : _____ b. Status: ☐ Resident Individual ☐ Non Resident ☐ Foreign National

5. a. PAN: _____ b. Aadhaar Number, if any: _____

6. Specify the proof of identity submitted: _____

PHOTOGRAPH
S1

Please affix your
recent passport
size photograph
and sign across it

B. ADDRESS DETAILS

1. Residence Address : _____

City/Town/Village: _____ Pincode: _____ State: _____ Country: _____

2. Contact Details: Tel.(Off.) _____ Tel.(Res.) _____ Mobile No: _____

Fax: _____ Email id : _____

3. Specify the proof of address submitted for residence address : _____

4. Permanent Address (if different from above or Overseas Address, Mandatory for Non-Resident Applicant) :

City/Town/Village: _____ Pincode: _____ State: _____ Country: _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

S2**SIGNATURE OF APPLICANT**

Date : _____ (dd/mm/yyyy)

FOR OFFICE USE ONLY**IN PERSON VERIFICATION DONE**☐ on _____ (DD/MM/YYYY)☐ (Originals verified) Self Certified Document
copies received☐ (Attested) True copies of documents received

Main Intermediary

Staff Name : _____

Staff Designation : _____

Signature of the Staff: _____

Date : _____ Stamp /seal

For **RELIABLE STOCKS & SHARES (INDIA) LTD.**

Authorised Signatory

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIOCard/ OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport / Voters Identity Card / Ration Card / Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament/ Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

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 E-mail : rss.bakup@gmail.com@gmail.com Website : www.reliablestocks.net

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual**Important Instructions :**

- A) Fields marked with (*) are mandatory fields.
 B) Please fill the form in English and in BLOCK letters.
 C) Please fill the date in DD - MM - YYYY format.
 D) Please read section wise detailed guidelines / instructions at the end.
 E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 F) List of two character ISO 3166 country codes is available at the end.
 G) KYC number of applicant is mandatory for update application.
 H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

**For office use only**

(To be filled by financial institution)

Application Type *

☐ New ☐ Update

KYC Number

(Mandatory for KYC update request)

Account Type *

☐ Normal ☐ Simplified (for low risk customers) ☐ Small**1. PERSONAL DETAILS** (Please refer instruction A at the end)

	Prefix	First Name	Middle name	last name
<input type="checkbox"/> Name* (same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse name *	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother name *	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth *	<input type="text"/>			
Gender*	<input type="checkbox"/> M - Male <input type="checkbox"/> F - Female <input type="checkbox"/> T - Transgender			
Marital Status*	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others			
Citizenship*	<input type="checkbox"/> IN - Indian <input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)			
Residential Status*	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Individual <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin			
Occupation Type*	<input type="checkbox"/> S- Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O- Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B- Business <input type="checkbox"/> X- Not categorised			

PHOTO

 Signature / Thumb Impression

2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end.)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A - Passport Number	<input type="text"/>	Passport Expiry date	<input type="text"/>
<input type="checkbox"/> B - Voter ID card	<input type="text"/>		
<input type="checkbox"/> C - PAN card	<input type="text"/>		
<input type="checkbox"/> D - Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E - UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F - NREGA Job card	<input type="text"/>		
<input type="checkbox"/> Z - Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S - Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS(PoA)*☐ 4.1 CURRENT/PERMANENT / OVERSEAS ADDRESS DETAILS (Please refer instruction D at the end)(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhaar)		
	<input type="checkbox"/> Voter Identity card	<input type="checkbox"/> NREGA Job card	<input type="checkbox"/> Others	<input type="text"/>	
Address	<input type="checkbox"/> Simplified Measures Account - Document Type code <input type="text"/>				

Line 1*

Line 2

Line 3

District* Pin / Post Code* City / Town / Village* State / U.T. Code* ISO 3166 Country Code*

☐ 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS* (Please refer instruction E at the end)

☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1")

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details ☐ Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

☐ 5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -
Fax - Email ID

☐ 6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (Please refer instruction F at the end)

☐ Addition of Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle name last name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY (PoI) OF RELATED PERSON* (Please refer instruction H at the end)

☐ A - Passport Number Passport Expiry date
☐ B - Voter ID card
☐ C - PAN card
☐ D - Driving Licence Driving Licence Expiry Date
☐ E - UID (Aadhaar)
☐ F - NREGA Job card
☐ Z - Others (any document notified by the central government) Identification Number
☐ S - Simplified Measures Account - Document Type code Identification Number

☐ 7. REMARKS (If any)

8. APPLICANT DECLARATION

● I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

● I hereby consent to receiving information from Centrak KYC Registry through SMS/Email on the above registered number/email address.

