JINGYUDENG CHB

147-48 182" St., 2" Floor, RM202, Jamaica, NY 11413, T: 718-252 U.S. Customs Power of Attorney Designation as Export Forwarding Agent and Acknowled				
Designation as Export Forwarding Agent and Acknowled Fed Tax ID, Social Security No.1) Know all by men these presents: That,2) (Full name of individual, partnership, corporation, sole partnership, or li doing business as 3) (individual, partnership, corporation, sole partnership, or	mited liability company)	6) Check appropriate box: Individual Corporation Partnership Sole Proprietorship Limited Liability Company		
under the laws of the State/Province of 4) residing or having a principal place of business at 5)				
(add	ress)			
herby constitutes and appoints <u>Jingyu Deng CHB.</u> , its officers, employees, and/or specifically authorized agents, to act for and on its behalf as a true and lawful agent and attorney of the grantor for and in the name, place and stead of said grantor, from this date, in the United States (the "territory") either in writing, electronically, or by other authorized means, to: Make, endorse, sign, declare, or swear to any customs entry, withdrawal, declaration, certificate, bill of lading, carnet or any other documents required by law or regulation in connection with the importation, exportation, or transportation, of any merchandise in or through the Custom territory shipped or consigned by or to said grantor; to receive any merchandise; Make endorsements on bills of lading conferring authority to transfer title; make entry or collect drawback; and to make, sign, declare, or swear to any statement or certificate required by law or regulation for drawback purposes, regardless of whether such document is intended for filing with Customs:	United States; if the grantor is a accept service of process on be And generally to transact Custo protests under section 514 of the laws of the territories, in which sinterested and which may proper agent and attorney; Giving to said agent and attorney whatever requisite and necessary and grantor could do if present all that the said agent and attorney presents; This power of attorney to remain writing is duly given to and rece	r's name drawn on the Treasurer of the nonresident of the United States, to half of the grantor; one Tariff Act of 1930, or pursuant to other said grantor is or may be concerned or early be transacted or performed by an ey full power and authority to do anything any to be done in the premises as fully as and acting, herby ratifying and confirming ney shall lawfully do by virtue of these on in full force and effect until revocation in inved by JINGYU DENG CHB. (if the		

Sign, seal and deliver for and as the act of said grantor any bond required by law or regulation in connection with the entry or withdrawal of imported merchandise or merchandise exported with or without benefit of drawback, or in connection with the entry, clearance, lading, unlading or navigation of any vessel or other means of conveyance owned or operated by said grantor, and any and all bonds which may be voluntarily given and accepted under applicable laws and regulations, consignee's and owner's declarations provided for in section 485, Tariff Act of 1930, as amended, or affidavits or statements in connection with the entry of merchandise; Sign and swear to any document and to perform any act that may be necessary or required by law or regulation in connection with the entering, clearing, lading, unlading, or operation of any vessel or other means of conveyance owned or operated by said grantor;

Authorize other Customs Brokers duly licensed within the territory to act as grantor's agent; to receive, endorse and collect checks issued for

case have any force or effect in the United States after the expiration of two years from the date of its execution);

Appointment as Forwarding Agent: Grantor authorizes the above Grantee to act within the territory as lawful agent and sign or endorse export documents (i.e. commercial invoices, bills of lading, insurance certificates, drafts, and any other document) necessary for the completion of an export on grantor's behalf as may be required under law and regulation in the territory and to appoint forwarding agent's on grantor's behalf; The Customer also authorizes the Company to obtain from the Customer's foreign suppliers, agents and service providers all data and document required by the U.S. government concerning merchandise intended to be shipped to the United States and to report such information to U.S. government agencies as may be required by law or regulation. In addition, the Customer authorizes Company to use the services of affiliated and non-affiliated third parties to complete these tasks.

We hereby, waive the right to receive direct billing of all customs brokerage charges from Jingyu Deng CHB. as per 19 CFR 111.36(c)(2)(i); And contrary to 19 CFR 111.24, we authorize Jingyu Deng CHB. to share all customs-related information with our freight forwarders and freight agents. However, we will retain the right to contact Jingyu Deng CHB. directly regarding any customs-related matters as per 19 CFR 111.36(c)(3).

The undersigned represents that all information contained herein is true and correct as of date of execution and acknowledges receipt of Jingyu Deng's Terms and Conditions of Service governing all transactions between the parties.

In witness whereof, the said grantor, 7) (full name of individual, partnership, corporation, sole proprietorship, limited liability company) caused these presents to be sealed and signed: Signature 8) Print Name 9) Capacity 10) (Title)		If you are the importer of record, payment to the broker will not relieve you of liability for U.S. Customs charges (duties, taxes, or other debts owed to Customs) in the event the charges are not paby the broker. Therefore, if you pay by check, Customs charges may be paid with a separate check payable to the "U.S. Customs Service" which shall be delivered to Customs by the broker. Importers who wish to utilize this procedure must contact our officin advance to arrange timely receipt of duty checks.				
Date 11)	Signor's phone#	Signor's Email				

JINGYU DENG CHB Terms of Condition of Services

These terms and conditions of service constitute a legally binding contract between the "Company" and the "Customer". In the event the Company renders services and issues a document containing Terms and Conditions governing such services, the Terms and Conditions set forth in such other document(s) shall govern those services.

