

**Naturally-Sensitized Photoanodes  
for Molecular Photovoltaics**

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THESIS

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[TO MY FAMILY] – DEDICATION

This dissertation is dedicated to my family: my caring father (Ageel Maddah), my beloved mother (Hana Jamalallail), my encouraging wife (Ruba Hakeem), and the rest of my family (Mohammed, Ammar, and Shahad) for all their support, which kept me motivated to progress towards this big achievement. I also would like to dedicate this work to my little boy (Ziyad) who's the cherry on the top bringing joy, laughter, and blessing to me and my wife. Thank you all for always standing by my side, believing in me, trusting in my abilities, and telling me that I can do it. I am truly blessed to have such a family.

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## TABLE OF CONTENTS

	Page
[TO MY FAMILY] – DEDICATION .....	ii
ACKNOWLEDGEMENT .....	iii
LIST OF TABLES .....	vii
LIST OF FIGURES .....	viii
LIST OF ABBREVIATIONS AND NOMENCLATURES.....	xiii
ABSTRACT.....	xviii
Chapter 1: Introduction .....	1
1.1 Solar Energy Conversion using Dye-Sensitized Molecular Devices .....	1
1.2 Natural Photosensitizers for Solar-to-Electricity Applications.....	3
1.3 Research Motivation and Objectives.....	4
1.4 Thesis Summary and Chapters Briefs .....	6
Chapter 2: Literature Review (State-of-the-Art).....	7
2.1 Fundamentals of Dye-Sensitized Solar Cells (DSSCs).....	7
2.1.1 Architecture of DSSCs.....	7
2.1.2 Working Mechanism and Recombination Kinetics .....	8
2.2 Biomolecular Photosensitizers for DSSCs.....	11
2.2.1 Sources of Biomolecular Pigments.....	11
2.2.2 Chemical Structure of Biomolecular Pigments.....	12
2.2.3 Advantages of Bio-Pigments and Photosensitization Requirements .....	14
2.2.4 Current Advancements in Bio-Photosensitized DSSCs.....	16
2.2.5 Bacteria Isolation and Extraction Processes of Biomolecular Pigments .....	20
2.2.6 Bandgap Energies of Biomolecular Dyes .....	23
2.3 MAPbI <sub>3</sub> Perovskite as a Co-Sensitizer in DSSCs .....	25
2.4 Graphene-Based Counter Electrodes for DSSCs .....	28

Chapter 3: Synthesis/Deposition of Electrode Materials for Cell Architectures .....	31
3.1 Introduction .....	31
3.2 TiO <sub>2</sub> Deposition (Dip-Coated or Spin-Coated) on ITO Photoanodes.....	32
3.3 Synthesis of MAPbI <sub>3</sub> and Deposition .....	33
3.4 THF-Extraction of $\beta$ -Carotene Sensitizers and Deposition .....	33
3.5 Synthesis of Graphene Oxide and their Reduction for rGO-Based Cathode .....	35
3.6 Discussion .....	36
Chapter 4: Materials Characterization of DSSCs Electrode Active Layers .....	37
4.1 Introduction .....	37
4.2 Raman Spectroscopy Characterization.....	38
4.2.1 Photoanode Active Layers .....	38
4.2.2 Uniformity of $\beta$ -Carotene Layer .....	43
4.2.3 rGO Cathode .....	44
4.3 Morphology and Cross-Section Characterization of Photoanode .....	45
Chapter 5: Device Fabrication for Diode and Photovoltaic Measurements .....	46
5.1 Selected Photoanode Designs for Cell Fabrication .....	46
5.2 Diode Characteristics of Bio/Co-Sensitized DSSCs .....	47
5.2.1 Equivalent Circuit Single-Diode Model .....	47
5.2.2 Results and Discussion .....	50
5.3 Photovoltaic Performance of Bio/Co-Sensitized DSSCs.....	52
5.3.1 Photoelectrochemical Performance Parameters.....	52
5.3.2 IV Curves and Diode Resistive Effects .....	56
5.3.3 Results and Discussions.....	57
5.4 Series and Shunt Resistances of Bio/Co-Sensitized DSSCs .....	60
Chapter 6: Photoanode UV-Vis Absorbance and Charge Transport Mechanisms.....	62

6.1	UV-Vis Absorbance and Tauc Plots for Photosensitizers Bandgaps Estimation.....	62
6.1.1	Introduction.....	62
6.1.2	Samples Preparation.....	63
6.1.3	Results and Discussion .....	64
6.2	Voltage Loss at TiO <sub>2</sub> /ITO and Hypothesized Charge Transport Mechanisms .....	68
6.2.1	Thermionic Emission and Cheung’s Models.....	68
6.2.2	Voltage Loss from SBH at TiO <sub>2</sub> /ITO and Max V <sub>oc</sub> Correlated to TiO <sub>2</sub> Electrons .	69
6.2.3	Theoretical Conversion Efficiency and Band Diagram Alignment .....	74
6.2.4	HTL/ETL Theory and Improved Charge Transport from MAPbI <sub>3</sub> Cosensitization	75
6.2.5	Discussion.....	81
Chapter 7: Conclusions and Recommendations .....		84
7.1	Thesis Summary.....	84
7.2	Contribution and Recommendations .....	84
7.3	Directions for Future Works .....	85
CITED LITERATURE .....		86
APPENDICES .....		104
VITA.....		112

## LIST OF TABLES

<b>Table 2.1</b> Bacterial sources of various reviewed and discussed biological pigments for bio-DSSCs .....	11
<b>Table 2.2</b> Performance comparison between various reviewed and discussed biological pigments for solar-to-electricity bio-DSSCs under AM1.5 radiation <sup>a</sup> .....	20
<b>Table 2.3</b> Isolation/extraction processes adopted in extracting bacterial-based pigments from various reviewed and discussed biomolecules for solar-to-electricity bio-sensitized DSSCs.....	22
<b>Table 2.4</b> HOMO/LUMO energy levels of different and common biomolecular dyes .....	25
<b>Table 2.5 Performance comparison of various DSSCs:</b> Efficiency and photovoltaic parameter values observed in previously designed DSSCs with different graphene-based counter electrodes <sup>a</sup> .....	29
<b>Table 5.1.</b> Determined diode parameters and $\phi_{SBH}$ (at the ITO/TiO <sub>2</sub> interface) in different DSSCs using various naturally-sensitized and/or cosensitized photoanode architectures.* .....	51
<b>Table 5.2</b> Typical photoelectrochemical parameters for the determination of DSSCs performance .....	55
<b>Table 5.3.</b> DSSCs photovoltaic parameters in DSSCs using different photoanode heterostructures. .....	57

## LIST OF FIGURES

- Figure 2.1 Schematic Representation for Typical Components and Architecture of DSSC:** Photosensitizer for electron injection; photoanode electrode for charge separation/transport; counter electrode for electron collection; redox electrolyte for dye neutralization [86]. ..... 8
- Figure 2.2 DSSC Operation Cycle and Reactions:** (A) DSSCs complete operation cycle and recombination dynamics; (B) Closer-look on the electron excitation and transport in DSSCs; (C) Anode (TiO<sub>2</sub>) and cathode (Pt/C) typical redox reactions in a DSSC (electron flow depends on light intensity and trapping-detrapping effect of the surface); Charge transport/regeneration mechanism in (A) and (B): (a) photons energy excite electrons from HOMO to LUMO levels and generate excitons within the dye molecules to (b) inject excited electron into the conduction band of the semiconductor which initiate charge separation/transport of electrons from photoanode electrode to cathode electrode for current generation while (c) electrolyte ensures continuous current generation by neutralizing dye molecules through (d) redox reactions mechanism, (e1) and (e2) describes possible recombination within DSSCs [86]..... 9
- Figure 2.3 Dye-Sensitized Molecular Device:** Donor- $\pi$ -acceptor (D- $\pi$ -A) structure of an organic dye in DSSCs with a wide bandgap semiconductor photoanode [86]...... 13
- Figure 2.4** Chemical structure of different biomolecular pigments; Adapted from [52], [53], [56], [96], [103], [113]–[117]...... 14
- Figure 2.5** Advantages of natural and/or biomolecular photosensitizers over commercial and metal-(Ru)-based sensitizers for DSSCs. .... 15
- Figure 2.6 (A)** IPCE profiles; and I-V curves; for the PPB a der. DSSCs without carotenoids and with a 10% each of carotenoids having n = 9–13; Adapted from [96]; **(B)** Band diagram and I-V curves of various chromatophores sensitized solar cell (CSSC) under near-IR (NIR) illumination; Adapted from [46]; **(C)** I-V curves and photoelectric parameters of DSSCs in different concentrations of LH2; Adapted from [101]. ..... 17
- Figure 2.7 (D)** Bio-DSSC system and I-V curves for the DSSC sensitized with (a) Bacterioruberin (BR carotenoid), (b) Bacteriorhodopsin (BR protein), and (c) a mixture of two pigments; Adapted

from [53]; **(E)** Agar plate with pigmented A9A5(R) and A9A5(A) isolates, for the yellow and red pigments, respectively, and *E. coli* as non-pigmented bacteria; and visible-light absorbance of yellow and red pigments; Adapted from [56]; **(F)** I-V curves for the dye-sensitized cells using different pigments: PURE orange (black line), RAW orange (red line) and cocktail orange + co-adsorbent (blue line); inset: extracted dye from centrifuge with numbers as (1) bacterial pellet, (2) slime, (3) supernatant; Adapted and modified from [103]; **(G)** I-V curves of the bio-PV DSSC using *E. coli*/Lycopene as a biological sensitizer; Adapted from [104]. ..... 19

**Figure 2.8** Ti-based perovskites ( $ABX_3$ ) typical crystal structure: **(A)** A cubic structure unit cell; **(B)**  $MA^+$  cation occupying an A-site and surrounded by eight octahedral structures; **(C)** Role of stability factors in determining the possibility of  $MA^+$  and  $Ti^{+2}$  cations to occupy A-sites and B-sites, respectively, based on the involved elements ionic radii; **(D)** The ideal cubic structure for  $MA Ti I_3$  when ( $t = 1$ ) indicating a perfect cation fit; [160]. ..... 26

**Figure 3.1** Experimental steps used in the fabrication of [ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene] photoanode heterostructure (from left to right), with a schematic showing the chemical structure of β-carotene natural sensitizers and conjugated bonds (n=11). ..... 32

**Figure 3.2** **(A)** Flowchart showing the extraction procedure adopted for the step-wise purification and THF extraction of β-carotene; **(B)** Crystallized TiO<sub>2</sub> dip-coated thin-film (2 cm x 1.5 cm) on ITO glass before and after β-carotene overnight deposition. .... 34

**Figure 3.3** **(A)** Nano-architecturally structured DSSCs using different photoanode designs with natural-sensitization and/or co-sensitization; **(B)** Post-annealed ITO/rGO counter electrodes for lower series resistance ( $R_s$ ) and efficient current (electron) collection. .... 36

**Figure 4.1** Raman spectroscopy characterization of different nanostructured naturally-sensitized photoanodes: **(A)** ITO/TiO<sub>2</sub>; **(B)** ITO/TiO<sub>2</sub>/β-carotene, insets: optical image and scan location. 39

**Figure 4.2** Raman spectroscopy characterization of different nanostructured naturally-sensitized photoanodes: **(A)** ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>; **(B)** ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene, insets: optical image and scan location..... 41

**Figure 4.3** Comparison of Raman bands observed in the four designed photoanode heterostructure

surfaces showing energy-shifts (red- or blue-shifted) in  $\text{TiO}_2$  and  $\beta$ -carotene when combined with  $\text{MAPbI}_3$  cosensitizer. .... 41

**Figure 4.4.** Zoom-in on the ( $\nu_3$ ) vibrational bands of  $\beta$ -carotene from C-H rocking: **(A)**  $\text{ITO}/\text{TiO}_2/\text{MAPbI}_3/\beta$ -carotene; **(B)**  $\text{ITO}/\text{TiO}_2/\beta$ -carotene heterostructures. .... 42

**Figure 4.5 (A) and (B)** Area scans (using Raman spectroscopy) for  $\beta$ -carotene uniformity/layer-thickness on  $\text{TiO}_2$  and  $\text{TiO}_2/\text{MAPbI}_3$ , respectively, from peak position (left) and intensity (right). .... 43

**Figure 4.6** Single-spectrum Raman spectroscopy characterization for the synthesized GO and the annealed  $\text{ITO}/\text{GO}$  yielding in rGO-cathode electrodes. .... 44

**Figure 4.7. (A)** FESEM and SEM showing sensitized/cosensitized spin-coated photoanode cross section and deposited layers with their approximated thicknesses; **(B)** Surface morphology of  $\text{ITO}/\text{TiO}_2$  showing  $\text{TiO}_2$  nanoparticles with average-diameter of 30-40 nm. .... 45

**Figure 5.1** Selected designs and fabricated bio-DSSCs: **(A)**  $\text{ITO}/\text{TiO}_2/[\text{I}^-/\text{I}_3^-]/\text{rGO}/\text{ITO}$ ; **(B)**  $\text{ITO}/\text{TiO}_2/\beta$ -carotene/ $[\text{I}^-/\text{I}_3^-]/\text{rGO}/\text{ITO}$ ; **(C)**  $\text{ITO}/\text{TiO}_2/\text{MAPbI}_3/[\text{I}^-/\text{I}_3^-]/\text{rGO}/\text{ITO}$ ; and **(D)**  $\text{ITO}/\text{TiO}_2/\text{MAPbI}_3/\beta$ -carotene/ $[\text{I}^-/\text{I}_3^-]/\text{rGO}/\text{ITO}$ . .... 47

**Figure 5.2 (A)** Equivalent circuit and/or single-diode model for DSSCs, ( $J=I/\text{Area}$ ); **(B)**  $\text{TiO}_2$  photoanode compact layer in DSSCs. .... 49

**Figure 5.3** Exponential fitting of forward-current using the TE diode model for analyzing diode ideality (n factors) for  $\beta$ -carotene-sensitized and/or  $\text{MAPbI}_3$  cosensitized DSSCs. .... 50

**Figure 5.4** Diode behavior and rectification factors (RFs) for  $\beta$ -carotene-sensitized and/or  $\text{MAPbI}_3$  cosensitized DSSCs with highest  $\text{RF} \approx 1.5$  reserved for spin-coated photoanode with sensitized/cosensitized DSSC reducing dark saturation currents ( $J_0$ ). .... 51

**Figure 5.5** Photocurrent-voltage characteristics (I-V curve) of a DSSC; photosensitizer: ruthenium (Ru) complex dye N719, liquid electrolyte: redox couple  $[\text{I}^-/\text{I}_3^-]$ ; Adapted and modified from [52]. .... 56

**Figure 5.6** J-V curves and photovoltaic effect for  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs with highest  $V_{oc} \approx 0.66$  V reserved for DSSC with spin-coated sensitized/cosensitized photoanode. .... 58

**Figure 5.7** Relationship between  $V_{oc}$  and ITO/TiO<sub>2</sub>  $\phi_{SBH}$  in spin-coated samples showing reduced  $\phi_{SBH}$  from addition of MAPbI<sub>3</sub> cosensitizers resulting in high built-in potential and upward shifting of TiO<sub>2</sub> Fermi level for  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs, or as shown for the various DSSCs with different spin-coated photoanodes (a)-(d) from Figure 5.6 (or from Figure 5.1). .... 58

**Figure 5.8** Calculated ideality factor (n), power conversion efficiency (PCE), and fill factor (FF) for  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs, or as shown for the various DSSCs with different spin-coated photoanodes (a)-(d) from Figure 5.6. .... 60

**Figure 5.9**  $R_s$ ,  $R_{sh}$ ,  $J_{sc}$ , and RF observed in  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs, or as shown for the various DSSCs with different spin-coated photoanodes (a)-(d) from Figure 5.6. .... 61

**Figure 6.1** Absorbance curves for TiO<sub>2</sub> and sensitizers in H<sub>2</sub>O solutions used in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs showing absorbance maximum of each material. .... 65

**Figure 6.2** Cumulative peaks Gaussian fitting of the sub-fitted peaks of TiO<sub>2</sub> and sensitizers used in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs, showing 2-fold increase in the photoanode light absorption within 200–600 UV-Vis spectrum, inset: (1) TiO<sub>2</sub>, (2) MAPbI<sub>3</sub>, (3)  $\beta$ -carotene. .... 65

**Figure 6.3** Tauc plot for TiO<sub>2</sub> semiconductor showing direct bandgap = 3.34 eV, obtained from absorbance curves for TiO<sub>2</sub> used later in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs... 66

