# Exhibit 237 Myocarditis and Pericarditis After Vaccination for COVID-19

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### Myocarditis and Pericarditis After Vaccination for COVID-19

George A. Diaz, MD, <sup>M1</sup> Guilford T. Parsons, MD, MS, <sup>2</sup> Sara K. Gering, BS, BSN, <sup>3</sup> Audrey R. Meier, MPH, <sup>4</sup> Ian V. Hutchinson, PhD, DSc, <sup>5</sup> and Ari Robicsek, MD <sup>2</sup>

Article Information

**Corresponding Author:** George A. Diaz, MD, Providence Regional Medical Center Everett, 1700 13th St, Ste B3-018, Everett, WA 98201 (george.diaz@providence.org).

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Concept and design: Diaz, Robicsek.

Acquisition, analysis, or interpretation of data: All authors.

Drafting of the manuscript: Diaz, Parsons, Meier, Hutchinson, Robicsek.

Critical revision of the manuscript for important intellectual content: Diaz, Gering, Hutchinson, Robicsek.

Statistical analysis: Diaz, Meier, Robicsek.

Administrative, technical, or material support: Parsons, Gering, Robicsek.

Supervision: Robicsek.

<sup>&</sup>lt;sup>1</sup>Section of Infectious Diseases, Providence Regional Medical Center Everett, Everett, Washington

<sup>&</sup>lt;sup>2</sup>Providence Research Network, Renton, Washington

<sup>&</sup>lt;sup>3</sup>Medical Intensive Care Unit, Providence Regional Medical Center Everett, Everett, Washington

<sup>&</sup>lt;sup>4</sup>Department of Infection Prevention and Control, Providence Regional Medical Center Everett, Everett, Washington

<sup>&</sup>lt;sup>5</sup>Office of Clinical Research, Providence Health and Services, Southern California Region, Los Angeles

Corresponding author.

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This study investigates the incidence of myocarditis and pericarditis emergency department or inpatient hospital encounters before COVID-19 vaccine availability (January 2019–January 2021) and during a COVID-19 vaccination period (February-May 2021) in a large US health care system.

Rare cases of cardiac inflammation following SARS-CoV-2 vaccination have been reported. 1.2.3.4 We reviewed the clinical records of vaccine recipients to identify cases of postvaccination myocarditis or pericarditis.

#### Methods

Forty hospitals in Washington, Oregon, Montana, and Los Angeles County, California, that were part of the Providence health care system and used the same electronic medical record (EMR) were included. All patients with documented COVID-19 vaccinations administered inside the system or recorded in state registries at any time through May 25, 2021, were identified. Vaccinated patients who subsequently had emergency department or inpatient encounters with diagnoses of myocarditis, myopericarditis, or pericarditis were ascertained from EMRs (see eTables 1 and 2 in the <u>Supplement</u> for exclusions and definitions).

The monthly rates of first-time hospital diagnoses (excluding patients with previous diagnoses in January 2018–January 2019) in January 2019 through January 2021 (prevaccine period) and February through May 2021 (vaccine period) were compared.

The Wilson method was used to calculate 95% confidence intervals for single proportions. Change in incidence between periods and 95% confidence intervals for incidence were assessed using an exact rate ratio test assuming Poisson distribution, with a 2-sided P < .05 defining statistical significance. R version 2021 statistical software (R Foundation) was used. The Providence institutional review board approved the study with a waiver of informed consent.

#### Results

Among 2 000 287 individuals receiving at least 1 COVID-19 vaccination, 58.9% were women, the median age was 57 years (interquartile range [IQR], 40-70 years), 76.5% received more than 1 dose, 52.6% received the BNT162b2 vaccine (Pfizer/BioNTech), 44.1% received the mRNA-1273 vaccine (Moderna),

and 3.1% received the Ad26.COV2.S vaccine (Janssen/Johnson & Johnson). Twenty individuals had vaccine-related myocarditis (1.0 [95% CI, 0.61-1.54] per 100 000) and 37 had pericarditis (1.8 [95% CI, 1.30-2.55] per 100 000).

Myocarditis occurred a median of 3.5 days (IQR, 3.0-10.8 days) after vaccination (mRNA-1273 vaccine, 11 cases [55%]; BNT162b2 vaccine, 9 cases [45%]) (<u>Table</u>). Fifteen individuals (75%; 95% CI, 53%-89%) were male, and the median age was 36 years (IQR, 26-48 years). Four persons (20%; 95% CI, 8%-42%) developed symptoms after the first vaccination and 16 (80%; 95% CI, 58%-92%) developed symptoms after the second. Nineteen patients (95%; 95% CI, 76%-99%) were admitted to the hospital. All were discharged after a median of 2 days (IQR, 2-3 days). There were no readmissions or deaths. Two patients received a second vaccination after onset of myocarditis; neither had worsening of symptoms. At last available follow-up (median, 23.5 days [IQR, 4.8-41.3 days] after symptom onset), 13 patients (65%; 95% CI, 43%-82%) had symptom resolution and 7 (35%; 95% CI, 18%-57%) were improving.

Pericarditis developed after the first immunization in 15 cases (40.5%; 95% CI, 26%-57%) and after the second immunization in 22 cases (59.5%; 95% CI, 44%-74%) (mRNA-1273 vaccine, 12 cases [32%]; BNT162b2 vaccine, 23 cases [62%]; Ad26.COV2.S vaccine, 2 cases [5%]). Median onset was 20 days (IQR, 6.0-41.0 days) after the most recent vaccination. Twenty-seven individuals (73%; 95% CI, 57%-85%) were male, and the median age was 59 years (IQR, 46-69 years). Thirteen (35%; 95% CI, 22%-51%) were admitted to the hospital, none to intensive care. Median stay was 1 day (IQR, 1-2 days). Seven patients with pericarditis received a second vaccination. No patient died. At last available follow-up (median, 28 days; IQR, 7-53 days), 7 patients (19%; 95% CI, 9%-34%) had resolved symptoms and 23 (62%; 95% CI, 46%-76%) were improving.