- 1. Definitions
- (a) "Company" shall mean JINGYU DENG CHB., its subsidiaries, related companies, agents and/or representatives. (b) "Customer" shall mean the person for which the Company is rendering service, as well as its agents and/or representatives, including, but not limited to, shippers, importers, exporters, carriers, secured parties, warehousemen, buyers and/or sellers, shipper's agents, insurers and underwriters, breakbulk agents, consignees, etc. It is the responsibility of the Customer to provide notice and copy(s) of these terms and conditions of service to all such agents or representatives; (c) "Documentation" shall mean all information received directly or indirectly from Customer, whether in paper or electronic form:
- (d) "Ocean Transportation Intermediaries" ("OTI") shall include an "ocean freight forwarder" and a "non-vessel operating common carrier; (e) "Third parties" shall include, but not be limited to, the following: "carriers, truckmen, cartmen, lighterrmen, forwarders, OTI's, customs brokers, agents, warehousemen and others to which the goods are entrusted for transportation, cartage, handling and/or delivery and/or storage or otherwise.
- 2. Company As Agent. The Company acts as the "agent" of the Customer for the purpose of performing duties in connection with the entry and release of goods, post entry services, the securing of export licenses, the filling of export documentation on behalf of the Customer and other dealings with Government Agencies; as to all other services, Company acts as an independent contractor.
- 3. Limitation of Actions.
- (a) Unless subject to a specific statute or international convention, all claims against the Company for a potential or actual loss, must be made in writing and received by the Company, within ninety (90) days of the event giving rise to claim; the failure to give the Company timely notice shall be a complete defense to any suit or action commenced by Customer.
- (b) All suits against Company must be filed and properly served on Company as follows: (i) For claims arising out of ocean transportation, within one (1) year from the date of loss; (ii) For those claims arising from air transportation, within two (2) years from the date of the loss; (iii) For claims arising out of the preparation and/or submission of an import entry(s), within seventy five (75) days from the date of liquidation of the entry(s); (iv) For any and all other claims of any other type, within two (2) years from the date of the loss or damage.
- 4. No Liability For The Selection or Services of Third Parties and/or Routes. Unless services are performed by persons or firms engaged pursuant to express written instructions from the Customer, Company shall use reasonable care in its selection of third parties, or in selecting the means, route and procedure to be followed in the handling, transportation, clearance, and delivery of the shipment; advice by the Company that a particular person or firm has been selected to render services with respect to the goods, shall not be construed to mean that the Company warrants or represents that such person or firm will render such services nor does Company assume responsibility or liability for any action(s) and/or inaction(s) of such third parties and/or its agents, and shall not be liable for any delay or loss of any kind, which occurs while a shipment is in the custody or control of a third party or the agent of a third party; all claims in connection with the Act of a third party shall be brought solely against such party and/or its agents; in connection with any such claim, the Company shall reasonably cooperate with the Customer, which shall be liable for any charges or cost incurred by the Company.
- 5. Quotations Not Binding. Quotations as to fees, rates of duty, freight charges, insurance premiums or other charges given by the Company to the Customer are for informational purposes only and are subject to change with-out notice; no quotation shall be binding upon the Company unless the Company in writing agrees to undertake the handling or transportation of the shipment at a specific rate or amount set forth in the quotation and payment arrangements are agreed to between the Company and the Customer.
- 6. Reliance On Information Furnished.
- (a) Customer acknowledges that it is required to review all documents and declarations prepared and/or filed with the Customs Service, other Government Agency and/or third parties, and will immediately advise the Company of any errors, discrepancies, incorrect statements, or omissions on any declaration filed on Customers behalf. (b) in preparing or submitting Customs entries, export declarations, applications, documentation, and/or export data to the United States and/or a third party, the Company relies on the correctness of all documentation, whether in written or electronic format, and all information furnished by Customer, Customer shall use reasonable care to insure the correctness of all such information and shall indemnify and hold the company harmless from any and all claims asserted and/or liability or losses suffered by reason of the Customer's failure to disclose information or any incorrect or false statement by the Customer upon which the Company reasonably relied. The Customer agrees that the Customer has an affirmative, non-delegable duty to disclose any and all information required to import, export, or enter the goods.
- 7. Declaring Higher Value To Third Parties. Third parties to whom the goods are entrusted may limit liability for loss or damage; the Company will request excess valuation coverage only upon specific written instructions from the Customer, which must agree to pay any charges therefore, in the absence of written instructions or the refusal of the third party to agree to a higher declared value, at Company's discretion, the goods may be tendered to the third party, subject to the terms of the third party's limitations of liability and/or term and conditions of service.
- 8. Insurance. Unless requested to do so in writing and confirmed to Customer in writing, Company is under no obligation to procure insurance on Customer's behalf, in all cases, customer shall pay all premiums and costs in connection with procuring requested insurance.
- 9. Disclaimers; Limitation of Liability.
- (a) Except as specifically set forth herein, Company makes no express or implied warranties in connection with its services: (b) Subject to (d) below, Customer agrees that in connection with any and all services performed by the Company, the Company shall only be liable for its negligent acts, which are the direct and proximate cause of any injury to Customer, including loss or damage to Customer's goods, and the Company shall in no event be liable of third parties; (c) In connection with all services performed by the Company, Customer may obtain additional liability coverage, up to the actual or declared value of the shipment or transaction, by requesting such coverage and agreeing to make payment therefore, which request must be confirmed in writing by the Company prior to rendering services for the covered transaction(s)