**Figure 6.4** Tauc plot for MAPbI<sub>3</sub> semiconductor showing direct bandgap = 1.87 eV, obtained from absorbance curves for MAPbI<sub>3</sub> used later in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs. .... 67

**Figure 6.5** Tauc plot for  $\beta$ -carotene dye showing direct bandgap = 2.29 eV, obtained from

absorbance curves for  $\beta$ -carotene dye used later in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs..... 67

**Figure 6.6** Energy levels and band diagram alignment for the sensitized/co-sensitized photoanode with perfect energy cascading for enhanced carriers mobility owing to the visible-absorption and low bandgaps of  $\beta$ -carotene and MAPbI<sub>3</sub>..... 75

**Figure 6.7** Hypothesized HTL/ETL (or HTL/P=Perovskite/ETL) theory for exciton generation and charge transport across the presumed built-in potential..... 76

**Figure 6.8** Excitons generation and charge transport mechanisms in perovskite-cosensitized DSSCs under illumination and/or forward bias; ETL: electron transport layer (TiO<sub>2</sub>); HTL: hole-transport layer ( $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]); in ETL/P/HTL..... 78

**Figure 6.9 (A)** TiO<sub>2</sub> Fermi level and ITO/TiO<sub>2</sub> SBH before MAPbI<sub>3</sub> cosensitization; **(B)** Upward shifting of TiO<sub>2</sub> Fermi level after MAPbI<sub>3</sub> cosensitization minimizing ITO/TiO<sub>2</sub> SBH for reduced voltage loss ( $V_1$ ) and prevented back-electron transport to TiO<sub>2</sub>. .... 81

## LIST OF ABBREVIATIONS AND NOMENCLATURES

PV	Photovoltaics
DSSCs	Dye-Sensitized Solar Cells
CE(s)	Counter Electrode(s) Or Cathode
e-h	Electron-Hole
CB	Conduction Band
LH	Light-Harvesting
RC	Reaction Center
PCE	Power Conversion Efficiency
SEM	Scanning Electron Microscopy
TCO	Transparent Conductive Oxide
ITO	Indium Tin Oxide
FTO	Fluorine Doped Tin Oxide
$[I^-/I_3^-]$	Iodide and Triiodide Redox Couple
S	Ground Energy State
S*	Higher Energy State or Excited-Dye Molecule
S <sup>+</sup>	Oxidized Dye Molecule
HOMO	Highest Occupied Molecular Orbital
LUMO	Lowest Unoccupied Molecular Orbital
$I_3^-$	Iodide
$3I^-$	Triiodide
n	Conjugated Double Bonds
D- $\pi$ -A	Donor-Acceptor-Substituted $\pi$ -Conjugated Bridge
BChl or PBB	Chlorophyll A
PSI	Photosystem I Trimer
J <sub>sc</sub>	Short-Circuit Current Density
V <sub>oc</sub>	Open-Circuit Voltage
FF	Fill Factor
IPCE	Incident Photon-to-Current Efficiency
$\eta$	Quantum Yield of Photo-Generated Electrons

QE	Quantum Efficiency
Spx	Carotenoids
LHCII	Light-Harvesting Complex II
BRs	Bacteriorhodopsin Proteins and/or Bacterioruberin Carotenoids
PSI	Photosystem I Trimer
CSSC	Chromatophores Sensitized Solar Cell
NIR	Near-IR
TD-DFT	Time-Dependent Density Functional Theory
HTM	Hole-Transporting Materials
MAI	Methylammonium Iodide
PbI <sub>2</sub>	Lead Iodide
MAPbI <sub>3</sub>	Methylammonium Lead Iodide
HTL	Hole Transport Layer
ETL	Electron Transport Layer
HOPG	Highly Ordered Pyrolytic Graphite
PANI	Polyaniline
PEDOT	Poly(3,4-Ethylenedioxythiophene)
MWCNTs	Multi-Walled Carbon Nanotubes
HSG	Honeycomb-Structured Graphene
PDDA	Poly(Diallyldimethylammonium Chloride)
ERGO	Electrochemically Reduced Graphene Oxide
GNs	Graphene Nanosheets
NDG	Nitrogen Doped Graphene
THF	Tetrahydrofuran
GO	Graphene Oxide
NaNO <sub>3</sub>	Sodium Nitrate
H <sub>2</sub> SO <sub>4</sub>	Sulfuric Acid
KMnO <sub>4</sub>	Potassium Permanganate
H <sub>2</sub> O <sub>2</sub>	Hydrogen Peroxide
HCl	Hydrochloric Acid
-OH	Hydroxyl

-COOH	Carboxyl
rGO	Reduced Graphene Oxide
$R_s$	Series Resistance
MA	Methylammonium
FESEM	Field Emission Scanning Electron Microscope
IV	Current-Voltage
$I_{cell}$	Cell Current
KVL	Kirchhoffs's Voltage
KCL	Kirchhoffs's Current
$I_{ph}$	Cell Photo-Generated Current
$I_0$	Dark Saturation Current
$I_r$	Back Reaction (Recombination) Current
$I_{sh}$	Shunt (Leakage) Current
$V_{PE}$	Photoanode Electrode Voltage
$R_{sh}$	Shunt Resistance
$R_r$	Recombination Resistance
$V_{cell}$	Cell Voltage
$q$	Electron Charge
$n$	Ideality Factor
$k_B = k$	Boltzmann Constant
$T$	Absolute Temperature
$I_{sc}$	Short-Circuit Current
TE	Thermionic Emission
J-V	Current Density-Voltage
$J_D$	Diode-Generated Current Density
$J_0$	Dark Saturation and/or Leakage Current Density
RF(s)	Rectification Factor(s)
$SBH=\phi_{SBH}=\phi_{Bo}$	Schottky Barrier Height
$J_1$	Apparent Current Density in both $TiO_2$ and $MAPbI_3$
$J_2$	Total Apparent Current Density in the Electrolyte

$D_e$	Diffusion Coefficients
$N_e$	Number of Electrons
$E_g$	Bandgap Energy
$G$	Generation Rate
$L_n$	Electron Diffusion Length
$L_p$	Hole Diffusion Length
$\Phi_0$	Incident Photoflux
$\lambda$	Light Wavelength
$r(\lambda)$	Incident Light Loss
$n_0$	Electron Density in the Conduction Band of TiO <sub>2</sub>
$k_{et}$	Recombination Reaction Rate
$P_{max}$	Maximum Power
$P_{in}$	Input Power
EQE = IPCE*	External Quantum Efficiency (*IPCE, defined previously)
$LHE(\lambda)$	Light-Harvesting Efficiency at Wavelength $\lambda$
$\varphi_{inj}$	Quantum Yield for Electron Injection
$\eta_{coll}$	Efficiency for The Collection of Electrons
IQE	Internal Quantum Efficiency
UV-Vis	Ultraviolet-Visible
$A$	Absorption Constant
$\varepsilon$	Molar Attenuation
$b$	Path Length
$C$	Concentration
WBG	Wide Bandgap
$\alpha$	Absorption Coefficient
$h\nu$	Photon Energy
$t$	Thickness of the Quartz Cuvette (Light Path)
$OD$	Optical Density
$\lambda_{max}$	Maximum Absorption
$V_0$	Maximum Theoretical Voltage ( $V_{oc}^*=V_0$ )

$V_1$	Voltage Loss at TiO <sub>2</sub> /ITO Interface
$V$	Experimental (actual) Measured $V_{oc}$
$A^*$	Richardson Constant of TiO <sub>2</sub>
$\Phi_{TiO_2-ITO}$	Schottky Barrier Height at the TiO <sub>2</sub> /ITO Interface
$z$	Thickness of TiO <sub>2</sub>
$p$	Porosity
$\tau$	Tortuosity
$D_1$	Effective Diffusion Coefficients of I <sup>-</sup>
$D_3$	Effective Diffusion Coefficients of I <sub>3</sub> <sup>-</sup>
$C_3$	Ion Concentration of I <sub>3</sub> <sup>-</sup>
$E_F$	Fermi Energy
$E_V$	Vacuum Level
$\Phi$	Work Function
VBM	Valence Bands Maximum
$E_{VB}$	Valence Band
$E_{CB}$	Conduction Band
$V_{bi}$	Built-in Potential
$E_{F_1}$	Fermi Energy of the n-Type Semiconductor
$E_{F_2}$	Fermi Energy of the Metal (or p-Type Semiconductor)
$\Phi_M$	Work Function of the Metal
$\chi$	Electron Affinity of the Semiconductor
$N_{eq}$	Dark Equilibrium Density
$N_{CB}$	Effective Density of States of Conduction Band Electrons
(0)	Cells Before Photoanode Perovskite Cosensitization
(1)	Cells After Photoanode Perovskite Cosensitization
$V_{oc} _{Exp}$	Actual or Experimentally-Tested Cell Output
$V_2$	Produced Voltage from the Semiconductor
$\phi_{TiO_2}$	Work Function of TiO <sub>2</sub>

## ABSTRACT

Naturally-sensitized photoanodes in dye-sensitized solar cells (DSSCs) are promising alternatives to enhance photoabsorption, electron excitation/injection, but voltage loss remains a challenge. In this work, we focus on understanding perovskite cosensitization leveraging forward charge transport to address the voltage loss arising from ITO/TiO<sub>2</sub> junction's built-in potential in bio-sensitized DSSCs. The  $\beta$ -carotene-sensitized TiO<sub>2</sub> photoanode modified with added MAPbI<sub>3</sub> co-sensitizer cause an upward shifting in TiO<sub>2</sub> Fermi level ( $E_F$ ). This phenomenon is predominantly attributed to increased initially injected electrons due to low MAPbI<sub>3</sub> bandgap ( $\sim 1.87$  eV) and high visible-light absorption. Thermal annealing of TiO<sub>2</sub>/MAPbI<sub>3</sub> heterostructure ensures existing of both: (i) covalent bonding contributing to ultrafast interfacial charge separation, and (ii) strong van der Waals interactions between donor/acceptor species at TiO<sub>2</sub>/MAPbI<sub>3</sub> interface facilitating electron injection. Enhanced charge separation and injection mechanisms at the TiO<sub>2</sub>/MAPbI<sub>3</sub> interface increase the effective density of states ( $> 2.46 \times 10^{21} \text{ cm}^{-3}$ ) in TiO<sub>2</sub> conduction band (CB) and hence decrease its work function to 4.82 eV. The decrease in TiO<sub>2</sub> work function suppressed CB bending at the ITO/TiO<sub>2</sub> junction, which minimized the photoinduced electrostatic potential barrier up to 13.1%. This lessened Schottky barrier ( $\phi_{SBH} < 0.52$  eV) only allow electrons tunneling, while inhibited back-electron transport reduced both current leakage and voltage loss yielding in high  $V_{oc}$  increased by 120% and PCE ( $> 240\%$ ). MAPbI<sub>3</sub> incorporation also broadened photoanode absorbance by 2-fold, paving the way towards perovskite cosensitization to avoid voltage loss from bio-integrated photoanodes for photovoltaic and optoelectronic applications.

## Chapter 1: Introduction

**Maddah, H. A., Berry, V., & Behura, S. K. (2020).** Biomolecular Photosensitizers for Dye-Sensitized Solar Cells: Recent Developments and Critical Insights. *Renewable and Sustainable Energy Reviews*, 121, 109678.

### 1.1 Solar Energy Conversion using Dye-Sensitized Molecular Devices

Non-renewable energy resources such as fossil fuels pose environmental concerns and will be diminished due to the limited oil/gas reserves as well as the increasing demand for energy. Econometric models estimated that fossil fuel reserves will be totally consumed by the year 2042 [1]. In contrast, solar energy is the most abundant, unlimited, free, and environmental-friendly energy among all the available renewable energy resources with power approximately  $1.8 \times 10^{11}$  MW from sun intercepted by the earth [2]. Photovoltaics (PV) is an efficient tool designed to harness solar power by converting incident photons to excitons for electricity generation [2]. However, commercial silicon-based solar cells (first-generation) are expensive to manufacture and are restricted to the terrestrial PV market only as compared to the second-generation PV systems (multi-crystalline Si) [3]–[7] and the emerging third-generation PV systems such as organic/inorganic perovskite solar cells [8]–[16], inorganic solar cells (Si, III-V compounds, alloys, CdTe, CIGS) [17]–[24], organic tandem solar cells [25]–[31], quantum dot solar cells [32]–[37] and dye-sensitized solar cells (DSSCs) [38]–[48], which are still in the development phase for being commercialized [49]. In 1991, O'Regan and Grätzel [38] initiated the idea of DSSCs inspired by natural photosynthesis and photography processes [50]. In natural photosynthesis, plants and fruits utilize their pigment molecules to transfer energy and electron for the conversion of light energy into chemical energy stored as sugars and carbohydrates. DSSC (Grätzel cell) is a thin-film photovoltaic (solar) cell that efficiently converts any visible light into electrical energy.

An intense research work [38] has been devoted to DSSCs from 1991 to 2014 which resulted in improving DSSCs efficiency from 7.1% to 13% as an attempt to involve DSSCs in commercial applications [51]. In 2015, Ye et al. reported in their recent review paper on DSSCs that maximum efficiency of 15% can be achieved with a solid-state mesoscopic  $\text{TiO}_2$  DSSC sensitized with lead iodide perovskite ( $\text{CH}_3\text{NH}_3\text{PbX}_3$ ) under AM1.5 illumination; which is expected to reach a future cell performance as high as 20%. The maximum recorded efficiency of commercial crystalline silicon solar cells is approximately 25% (\$2.7–3.57/W) [52]. However, DSSCs are cost-effective solar cells with a potential to achieve production costs below \$0.5/W of solar electricity owing to their inexpensive materials and components and also due to their explicit fabrication design that requires very little maintenance [2], [41], [49]. A typical DSSC system includes four major components: photoanode, photosensitizer, electrolyte, and counter electrode (CE) [49]. The cell converts visible light energy into electrical energy by sensitizing a wide bandgap semiconductor (e.g.  $\text{TiO}_2$ ,  $\text{ZnO}$  and  $\text{SnO}_2$ ) to inject a photo-excited electron at the interface between the semiconductor material and the monolayer sensitizer (commercial and/or natural dye) which both compose the photoanode electrode [50]. Nanoporous semiconductors have a large surface area and can absorb large amounts of the dye in order to harvest sunlight energy and generate electron-hole (e-h) pairs; illumination excites dye molecules to generate e-h pairs where charge separation occurs in femtoseconds due to the injection of an electron from the dye molecules into the conduction band (CB) of the semiconductor [42], [53]. To date, commercial photosensitizers used in DSSCs are limited to several types of organic dyes [44], ruthenium dyes [54], and platinum dyes [55]; however, these dyes are scarce in nature, synthetically produced, very expensive, and pose

a high risk of toxicity to humans (ecosystem pollution) [56]. Thus, research efforts have been shifted to focus on natural photosensitizers, and specifically on biomolecular sensitizers such as naturally optimized light-harvesting (LH) and photochemical reaction center (RC) protein complexes for converting photon energy into electricity [57].

## 1.2 Natural Photosensitizers for Solar-to-Electricity Applications

Natural dyes extracted from different biological sources (e.g. anthocyanin, carotenoid, flavonoid, aurone, chlorophyll, tannin, betalain obtained from fruits, flowers, leaves, seeds, barks and various parts of plants or other biological sources) [58], [59] have been previously proposed to be used as sensitizers in DSSCs due to their low cost and environmental friendliness [50], [52], [53], [60]. Previous studies have only investigated the use of anthocyanins [60], [61] flavonoids [62], [63] and carotenoids [43] as plant-sourced photosensitizers in DSSCs.

Hug et al. (2014) [52] collected available data for biological dyes utilized in DSSCs. Bixin, crocetin, crocin, betaxanthin, betalains, mangostin, rutin, neoxanthin, violaxanthin, and lutein were among the investigated natural sensitizers extracted from plant-based sources. More importantly, anthocyanin and carotenoids (e.g.  $\beta$ -carotene) have been identified as one of the promising biomolecular dyes with many studies on their application in bio-DSSCs for photo-to-electricity conversion [52]. Carotenoids are highly light-sensitive pigments [39], [52] due to their conjugated double  $\pi$ -bonds structure with optimal chain length of seven [64] giving an approximated light-absorption range of 400–500 nm [56], [65]. The highest observed performance with single carotenoids in DSSCs was 2.6% with optimal structure length consist of double conjugated bonds [66]. The use of dye blends from the combination of carotenoids/chlorophylls derivatives can increase the

efficiency up to 4.2% as found in earlier works from testing modified chlorophyll/  $\beta$ -carotene, modified chlorophyll/lutein, modified chlorophyll/violaxanthin, and modified chlorophyll/neoxanthin [67]. Rutin [50] from mangosteen pericarp extract showed the highest efficiency of 1.17% while extract of *Rhoeo spathacea* showed an efficiency of 1.49% [68]. Further, sicilian prickly pear extract (betaxanthin) was determined to be capable of achieving high efficiency of 2.06% [69].