Date :

Place :

S4


Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date
Emp. Name
Emp. Code
Emp. Designation
Emp. Branch

(Employee Signature)

INSTITUTION DETAILS

Name : RELIABLE STOCKS & SHARES (INDIA) LTD.

Code : IN1106

[INSTITUTION STAMP]

 RELIABLE STOCKS & SHARES (INDIA) LTD. Depository Participant : CDSL SEBI Regn. No. IN-DP-CDSL-290-2016 Regd. Office : "Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road, Teynampet, Chennai - 600 018. Phone : 044 - 24332522 / 4722 E-mail : rss.bakup@gmail.com@ gmail.com Website : www.reliablestocks.net

(To be filled by the Depository Participant)

Application No.		Date												
DP Internal Reference No.														
DP ID	1	2	0	7	1	9	0	0	Client ID					

(To be filled by the applicant in BLOCK LETTERS in English)

I / We request you to open a demat Account in my/our name as per the following details :

Holders Details

Sole/ First Holder's Name		PAN											
Second Holder's Name		PAN											
Third Holder's Name		PAN											

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub - Status		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Resident Negative Nomination <input type="checkbox"/> Individual Margin Trading A/c (MANTRA) <input type="checkbox"/> Individual - Director's Relative	<input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository receipts	<input type="checkbox"/> Others(specify) _____

Details of Guardian (Incase the account holder is a minor)

Guardian's Name		PAN	
Relationship with the Applicant			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
--	---

Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
-------------------------------	--

I / We request you to send Electronic Transaction-cum-Holding statement at the email ID :	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I / We would like to receive the Tariff card & Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic
---	---

I/We wish to receive dividend / interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

 S6

Signatures

Sole / First Holder



Second Holder

(5)



Third Holder

 S5

Signatures

Sole / First Holder

Second Holder

Third Holder

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)									
IFS Code (11 character)									
Account number									
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (Specify) :								
Bank Name									
Branch Name									
Bank Branch Address									
City		State		Country		Pincode			

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO ,
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.
⇒ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

OTHER DETAILS	Income Range per annum:
Gross Annual Income	<input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs.1,00,000 to Rs.5,00,000 <input type="checkbox"/> Rs.5,00,000 to Rs.10,00,000
Details	<input type="checkbox"/> Rs.10,00,000 to Rs.25,00,000 <input type="checkbox"/> More than Rs.25,00,000 Net-worth as on (date) _____ (DD/MM/YYYY) Rs. _____ (Net worth should not be older than 1 year)
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Please specify) _____
Please tick, if applicable :	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)
Any other information :	

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)									
Transactions Using Secured Texting Facility (TRUST), Refer to Terms & Conditions Annexure - 2.6	I wish to avail the TRUST facility Using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST <table> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
Easi	To register for easi , please visit CDSL's website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the Portfolio online.									

S8

Signatures

Sole / First Holder

Second Holder

Third Holder

Form for Nomination

Nomination Details

Nomination Registration No.

Dated

I / We the Sole holder / Joint holders / Guardian (in case of minor) hereby declare that :

☐ I / We **do not wish to nominate any one for this demat account.**

☐ I / We **nominate** the following person(s) who is/are entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of my / our death.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name :			
*First Name :	_____	_____	_____
Middle Name :	_____	_____	_____
*Last Name	_____	_____	_____
Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address			
*City			
*State			
*Pin			
*Country			
Telephone No.			
FAX No.			
PAN No.			
UID			
Email ID			
*Relationship with the BO			
Date of birth (Mandatory if Nominee is a minor) dd-mm-yyyy			
Name of the Guardian of Nominee (if nominee is a minor)			
*First Name :	_____	_____	_____
Middle Name :	_____	_____	_____
*Last Name	_____	_____	_____
*Address of the guardian of nominee			
*City			
*State			
*Pin			
*Country			
Age			
Telephone			
Fax No.			
Email ID			

Third Holder



Second Holder



Sole / First Holder



Signatures

Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Relationship of the Guardian with the Nominee			
*Percentage of allocation of securities			
*Fractional allocation of the securities if any [Please tick the respective nominee, (any one) if tick not marked default will be first nominee]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note : The nominee residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.