- (d) In the absence of additional coverage under (c) above, the Company's liability shall be limited to the following: (i) Where the claim arises from activities other than those relating to customs brokerage, \$50.00 per shipment or transaction, or (ii) Where the claim arises from activities relating to "Customs Business," \$50.00 per entry or the amount of brokerage fees paid to Company for the entry, whichever is less; (e) In no event shall Company be liable or responsible for consequential, indirect, incidental, statutory or punitive damages even if it has been put on notice of the possibility of such damages.10. Advancing Money. All charges must be paid by Customer in advance unless the Company agrees in writing to extend credit to customer; the granting of credit to a Customer in connection with a particular transaction shall not be considered a waiver of this provision by the Company.
- 11. Indemnification/Hold Harmless. The Customer agrees to indemnify, defend, and hold the Company harmless from any claims and/or liability arising from the importation or exportation of Customer's merchandise and/or conduct of the Customer, which violates any Federal, State and/or other laws, and further agrees to indemnify and hold the Company harmless against any and all liability, loss, damages, costs, claims and/or expenses, including but not limited to reasonable attorney's fees, which the Company may hereafter incur, suffer or be required to pay by reason of such claims; in the event that any claim, suit or proceeding is brought against the Company, it shall give notice in writing to the Customer by mail at its address on file with the Company.
- 12. C.O.D. or Cash Collect Shipments. Company shall use reasonable care regarding written instructions relating to "Cash/Collect" on "Deliver (C.O.D.)" shipments, bank drafts, cashier's and/or certified checks, letter(s) of credit and other similar payment documents and/or instructions regarding collection of monies but shall have no liability if the bank or consignee refuses to pay for the shipment.
- 13. Costs of Collection. In any dispute involving monies owed to Company, the Company shall be entitled to all costs of collection, including reasonable attorney's fees and interest at 15% per annum or the highest rate allowed by law, whichever is less, unless a lower amount is agreed to by Company.14. General Lien And Right To Sell Customer's Property. (a) Company shall have a general and continuing lien on any and all property of Customer coming into Company's actual or constructive possession or control for monies owed to Company with regard to the shipment on which the lien is claimed, a prior shipment(s) and/or both.
- (b) Company shall provide written notice to Customer of its intent to exercise such lien, the exact amount of monies due and owing, as well as any on-going storage or other charges; Customer shall notify all parties having interest in its shipment(s) of Company's rights and/or the exercise of such lien
- (c) Unless, within thirty days of receiving notice of lien, Customer posts cash or letter of credit at sight, or, if the amount due is in dispute, an acceptable bond to equal 110% of the value of the total amount due, in favor of the Company, guaranteeing payment of the monies owed, plus all storage charges accrued or to be accrued, Company shall have the right to sell such shipment(s) at public or private sale or auction and any net proceeds remaining there-after shall be refunded to the Customer.
- 15.No Duty To Remain Records For Customer. Customer acknowledges that pursuant to Sections 508 and 509 of the Tariff Act, as amended, (19 USC §1580 and §1509) it has the duty and is solely liable for maintaining all records required under the Customs and/or other Laws and Regulations of the United States; unless otherwise agreed to in writing, the Company shall only keep such records that is required to maintain by Statute(s) and/or Regulation(s), but not act as a "recordkeeper" or "recordkeeping agent" for Customer. 16.0btaining Binding Rulings, Filing Protest, etc. Unless requested by Customer in writing and agreed to by Company in writing, Company shall be under no obligation to undertake any pre or post Customs release action, including but not limited to, obtaining binding rulings, advising of liquidations, filling of petition(s) and or protests, etc.
- 17. Preparation and Issuance of Bills of Lading. Where Company prepares and/or issues a bill of lading, Company shall be under no obligation to specify thereon the number of pieces, packages and/or cartons, etc.; unless specifically requested to do so in writing by Customer or its agents and Customer agrees to pay for same, Company shall rely upon and use the cargo weight supplied by Customer.
- 18. No Modification or Amendment Unless Written. These terms and condition of service may only be modified, altered or amended in writing signed by both the Customer and Company; any attempt to unilaterally modify, alter or amend same shall be null and void.
- 19. Compensation of Company. The compensation of the company for its services shall be included with and is in addition to the rates and charges of all carriers and other agencies selected by the Company to transport and deal with the goods and such compensation shall be exclusive of any brokerage, commissions, dividends, or other revenue received by the Company from carriers, insurers and others in connection with the shipment. On ocean exports, upon request, the Company shall provide a detailed breakout of the components of all charges assessed and a true copy of each pertinent document relating to these charges. In any referral for collection or action against the Customer for monies due the Company, upon recovery by the Company, the Customer shall pay the expenses of collection and/or litigation, including a reasonable attorney fee.

 20.Severability. In the event any Paragraph(s) and/or portion(s) hereof is found to be invalid and/or
- unenforceable, then in such event the remainder hereof shall remain in full force and effect.

 21.Governing Law; Consent to Jurisdiction and Venue. These terms and conditions of service and the relationship of the parties shall be construed according to the laws of the State of California, without giving
- consideration to principles of conflict of law. Customer and Company

 (a) irrevocably consent to the jurisdiction of the United States District Court and the State courts of California;
- (b) agree that any action relating to the services performed by Company, shall only be brought in said courts;
 (c) consent to the exercise of in personam jurisdiction by said courts over it, and
 (d) further to agree that any action to enforce a judgment may be instituted in any jurisdiction
- Copyrighted by the National Customs Brokers & Forwarders Associate of America, Inc. (Rev.04100)

 JINGYU DENG CHB. has a policy against payment, solicitation, or receipt of any rebate, directly or indirectly, which would be unlawful under the United States: Shipping Act as amended.Importer must furnish missing documents within the period of time as required by Customs regulations to avoid Customs penalties. If you are an importer of record, payment to the broker will not relieve you of the liability for Customs charges in the event the charges are not paid by the broker. Therefore, if you pay by check, Customs charges may be paid with a separate check payable to the "U.S. Customs Service." This option, if elected, requires prior arrangement with the broker.



U.S. Customs and Border Protection

CREATE/UPDATE IMPORTER IDENTITY FORM

19 CFR 24.5

As the importer, consignee, or other party listed in block 1, you are responsible for the validity of the information provided in this document. Any Customs Broker or third party who is submitting the information on your behalf is only obligated to convey this information to Customs and Border Protection (CBP).