The expansion of the absorption range of the photoanode photoactive and/or semiconductor layers is feasible to optimize DSSC efficiency [70]. Proteins pigment complexes (PPCs) might be good alternatives to carotenoids since they have higher absorption coefficient, wider absorbance range (300–1100 nm), and higher conversion efficiency [53], [71]. Under 1 sun radiation (100 mW/cm<sup>2</sup>), the DSSCs performance have been estimated from reported photovoltaic properties of several biomolecular photosensitizers extracted from plants and other biosources: black rice (anthocyanin) 3.27% [72], capsicum (carotenoid) 0.58% [72], *Erythrina variegata* flower (carotenoid, chlorophyll) 2.06% [72], *Rosa xanthina* (Anthocyanin) 1.63% [72], kelp (chlorophyll) 1.18% [72], organic dye zinc phthalocyanines 4.6% [73] and 6.4% [74], cyanine dyes 4.8% [75] and 7.62% [76], rose bengal (xanthenes) in ZnO-based DSSCs 1.56% [77], coumarin dyes having thiophene moieties in TiO<sub>2</sub>-based DSSCs 7.7% [78] and 9% [79].

### 1.3 Research Motivation and Objectives

Biomolecular carotenoids are one of the most commonly well-known natural hydrocarbon pigments used in DSSCs [39], [52] due to their conjugated double bonds structure which has a light absorption range of 400–500 nm [56], [65]. Carotenoids consist of an optimal chain length of seven conjugated  $\pi$  bonds [64]. The use of carotenoid

pigments from plant sources showed a highest DSSC efficiency of 2.6% [66] where the integration of chlorophyll derivatives (from plant or biological sources) with carotenoids can increase the DSSC efficiency up to 4.2% [67]. A major challenge in optimizing DSSC efficiency is the expansion of the absorption range of the various photoactive and/or semiconductor layers utilized in the structure of the photoanode electrode [70]. In Chapter 2, we have presented a through discussion and review on the available biological pigments which can be used as potential sensitizers in DSSCs for photons-to-electrons conversion. Thus, this thesis focuses on studying the integration of organic-inorganic 3D MAPbI<sub>3</sub> halide perovskites with  $\beta$ -carotene dyes incorporated in a novel naturally-sensitized photoanode in [ETL/Perovskite/HTL] configuration for liquid-state DSSCs. We also focus on understanding the charge transport as well as analyzing diode and photovoltaic characteristics of a perovskite cosensitized carotenoid-based DSSCs. The research aims to explore the possibility of improving photons-to-electrons conversion of  $\beta$ -carotene-based DSSCs *via* MAPbI<sub>3</sub> cosensitization.

We also discussed the mechanisms behind perovskite cosensitization leveraging forward charge transport to address the voltage loss arising from ITO/TiO<sub>2</sub> junction's built-in potential in bio-sensitized DSSCs. This includes understanding the photoabsorption mechanisms [80] pertaining cosensitization of  $\beta$ -carotene pigments. Perovskite cosensitizers are believed to improve power conversion efficiency (PCE) *via* enhancing [visible-light absorption, excitons generation, electron injection], and reducing voltage loss at ITO/TiO<sub>2</sub> junction. Expansion in the absorbance spectrum is expected for the cosensitized photoactive layers increasing the probability of absorbing more photons within (400–700 nm) [70] from the available 50% visible-light energy in solar radiation.

## 1.4 Thesis Summary and Chapters Briefs

This thesis consists of seven chapters complementing each other. Chapter 1 discusses the history behind utilization of DSSCs, available natural sensitizers, and research motivation and objectives.

Chapter 2 discusses the architecture and working mechanism of DSSCs, the state-of-the-art in biomolecular sensitizers and their sources, chemical structures, and advantages, MAPbI<sub>3</sub> perovskite as a cosensitizers, and graphene-based counter electrodes.

Chapter 3 illustrates the experimental adopted procedures including deposition of TiO<sub>2</sub> *via* dip-coating or spin-coating techniques, synthesis of MAPbI<sub>3</sub> perovskites and their deposition, extraction of carotene dyes, and synthesis of graphene-based counter electrodes.

Chapter 4 discusses the materials characterization of DSSCs electrode active layers using Raman spectroscopy and Scanning Electron Microscopy (SEM) tools. Confirmation of the presence of the deposited electrode materials have been discussed. Also, morphology and cross-section characterization of TiO<sub>2</sub> photoanode is considered.

Chapter 5 shows the selected photoanode designs utilized in device fabrication, and their diode and photovoltaic characteristics investigated from the single-diode model and photoelectrochemical performance parameters.

Chapter 6 discusses UV-Vis absorbance sample preparation and analysis of photosensitizers, hypothesized charge transport mechanism and voltage loss at TiO<sub>2</sub>/ITO.

Chapter 7 lays out the concluding remarks, recommendations, and possible future directions for the utilization of natural sensitizers and perovskites in DSSCs.

## Chapter 2: Literature Review (State-of-the-Art)

**Maddah, H. A.,** Berry, V., & Behura, S. K. (2020). Biomolecular Photosensitizers for Dye-Sensitized Solar Cells: Recent Developments and Critical Insights. *Renewable and Sustainable Energy Reviews*, 121, 109678.

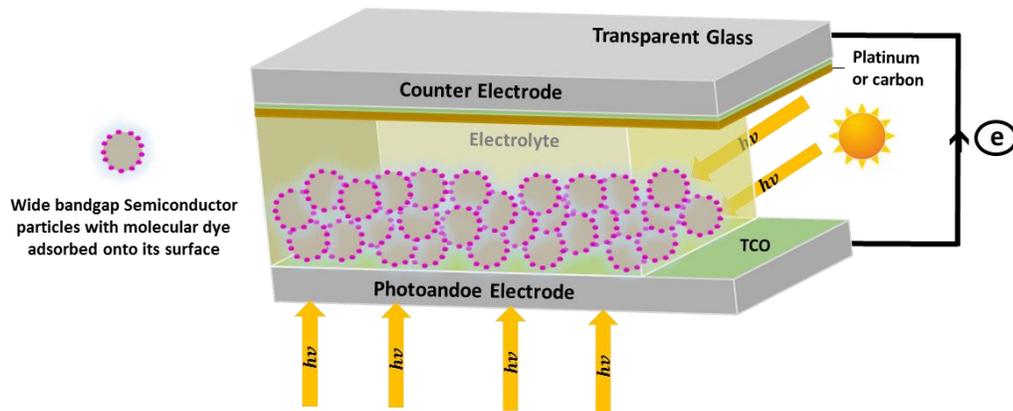
**Maddah, H. A.,** Berry, V., & Behura, S. K. (2020). Cuboctahedral stability in Titanium halide perovskites *via* machine learning. *Computational Materials Science*, 173, 109415.

**Maddah, H. A.,** Jhally, A., Berry, V., Behura, S. K. (2020). Highly Efficient DSSCs with 3D Graphene-Based Materials, *Royal Society of Chemistry*, Under-Review.

### 2.1 Fundamentals of Dye-Sensitized Solar Cells (DSSCs)

#### 2.1.1 Architecture of DSSCs

A regular DSSC consists of four important components [49], [50], [52], [81]–[83] to initiate the conversion of visible-light photons to electrons. These components and their roles in electron transportation and current generation are briefly (i) photoanode for charge separation/conduction, (ii) counter electrode for electron collection, (iii) photosensitizer for electron injection, and (iv) redox electrolyte for dye regeneration; as shown in Figure 2.1. Transparent conductive oxide (TCO) glass substrate sheet resistance of either indium tin oxide (ITO) or fluorine doped tin oxide (FTO) must be low ( $<15$  to  $40 \Omega/\square$ ) with a visible-light (400 to 700 nm) transmission of  $>80\%$ , facilitating electron injection and transport. Crystallization and annealing of  $\text{TiO}_2$  film at  $100$ – $500$  °C are important for better charge transfer and conduction. Covalently bonded dye molecules forming a monolayer on the semiconductor surface improves photons absorption and e-h pair generation. Dye neutralization occurs *via* liquid or solid (gel) electrolyte when dye molecules receive their missing electrons from reduction of a redox mediator (e.g. iodide and triiodide  $[I^-/I_3^-]$  redox couple). A schematic representation of a typical DSSC system with its main components is shown in Figure 2.1.



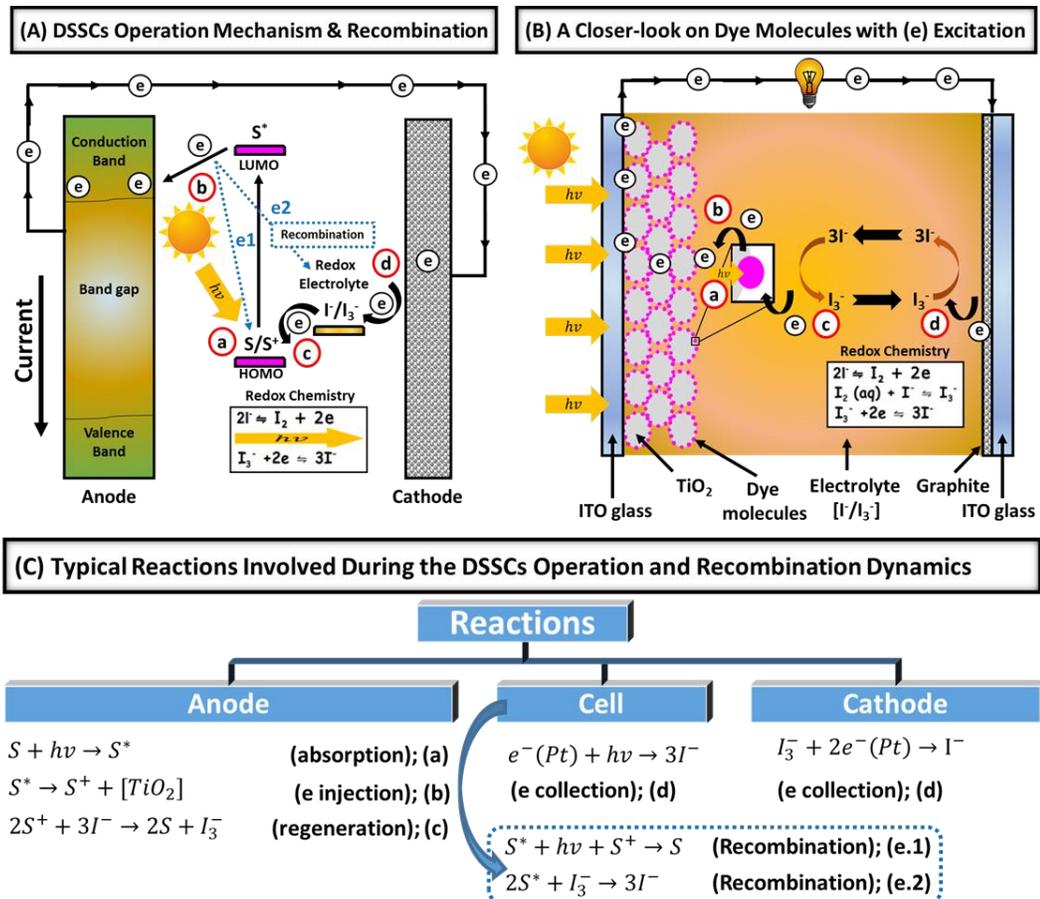
**Figure 2.1 Schematic Representation for Typical Components and Architecture of DSSC:** Photosensitizer for electron injection; photoanode electrode for charge separation/transport; counter electrode for electron collection; redox electrolyte for dye neutralization [86].

### 2.1.2 Working Mechanism and Recombination Kinetics

The complete operation cycle of DSSCs is composed of four main steps as follows [49], [50], [81], [84]–[86]

**a) Dye excitation (photonic energy absorption):** Dye molecules get excited from their ground state ( $S$ ) to a higher energy state ( $S^*$ ) with light incident due to photon energy, [from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO)], and then photon-excited electrons (e-h pairs) are generated.

**b) Electron injection (transportation):** Excited-dye ( $S^*$ ) is oxidized ( $S^+$ ) and an electron from  $S^*$  is injected into the semiconductor CB (e.g.  $TiO_2$ ); then electrons flow through the



**Figure 2.2 DSSC Operation Cycle and Reactions:** (A) DSSCs complete operation cycle and recombination dynamics; (B) Closer-look on the electron excitation and transport in DSSCs; (C) Anode (TiO<sub>2</sub>) and cathode (Pt/C) typical redox reactions in a DSSC (electron flow depends on light intensity and trapping-detraping effect of the surface); Charge transport/regeneration mechanism in (A) and (B): (a) photons energy excite electrons from HOMO to LUMO levels and generate excitons within the dye molecules to (b) inject excited electron into the conduction band of the semiconductor which initiate charge separation/transport of electrons from photoanode electrode to cathode electrode for current generation while (c) electrolyte ensures continuous current generation by neutralizing dye molecules through (d) redox reactions mechanism, (e1) and (e2) describes possible recombination within DSSCs [86].

porous TiO<sub>2</sub> thin film to the ITO glass substrate where they move from anode to cathode through an external circuit in order to complete the cycle and generate current.

**c) Oxidized-Dye regeneration (regeneration):** Oxidized-dye (S<sup>+</sup>) is regenerated by electron donation from the iodide in the electrolyte and redox mediator [I<sup>-</sup>/I<sub>3</sub><sup>-</sup>].

**d) Electrochemical reduction (cathodic reduction):** In return, iodide ( $I_3^-$ ) in the electrolyte and redox mediator diffuses to the counter electrode (cathode) and gets regenerated by reduction of triiodide ( $3I^-$ ) on the cathode.

There are two recombination mechanisms (e1 and e2) in DSSCs which can be viewed as two competing chemical reactions arise from oxidization of both dye molecules and redox electrolyte species simultaneously [84], [85]. Recombination of photogenerated electrons with oxidized dye molecules and/or redox species ( $I_3^-$ ) takes place within a timescale in microseconds ( $10^{-6}$  s) [87], [88]. Asbury et al. [89] estimated the time scale for the electron transfer at the semiconductor/dye (injection) and dye/electrolyte (electrolyte reduction) interfaces in  $10^{-15}$  s (femtoseconds) and  $10^{-8}$  s, respectively; which explains the possibility of neglecting recombination from the faster injection mechanism at the semiconductor/dye interface as compared to recombination dynamics from electrolyte reduction (dye/electrolyte) [84]. A schematic representation of the operation cycle and working principle of DSSCs along with both anode and cathode typical redox reactions and recombination dynamics are illustrated in Figure 2.2(A–C).

**e1) Recombination with the oxidized dye:** Arise from loss of excited electrons transferring across the semiconductor/dye interface and/or in the semiconductor which consequently recombine with holes in the oxidized dye (acceptors) in presence of visible-light (photocurrent).

**e2) Recombination with the oxidized redox electrolyte:** Arise from loss of excited electrons transferring across the dye/electrolyte interface which consequently recombine with holes in the oxidized redox species ( $I_3^-$ ) in the electrolyte in absence of visible-light (dark current).

## 2.2 Biomolecular Photosensitizers for DSSCs

### 2.2.1 Sources of Biomolecular Pigments

Bioactive and/or bio-passive pigments are extracted from complex molecules and small particles found in the cytoplasm of various genetics and living bacterial cells. For example, Ribosomes in the bacteria cytoplasm (outside the cell nucleus) is capable of creating different PPCs such that LH2, LH4, and RC as well as producing unique enzymes as hydrogenase for solar-to-energy applications [90]–[92]. Organic carotenoids pigments (yellow to orange-red) are synthesized by photosynthetic organisms in response to various environmental stresses in many bacteria, algae, fungi, and plants to protect the structure of their cells from oxidation damage [93]–[95]. Chlorophyll derivatives synthesized in cyanobacteria, plants, or algae are pigments used to obtain energy from light through photosynthesis [52], [58], [96]. Various reviewed and discussed biomolecular pigments for bio-DSSCs are shown in Table 2.1.