*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me us.

Note : One witness shall attest signature(s) / thumb impression(s)

	WITNESS
NAMES OF WITNESS	
ADDRESS OF WITNESS	
SIGNATURE OF WITNESS	

I/We have 'Rights and Obligations of BO-DP' including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Signatures	 S10 First / Sole Holder or Guardian (in case of Minor)	 Second Holder	 Third Holder
Name			

(Signatures should be preferably in blue ink).

FACTA - CRS Declaration & Supplementary KYC Information**Declaration Form for Individuals**

Please consult a tax professional for further guidance on your tax residency
for FACTA & CRS compliance

1. PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>				OR PAN Exempt KYC Ref No. (PEKRN) _____																			
2. Name :																							
3. Father's Name:																							
4. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other				5. Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please specify)																			
6. Status: <input type="checkbox"/> Resident <input type="checkbox"/> NRI <input type="checkbox"/> Foreign National <input type="checkbox"/> Others (Please specify)																							
7. Address Type [for KYC address] <input type="checkbox"/> Residential <input type="checkbox"/> Residential / Business <input type="checkbox"/> Business <small>Address of tax residence would be taken as available in KYC Registration Agency (KRA) database. In case of any change, please fill up and submit a separate KYC Change Request Form with supporting documents to update the changes</small>																							
8. Place of Birth:			9. Country of Birth:			10. Date of Birth DD/MM/YYYY																	
11. Occupation Details [Please tick any one (✓)] <table style="width:100%;"><tr><td><input type="checkbox"/> Business</td><td><input type="checkbox"/> Professional</td><td><input type="checkbox"/> Public Sector Service</td><td><input type="checkbox"/> Private Sector Service</td><td><input type="checkbox"/> Agriculturist</td><td><input type="checkbox"/> Student</td><td><input type="checkbox"/> Forex Dealer</td><td><input type="checkbox"/> Government Service</td></tr><tr><td><input type="checkbox"/> Housewife</td><td><input type="checkbox"/> Retired</td><td colspan="6"><input type="checkbox"/> Others [Please specify] _____</td></tr></table>								<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Retired	<input type="checkbox"/> Others [Please specify] _____					
<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Government Service																
<input type="checkbox"/> Housewife	<input type="checkbox"/> Retired	<input type="checkbox"/> Others [Please specify] _____																					
12. Gross Annual Income in INR: <input type="checkbox"/> Below 1 Lakh <input type="checkbox"/> 1-5 Lakh <input type="checkbox"/> 5-10 Lakh <input type="checkbox"/> 10-25 Lakh <input type="checkbox"/> 25 Lakh-1 crore <input type="checkbox"/> >1 Crore																							
13. Net Worth (Optional) INR _____ Lakh Net Worth as of DD / MM / YYYY																							
14. Are you a Politically Exposed Person [PEP] ⇨ <input type="checkbox"/> Yes <input type="checkbox"/> No				15. Are you Related to a PEP ⇨ <input type="checkbox"/> Yes <input type="checkbox"/> No																			

16. Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ⇨ ☐ Yes ☐ No
If 'YES', please provide the following details for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

S. No.	Country of Tax Residency #	Tax Payer Identification Number / Functional Equivalent	Identification Type [TIN or other, please specify]	If TIN is not available, please tick <input checked="" type="checkbox"/> the reason A, B or C [as defined below]
1				⇨ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
2				⇨ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
3				⇨ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

⇨ Reason A ⇨ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

⇨ Reason B ⇨ The Account Holder is otherwise unable to obtain a TIN or equivalent number.