PRIVACY ACT STATEMENT: Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that: 19 CFR 24.5 authorizes the disclosure of Social Security numbers (SSN) on the CBP Form 5106, and implements CBP's authority to collect the taxpayer identification number and SSN as provided for in 31 U.S.C. 7701; the principal purpose for disclosure of the Social Security number is to identify the individuals conducting business with CBP to assist in regulatory compliance and investigations, and administrative or judicial proceedings; the information collected may be provided to those officers and employees of CBP and any constituent unit of the Department of Homeland Security who have a need to know the information in the performance of their duties; also, the records may be referred to any federal, state, local tribal, territorial, or foreign agency for whom CBP determines may assist in the enforcement of criminal or civil laws, or assist in intelligence or counter-intelligence, or breach notification, or for the compilation of foreign trade statistics and for verifying the existence of the importer and any linkages or affiliations between importers (19 U.S.C. 4320) pursuant to the requirements of Public Law 114-125 (Trade Facilitation and Trade Enforcement Act of 2015); and failure to provide the required information will result in the denial of an importer of record number, the requirement to use a separate party for transactions, and may impact or delay the importation of shipments in international trade. PAPERWORK REDUCTION ACT STATEMENT: An agency may not conduct or sponsor an information collection and a person is not required to respond to this information unless it displays a current valid OMB control number and an expiration date. The control number for this collection is 1651-0064. The estimated average time to complete this application is 45 minutes. The obligation to respond is required to obtain a benefit. If you have any comments regarding the burden estimate you can write to U.S. Customs and Border Protection, Office of International Trade, Regulations and Rulings, 90 K Street NE, Washington DC 20229-1177. TYPE OF ACTION (Mark all applicable): Notification of identification number Change of name* Change of address* 1. NAME AND IDENTIFICATION NUMBER 1B. Internal Revenue Service (IRS) number/Social Security Number (SSN): *1A. Importer/Business/Private Party Name: 1D. DIV/AKA/DBA Name: 1C. ☐ DIV ☐ AKA ☐ DBA *1E. 🔲 I wish to be assigned a CBP Number. Check here if requesting a CBP-assigned number and indicate reason(s). Check all reasons that apply. ☐ I have a SSN, but wish to use a I have no Social I have no IRS I have not applied for I am not a CBP-Assigned Number on all my Security Number Number an IRS number or SSN U.S. Resident entry documents 1F. CBP-Assigned Number: 1G. Type of Company: Corporation Partnership ☐ LLC Sole Proprietorship ☐ Individual U.S. Government State/Local Government Foreign Government **1H.** If you are an importer, how many entries do you plan on filing in a year? Please select from the following: 1-4 per year 5-24 per year 25 or more per year infrequent personal shipments, or I do not intend to import. Importer of Record 11. How will the identification number be utilized? Please select all options that will apply: Consignee/Ultimate Consignee Drawback Claimant Refunds/Bills, or Other 1J. Program Code 1: 1K. Program Code 2: 1L. Program Code 3: 1M. Program Code 4: 2. ADDRESS INFORMATION 2A. MAILING ADDRESS (Each street address line can be no more than 32 characters) *Street Address 1: *State/Province: City: Street Address 2: Zip Code: Country ISO Code: *Is the address in 2A, a 🔲 Residence 🔛 Corporate Office 🔲 Warehouse 🔲 Retail Location Office Building ☐ Business Service Center Post Office Box or Other - Explain: 2B. PHYSICAL LOCATION ADDRESS (Required only if different than mailing address. 32 character limit applies to street address line) *Street Address 1: *City: State/Province:

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Street Address 2:		Zip Code:		Country ISO Code:			
*Is the address in 2B, a Ro	esidence	fice	Retail Location	Office Building or			
2C. *Phone number:	Extension:	2D. Fax number:					
2E. *Email address:	2E. *Email address: 2F. Website:						
3. COMPANY INFORMATION							
3A. Provide a brief business de	escription:						
3B. Provide the 6-digit North Ar	merican Industry Classification	on System (NAICS) code	for this business:				
3C. Provide the D-U-N-S Numb	er for the Importer:						
3D. If you are also a broker/self	f-filer, supply the filer code th	at will be used when cor	nducting business with	n CBP:			
3E. Year established:							
3F. List the names and Internal or previous related business en		oloyer identification, Soci	al Security or CBP-as	signed numbers for current			
Related Business	Name of Busine	ess Entities	IRS/SSN/CB	P-Assigned Number			
☐ Current ☐ Previous							
Current Previous							
Current Previous							
3G. Primary Banking Institution	:		1				
(Name)							
(Bank Routing Number)	(Bank Routing Number) (City) (State) (Country ISO Code)						
3H. Certificate or Articles of Inc.	orporation - (Locater I.D.)						
3I. Certificate or Articles of Inco	orporation – (Reference Num	ber)					
3J. Business Structure/Beneficial Owner/Company Officers - The officers listed in this section must have importing and financial business knowledge of the company listed in section 1 of this form and must have legal authority to make decisions on behalf of the company listed in section 1. Elements designated below with an asterisk are optional data fields.							
Company Position Title: Name (Last, First, Middle Initial):							
Direct Phone Number:	et Phone Number: Extension: Direct Email:						
Social Security Number: Pa	Social Security Number: Passport Number: Country of Issuance: Passport Expiration Da						
Passport Type: Regular Official Diplomatic Passport Card							
Company Position Title: Name (Last, First, Middle Initial):							
Direct Phone Number: Extension: Direct Email:							
Social Security Number: Pa	ssport Number:	Country of Issuance:		Passport Expiration Date:			
*Passport Type: Regular	Official Diplom	atic Passport Card	t				

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Company Position Title:		Name (Last, First, Middle Initial):									
Direct Phone Number:		Extension:			Direct	Email:					
Social Security Number:	Passport N	sport Number:		Country of Issuance:			Passport Expiration Date:				
Passport Type: Reg	ular 🗌 Off	ficial	Diploma	atic [Pass	sport Ca	ard				
Company Position Title:		Name (Las	t, First,	Middle I	Initial):						
Direct Phone Number:		Extension:			Direct	Email:					
Social Security Number:	er: Passport Number: Country			y of Iss	uance:			Passport Expirat	ion Da	ite:	
Passport Type: Regular Official Diplomatic Passport Card											
4. CERTIFICATION											
By my signature below, I certify that, to the best of my knowledge and belief, all of the information included in this document is true, correct, and provided in good faith. I understand that if I make an intentional false statement, or commit deception or fraud in this 5106 document, I may be fined or imprisoned (18 U.S.C. § 1001).											
*Printed or Typed Full Name and Title: *Signature:											
Telephone Number:	*Date:		Broker	Name:		_			Telephone Nun	nber:	

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INSTRUCTIONS

TYPE OF ACTION

Notification of Identification Number - Check this box if this is your first request for services with CBP, or if your current Importer Number is inactive and you wish to activate this number.

Change Name - Check this box if the Importer Number is on file but there is a change in the name.

Change of Address - Check this box if the Importer Number is on file but there is a change in the address.

For updates involving changes to an existing IOR other than a "Change of Name" and "Change of Address", the "TYPE OF ACTION" should be left blank.

NOTE - If a "Change of Address" and/or "Change of Name" is requested for an importer or other party that has an active bond on file with CBP, then a name and/or address rider must accompany this change document, unless the rider is otherwise not required for the bond pursuant to a CBP test announced in the Federal Register, such as CBP's eBond Test Program, or otherwise not required by CBP's regulations.