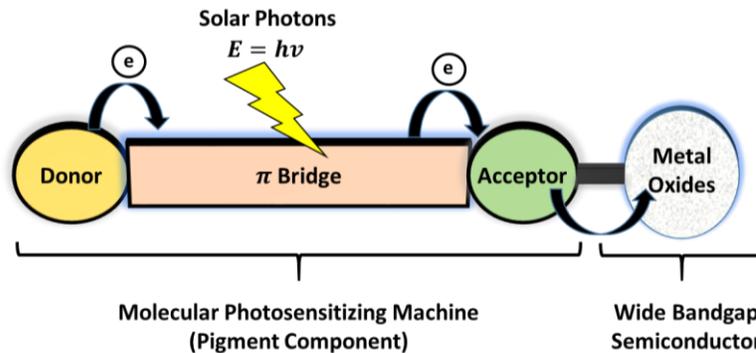
**Table 2.1** Bacterial sources of various reviewed and discussed biological pigments for bio-DSSCs

Bio-pigment	Bacterial Source	Ref.
RC Proteins	Rb. sphaeroides (purple non-sulfur bacteria); bacterium RS601 [97]; M. pneumoniae; M. genitalium; B. subtilis; S. sanguinis; H. pylori; C. crescentus; P. aeruginosa and E. coli [98]	[97], [98]
Chlorophyll a (BChl)	Purple bacteria, Heliobacteria, Green sulfur bacteria, Chloroflexi	[99]
Carotenoids	Rhodobacter sphaeroides G1C, Rhodobacter sphaeroides 2.4.1, Allochromatium vinosum and Rhodospirillum rubrum S1	[96]
Chromatophores	Rhodospirillum rubrum [46]; Rhodobacter sphaeroides [100]	[46], [100]
LH2, LH4 and RC proteins pigment complexes (PPCs)	Purple bacteria, specifically, Rhodopseudomonas palustris CQV97 and Rhodobacter azotoformans R7	[101]
Bacteriorhodopsin (BR) proteins	Halobacterium salinarum (purple membrane)	[53]
Bacterioruberin (BR) carotenoids	Halobacterium salinarum (purple membrane) [53]; Haloarcula japonica [102]	[53], [102]
Xanthophylls carotenoids	Hymenobacter sp.[56]; Chryseobacterium sp. [103]	[56], [103]
Lycopene carotenoids	E. coli (Lycopene)	[104]
RC photosystem I trimer (PSI)	Cyanobacteria; thermophilic cyanobacteria Thermosynechococcus	[105]

### 2.2.2 Chemical Structure of Biomolecular Pigments

Available functional groups, chemical (covalent) and physical bonds, length of the organic hydrocarbon structure chain and the number of conjugated double bonds ( $n$ ) are some of the parameters that should be considered in the selection of an ideal bio-pigment (e.g. proteins, carotenoids, and Chlorophyll) for DSSCs. Hydrocarbon chains with lots of hydroxyl ( $-\text{OH}$ ) and carboxyl ( $-\text{COOH}$ ) radicals are preferred for strong binding and attachment of dye molecules onto the semiconductor surface resulting in lower electron resistance and facilitated electron injection [96], [106]. Shahzada et al. [107] reported on the possibility of incorporation of phenyl units on both the donor/acceptor moieties within the dyes to reduce recombination.

The chemical structure of a photosensitizer material involves a donor-acceptor-substituted  $\pi$ -conjugated bridge (D- $\pi$ -A), Figure 2.3. The dye's anchoring group exists in the acceptor part allows dye molecules to chemically attach themselves to the semiconductor surface [108]. Anchoring groups in dye molecules bind to oxide layers *via* a surface hydroxylation chemical reaction [85]. Excited and/or regenerated donor dye electrons flow through the  $\pi$ -bridge to acceptor segments for electron injection. Covalently-bonded dye particles reduce interfacial resistance for the electron flow. Perfect bonding and dye attachment occur from surface interaction between functional groups as carboxyl and/or other peripheral acidic anchoring groups with the semiconductor surface. Earlier works [109] suggest that acidic dye solutions are preferred since de-attachment of dye molecules usually occur around  $\text{pH} = 9$ .

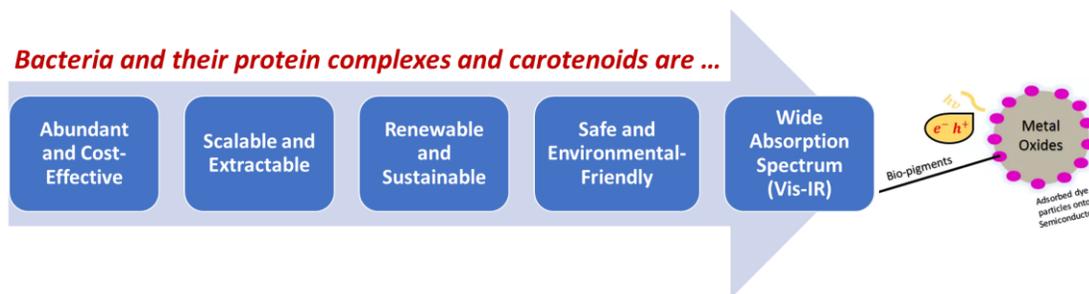


**Figure 2.3 Dye-Sensitized Molecular Device:** Donor- $\pi$ -acceptor (D- $\pi$ -A) structure of an organic dye in DSSCs with a wide bandgap semiconductor photoanode [86].

Conjugated systems such as carotenoids show strong colors or pigments due to the presence of conjugated electrons in their structure (free  $\pi$ -electrons arise from the conjugated double bonds structure which indicates an alternation between single and double bonds in the long hydrocarbon chains resulting in the strong carotenoids colors and the free easily photo-excited electron carriers along the molecular chain for current generation). Photoexcitation initiates electron transition to a higher energy level ( $\pi$  to  $\pi^*$ ). An electron transient with photoexcitation from HOMO level to LUMO level according to the selection rules for electromagnetic transitions. Pigments from carotenoids only show up when the number of conjugated double bonds is ( $n > 8$ ) and that system with few conjugations ( $n < 8$ ) only absorb high light energy like UV radiations. Visible-light energy (low energy) can be absorbed by carotenoids constituents that have enough conjugated double bonds ( $n > 11$ ) which typically observed as orange to red pigments. In other words, molecules with more conjugated bonds absorb lower energies of light than molecules with fewer conjugated bonds [110]–[112]. Functional groups, conjugated bonds, and other bonding within the chemically structured long hydrocarbon chains of the reviewed/discussed biomolecular pigments for bio-DSSCs are illustrated in Figure 2.4 [52], [53], [56], [96], [103], [113]–[117].



- (iv) Pigments from bacterial sources are usually noncarcinogenic and pose no health concerns to humans which make them environmental-friendly alternatives.
- (v) Biosensitizers can absorb most of the light energy due to their wide absorption spectrum (multi colors and wavelengths).



**Figure 2.5** Advantages of natural and/or bimolecular photosensitizers over commercial and metal-(Ru)-based sensitizers for DSSCs.

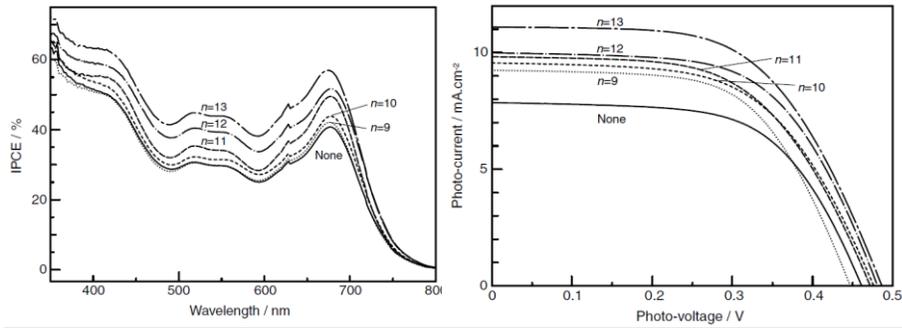
A photosensitizer is considered efficient for DSSCs when it fulfills these requirements [50]: (i) intense visible-light absorption, (ii) strong chemisorption onto the semiconductor surface, (iii) fast electron injection into the semiconductor CB, and (iv) involve several =O or –OH groups to anchor dye molecules onto the semiconductor surface. The pigment’s molecular structure, properties (i.e. hydrophilicity/hydrophobicity, solubility, surface chemistry, and stability of dye molecules), surface morphology, self-assembly, aggregation tendency, anchoring groups, and electrolyte interaction with photosensitizers are some of the basic parameters need to be well understood in order to optimize DSSCs performance through using commercial and/or natural photosensitizers [52]. Uniformly dispersed dyes in an optimal solvent prevent dye agglomeration and enhance dye/semiconductor surface interactions required for the attachment of dye acceptor segments, reducing series resistance and improving electron injection at the interfacial contacts.

#### 2.2.4 Current Advancements in Bio-Photosensitized DSSCs

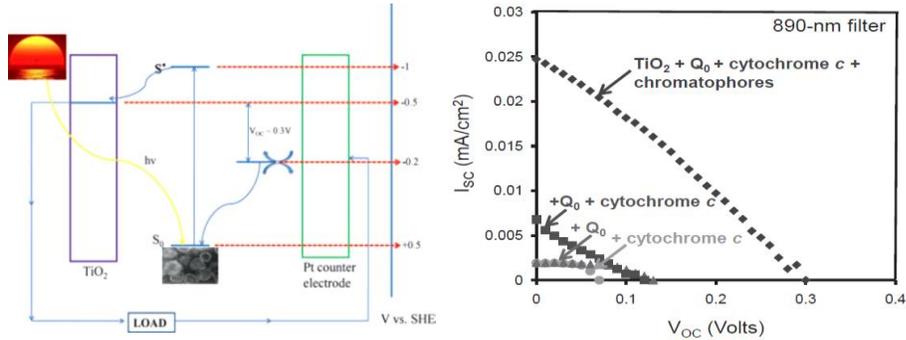
Very few works and minimal progress have been devoted towards the use of bacterial proteins complexes in bio-DSSCs which may be a potential alternative as a natural sensitizer with comparable performance to carotenoids. Thus, several previously designed bio-DSSC systems have been reviewed, studied, and discussed thoroughly with current knowledge and advancements on the selected biomolecular photosensitizers. Biomolecular pigments discussed in this work include reaction center (RC) proteins [97], chlorophyll a (BChl) [96], chromatophores [46], PPCs including LH2, LH4 and RC; mimicking the principles of natural photosynthesis [101], light-harvesting complex II (LHCII) [120], BR proteins [53], [121], Xanthophylls carotenoids [56], [103], Lycopene carotenoids [104], and RC photosystem I trimer (PSI) [105]; in order to understand the biomolecular photosensitizers impact on the DSSCs performance for improved bio-designed DSSCs.

Photosynthetic RC proteins consist of a transmembrane pigment-protein complex across the bacteria which act as a charge separator of the photo-formed electron-hole pairs [97]. Semiconductor/protein films and their functionalization have been recently extensively studied due to their promising role in the development of bioelectronics and bio-photoelectric devices [97], [122], [123]. Yet, researchers are still unable to overcome two major problems: (i) loss of energy due to the formation of the final charge separation state of RC [124], [125]; (ii) charge recombination of the photoinduced electrons which hinders separation of electron-hole pairs that greatly hamper the photoelectric conversion of such RC [97].

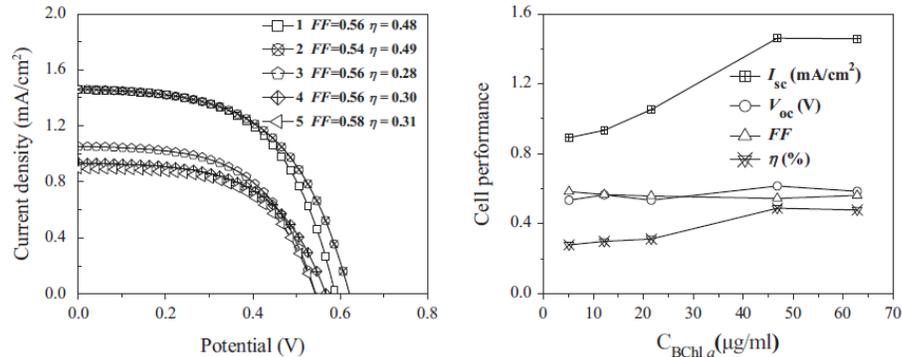
**(A) Chlorophyll a derivative (PPB a der.) + Spirilloxanthin (Spx) Carotenoids from Rhodospirillum Rubrum S1**



**(B) Chromatophores from Rhodospirillum Rubrum S1 + [Quinone (Q0) and cytochrome c] Biological Redox**



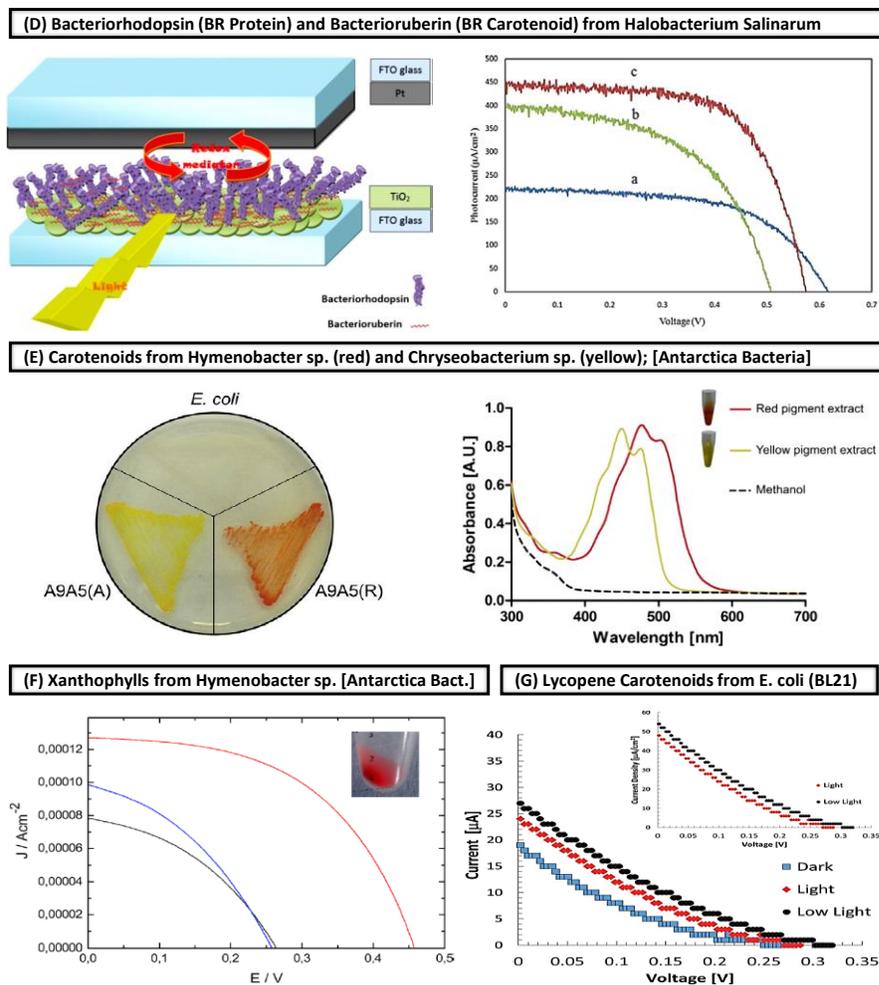
**(C) PPCs (LH2) from Rhodospseudomonas Palustris CQV97 and Rhodobacter Azotoformans R7**



**Figure 2.6 (A)** IPCE profiles; and I-V curves; for the PPB a der. DSSCs without carotenoids and with a 10% each of carotenoids having  $n = 9-13$ ; Adapted from [96]; **(B)** Band diagram and I-V curves of various chromatophores sensitized solar cell (CSSC) under near-IR (NIR) illumination; Adapted from [46]; **(C)** I-V curves and photoelectric parameters of DSSCs in different concentrations of LH2; Adapted from [101].

A new type of Bio-DSSC known as photosynthesis DSSCs, which is based on the principle and materials of photosynthesis, has gained much attention from the scientific community for photovoltaic applications [106]. Chlorophyll derivatives are considered as a great biological alternative for traditional dye sensitizers in DSSCs for the conversion of solar energy to electricity [96]. The blending of chlorophyll with photosynthetic pigments like carotenoids has shown much improvements and developments in chlorophyll sensitizing function in DSSCs since carotenoids play a key role in harvesting light energy, protecting chlorophyll layer [126], [127], and forming radical cations for the redox function [128]. Cell performance and IV-curves of various naturally-sensitized DSSCs from literature can be found in Figure 2.6 [46], [96], [101] and Figure 2.7 [53], [56], [103], [104].

