

Referral Partner Kit

Overview

Corcovado Investment Advisors is a California-based Registered Investment Advisor and Commodity Trading Advisor led by a 30+ year veteran of institutional markets. We provide advanced portfolio management and strategic wealth guidance for clients navigating complex transitions—such as divorce, inheritance, or liquidity events.

Ideal Referral Profile

- 1 Are facing major life transitions (divorce, inheritance, business sale, IPO)
 - 2 Have \$1M–\$10M+ in investable assets
 - 3 Require tax-efficient planning, risk mitigation, or concentrated equity solutions
 - 4 Value discretion, independence, and institutional-grade execution
- Examples:
- 1 Divorcees needing post-settlement restructuring
 - 2 Business owners post-liquidity
 - 3 Executives with RSUs or low-basis stock positions

What We Offer

Customized portfolios with embedded risk controls

Direct indexing and tax-aware execution

Hedging and monetization strategies for concentrated stock

Independent, fee-only fiduciary oversight

Coordination with legal, tax, and family office partners

How to Refer

1

Email Introduction

Send an intro to joe@corcovia.com, copying the client. Suggested language: "I know an independent advisor who works with clients in transition—he may be a valuable resource. Would you be open to a quick intro call?"

2

Direct Scheduling

Send the client to www.corcovia.com to book time directly.

3

Call or Text

You can reach us anytime at 415-488-5637 to discuss fit or context. We follow up promptly, never pressure clients, and respect all referral relationships.

Compliance Note

We are independently registered as both an RIA and CTA. We do not sell products, accept commissions, or engage in revenue-sharing. Confidentiality agreements available upon request.

Contact

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