SECTION 1 - NAME AND IDENTIFICATION NUMBER

- **1A Importer/Business/Private Name -** Please indicate the name of the company or individual who will be importing or seeking service or payment. If you are submitting this document as a consignee to the import transaction, sections 1 and 2 must be filled out completely.
- **1B IRS/SSN -** Complete this block if you are assigned an Internal Revenue Service (IRS) employer identification number or Social Security Number (SSN). If neither an IRS employer identification number nor a Social Security Number (SSN) has been assigned, the word "NONE" shall be written in 1B. The SSN should belong to the principal or owner of the company.
- 1C DIV/AKA/DBA Complete this block if an importer is a division of another company (DIV), is also known under another name (AKA), or conducts business under another name (DBA).
- 1D Complete this block only if Block 1C is used.
- **1E Request CBP-Assigned Number** Complete this block if you have neither an IRS employer identification number nor a SSN and you require a CBP-assigned number, or, you choose to use a CBP-assigned number in lieu of your SSN. If you have an IRS employer identification number at the time you submit this form that number will automatically become your importer identification number and **no** CBP-assigned number will be issued. PLEASE NOTE: A CBP-assigned number is for CBP use **only** and does not replace listing a SSN or IRS employer identification number on this form. If you have elected to request a CBP-Assigned Number in lieu of your SSN, you must provide your "Company Position Title", name, and SSN in Block 3J of this form. If you have elected to request a CBP Assigned Number in lieu of your SSN, you must provide your SSN in section 3J of this form. In general, a CBP- assigned number will be issued to foreign businesses or individuals, provided no IRS employer identification number or SSN exists for the requester. A requester can choose to keep using the CBP-assigned number even if the individual subsequently acquires a SSN. If block 1E is completed, CBP will issue an assigned number and inform the requester. This identification number will be used for all future CBP transactions when an identification number is required. If an IRS employer identification number, a Social Security Number, or both, are obtained after an identification number has been assigned by CBP, a new CBP Form 5106 form shall not be filed unless requested by CBP.
- **1F CBP-Assigned Number -** Complete this block if you have already been assigned a CBP-Assigned Number, and there is a requested change in Block "Type of Action".
- 1G Type of Company- Please select the description that accurately describes your company. A Limited Liability Company (LLC) is not a corporation; it is a legal form of company that provides limited liability to its owners.
- 1H Provide an estimate of the number of entries that will be imported into the U.S. in one year, if you are an importer of record.
- 1I Check the boxes which will indicate how the name and identification number will be utilized. If the role of the party is not listed, you can select "Other" and then list the specific role for the party. (ex., Transportation carrier, Licensed Customs Brokerage Firm, Container Freight Station, Commercial Warehouse/Foreign Trade Zone Operator, Container Examination Station or Deliver to Party).
- **1J thru 1M** If you are currently an active participant in a CBP Partnership Program(s), please provide the program code in Block 1J thru Block 1M of the revised CBP Form 5106 and the information that is contained in Section 3 of the revised CBP Form will not be required. (ex., Customs Trade Partnership Against Terrorism CTPAT, Importer Self-Assessment ISA)

SECTION 2 - ADDRESS INFORMATION

2A - MAILING ADDRESS (Mailing Address for the named business entity or individual referenced in section 1)

Street Address 1 - This block must always be completed. It may or may not be the physical location. Insert a post office box number or a street number representing the first line of the mailing address. For a U.S. or Canadian mailing address, additional mailing address information may be inserted. If a P.O. Box number is given for the mailing address, a second address (physical location) must be provided in 2B. This line can be no more than 32 characters long.

Street Address 2 - If applicable, this block must always be completed with the apartment, suite, floor, and/or room number. This line can be no more than 32 characters long.

City - Insert the city name of the importer's mailing address.

State/Province - - For a U.S., Canadian, or Mexican mailing address, a 2-character alphabetic code representing the state/province must be provided. All other foreign addresses must either enter a 2-character alphabetic code or, if one does not exist, "FN" in the "State/Province" block.

Zip Code - For a U.S. mailing address, insert a 5 or 9-digit numeric ZIP code as established by the U.S. Postal Service. For a Canadian mailing address, insert a Canadian postal routing code. For a Mexican mailing address, leave blank. For all other foreign mailing addresses, a postal routing code may be inserted.

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Country ISO Code - For a U.S. mailing address, leave blank. For any foreign mailing address, including Canada and Mexico, insert a 2-character alphabetic International Standards Organization (ISO) Code representing the country.

Type of Address - Check the box that describes this address.

2B - PHYSICAL LOCATION ADDRESS - Please provide the address that is associated with the business or the individual. This address cannot be a P.O. Box, Business Service Center, etc. The address associated with the business can be the principal's home address. The Physical Location Address does not need to be provided on the form if it is the same as the mailing address.

Street Address 1- If the place of business is the same as the mailing address, leave blank. If different from the mailing address, insert the company's business address in this space. A second address representing the company's place of business is to be provided if the mailing address is a post office box or drawer. This line can be no more than 32 characters long.

Street Address 2 - If applicable, this block must always be completed with the apartment, suite, floor, and/or room number. This line can be no more than 32 characters long.

City - Insert the city name for the business address.

State/Province - For a U.S. address, insert a 2-character alphabetic U.S. state postal code. For a Canadian address, insert a 2-character alphabetic code representing the province of the importer's business address.

Zip Code - For a U.S. business address, insert a 5 or 9-digit numeric ZIP code as established by the U.S. Postal Service. For a Canadian address, insert a Canadian postal routing code. For a Mexican address, leave blank. For all other foreign addresses, a postal routing code may be inserted.

Country ISO Code - For a U.S. address, leave blank. For any foreign address, including Canada and Mexico, insert a 2-character alphabetic ISO code representing the country.

Type of Address - Check the box which describes this address

2C - Phone Number - The phone number and extension. 2D - Fax Number - The fax number.

2E - E-mail Address - The e-mail. 2F - Website - The website.