The mean monthly number of cases of myocarditis or myopericarditis during the prevaccine period was 16.9 (95% CI, 15.3-18.6) vs 27.3 (95% CI, 22.4-32.9) during the vaccine period (P < .001) (Figure). The mean numbers of pericarditis cases during the same periods were 49.1 (95% CI, 46.4-51.9) and 78.8 (95% CI, 70.3-87.9), respectively (P < .001).

#### Discussion

Two distinct self-limited syndromes, myocarditis and pericarditis, were observed after COVID-19 vaccination. Myocarditis developed rapidly in younger patients, mostly after the second vaccination. Pericarditis affected older patients later, after either the first or second dose.

Some vaccines are associated with myocarditis, including mRNA vaccines, 1,2,3,4 and the Centers for Disease Control and Prevention recently reported a possible association between COVID-19 mRNA vaccines and myocarditis, primarily in younger male individuals within a few days after the second vaccination, at an incidence of about 4.8 cases per 1 million. This study shows a similar pattern, although at higher incidence, suggesting vaccine adverse event underreporting. Additionally, pericarditis may be more common than myocarditis among older patients.

Study limitations include cases missed in outside care settings and missed diagnoses of myocarditis or pericarditis (which would underestimate the incidence), as well as inaccurate EMR vaccination information. Temporal association does not prove causation, although the short span between vaccination and my-

ocarditis onset and the elevated incidence of myocarditis and pericarditis in the study hospitals lend support to a possible relationship.

#### **Notes**

Section Editors: Jody W. Zylke, MD, Deputy Editor; Kristin Walter, MD, Associate Editor.

#### **Notes**

#### Supplement.

eTable 1. Exclusion Criteria

eTable 2. Definitions

#### References

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# Figures and Tables

Table.

Characteristics of Post–COVID-19 Vaccination Myocarditis and Pericarditis Cases<sup>a</sup>

Characteristics	Myocarditis (n =	Pericarditis without myocarditis (n
	20)	= 37)
Immunizations at symptom onset		
1	4 (20)	15 (40.5)
2	16 (80)	22 (59.5)
Vaccine received most recently before symptom onset		
Ad26.COV2.S	0	2 (5.4)
mRNA-1273	11 (55)	12 (32.4)
BNT162b2	9 (45)	23 (62.2)
Time from most recent immunization to symptom onset, median (IQR), $\boldsymbol{d}$	3.5 (3-10.8)	20 (6-41)
Age, median (IQR), y	36 (26.3-48.3)	59 (46-69)
Sex		
Female	5 (25)	10 (27)
Male	15 (75)	27 (73)
Race and ethnicity <sup>b</sup>		
White	19 (95)	31 (83.8)
Asian	0	2 (5.4)
Latinx	0	2 (5.4)
Black	0	0
Other	0	2 (5.4)
Unknown	1 (5)	0
Encounter state		
California	1 (5)	7 (18.9)
Montana	0	1 (2.7)
Oregon	8 (40)	8 (21.6)
Washington	11 (55)	21 (56.8)
Comorbidities		
Alcohol or drug dependence	4 (20)	5 (13.5)
Coronary artery disease	1 (5)	4 (10.8)

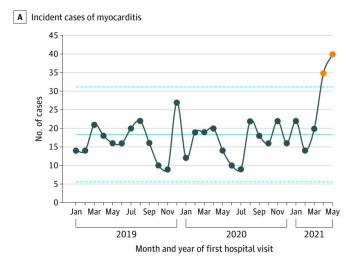
Abbreviations: ALT, alanine aminotransferase; AST, aspartate aminotransferase; COPD, chronic obstructive pulmonary disease; ESR, erythrocyte sedimentation rate; IQR, interquartile range; NSAID, nonsteroidal anti-inflammatory drug.

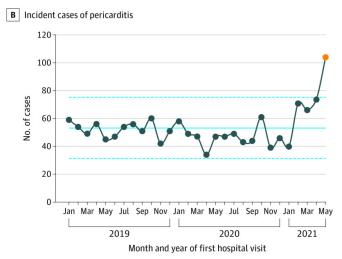
<sup>a</sup>Data are No. (%) of patients unless otherwise specified.

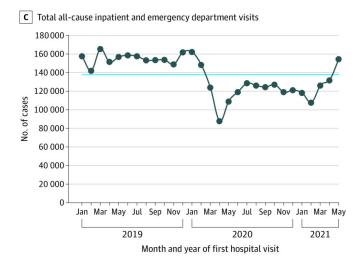
<sup>b</sup>Race and ethnicity information was obtained from electronic medical records, where this information is assigned by either patients or health care registration personnel; these data are reported owing to the possibility of racial and ethnic differences in rates of postvaccination myocarditis and/or pericarditis.

<sup>c</sup>Treated with diuretics, β-blockers, angiotensin-converting enzyme inhibitors, or angiotensin receptor blockers.

Figure.







# Monthly Number of Inpatient and Emergency Department Cases of Myocarditis and Pericarditis at 40 Hospitals in the Western US

A statistical process control c-chart was used for panels A and B, with control limits at  $\pm 3$  times the standard deviation of the overall count. Orange circles are counts outside the control limits. Solid lines are incidence over the entire time frame; dashed lines are the  $\pm 3$  sigma (control limits) about the incidence over the entire time frame.