From Table 2.2, bio-pigments extracted from protein complexes (LH2, BR, RC) and chlorophyll a derivatives combined with carotenoids showed the highest bio-DSSCs performance for solar-to-electricity applications, with a conversion efficiency of 0.16–0.57% and 4%, respectively. Conversely, Xanthophylls carotenoids isolated from Antarctic bacteria reserved the lowest photoelectric conversion performance of 0.008–0.03% in comparison with other studied biomolecular pigments; the use of co-adsorbents boosted up the performance to 0.03% due to dye strong anchoring capabilities for enhanced charge carriers transport and electron injection.



**Figure 2.7** (D) Bio-DSSC system and I-V curves for the DSSC sensitized with (a) Bacterioruberin (BR carotenoid), (b) Bacteriorhodopsin (BR protein), and (c) a mixture of two pigments; Adapted from [53]; (E) Agar plate with pigmented A9A5(R) and A9A5(A) isolates, for the yellow and red pigments, respectively, and *E. coli* as non-pigmented bacteria; and visible-light absorbance of yellow and red pigments; Adapted from [56]; (F) I-V curves for the dye-sensitized cells using different pigments: PURE orange (black line), RAW orange (red line) and cocktail orange + co-adsorbent (blue line); inset: extracted dye from centrifuge with numbers as (1) bacterial pellet, (2) slime, (3) supernatant; Adapted and modified from [103]; (G) I-V curves of the bio-PV DSSC using *E. coli*/Lycopene as a biological sensitizer; Adapted from [104].

**Table 2.2** Performance comparison between various reviewed and discussed biological pigments for solar-to-electricity bio-DSSCs under AM1.5 radiation<sup>a</sup>

<b>Bacterial Pigment</b>	<b>J<sub>sc</sub> (μA cm<sup>-2</sup>)</b>	<b>V<sub>oc</sub> (mV)</b>	<b>FF</b>	<b>η<sup>b</sup> (%)</b>	<b>Ref.</b>
Chlorophyll a (PPB) + Carotenoids (Spx)	11500	-	-	4	[96]
Chromatophores	24.7	300	0.29	0.04	[46]
PPCs (LH2)	1460	620	0.54	0.49	[101]
PPCs (RC)	1240	840	0.55	0.57	[101]
Light-harvesting complex II (LHCII)	800	590	0.58	0.27	[120]
Bacteriorhodopsin proteins and bacterioruberin carotenoids (BRs)	450	570	0.62	0.16	[53]
Xanthophylls carotenoids (yellow)	130	549	-	0.0323	[56]
Xanthophylls carotenoids (red)	200	435	-	0.0332	[56]
Xanthophylls carotenoids (PURE orange)	78	260	0.39	0.008	[103]
Xanthophylls carotenoids (RAW orange)	127	460	0.51	0.03	[103]
Xanthophylls carotenoids (Cocktail)	98	260	0.38	0.009	[103]
Lycopene carotenoids	696	289	-	0.057	[104]
RC photosystem I trimer (PSI)	362	500	0.71	0.08	[105]
Bacteriorhodopsin (BR) protein	620	-	-	0.19	[121]
Bacteriorhodopsin (BR) protein	1008	-	-	0.49	[121]

<sup>a</sup> J<sub>sc</sub> = Short-circuit current density; V<sub>oc</sub> = Open-circuit voltage; FF = Fill factor;

<sup>b</sup> η = Incident photon-to-current efficiency (IPCE) = Quantum efficiency (QE).

### 2.2.5 Bacteria Isolation and Extraction Processes of Bimolecular Pigments

Biomolecular pigments can be extracted from various biomaterials including (i) plant extracts: fruits, flowers, leaves, seeds, peels, and vegetables; (ii) different amino acids and proteins; (iii) nucleic acids DNA, bacteria, and fungi [129]. Water-insoluble fibers, carbohydrates, protein, chlorophyll, and tannins are some examples of targeted materials to be extracted for preparing purified natural dyes. The different common methods used for the extraction of coloring materials are (i) aqueous extraction, (ii) alkali or acid extraction, (iii) microwave and ultrasonic-assisted extraction; (iv) fermentation; (v) enzymatic extraction; and (vi) solvent extraction.

Extraction of natural dyes is a complex process and it requires understanding the nature of the coloring materials and identifying their solubility characteristics. There are several extraction methods which can be used to extract the coloring matter or pigments from natural dye-bearing materials contained in bacteria, plants, and animal constituents.

Water-insoluble fibers, carbohydrates, protein, chlorophyll, and tannins are some examples of targeted materials to be extracted for preparing purified natural dyes. The aqueous extraction is utilized for extracting dyes from plants by breaking the dye-containing materials, sieving undesired residues, soaking materials in water (overnight), boiling and filtering followed by centrifuging to separate residual matter. Alkali or acid extraction is used for extraction of dyes from flowers as in *tesu* (*buteamonosperma*), some flavone dyes (using acidified water) and for dyes having phenolic groups (soluble in alkali); acid hydrolysis occurs from addition of the acid or alkali facilitating the hydrolysis of glycosides in the dyes which can be later precipitated. Microwave and ultrasonic assisted extraction require treatment of dye-containing materials (e.g. plants) in water or any other solvent in the presence of ultrasound; creation/collapse of bubbles increases the extraction efficiency due to applied stress on materials. Fermentation utilizes produced enzymes from the atmosphere/surrounding materials as in harvesting indigo leaves soaked in water for about 10–15 h (glucosideindican breaks down into glucose and yellowish indoxyl by the indimulsin enzyme). Enzymatic extraction uses enzymes including cellulase, amylase, and pectinase for the extraction of dyes from complex plant tissues containing cellulose, starches, and pectins as binding materials. Solvent extraction requires organic solvents (e.g. acetone, chloroform, ethanol, and methanol) or water/alcohol mixtures to extract water-soluble and alcohol-soluble substances from plants which are then purified [130]. Table 2.3 shows isolation and extraction processes used for separating bacteria and obtaining bacterial-based biomolecular pigments in the various reviewed studies on bio-sensitized DSSCs.

**Table 2.3** Isolation/extraction processes adopted in extracting bacterial-based pigments from various reviewed and discussed biomolecules for solar-to-electricity bio-sensitized DSSCs.

Bacterial Pigment	Remarks on Bacteria Isolation and Pigment Extraction	$\eta$ (%)	Ref.
Chlorophyll a (PPB) + Carotenoids (Spx)	Spirilloxanthin (Spx) was isolated from <i>Rhodospirillum rubrum</i> S1. bacteriochlorophyll and lipids were removed by washing cells with methanol, centrifuged, washed with acetone, and centrifuged again. Resultant cells were washed with benzene and centrifuged (3 times) to completely extract the carotenoids after fractionating benzene solution against NaCl aqueous. Benzene layer containing carotenoids was filtered using low-pressure liquid chromatograph.	4	[96], [131]
Chromatophores	Cell growth was done by keeping <i>Rsp. rubrum</i> strain S1 to grow for 3 days under 1-sun condition and at 30 °C. Chromatophores were isolated from French pressure through rate-zone sedimentation on sucrose density gradients, then isolated mixture was centrifuged and resuspended in 0.1 M Na <sub>2</sub> PO <sub>4</sub> . Purified membrane (lipid) fractions were obtained from trichloroacetic acid-precipitated by two chloroform-methanol extractions.	0.04	[46]
PPCs (LH2)	Bacterial PPCs were isolated from collected sand using StrainCQV97 and R7 cultured anaerobically in modified Ormerod medium at 30 °C for 1 h, then PPCs were purified with ammonium-sulfate fractionation (DEAE-52 anion-exchange) and gel-filtration chromatography.	0.49	[101]
PPCs (RC)	Pigment Extraction as PPCs (LH2).	0.57	[101]
Bacteriorhodopsin proteins and bacterioruberin carotenoids (BRs)	Two strains of <i>H. salinarum</i> , NRC-1 and R1, were used for extraction of bacteriorhodopsin and bacterioruberin, respectively. Strain cells were ground with quartz powder, extracted with ethanol, ether, and 15% NaCl aqueous solution to separate organics. The organic layer was dried over anhydrous sodium sulfate, loaded with a benzene solution on a silica gel column. Bacterioruberin pigments were eluted with acetone:benzene (42:58), pooled and concentrated under reduced pressure. The bacterioruberin was dissolved in acetone and stored under N <sub>2</sub> gas in the dark at -30 °C. Bacteriorhodopsin was isolated by other standard methods reported in literature.	0.16	[53], [132]
Xanthophylls carotenoids (yellow)	Bacteria isolation was carried out by suspending collected soil containing biomaterials in distilled water. Samples were stirred vigorously, incubated at 28 °C for 1 h, and seeded on R2A agar plates for two days. The streak plate method was used to obtain pure cultures where selected isolates were identified by 16S rRNA sequencing. Pigments were extracted using DNeasy® Blood & Tissue Kit (Qiagen) with the amplification steps including initial denaturation final extension.	0.0323	[56], [133], [134]
Xanthophylls carotenoids (red)	Pigment Extraction as xanthophylls carotenoids (yellow).	0.0332	[56], [133], [134]
Xanthophylls carotenoids (PURE orange)	Xanthophylls pigments were extracted from cells grown in R2 medium, centrifuged to discard the uncolored supernatants while suspending pellet and slime phases in 98% ethanol, and then heated at 60 °C for 1 h until reddish pigments turned to orange. Samples were then centrifuged again, and supernatants were filtered with sterile membrane. Extracted carotenoids from <i>hymenobacter</i> sp. were kept at -20 °C in darkness for future	0.008	[103]

	use.		
Xanthophylls carotenoids (RAW orange)	Pigment Extraction as xanthophylls carotenoids (PURE orange).	0.03	[103]
Xanthophylls carotenoids (Cocktail)	Pigment Extraction as xanthophylls carotenoids (PURE orange).	0.009	[103]
Lycopene carotenoids	No isolation was there, instead cloning of bacterial strains was carried out within E. coli BL21 cells to synthesize and overproduce the photoactive pigment lycopene.	0.057	[104]
RC photosystem I trimer (PSI)	Trimeric PSI was isolated from the thylakoids of the thermophilic cyanobacteria <i>thermosynechococcus elongates</i> . PSI was isolated from frozen cells, resuspended in wash buffer and sorbitol, adjusted to a specific chlorophyll a content, and then homogenized. Lysozyme was added to the mixture prior incubation for 2 h at 37 °C with shaking. Resultant mixture was centrifuged to discard supernatant; remaining pellet phase was resuspended, passed twice through French Press (Amino) at a cell pressure of 20,000 psi, and washed with 3 M NaBr. Density gradient centrifugation was performed prior to collection of the lowest green bands of pooled PSI samples diluted with dialysis against MES and anion exchange column.	0.08	[105], [135]

## 2.2.6 Bandgap Energies of Biomolecular Dyes

In biomolecular organic dyes, two typical energy levels exist for electrons called LUMO and HOMO levels. Under illumination, dye molecules get excited allowing electron injection into the CB of the semiconductor as long as LUMO energy level is closer to the vacuum level and higher than the semiconductor CB. Effective electron-diffusion and high dye reduction rates occur with the existence of large energy difference between dye-HOMO and electrolyte-redox-potential [69]. Dye light-absorption abilities depend exclusively on HOMO/LUMO energy potential levels and other unknown parameters. HOMO/LUMO molecular orbitals and electron distribution within the dye [136], [137] can be utilized to predict quantum chemistry and electron transport to/from the D- $\pi$ -A dye conjugated structures [138], [139]. Electrochemistry calculations show that dye reduction and oxidation potentials occur at the excited state (LUMO) and the ground state (HOMO), respectively. Determination of redox potential can be attributed to the dye bandgap

calculated from electrochemical methods and/or UV-Vis absorbance (Tauc plots). Electron delocalization occur with minimum absorbed photon energy yielding in the excitation of the ground state populations (HOMO), delivering electrons to the LUMO moieties through biomolecular conjugated-bridges [140]. Bandgap energies can be calculated from the difference between HOMO to LUMO levels ( $E_g = E_{LUMO} - E_{HOMO}$ ), that is typically desired to be lower than the semiconductor bandgap (e.g. TiO<sub>2</sub> or ZnO; <3.2 eV). For example, for cyanine dyes HOMO (-5.73 eV) and LUMO (-3.82 eV) at 590 nm which is equivalent to a molecular cyanine bandgap of 1.91 eV [76]. Table 2.4 shows the theoretical HOMO/LUMO energy levels for different biomolecular dyes and their corresponding bandgaps (eV) required for electron photoexcitation.

Theoretical-average HOMO/LUMO gap energies of 2.46, 5.22, 4.13, 1.13, 3.15, 2.22 eV were calculated (from Table 2.4 and the given values found in literature) and observed for anthocyanin, carotenoid, chlorophyll, cyanine, xanthene, and coumarin, respectively. Correlating the determined biomolecular bandgap energies with the dye performance of anthocyanin 1.67–3.27% [72]; carotenoid 0.58% [72]; chlorophyll 4.6% [141]; cyanine dyes 4.8–7.62% [75], [76]; xanthenes 1.56% [77], coumarin dyes 7.7–9% [78], [79] give us a complete understanding for the role of HOMO/LUMO gap energy. Low amounts of energy band gap result in easy electron excitation and e-h pair generation in the HOMO levels; hence, anthocyanin, cyanine, and coumarin dyes showed the highest bio-DSSC efficiency >3.27% and up to 9% due to the low HOMO/LUMO energies <2.46 eV. On the contrary, both carotenoid and xanthenes dyes showed low cell performance <1.56% due to their high bandgap energies >3.15 eV. However, the bandgap is not the only parameter controlling the dye absorbance rate, since an exception was noticed with

chlorophyll dyes where high performance is observed with high bandgaps which may be explained by the ‘relative state of HOMO-level vs. SHE and its correspondence to the CB-level vs. SHE’ or by other unknown phenomena such as impact of existing functional groups, dye/semiconductor interactions, and bonding mechanisms changing the photosensitization performance.

**Table 2.4** HOMO/LUMO energy levels of different and common biomolecular dyes

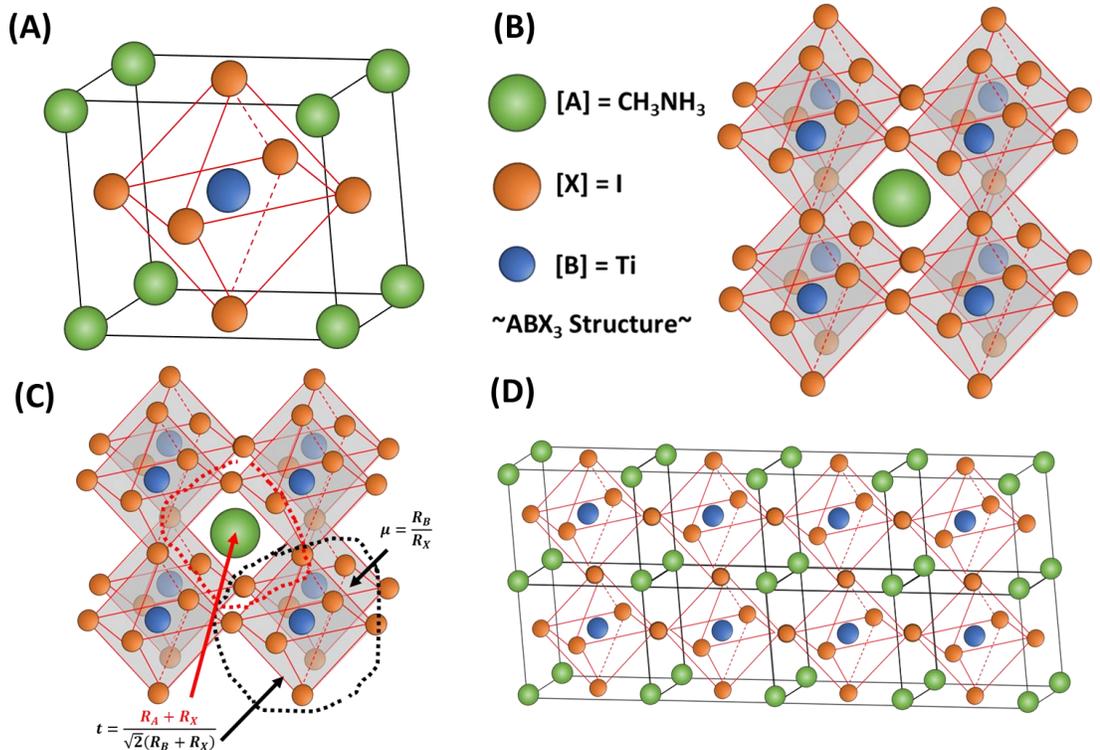
Pigment Category and/or TiO <sub>2</sub>	Theoretical/ Experimental	HOMO-to-LUMO Energy (eV) <sup>a</sup>		Ref.
		HOMO	LUMO	
Anthocyanin	Theoretical	2 – 2.78		[142]
	Experimental	2.18 – 3.26		[142]
Carotenoid	Theoretical	2.2 – 7.3		[143], [144]
	Experimental	N/A		[143]
Chlorophyll	Theoretical	4.14		[145]
	Experimental	1.82		[145]
Cyanine	Theoretical	1 – 1.5		[146]
	Experimental	1.5 – 2.2		[140], [146]
Xanthene <sup>b</sup>	Theoretical	1.74 – 3.95		[147]– [149]
	Experimental	N/A		[147]
Coumarin	Theoretical	1.25 – 2.93		[150], [151]
	Experimental	2.08 – 3.49		[150]
TiO <sub>2</sub>	Theoretical	4.18		[151]
	Experimental	3.49		[151]

<sup>a</sup> HOMO/LUMO energies determined from theoretical time-dependent and density functional theory (TD-DFT) studies as reported in the literature; <sup>b</sup> Xanthene in Spiro[fluorene-9,9'-xanthene] hole-transporting materials (SFX-based HTM) used in perovskites solar cells.