<u>SECTION 3 - COMPANY INFORMATION</u> In most cases the data elements in this section are optional. However, if the "I have an SSN, but wish to use a CBP-Assigned Number on all my entry documents" option was selected in Block 1E you <u>must</u> provide your "Company Position Title", name, and SSN in Block 3J.

The absence of this information will affect CBP's ability to fully understand the level of risk on subsequent transactions and could result in the delay of cargo release or the processing of a refund.

- 3A Provide a brief description of your business.
- **3B** Complete this field if you know the North American Industry Classification System (NAICS) code as defined by the Department of Commerce. Provide your 6-digit NAICS code.
- 3C If available, provide the Dun & Bradstreet Number for the name that was presented in section 1.
- **3D** If you are an importer who is a self-filer and are using your own filer code, or a broker who also has maintained an identification number, provide the filer code that you will be using to conduct business with CBP.
- 3E Indicate the year in which your company was established.
- **3F** Related Businesses Information List the name and IRS employer identification number, Social Security Number or CBP-Assigned Number for each related business and indicate if it is a current or previous related business.
- 3G Indicate the primary banking information for the company that is listed in 1B.
- **3H** Certificate or Articles of Incorporation Provide the 2-digit State or insert a 2-character alphabetic ISO Code representing the country in which the articles of incorporation for the business were filed. (as applicable)
- 31 Certificate or Articles of Incorporation Provide the file, reference, entity, issuance or unique identifying number for the certificate or articles of incorporation or the foreign articles of incorporation. (as applicable)
- **3J** Business Structure/Beneficial Owner/Company Officer The Beneficial Owner is any individual or group of individuals that, either directly or indirectly, has the power to vote or influence the transaction decisions regarding a specific security or one who has the benefits of ownership of a Security (finance) or property and yet does not nominally own the asset itself. Beneficial Owner/ Company Officers must have importing and financial business knowledge of the company listed in section 1 and the legal authority to make decisions on behalf of the company listed in section 1 with respect to that knowledge. Please note that in most instances the SSN or "Passport Number", "Country of Issuance", "Passport Expiration Date", and "Passport Type", in the absence of an SSN, are optional in this block. However, if the "I have an SSN, but wish to use a CBP-Assigned Number on all my entry documents" option is selected in Block 1E your "Company Position Title", name, and SSN must be provided in this block.

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DEPARTMENT OF HOMELAND SECURITY

U.S. Customs and Border Protection

CREATE/UPDATE IMPORTER IDENTITY FORM

19 CFR 24.5

As the importer, consignee, or other party listed in block 1, you are responsible for the validity of the information provided in this document. Any Customs Broker or third party who is submitting the information on your behalf is only obligated to convey this information to Customs and Border Protection (CBP).

PRIVACY ACT STATEMENT: Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that: 19 CFR 24.5 authorizes the disclosure of Social Security numbers (SSN) on the CBP Form 5106, and implements CBP's authority to collect the taxpayer identification number and SSN as provided for in 31 U.S.C. 7701; the principal purpose for disclosure of the Social Security number is to identify the individuals conducting business with CBP to assist in regulatory compliance and investigations, and administrative or judicial proceedings; the information collected may be provided to those officers and employees of CBP and any constituent unit of the Department of Homeland Security who have a need to know the information in the performance of their duties; also, the records may be referred to any federal, state, local tribal, territorial, or foreign agency for whom CBP determines may assist in the enforcement of criminal or civil laws, or assist in intelligence or counter-intelligence, or breach notification, or for the compilation of foreign trade statistics and for verifying the existence of the importer and any linkages or affiliations between importers (19 U.S.C. 4320) pursuant to the requirements of Public Law 114-125 (Trade Facilitation and Trade Enforcement Act of 2015); and failure to provide the required information will result in the denial of an importer of record number, the requirement to use a separate party for transactions, and may impact or delay the importation of shipments in international trade. PAPERWORK REDUCTION ACT STATEMENT: An agency may not conduct or sponsor an information collection and a person is not required to respond to this information unless it displays a current valid OMB control number and an expiration date. The control number for this collection is 1651-0064. The estimated average time to complete this application is 45 minutes. The obligation to respond is required to obtain a benefit. If you have any comments regarding the burden estimate you can write to U.S. Customs and Border Protection, Office of International Trade, Regulations and Rulings, 90 K Street NE, Washington DC 20229-1177. TYPE OF ACTION (Mark all applicable): Notification of identification number Change of name* Change of address* 1. NAME AND IDENTIFICATION NUMBER 18. Internal Revenue Service (IRS) number/Social Security Number (SSN): 14A. Importer/Business/Private Party Name: For US based importer, fill in IRS# 1D. DIV/AKA/DBA Name: ☐ DIV 1C. □ DBA *1E. I wish to be assigned a CBP Number. Check here if requesting a CBP-assigned number and indicate reason(s). Check all reasons that apply. I have a SSN, but wish to use a I have no Social I have no IRS I have not applied for I am not a CBP-Assigned Number on all my Security Number Number an IRS number or SSN U.S. Resident entry documents 1F. CBP-Assigned Number: Foreign Importer CBP Assign # Sole Proprietorship 1G. Type of Company: Corporation Partnership ☐ LLC ☐ Individual U.S. Government Foreign Government State/Local Government 1H. If you are an importer, how many entries do you plan on filing in a year? Please select from the following: 1-4 per year 5-24 per year 25 or more per year infrequent personal shipments, or I do not intend to import. 11. How will the identification number be utilized? Please select all options that will apply: Importer of Record Consignee/Ultimate Consignee Drawback Claimant Refunds/Bills, or Other 1J. Program Code 1: 1K. Program Code 2 1L. Program Code 3: 1M. Program Code 4: 2. ADDRESS INFORMATION 2A. MAILING ADDRESS (Each street address line can be no characters) *Street Address 1: State/Province: City: Country ISO Code: Street Address 2: Zip Code: <u>*Is t</u>he address in 2A, a │ │ Residence │ │ Corporate Office │ │ Warehouse │ │ Retail Location Office Building Business Service Center Post Office Box or Other - Explain: 2B. PHYSICAL LOCATION ADDRESS (Required only if different than mailing address. 32 character limit applies to street address line) *Street Address 1: *City: State/Province: If not the same as above, need to fill out this