(Please explain **below** why you are unable to obtain a TIN in the table below if you have selected this reason)

1	
2	


⇨ Reason C ⇨ No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be disclosed)

Declaration:

I hereby confirm that the information provided hereinabove is true, correct, and complete to the best of my knowledge and belief and that I shall be responsible to the reporting agency which relies on this information for compliance with FATCA and CRS. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I hereby authorize you, the Mutual Fund /AMC/ RTA/ broker/DP or any other intermediary to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, Brokers/DPS /any other intermediary, their employees / RTAs ('the Authorized Parties') to any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries/ and / or to any other regulated intermediaries registered with any other authorities / regulator/s including RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your/fund's end or by domestic or overseas regulators/ tax authorities. I/We authorize the Mutual Fund/AMC/RTA to provide relevant information to upstream payers to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same

Date :

Place :

Signature : 

Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL
[SMS Alerts will be sent by CDSL to Bos for all debits and for all credits as well.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository (India) Limited, a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400 001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers from the CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his Bo account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	7	1	9	0	0								
	(Please write your 8 digit DP ID)								(Please write your 8 digit Client ID)							

Sole/ First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91											
(Please write only the mobile number without prefixing country code or zero)											

The mobile number is registered in the name of : _____

Email ID : _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)



Signatures

Sole / First Holder



Second Holder



Third Holder

Place : _____

Date : _____

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

 **S13**
Signatures

Sole / First Holder

Second Holder**Third Holder**

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

 **S14**


Signatures**Sole / First Holder****Second Holder****Third Holder****Name(s)**

**RELIABLE STOCKS & SHARES (INDIA) LTD.**

Regd. Office : "Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road,
Teynampet, Chennai - 600 018. Phone : 044 - 24332522 / 4722

TARIFF CARD FOR BENEFICIARY OWNER ACCOUNT HOLDERS :

SERIAL. No.	ITEM	TARIFF (Rs.)
1.	Account Opening Charges	Nil
2.	Annual Maintenance Charges - Individual	Rs. 300/-
3.	Annual Maintenance Charges - Non Individual	Rs. 800/-
4.	Custody Charges	Nil
5.	Dematerialisation Charges (Per Certificate)	Rs. 2/-
6.	Postage per Demat request	Rs. 50/-
7.	Rematerialisation Charges and Postage	Rs. 30/- (per 100 shares) + Actuals (Postage)
8.	Sale Transactions (includes failed transaction)	Minimum Rs. 11/- per instruction or 0.020% per Scrip whichever is higher (subject to maximum of Rs.500/-)
9.	Same Day Execution - Per Instruction / Per Scrip	Rs. 50/- or 0.025% whichever is higher
10.	Pledging & Unpledging Charges Per Scrip	Rs. 27/-
11.	Pledge Invocation Charges	Rs. 20/-
12.	Miscellaneous Charges	Actuals

Notes :

- Annual Maintenance Charges are levied upfront for a period of one year from the date of opening the account.
- All charges will be collected upfront. BO are requested to maintain sufficient credit balance in demat account in order to avoid non execution of delivery instruction (DIS) / any other instruction(s).
- The DP will not be responsible for any claims in case of instruction received late i.e., On the same day for pay-in
- RSSIL reserves the right to change the rates from time to time by giving notice.
- Any service not covered above will be charged separately.
- All taxes / levies / cess as applicable.

*** The above charges are exclusive of GST and other levies by Government bodies / statutory authority from time to time.

*** Cheque / DD to be issued in favour of " RELIABLE STOCKS & SHARES (INDIA) LTD".

I /We would like to open the Demat Account (Please tick)

☐ Normal category ☐ BSDA category

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	S15		

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date							
------	--	--	--	--	--	--	--

DP ID	1	2	0	7	1	9	0	0	Client ID	
Sole/ First Holder's Name										
Second Holder's Name										
Third Holder's Name										

To

Reliable Stocks & Shares (India) Ltd.
 New No.16, Old No.165, Eldams Road,
 Teynampet, Chennai - 600 018.

Dear Sir / Madam,




I / We hereby state that:

[Select one of the options given below]

☐ **OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with **RELIABLE STOCKS & SHARES (INDIA) LTD** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager..