### 2.3 MAPbI<sub>3</sub> Perovskite as a Co-Sensitizer in DSSCs

Organic-inorganic hybrid perovskite crystals have been widely used in optoelectronic devices such as solar cells and light-emitting devices [152]–[154]. Typical perovskite materials have the chemical formula ABX<sub>3</sub> where A refers to an organic and/or inorganic cation with +1 charge (e.g. Cs<sup>+</sup>, methylammonium (MA<sup>+</sup>), and Rb<sup>+</sup>), B is a metal cation with +2 charge (e.g. Pb<sup>+2</sup>, Hg<sup>+2</sup>, Zn<sup>+2</sup>, Mg<sup>+2</sup>, and Cd<sup>+2</sup>) and X is a halide anion with

-1 charge (e.g.  $F^-$ ,  $Cl^-$ ,  $Br^-$  and  $I^-$ ) [155]–[157]. Ideal  $ABX_3$  perovskites (e.g.  $MAPbI_3$ ) consist of a cubic array with three-dimensional A-site corners sharing  $BX_6$  octahedral units in which A-site cation is surrounded by 12 equidistant X anions; The A-site cations fill the cuboctahedral cavities and B-site cations occupy the center of the octahedral sublattice and have 6 nearest X-anion neighbors [158], [159] (see Figure 2.8, [160]). Perovskites have enabled outstanding achievements in the field of solid-state photovoltaics including the achievement of high photovoltaic conversion efficiency (PCE > 22.1%) [153], [154], [161], which is already close to the theoretical Shockley-Queisser limit of 33.5% [162] for a single-junction silicon solar cell.



**Figure 2.8** Ti-based perovskites ( $ABX_3$ ) typical crystal structure: (A) A cubic structure unit cell; (B)  $MA^+$  cation occupying an A-site and surrounded by eight octahedral structures; (C) Role of stability factors in determining the possibility of  $MA^+$  and  $Ti^{+2}$  cations to occupy A-sites and B-sites, respectively, based on the involved elements ionic radii; (D) The ideal cubic structure for  $MATiI_3$  when ( $t = 1$ ) indicating a perfect cation fit; [160].

Moreover, perovskite materials have many other advantages for photovoltaic and optoelectronics applications [8], [10], [163]–[165] including (i) excellent light absorption capabilities; (ii) high charge carriers mobility including both electron and hole mobilities; (iii) outstanding transport properties due to long diffusion lengths; (iv) tunable bandgap structure (bandgap of 3D MAPbI<sub>3</sub> is approximately 1.55 eV); (v) easily synthesized with low temperature solution processability; (vi) highly crystallizable at low annealing temperature; and (vii) inexpensive and highly efficient for power conversion in photovoltaic applications [9], [153], [166]. MAPbI<sub>3</sub> planar three-dimensional perovskite films can be easily fabricated by spin-coating a mixture of methylammonium iodide (MAI) and lead iodide (PbI<sub>2</sub>) with further post-annealing for crystallization to form the covalently bonded perovskite structure ABX<sub>3</sub> [167].

Perovskite cosensitization [168]–[171] and/or absorbance expansion are some of the promising techniques utilized for improving electron injection and photoanode sensitization, respectively. Enhanced photoanode sensitization and widened photoanodes absorption spectrum [172] are attainable by utilizing low-bandgap energy cosensitizers [168]–[171] such as organic-inorganic hybrid methylammonium lead iodide (MAPbI<sub>3</sub>) semiconductor perovskite crystals [10], [160] owing to their high visible-light absorption ( $\alpha=0.7\times 10^7\text{ cm}^{-1}$ ) [12], bandgap tunability ( $E_g=1.5\sim 2.43\text{ eV}$ ) [166], high charge carriers mobility ( $>5\text{ cm}^2\text{ V}^{-1}\text{ S}^{-1}$ ) [173], long diffusion lengths ( $>100\text{ nm}$ ) [174], and easy processability [175], [176].

In DSSCs, perovskites are utilized as cosensitizers to harvest visible light energy from the enhanced absorption coefficient as well as the covered visible-spectrum range. Kojma et al. [168] combined the MAPbX<sub>3</sub> in the photoanode in TiO<sub>2</sub>-based electrode

utilized in DSSCs ( $\text{CH}_3\text{NH}_3\text{PbX}_3/\text{TiO}_2$ ) to achieve the highest power conversion efficiency of 3.81% using Ru dyes. High efficiency of 6.54% was also achieved from using  $\text{MAPbI}_3$  quantum-dot-sensitized 3.6 mm-thick  $\text{TiO}_2$  photoanode films under AM 1.5G and 1 sun intensity ( $100 \text{ mW cm}^{-2}$ ) [169]. Another study investigated the use of  $\text{CH}_3\text{NH}_3\text{PbBr}_3$  as a cosensitizer to Ru-complex (Z907) in  $\text{TiO}_2$ -based DSSCs which improved the visible-absorbance with a maximum performance of 3.4% [170]. The substitution of the liquid electrolyte with a solid-state hole transport layer (HTL) incorporated into the perovskite-sensitized rutile  $\text{TiO}_2$  nanorods increased the PCE up to 9.4% (and by 15% in a solid-state mesoscopic DSSC) [177] where  $\text{TiO}_2$ /perovskite act as the electron transport layer (ETL) [171].

#### 2.4 Graphene-Based Counter Electrodes for DSSCs

Pristine graphene is an atomically-thick layer of  $\text{sp}^2$ -hybridized carbon arranged in hexagonal crystal structure [178]. Graphene-based materials, with their exceptional electrical, optical, and mechanical properties, have been previously incorporated into each aspect of a DSSC [178]. Interestingly, this amazing material can be derived from graphite (*via* top-down approach), which is economical and naturally abundant [179]. Wang et al. (2012) [180] utilized graphene-based composites in DSSCs owing to its excellent conductivity and high electrocatalytic activity. The hexagonal “honeycomb” two-dimensional  $\text{sp}^2$  carbon atoms [181] possesses high carrier mobility ( $200,000 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ) [182], high specific surface area ( $2600 \text{ m}^2 \text{ g}^{-1}$ ) [183], and high optical transparency (97.7%) [184]; making graphene a promising material to be utilized as electrodes for efficient and practical DSSCs. Graphene sheets have been typically produced either by mechanical exfoliation *via* repeated peeling of highly ordered pyrolytic graphite (HOPG) or by

chemical oxidation of graphite (top-down approach) [185]. Table 2.5 shows the performance of different graphene-based DSSCs from previous studies, based on their cell configuration design with graphene-based counter electrodes.

**Table 2.5 Performance comparison of various DSSCs:** Efficiency and photovoltaic parameter values observed in previously designed DSSCs with different graphene-based counter electrodes<sup>a</sup>

Cell Configuration	V <sub>oc</sub> (V)	J <sub>sc</sub> (mA/cm <sup>2</sup> )	FF (%)	η (%)	Ref.
FTO/TiO <sub>2</sub> /N719/Graphene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/FTO	0.74	16.99	0.54	6.81	[186]
FTO/TiO <sub>2</sub> /N719/Graphene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ](AN-50)/FTO	0.54	14.30	0.65	5.69	[187]
ITO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/[Graphene/PEDOT-PSS]/ITO	0.72	12.96	0.48	4.50	[188]
FTO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/[Graphene/PANI]/FTO	0.68	13.28	0.67	6.09	[189]
FTO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/[Graphene/PEDOT]/FTO	0.77	12.60	0.63	6.26	[190]
FTO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/[Graphene/Pt]/FTO	0.71	15.20	0.71	7.66	[191]
FTO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/[Graphene/Pt]/FTO	0.79	12.06	0.67	6.35	[192]
FTO/TiO <sub>2</sub> /N719/[Graphene/Ni <sub>12</sub> P <sub>5</sub> ]/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/FTO	0.74	12.86	0.61	5.70	[193]
FTO/TiO <sub>2</sub> /N719/[Graphene/Ni <sub>12</sub> P <sub>5</sub> ]/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/FTO	0.70	12.88	0.52	4.70	[193]
ITO/TiO <sub>2</sub> /N719/[Graphene/MWCNTs] [I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/ITO	0.72	8.95	0.70	4.46	[188]
FTO/TiO <sub>2</sub> /N719/[Graphene/MWCNTs] [I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/FTO	0.75	16.05	0.63	7.55	[194]
FTO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/[Graphene-(HSG-12 h)]/FTO	0.77	27.20	0.37	7.80	[195]
ITO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]- (Z946)/[PDDA@ERGO]/ITO	0.69	18.77	0.74	9.54	[196]
ITO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]- (Z952)/[PDDA@ERGO]/ITO	0.65	15.19	0.76	7.66	[196]
ITO/TiO <sub>2</sub> /N719/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]- (Z946)/[PDDA@ERGO]/Pt/ITO	0.69	18.11	0.74	9.14	[196]
FTO/TiO <sub>2</sub> /N719/[GNs@ZnO]/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ](BMII)/FTO	0.76	21.70	0.67	8.12	[197]
FTO/TiO <sub>2</sub> /N3/NDG/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/FTO	0.69	15.76	0.64	7.01	[198]
FTO/TiO <sub>2</sub> /N719/Graphene-(0.15wt.%)/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/FTO	0.75	15.46	0.68	7.88	[199]

<sup>a</sup> PANI: Polyaniline; PEDOT: Poly(3,4-ethylenedioxythiophene); MWCNTs: Multi-walled carbon nanotubes; HSG: honeycomb-structured graphene; PDDA: Poly(diallyldimethylammonium chloride); ERGO: Electrochemically reduced graphene oxide; GNs: Graphene nanosheets; NDG: Nitrogen doped graphene.

Counter electrodes (CEs) in DSSCs play a critical role in determining the cell efficiency depending on their abilities to collect electrons coming from the photoanode through the external circuit. A number of parameters should be investigated for the selection of optimal and scalable CEs: (i) sheet resistance; (ii) catalytic activity; (iii) chemical stability; and (iv) cost [49], [180], [200]–[204]. For an optimized cell, the CE sheet resistance must be as low as possible to facilitate electron transport, the CE catalytic

activity should be very high for effective chemical reduction of redox species, and the CE materials must possess a noble-like behavior as in Pt electrodes for corrosion stability [205]–[208]. However, Pt is highly expensive for large-scale applications due to its scarcity. As such, the development of Pt-free CEs should be pursued to have graphene-based cost-effective and scalable CEs [180].

## Chapter 3: Synthesis/Deposition of Electrode Materials for Cell Architectures

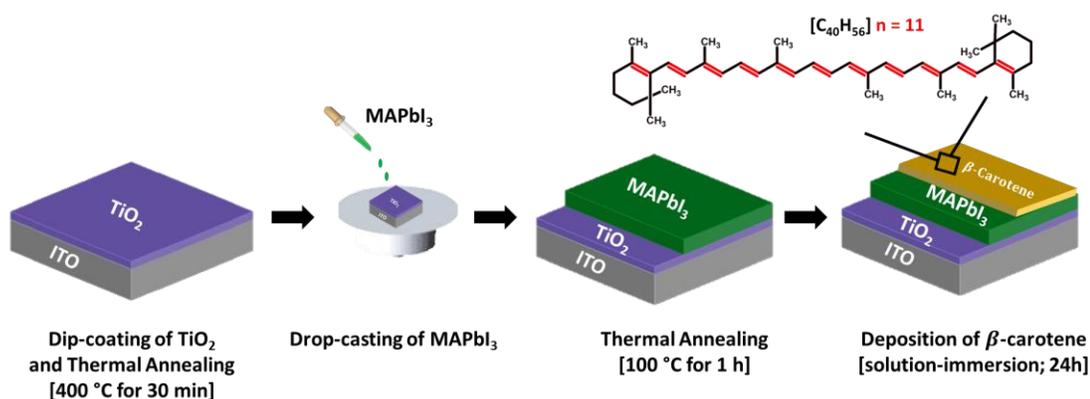
Maddah, H. A., Aryadwita, L., Berry, V., & Behura, S. K. (2020). Perovskite Cosensitization for Reduced Voltage Loss in Naturally-Sensitized Solar Cells, *Nano Energy*, Under-Review.

### 3.1 Introduction

Fabrication and designing of a DSSC requires three main parts: (i) support: a layer of transparent conducting oxide called the ITO or FTO coated on two glass sheets, (ii) electrodes: this include the anode as Dye/TiO<sub>2</sub> electrode (meso porous with a high surface area) coated on ITO glass and also a counter electrode from platinum or graphite deposited/taped on the cathode glass, and (iii) electrolyte: iodide/triiodide acting as a redox mediator [209], [210]. To prepare photoanode electrodes, pre-purchased TiO<sub>2</sub> nano-powders paste was used directly for drop-casting and/or dip-coating on the ITO glass. Then, TiO<sub>2</sub> were prepared to be annealed at 400°C for 30 min and left to cool at room temperature to have the anatase structure. After calcination, dye deposition was achieved *via* soaking ITO/TiO<sub>2</sub> overnight in a pre-extracted and purified β-carotene solution to sensitize the semiconductor material and allow visible light absorption. To prepare the counter (positive) electrodes, conductive ITO glass sheets sides were coated with graphene-based materials using Hummers method [211]. The drop-casted graphene oxide was then annealed at 200°C for 3 h to have a thin rGO conductive layer as counter electrode for electron collection in DSSCs. This thin carbon layer serves as a catalyst for the tri iodide-to-iodide regeneration reaction. For long-lasting results, the carbon-coated counter electrode was annealed at 450°C for a few minutes, washed with ethanol, and gently dried before assembling the electrodes [209], [210].

### 3.2 TiO<sub>2</sub> Deposition (Dip-Coated or Spin-Coated) on ITO Photoanodes

Numerous ITO 1'' conductive glass sheets ( $\sim 23 \Omega/\square$ ) were thoroughly cleaned with IPA (sonicated for 15 min) and followed by DI water washing prior being used for electrodes fabrication. TiO<sub>2</sub> nanoparticles paste from (Sigma Aldrich, USA) was used directly without further treatment. Adhesive scotch tape was applied on the conductive sides to have a 2 cm x 1.5 cm exposed surface area ready for TiO<sub>2</sub> dip-coating (or spin-coating). After covering the backside with tape, all the four ITO sheets were dip-coated (or spin-coated @ 3000 rpm) successively using the TiO<sub>2</sub> and removed immediately to remove the excess amount of the deposited semiconductor. The glass sheets were then kept to dry in the air for 5 min to become ready for annealing the ITO/TiO<sub>2</sub> structure at 400 °C for 30 min [212]. TiO<sub>2</sub> crystallization was achieved from the high sintering temperature which converted the liquid-like nanostructure to a defined solidified crystal structure creating a layer of a wide bandgap semiconductor (3.2 eV) [48] atop of the ITO (Figure 3.1). The ready and crystallized ITO/TiO<sub>2</sub> were removed from the hot plate and stored in a dry petri dish to be used later in fabricating our photoanode structures.



**Figure 3.1** Experimental steps used in the fabrication of [ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene] photoanode heterostructure (from left to right), with a schematic showing the chemical structure of β-carotene natural sensitizers and conjugated bonds (n=11).