If you need a CBP assign #



If phyisca address not the same as mailing

Street Address 2:		Zip Code:		Country ISO Code:
*Is the address in 2B, a Reside Other - Explain:	nce Corporate C	Office Warehouse	Retail Location	Office Building or
2C. *Phone number: Exter	nsion:	2D. Fax number:		
2E. *Email address:		2F. Website:		
3. COMPANY INFORMATION		111		
3A. Provide a brief business descrip	tion:			
3B. Provide the 6-digit North America	an Industry Classificat	tion System (NAICS) co	de for this business:	
3C. Provide the D-U-N-S Number for	r the Importer:			
3D. If you are also a broker/self-filer,	supply the filer code to	that will be used when o	conducting business wi	th CBP:
3E. Year established:				
3F. List the names and Internal Reve or previous related business entities.		nployer identification, So	ocial Security or CBP-a	ssigned numbers for current
Related Business	Name of Busin	ness Entities	IRS/SSN/C	BP-Assigned Number
☐ Current ☐ Previous	2)			•
☐ Current ☐ Previous		-01		14
☐ Current ☐ Previous		-0		-0/1)
3G. Primary Banking Institution:	.((Na	ame)),
(Bank Routing Number)	(City)	<u> </u>	(State)	(Country ISO Code)
3H. Certificate or Articles of Incorpor	ation - (Locater I.D.)			
31. Certificate or Articles of Incorpora	tion – (Reference Nur	mber)		
3J. Business Structure/Beneficial business knowledge of the company company listed in section 1. Element	listed in section 1 of t	this form and must have	legal authority to make	
Company Position Title:	Name (Last, First	, Middle Initial):		
Direct Phone Number:	Extension:	Direct Email:		
Social Security Number: Passpo	rt Number:	Country of Issuance:		Passport Expiration Date:
Passport Type: Regular	Official Diplon	natic	ard	
Company Position Title:	Name (Last, First	, Middle Initial):	11	
Direct Phone Number:	Extension:	Direct Email:		
Social Security Number: Passpo	rt Number:	Country of Issuance:		Passport Expiration Date:
*Passport Type: Regular	Official Diplon	│ natic	ard	

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Company Position Title:		Name (Last, First, Middle Initial):				
Direct Phone Number:		Extension:		Direct Email:		
Social Security Number:	Passport N	umber:	Count	l try of Issuance:		Passport Expiration Date:
Passport Type: Regula	ar 🗌 Off	icial Diplom		Passport Card		
Company Position Title:		Name (Last, First,	Middle	Initial):		
Direct Phone Number:		Extension:		Direct Email:		
Social Security Number:	Passport N	umber:	Coun	try of Issuance:		Passport Expiration Date:
Passport Type: Regula	ar Off	icial Diplom	atic	Passport Card	_	
4. CERTIFICATION						
By my signature below, I cer correct, and provided in good 5106 document. I may be fin *Printed or Typed Full Name	d faith. I und led or impris	derstand that if I ma	ike an i			
Trinica of Typea Fair Name	and much			Oignature.)	
Telephone Number: *	Date:	Broke	r Name			Telephone Number:
	?					

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INSTRUCTIONS

TYPE OF ACTION

Notification of Identification Number - Check this box if this is your first request for services with CBP, or if your current Importer Number is inactive and you wish to activate this number.

Change Name - Check this box if the Importer Number is on file but there is a change in the name.

Change of Address - Check this box if the Importer Number is on file but there is a change in the address.

For updates involving changes to an existing IOR other than a "Change of Name" and "Change of Address", the "TYPE OF ACTION" should be left blank.

NOTE - If a "Change of Address" and/or "Change of Name" is requested for an importer or other party that has an active bond on file with CBP, then a name and/or address rider must accompany this change document, unless the rider is otherwise not required for the bond pursuant to a CBP test announced in the Federal Register, such as CBP's eBond Test Program, or otherwise not required by CBP's regulations.

SECTION 1 - NAME AND IDENTIFICATION NUMBER

- **1A Importer/Business/Private Name -** Please indicate the name of the company or individual who will be importing or seeking service or payment. If you are submitting this document as a consignee to the import transaction, sections 1 and 2 must be filled out completely.
- **1B IRS/SSN** Complete this block if you are assigned an Internal Revenue Service (IRS) employer identification number or Social Security Number (SSN). If neither an IRS employer identification number nor a Social Security Number (SSN) has been assigned, the word "NONE" shall be written in 1B. The SSN should belong to the principal or owner of the company.
- 1C DIV/AKA/DBA Complete this block if an importer is a division of another company (DIV), is also known under another name (AKA), or conducts business under another name (DBA).
- 1D Complete this block only if Block 1C is used.
- **1E Request CBP-Assigned Number -** Complete this block if you have neither an IRS employer identification number nor a SSN and you require a CBP-assigned number, or, you choose to use a CBP-assigned number in lieu of your SSN. If you have an IRS employer identification number at the time you submit this form that number will automatically become your importer identification number and **no** CBP-assigned number will be issued. PLEASE NOTE: A CBP-assigned number is for CBP use **only** and does not replace listing a SSN or IRS employer identification number on this form. If you have elected to request a CBP-Assigned Number in lieu of your SSN; you must provide your "Company Position Title" name, and SSN in Block 3J of this form. If you have elected to request a CBP Assigned Number in lieu of your SSN, you must provide your SSN in section 3J of this form. In general, a CBP- assigned number will be issued to foreign businesses or individuals, provided no IRS employer identification number or SSN exists for the requester. A requester can choose to keep using the CBP-assigned number even if the individual subsequently acquires a SSN. If block 1E is completed, CBP will issue an assigned number and inform the requester. This identification number will be used for all future CBP transactions when an identification number is required. If an IRS employer identification number, a Social Security Number, or both, are obtained after an identification number has been assigned by CBP, a new CBP Form 5106 form shall not be filed unless requested by CBP.
- **1F CBP-Assigned Number** Complete this block if you have already been assigned a CBP-Assigned Number, and there is a requested change in Block "Type of Action".
- 1G Type of Company- Please select the description that accurately describes your company. A Limited Liability Company (LLC) is not a corporation; it is a legal form of company that provides limited liability to its owners.
- 1H Provide an estimate of the number of entries that will be imported into the U.S. in one year, if you are an importer of record.
- 11 Check the boxes which will indicate how the name and identification number will be utilized. If the role of the party is not listed, you can select "Other" and then list the specific role for the party. (ex., Transportation carrier, Licensed Customs Brokerage Firm, Container Freight Station, Commercial Warehouse/Foreign Trade Zone Operator, Container Examination Station or Deliver to Party).
- **1J thru 1M** If you are currently an active participant in a CBP Partnership Program(s), please provide the program code in Block 1J thru Block 1M of the revised CBP Form 5106 and the information that is contained in Section 3 of the revised CBP Form will not be required. (ex., Customs Trade Partnership Against Terrorism CTPAT, Importer Self-Assessment ISA)