Yours faithfully,

-	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	 S16		

OR☐ **OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with **RELIABLE STOCKS & SHARES (INDIA) LTD** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

-	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	 S16		

DIGITAL STATEMENT

To,

RELIABLE STOCKS & SHARES (INDIA) LTD.

"Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road,
Teynampet, Chennai - 600 018.

Date : _____

Reg : Beneficial Owner (BO) Account No. _____

I / We _____

[Name(s) of the BO(s)] had entered into agreement dated _____ with you at the time of opening of the aforesaid BO account.




Pursuant to the amendment in Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I / We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I / We confirm that the dispatch of statement of account to me / us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me / us with statement of my / our BO account. But I / We reserve my / our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[E-mail address : _____]

I / We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch / service of my / our statement of account on me / us shall not be binding upon you unless you are intimated in writing by me / us by acknowledged delivery.

Yours faithfully,

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	 S17		

*** Note : Mobile No. & E-Mail ID - Mandatory**



RELIABLE STOCKS & SHARES (INDIA) LTD.

Depository Participant : CDSL SEBI Regn. No. IN-DP-CDSL-290-2016

Regd. Office : "Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road,
Teynampet, Chennai - 600 018. Phone : 044 - 24332522 / 4722

E-mail : rss.bakup@gmail.com@gmail.com Website : www.reliablestocks.net

Acknowledgement Receipt




Application No.		Date								
-----------------	--	------	--	--	--	--	--	--	--	--

I/We hereby acknowledge the receipt of duly executed copy of KYC.

I/We hereby acknowledge the receipt of duly executed copy of Rights & Obligation of BO and DP.

I/We hereby acknowledge the receipt of Delivery Instruction Slips (DIS).

I/We hereby acknowledge the receipt of Tariff Sheet & copy of all other documents as executed by me/us.

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	 S18		

Voluntary on
Rs.100/-
Stamp

POA FOR PAY-IN and PLEDGE OF SECURITIES FOR THE PURPOSE OF SETTLEMENT and MARGIN REQUIREMENTS

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/We, 1) _____
2) _____, 3) _____, an individual
/ a sole proprietary concern / a partnership firm / a body corporate / trust, having his/her/its residence/registered office/
place of business at _____,
_____ (herein referred to as 'Client').

Whereas I/We hold beneficial owner account number

1	2	0	7	1	9	0	0												
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 (BO-ID)
with Central Depository Services (India) Limited, through **M/s RELIABLE STOCKS & SHARES (INDIA) LTD.**, (name of
the Depository Participant) bearing **DP ID - 12071900** and SEBI Registration No. **IN-DP-CDSL-290-2016**.

And Whereas I am / We are investor engaged in buying and selling of securities through **M/s RELIABLE STOCKS & SHARES (INDIA) LTD.**, (name of the Clearing Member), a Member of NATIONAL STOCK EXCHANGE OF INDIA LTD., bearing SEBI Registration No. **INB 230754438** and **M/s RELIABLE STOCKS & SHARES (MUMBAI) PVT. LTD.**, (name of the Clearing Member), a Member of BOMBAY STOCK EXCHANGE LTD. bearing SEBI Registration No. **INB 011253730**.

And Whereas due to exigency and paucity of time, I am / We are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my behalf for a limited purpose in the manner hereinafter appearing :

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT **M/s RELIABLE STOCKS & SHARES (INDIA) LTD.**, (name of the attorney / Clearing Member) as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognized stock exchange toward any segment in respect of securities sold by me/us through them.

This authority is restricted to the pay-in obligations arising out of the transactions of sale effected by me / us through **M/s RELIABLE STOCKS & SHARES (INDIA) LTD.**, (name of the Clearing Member), and **M/s RELIABLE STOCKS & SHARES (MUMBAI) PVT. LTD.**, (name of the Clearing Member), and pledge obligations arising out of securities offered towards Margin requirements arising out of transactions effected by me/us in Futures & Options segment through **M/s RELIABLE STOCKS & SHARES (INDIA) LTD.**, (name of the Clearing Member), and I/We ratify the instructions given by the aforesaid Clearing Member to the Depository Participant named hereinabove to the respective Clearing Accounts of Stock Exchange(s) viz., **1207190000001118, 1207190000001175 (CDSL) & IN561006, IN630922, IN300095 10740835 (NSDL)**, in the manner specified therein.