### 3.3 Synthesis of MAPbI<sub>3</sub> and Deposition

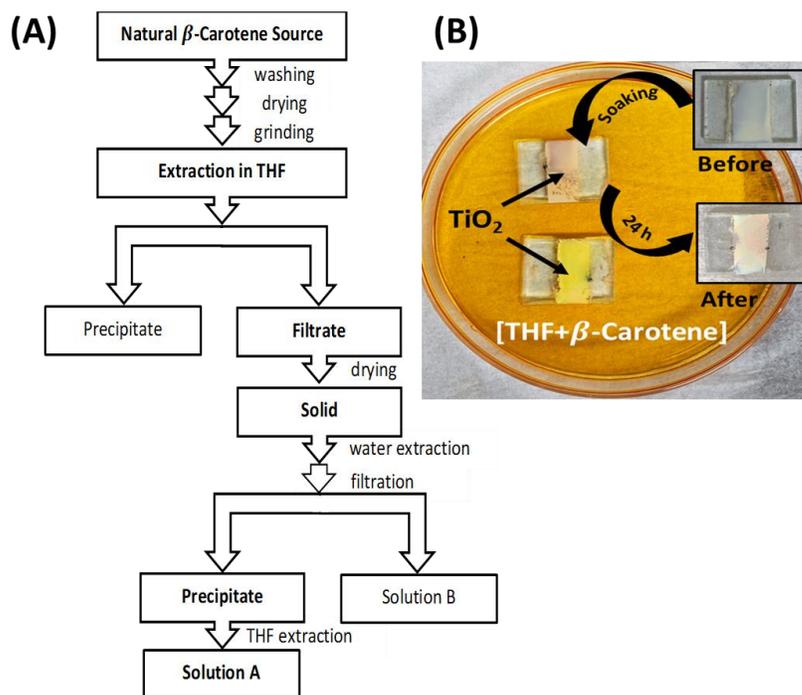
The precursor solution for MAPbI<sub>3</sub> synthesis was prepared as reported in previous literature [175], [176]. Briefly, MAPbI<sub>3</sub> was synthesized by mixing 2 g of PbI<sub>2</sub> powders with 0.5 g of MAI powders in a small beaker (50 mL) with continuous addition of 5 mL of DMF using a pipette under the atmospheric condition and at room temperature (T=23 °C). The mixed solution was then heated on a hot plate for 1 h at 60 °C and then continuously stirred at a constant rate using a magnet stirrer. The pre-prepared precursor solution of MAPbI<sub>3</sub> was drop-casted (2-3 drops) on the four sintered ITO/TiO<sub>2</sub> (2 cm x 1.5 cm) electrodes and then annealed on the hot plate at 100 °C for 1 h in order to obtain the solidified crystal structure for MAPbI<sub>3</sub> and obtain the heterostructure ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub> (Figure 3.1).

### 3.4 THF-Extraction of $\beta$ -Carotene Sensitizers and Deposition

From literature,  $\beta$ -carotene was tested for its solubilization in different 18 organic solvents; Craft et al. found out the solubility of  $\beta$ -carotene carotenoids is greatest in tetrahydrofuran (THF) (10,000 mg/L), followed by dichloromethane (6000 mg/L), benzene and toluene (4000 mg/L), chloroform (2000 mg/L), acetone (200 mg/L), and ethanol (30 mg/L) [213]. Hence, we used THF organic solvent to solubilize our non-treated lab-grade  $\beta$ -carotene powders (Sigma Aldrich, USA). Dye extraction and purification were carried out like the stepwise purification process of the mangosteen pericarp extract reported in earlier work [68].

Pre-purchased  $\beta$ -carotene powders (1.5 mg) was added to THF organic solvent (50 mL) under continuous stirring for 1 h in ambient conditions. Dye extraction from carotenoid solids was confirmed from the observed change in the THF color which turned

into a yellowish/reddish color. THF solvent was then filtered using a vacuum filtration system to discard precipitates. Dried filtrate solids containing the THF-extracted pigments were washed with water under vigorous stirring (50 mL) and filtered again (step-wise purification) to get the THF-H<sub>2</sub>O-extracted carotenoid precipitates. Purified carotenoids were then washed finally with THF (50 mL) to obtain "Solution A" used for dye deposition onto the photoanode. The complete step-wise purification process flowchart and dye-coated TiO<sub>2</sub> are shown in Figure 3.2. Solution A was used to provide sensitization after being concentrated at 60 °C (THF volume was reduced to 25 mL). All sintered photoanodes were soaked in a petri dish of THF- $\beta$ -carotene concentrated solution for >24 h to ensure dye deposition (Figure 3.1) and molecules anchoring onto the semiconductor.



**Figure 3.2** (A) Flowchart showing the extraction procedure adopted for the step-wise purification and THF extraction of  $\beta$ -carotene; (B) Crystallized TiO<sub>2</sub> dip-coated thin-film (2 cm x 1.5 cm) on ITO glass before and after  $\beta$ -carotene overnight deposition.

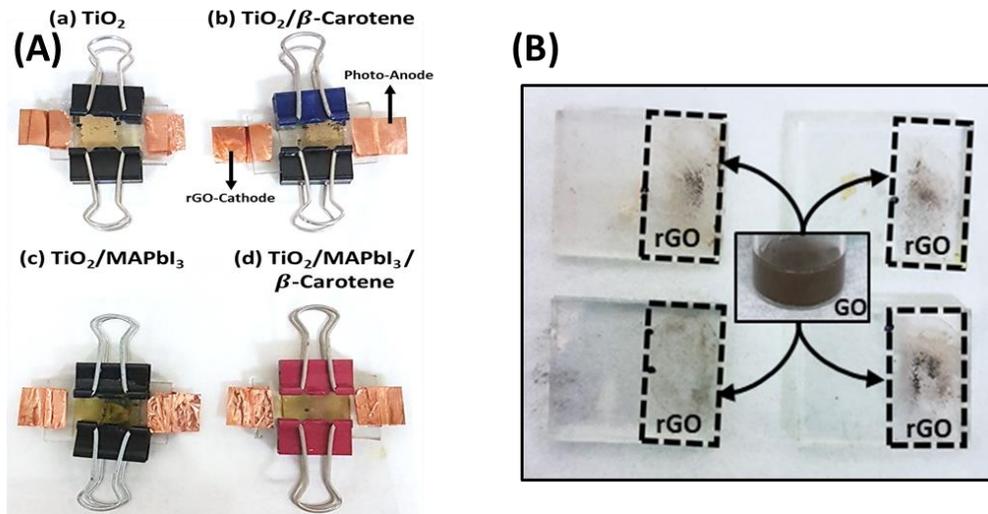
### 3.5 Synthesis of Graphene Oxide and their Reduction for rGO-Based Cathode

Modified Hummers Method [211], [214], [215] was used for the synthesis of graphene oxide (GO). Briefly, we initiated GO synthesis by mixing 1 g of natural carbon-based graphitic flakes with 0.5 g of sodium nitrate ( $\text{NaNO}_3$ ) in a 23 mL of concentrated sulfuric acid ( $\text{H}_2\text{SO}_4$ ). Addition of 6 g of potassium permanganate ( $\text{KMnO}_4$ ) as oxidizing agent to the suspension was carried out subsequently under continuous stirring and ice-bath cooling for 1 h to maintain the suspension below room temperature ( $\sim 0^\circ\text{C}$ ). The  $\text{KMnO}_4$  oxidant can break the van der Waals interlayer forces exist between the graphene layers creating graphene-based suspension. The mixture was then stirred for 12 h at  $35^\circ\text{C}$  until the suspension became dark green. The exothermic reaction was then terminated (the excess  $\text{KMnO}_4$  was also eliminated) by the addition of 500 mL of deionized water (DI water) and 3 mL of 30% hydrogen peroxide ( $\text{H}_2\text{O}_2$ ).

Undesired ions/particulates were removed through washing the suspension with a 1:10 hydrochloric acid (HCl) to DI water (25:250 mL) and then dialyzed at room temperature ( $\sim 23^\circ\text{C}$ ) in a DI bath overnight, while changing the DI water every 1 h during the first 6 h to remove undesirable metal ions, acids, and other functional groups responsible for the high suspension acidity [211], [214]–[216]. The resultant GO suspension become much pure with a low acidity (pH  $\sim 6$ ) owing to the elimination of acidic ions from the produced mixture (without addition of sodium hydroxide or any other hydroxyl ions to the suspension). The pH of GO suspension is believed to help in coating pure 2D graphene-based suspension on ITO.

The counter electrode (cathode) was created by drop-casting (2-3 drops) the GO suspension on the conductive side of ITO. Drop-casted GO on ITO was then reduced in a

vacuum oven by annealing at 200 °C for 3 h to remove undesired oxygen (=O), hydroxyl (–OH), and carboxyl (–COOH) functional groups yielding in reduced graphene oxide (rGO) blackish deposited layers. The prepared rGO-based counter electrodes are shown in Figure 3.3(B), which were then used later in creating the four selected cell structures, Figure 3.3(A).



**Figure 3.3** (A) Nano-architecturally structured DSSCs using different photoanode designs with natural-sensitization and/or co-sensitization; (B) Post-annealed ITO/rGO counter electrodes for lower series resistance ( $R_s$ ) and efficient current (electron) collection.

### 3.6 Discussion

We have prepared the ITO/ $\text{TiO}_2$  structure by annealing  $\text{TiO}_2$  at 400 °C for 30 min for crystallization creating a layer of a wide bandgap semiconductor (3.2 eV) [48] atop of the ITO [212]. The pre-prepared precursor solution of  $\text{MAPbI}_3$  was deposited on the sintered ITO/ $\text{TiO}_2$ , then annealed at 100 °C for 1 h to obtain the crystal structure for  $\text{MAPbI}_3$ . Created photoanode heterostructures soaked in  $\beta$ -carotene (Solution A) for >24 h to ensure dye deposition. The GO deposited on the ITO was reduced in a vacuum oven by annealing at 200 °C for 3 h to remove undesired functional groups and form rGO.

## Chapter 4: Materials Characterization of DSSCs Electrode Active Layers

Maddah, H. A., Aryadwita, L., Berry, V., & Behura, S. K. (2020). Perovskite Cosensitization for Reduced Voltage Loss in Naturally-Sensitized Solar Cells, *Nano Energy*, Under-Review.

### 4.1 Introduction

Raman spectroscopy is an important optical characterization tool utilized for materials surface characterization from detection of vibrational, rotational and other low and/or high-frequency modes of a molecule through inelastic scattering which provides the molecular fingerprints of different materials [217]–[221]. Even though that Raman effect (inelastic scattering) is very small and can be only as 1 in  $10^7$  photons, using Raman spectroscopy allows us to detect the tiny fraction of the inelastically scattered photons which can be assessed from a laser light (532 nm) where the spectrum is plotted to identify the molecular fingerprints [221].

Scanning electron microscope (SEM) is one of the common methods for imaging the microstructure and morphology of the materials, utilized to observe and detect surface morphology and structural characteristics of nanostructured or microvolume samples. In SEM, an electron beam (fine and focused) with low energy is radiated to the material and scans the surface of the sample. Several different interactions occur as the beam reaches and enters the material, which lead to the emission of photons and electrons from or near the sample surface. In order to form an image, the receiving signals produced from the electron–sample interactions are detected with different types of detectors depending on the mode of SEM being used. Different modes of SEM exist, based on the produced various signals, for characterization of materials such as the X-ray mapping, secondary electrons imaging, backscattered electrons imaging, electron channeling, and Auger electron microscopy. When the electron beam scans the sample surface, the signals will change

according to the surface topography. The limited emission of secondary electrons within the volume close to the electron focusing area results in high image resolution. The three-dimensional appearance of images comes from the deep depth of field and shadow effect of secondary electron contrast. A typical SEM consists of several components such as: (i) the electron gun (electron emitter), (ii) hairpin tungsten gun to form high-resolution images through creating a high diameter electron beam, (iii) electromagnetic lenses and apertures to focus and shape the electron beam, and (iv) a high-vacuum environment allowing easy electrons movement and without being scattered or absorbed by the air. The resolution of a field emission scanning electron microscope (FESEM) can reach as low as 1 nm. Another important feature of the scanning electron microscope is that it can be used to observe and analyze samples three-dimensionally due to its deep depth of field. The greater the depth of field, the more sample information is provided [222], [223].

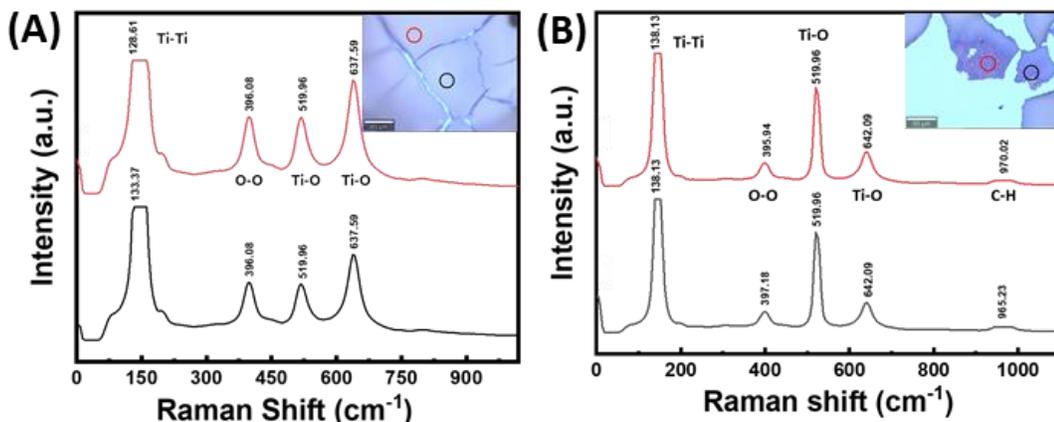
## 4.2 Raman Spectroscopy Characterization

Raman spectroscopy characterization of photoactive electrode materials was performed using confocal Raman microscope (Raman-AFM, WITec alpha 300 RA, laser wavelength of 532 nm). Single-spectrum data were carried out using a laser spot-size 721 nm and 20× objective lens. Spatial mapping obtained for a  $25 \times 25 \mu\text{m}^2$  region of  $\beta$ -carotene on ITO/TiO<sub>2</sub> to check layer uniformity *via* investigating position and intensity of  $\nu_3$  peak.

### 4.2.1 Photoanode Active Layers

Raman spectroscopy characterization (532 nm laser excitation) of bulk TiO<sub>2</sub> in the anatase phase reveal evident vibrational modes at 638, 520, 396, and a very distinct peak at  $129 \text{ cm}^{-1}$  (Figure 4.1, Figure 4.2, and Figure 4.3) confirming the deposition of TiO<sub>2</sub> layer

on ITO substrate. The space group  $D_{4h}^{19}$  is attributed to anatase phase with assumed site symmetries for the Ti and O atoms within the unit cell ( $D_{2d}$  for Ti;  $C_{2v}$  for O), group-theory analysis shows six Raman-active “lattice vibrations” assigned as follows:  $A_{1g}$  ( $517\text{ cm}^{-1}$ ),  $B_{1g}$  ( $640\text{ cm}^{-1}$ ),  $B_{1g}$  ( $397\text{ cm}^{-1}$ ),  $E_g$  ( $640\text{ cm}^{-1}$ ),  $E_g$  ( $147\text{ cm}^{-1}$ ), and  $E_g$  ( $197\text{ cm}^{-1}$ ). The distinct peaks at  $128\sim 134\text{ cm}^{-1}$  indicate the vibrations of Ti-Ti bonding in the octahedral chains. The band  $396\text{ cm}^{-1}$  represents the O-O covalent interactions in  $\text{TiO}_2$ , where covalence/length/frequency relation calculations confirm Ti-O band occurrence at  $638$  and  $520\text{ cm}^{-1}$  [224]. Broader/shifted peaks were observed in Figure 4.3 for Ti-O ( $638\text{ cm}^{-1}$ ) and O-O ( $396\text{ cm}^{-1}$ ) vibrations from addition of  $\text{MAPbI}_3$  and/or  $\beta$ -carotene photosensitizers. The O-O band is blue-shifted while the Ti-O band is red-shifted indicating changes in the energy requirements for Raman-active polarizable vibrations.