SECTION 2 - ADDRESS INFORMATION

2A - MAILING ADDRESS (Mailing Address for the named business entity or individual referenced in section 1)

Street Address 1 - This block must always be completed. It may or may not be the physical location. Insert a post office box number or a street number representing the first line of the mailing address. For a U.S. or Canadian mailing address, additional mailing address information may be inserted. If a P.O. Box number is given for the mailing address, a second address (physical location) must be provided in 2B. This line can be no more than 32 characters long.

Street Address 2 - If applicable, this block must always be completed with the apartment, suite, floor, and/or room number. This line can be no more than 32 characters long.

City - Insert the city name of the importer's mailing address.

State/Province - - For a U.S., Canadian, or Mexican mailing address, a 2-character alphabetic code representing the state/province must be provided. All other foreign addresses must either enter a 2-character alphabetic code or, if one does not exist, "FN" in the "State/Province" block.

Zip Code - For a U.S. mailing address, insert a 5 or 9-digit numeric ZIP code as established by the U.S. Postal Service. For a Canadian mailing address, insert a Canadian postal routing code. For a Mexican mailing address, leave blank. For all other foreign mailing addresses, a postal routing code may be inserted.

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Country ISO Code - For a U.S. mailing address, leave blank. For any foreign mailing address, including Canada and Mexico, insert a 2-character alphabetic International Standards Organization (ISO) Code representing the country.

Type of Address - Check the box that describes this address.

2B - PHYSICAL LOCATION ADDRESS - Please provide the address that is associated with the business or the individual. This address cannot be a P.O. Box, Business Service Center, etc. The address associated with the business can be the principal's home address. The Physical Location Address does not need to be provided on the form if it is the same as the mailing address.

Street Address 1- If the place of business is the same as the mailing address, leave blank. If different from the mailing address, insert the company's business address in this space. A second address representing the company's place of business is to be provided if the mailing address is a post office box or drawer. This line can be no more than 32 characters long.

Street Address 2 - If applicable, this block must always be completed with the apartment, suite, floor, and/or room number. This line can be no more than 32 characters long.

City - Insert the city name for the business address.

State/Province - For a U.S. address, insert a 2-character alphabetic U.S. state postal code. For a Canadian address, insert a 2-character alphabetic code representing the province of the importer's business address.

Zip Code - For a U.S. business address, insert a 5 or 9-digit pumeric ZIP code as established by the U.S. Postal Service. For a Canadian address, insert a Canadian postal routing code. For a Mexican address, leave blank. For all other foreign addresses, a postal routing code may be inserted.

Country ISO Code - For a U.S. address, leave blank. For any foreign address, including Canada and Mexico, insert a 2-character alphabetic ISO code representing the country.

Type of Address - Check the box which describes this address

2C - Phone Number - The phone number and extension. **2D - Fax**

2D - Fax Number - The fax number.

2E - E-mail Address - The e-mail.

2F - Website - The website.

SECTION 3 - COMPANY INFORMATION In most cases the data elements in this section are optional. However, if the "I have an SSN; but wish to use a CBP-Assigned Number on all my entry documents" option was selected in Block 1E you must provide your "Company Position Title", name, and SSN in Block 3J.

The absence of this information will affect CBP's ability to fully understand the level of risk on subsequent transactions and could result in the delay of cargo release or the processing of a refund.

- 3A Provide a brief description of your business.
- **3B** Complete this field if you know the North American Industry Classification System (NAICS) code as defined by the Department of Commerce. Provide your 6-digit NAICS code.
- 3C If available, provide the Dun & Bradstreet Number for the name that was presented in section 1.
- **3D** If you are an importer who is a self-filer and are using your own filer code, or a broker who also has maintained an identification number, provide the filer code that you will be using to conduct business with CBP.
- 3E Indicate the year in which your company was established.
- **3F** Related Businesses Information List the name and IRS employer identification number, Social Security Number or CBP-Assigned Number for each related business and indicate if it is a current or previous related business.
- 3G Indicate the primary banking information for the company that is listed in 1B
- **3H** Certificate or Articles of Incorporation Provide the 2-digit State or insert a 2-character alphabetic ISO Code representing the country in which the articles of incorporation for the business were filed. (as applicable)
- **3I** Certificate or Articles of Incorporation Provide the file, reference, entity, issuance or unique identifying number for the certificate or articles of incorporation or the foreign articles of incorporation. (as applicable)
- 3J Business Structure/Beneficial Owner/Company Officer The Beneficial Owner is any individual or group of individuals that, either directly or indirectly, has the power to vote or influence the transaction decisions regarding a specific security or one who has the benefits of ownership of a Security (finance) or property and yet does not nominally own the asset itself. Beneficial Owner/ Company Officers must have importing and financial business knowledge of the company listed in section 1 and the legal authority to make decisions on behalf of the company listed in section 1 with respect to that knowledge. Please note that in most instances the SSN or "Passport Number", "Country of Issuance", "Passport Expiration Date", and "Passport Type", in the absence of an SSN, are optional in this block. However, if the "I have an SSN, but wish to use a CBP-Assigned Number on all my entry documents" option is selected in Block 1E your "Company Position Title", name, and SSN must be provided in this block.

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