I/We agree that - To arrange to return to me/us the securities that may have been received by Reliable Stocks and Shares (India) Ltd., erroneously or those securities the Reliable Stocks and Shares (India) Ltd., is not entitled to receive from me/us.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall be in force until the same is revoked by me/us in writing to the Depository Participant any time.

SIGNED AND DELIVERED

By the within named Beneficial Owner(s)

 S





Signatures

Sole / First Holder

Second Holder

Third Holder

Signature 1 : _____

Signature 2 : _____

NAME : _____

NAME : _____

ADDRESS : _____

ADDRESS : _____

I/We Accept
For **RELIABLE STOCKS & SHARES (INDIA) LTD.**

Authorised Signatory

(17) PLACE: _____ DATE: _____

Third Holder

Second Holder

Sole / First Holder

Signatures

**RELIABLE STOCKS & SHARES (INDIA) LTD.**

Regd. Office : "Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road, Teynampet, Chennai - 600 018.

TARIFF CARD FOR BENEFICIARY OWNER ACCOUNT HOLDERS :

SERIAL. No.	ITEM	TARIFF (Rs.)
1.	Account Opening Charges	Nil
2.	Annual Maintenance Charges - Individual	Rs. 300/-
3.	Annual Maintenance Charges - Non Individual	Rs. 800/-
4.	Custody Charges	Nil
5.	Dematerialisation Charges (Per Certificate)	Rs. 2/-
6.	Postage per Demat request	Rs. 50/-
7.	Rematerialisation Charges and Postage	Rs. 30/- (per 100 shares) + Actuals (Postage)
8.	Sale Transactions (includes failed transaction)	Minimum Rs. 11/- per instruction or 0.020% per Scrip whichever is higher (subject to maximum of Rs.500/-)
9.	Same Day Execution - Per Instruction / Per Scrip	Rs. 50/- or 0.025% whichever is higher
10.	Pledging & Unpledging Charges Per Scrip	Rs. 27/-
11.	Pledge Invocation Charges	Rs. 20/-
12.	Miscellaneous Charges	Actuals

Notes :

- Annual Maintenance Charges are levied upfront for a period of one year from the date of opening the account.
- All charges will be collected upfront. BO are requested to maintain sufficient credit balance in demat account in order to avoid non execution of delivery instruction (DIS) / any other instruction(s).
- The DP will not be responsible for any claims in case of instruction received late i.e., On the same day for pay-in
- RSSL reserves the right to change the rates from time to time by giving notice.
- Any service not covered above will be charged separately.

*** The above charges are exclusive of GST and other levies by Government bodies / statutory authority from time to time.

*** Cheque / DD to be issued in favour of "RELIABLE STOCKS & SHARES (INDIA) LTD".

I /We would like to open the Demat Account (Please tick) ☐ Normal category ☐ BSDA category

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature			

**RELIABLE STOCKS & SHARES (INDIA) LTD.**

Depository Participant : CDSL SEBI Regn. No. IN-DP-CDSL-290-2016

Regd. Office : "Cenotaph Court", Flat No.G-C, No.28, Cenotaph Road, Teynampet, Chennai - 600 018. Phone : 044 - 24332522 / 4722

E-mail : rss.bakup@gmail.com Website : www.reliablestocks.net

Acknowledgement Receipt

Application No.		Date									
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We hereby acknowledge the receipt of the Account Opening Form.

We hereby acknowledge the receipt of Nomination for registration : ☐ yes / ☐ No

Sole/ First Holder's Name	
Second Holder's Name	
Third Holder's Name	

Depository Participant seal and signature

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Details of POI (Proof of Identity) & POA (Proof of Address) submitted :		
Applicant	Document Collected for POI	Document Collected for POA
First / Sole Holder		
Second Holder		
Third Holder		

KRA NAME	STATUS	VERIFIED DATE	REMARKS
CKYC	STATUS	VERIFIED DATE	REMARKS

FOR OFFICE USE ONLY			
	Application Scrutinised by	Application Entered by	System Data Verified by
Employee Name			
Signature			

*** Note : Mobile No. & E-Mail ID - Mandatory**