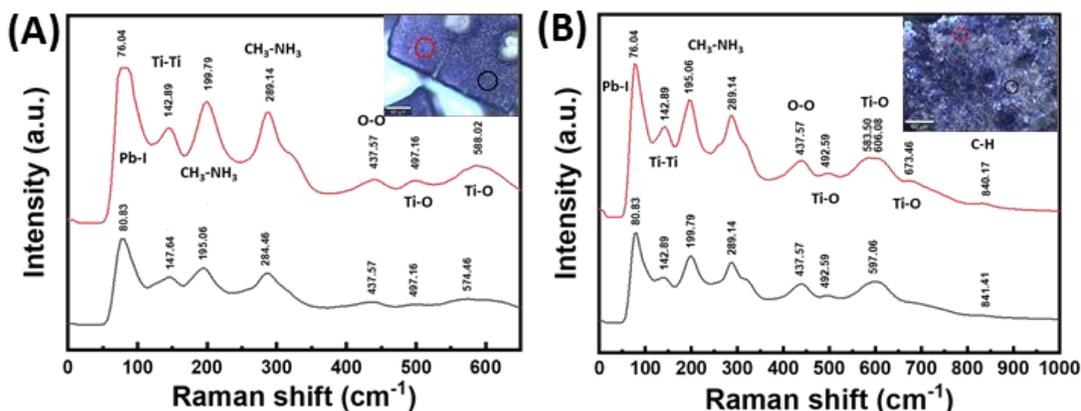


**Figure 4.1** Raman spectroscopy characterization of different nanostructured naturally-sensitized photoanodes: (A) ITO/ $\text{TiO}_2$ ; (B) ITO/ $\text{TiO}_2/\beta$ -carotene, insets: optical image and scan location.

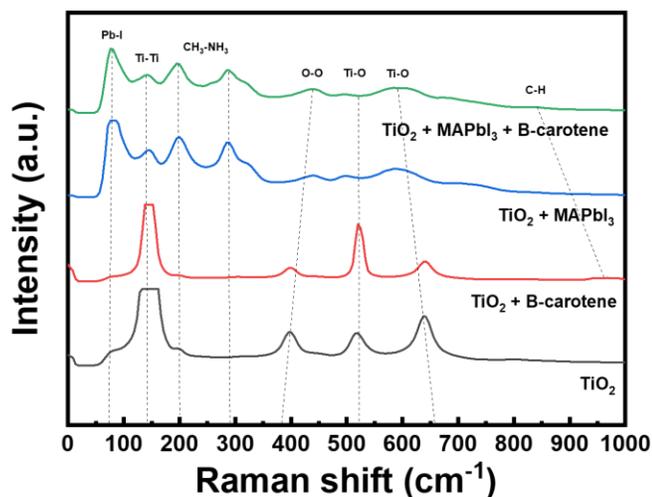
Raman spectra of  $\text{MAPbI}_3$  is characterized by two complex broad bands: the first one with lower wavenumber ( $50\text{--}150\text{ cm}^{-1}$ ) and the second one with higher wavenumber contribution ( $175\text{--}450\text{ cm}^{-1}$ ) where both bands are Raman-active (polarizable structural bonds) with a  $532\text{ nm}$  (green) laser light [217], [218], [225]–[227]. Further,  $\text{MAPbI}_3$

spectra can be portioned into three distinct regions: (i) internal vibrations of methylammonium (MA) cations including stretching, bending, rocking modes ( $300\text{--}3200\text{ cm}^{-1}$ ) with a broad and unstructured modes ( $200\text{--}400\text{ cm}^{-1}$ ) assigned to the torsional mode of MA cations; (ii) liberations/translation and spinning modes of organic MA cations ( $60\text{--}180\text{ cm}^{-1}$ ); (iii) stretching/bending internal vibrations of the  $\text{PbI}_3$  network ( $<120\text{ cm}^{-1}$ ) and Pb-I-Pb bending modes ( $<60\text{ cm}^{-1}$ ) [227].

In Figure 4.2, and Figure 4.3, we observed the Raman spectra of  $\text{MAPbI}_3$  with two prominent peaks: (i) the vibrational mode at  $76\text{--}80\text{ cm}^{-1}$  (theoretically  $\sim 110\text{ cm}^{-1}$ ) is attributed to the Pb-I stretching and bending of the Pb-I-Pb bond with either  $A_g$  or  $B_{2g}$  symmetry, and (ii) the bands at  $200$  and  $300\text{ cm}^{-1}$  (theoretically  $\sim 250\text{ cm}^{-1}$ ) indicate the liberations of the MA cations (MA torsional modes) [217], [226]. No red-shifts were observed in perovskite peaks when  $\text{MAPbI}_3$  was interfaced with other photoanode materials confirming stress-free/undeformed perovskite crystals and preserved  $\text{MAPbI}_3$  photosensitivity. Thampy et al. [228] proved that the use of  $[\text{MAPbI}_3 + \text{TiO}_2] \sim \text{MAPbI}_3$  provides the highest interfacial stability, indicated by decomposition temperature, as compared to  $[\text{MAPbI}_3 + \text{SnO}_2] > [\text{MAPbI}_3 + \text{NiO}]$  contacts. MAI DFT calculations and experiments showed the strongly bound  $\text{MAPbI}_3$  molecules on the  $\text{TiO}_2$  surface preventing further reactions [228]. This comes in agreement with the observed stress-free/undeformed  $\text{MAPbI}_3$  crystals deposited on  $\text{TiO}_2$  suggesting equivalent  $\text{MAPbI}_3$  bulk decomposition rates for  $[\text{MAPbI}_3 + \text{TiO}_2] \sim \text{MAPbI}_3$  for reduced interfacial recombination and improved device stability.



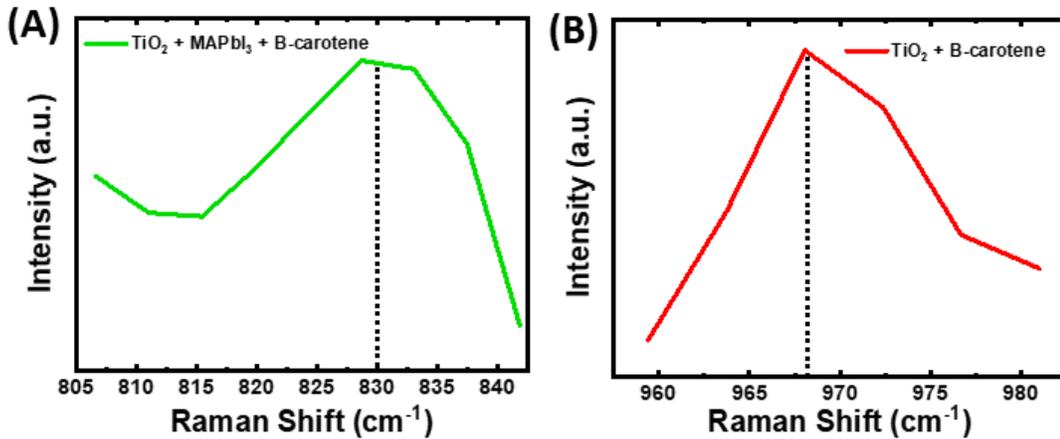
**Figure 4.2** Raman spectroscopy characterization of different nanostructured naturally-sensitized photoanodes: **(A)** ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>; **(B)** ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene, insets: optical image and scan location.



**Figure 4.3** Comparison of Raman bands observed in the four designed photoanode heterostructure surfaces showing energy-shifts (red- or blue-shifted) in TiO<sub>2</sub> and β-carotene when combined with MAPbI<sub>3</sub> cosensitizer.

It turned out that the interactions between perovskite and TiO<sub>2</sub> at 0 K are purely van der Waals, whereas I–Ti covalent bonds can form transiently at the interface at ambient temperatures according to time-domain DFT calculations. The strong interactions between the donor and acceptor species at CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/TiO<sub>2</sub> interface ensure fast electron injection completed within 100 fs. The covalent bonding contributes to the high efficiencies of perovskite-sensitized TiO<sub>2</sub> solar cells due to facilitated photoexcitation of charge-separated states and adiabatic electron transfer leading to ultrafast interfacial charge separation [229].

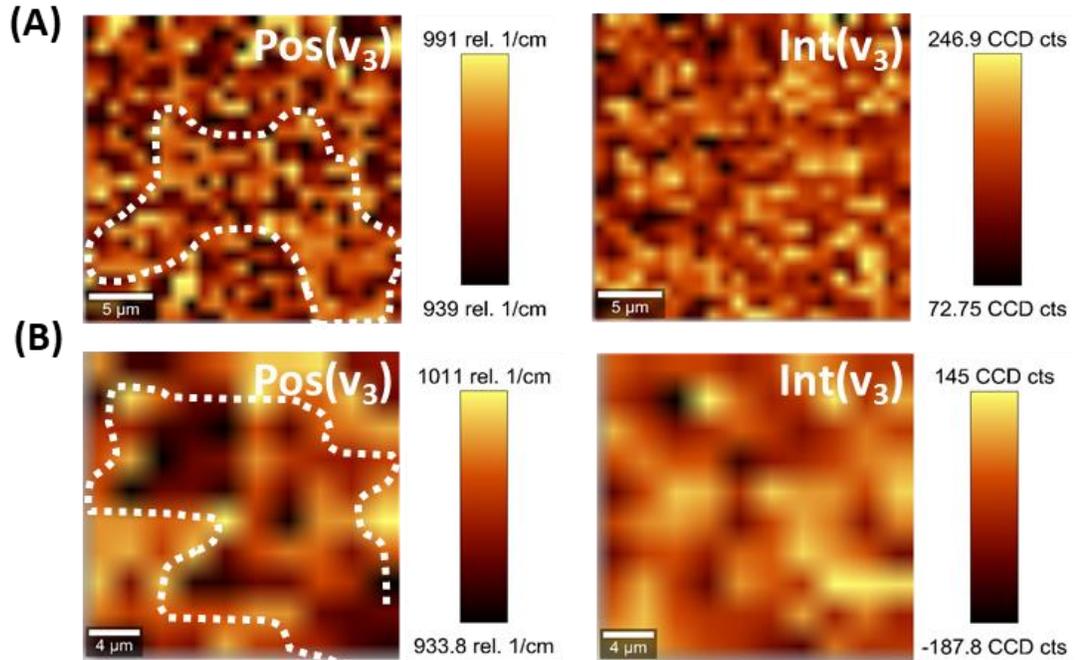
The Raman spectra of  $\beta$ -carotene with 11 conjugated double bonds ( $n = 11$ ) shows a weak band at  $970\text{ cm}^{-1}$  ( $\nu_3$ ) due to the in-plane rocking modes of the  $\text{CH}_3$  groups attached to the polyene chain and C-H bending (Figure 4.4) [230], [231]. Interestingly, when  $\beta$ -carotene was combined with either  $\text{TiO}_2$  and  $\text{MAPbI}_3$ , we observed that there is a strong red-shift in the weak band of  $\beta$ -carotene equivalent to  $-130\text{ cm}^{-1}$ . This red-shifting might indicate stresses in the  $\beta$ -carotene structure induced by hydrogen-bonding and/or dipole-dipole van der Waals interactions of C-H bond with  $\text{MAPbI}_3$  crystal lattice.



**Figure 4.4.** Zoom-in on the ( $\nu_3$ ) vibrational bands of  $\beta$ -carotene from C-H rocking: (A) ITO/ $\text{TiO}_2$ / $\text{MAPbI}_3$ / $\beta$ -carotene; (B) ITO/ $\text{TiO}_2$ / $\beta$ -carotene heterostructures.

#### 4.2.2 Uniformity of $\beta$ -Carotene Layer

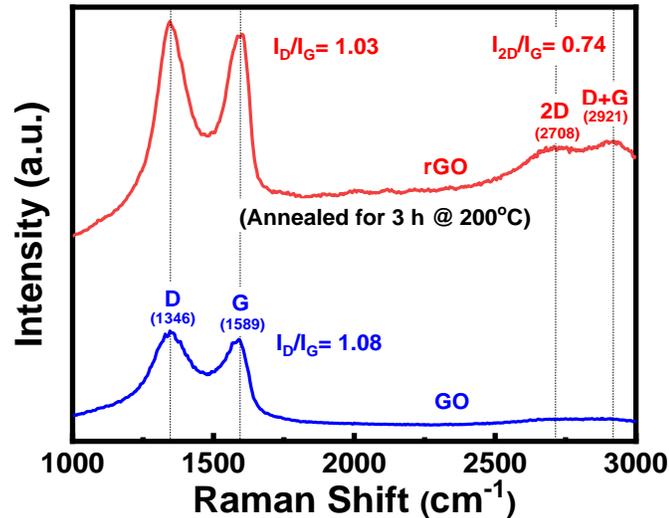
Area scans for the position (left) and intensity (right) of the  $\beta$ -carotene band at  $970\text{ cm}^{-1}$  in the two photoanodes (b)  $\text{TiO}_2/\beta$ -carotene and (d)  $\text{TiO}_2/\text{MAPbI}_3/\beta$ -carotene heterostructures (from Figure 3.3) are illustrated in Figure 4.5(A) and Figure 4.5(B), respectively, showing a uniform distribution of  $\beta$ -carotene on the  $\text{TiO}_2$  semiconductor surface. The slight discontinuities in the deposited  $\beta$ -carotene layers could be the reason behind the low current densities (lower rates of injected electrons) when  $\beta$ -carotene is added in the photoanode.



**Figure 4.5** (A) and (B) Area scans (using Raman spectroscopy) for  $\beta$ -carotene uniformity/layer-thickness on  $\text{TiO}_2$  and  $\text{TiO}_2/\text{MAPbI}_3$ , respectively, from peak position (left) and intensity (right).

### 4.2.3 rGO Cathode

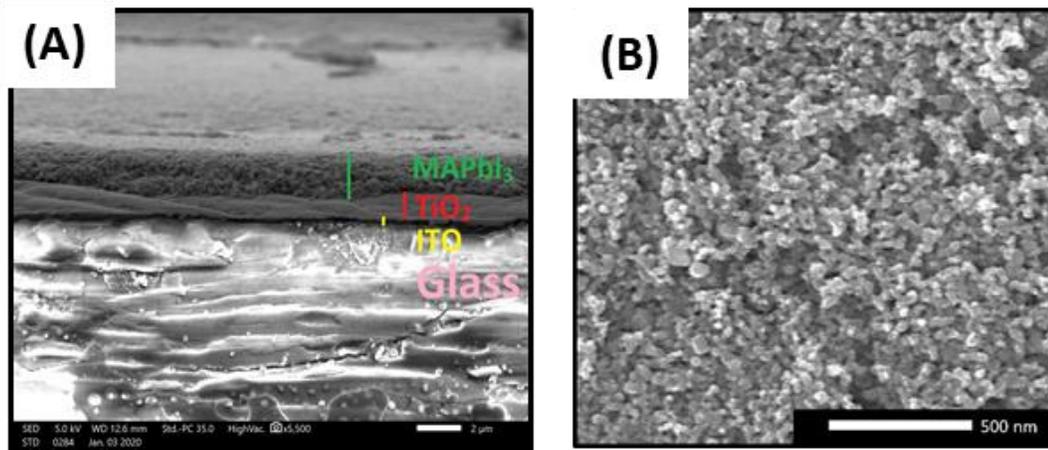
In order to build a cost-effective bio-DSSCs, we have further employed a natural carbon source to create rGO-based counter electrodes (CEs). GO and rGO CEs were characterized from D-peak at  $1346\text{ cm}^{-1}$  from in-plane ( $E_{2g}$ ) structural defects and disordered  $sp^2$  domains, G-peak at  $1589\text{ cm}^{-1}$  ( $A_{1g}$ ) from graphitized  $sp^2$ -hybridized C=C bonds, and 2D peaks at  $2708\text{ cm}^{-1}$  from  $sp^2$  hybridization [232], [233] with  $I_{2D}/I_G \approx 0.74$  confirming the existence of a multi-layered graphene structure (Figure 4.6).



**Figure 4.6** Single-spectrum Raman spectroscopy characterization for the synthesized GO and the annealed ITO/GO yielding in rGO-cathode electrodes.

### 4.3 Morphology and Cross-Section Characterization of Photoanode

The optimal designed cell structures with perovskite cosensitization is illustrated in Figure 3.3(A-[d]). FESEM and SEM images (Figure 4.7) show the uniformly deposited/annealed TiO<sub>2</sub> morphology on ITO and photoanode cross section layers characterization for quantifying each layer thickness: ITO~260 nm, TiO<sub>2</sub>~1.2 μm, and MAPbI<sub>3</sub>~2 μm. Since the annealing temperature of TiO<sub>2</sub> films was at 400 °C, anatase crystals are expected to form (300-700 °C) [234] (TiO<sub>2</sub> nanoparticles are observed as small and scattered crystals with typical ~40 nm grains), rather than rutile and brookite.



**Figure 4.7.** (A) FESEM and SEM showing sensitized/cosensitized spin-coated photoanode cross section and deposited layers with their approximated thicknesses; (B) Surface morphology of ITO/TiO<sub>2</sub> showing TiO<sub>2</sub> nanoparticles with average-diameter of 30-40 nm.

## Chapter 5: Device Fabrication for Diode and Photovoltaic Measurements

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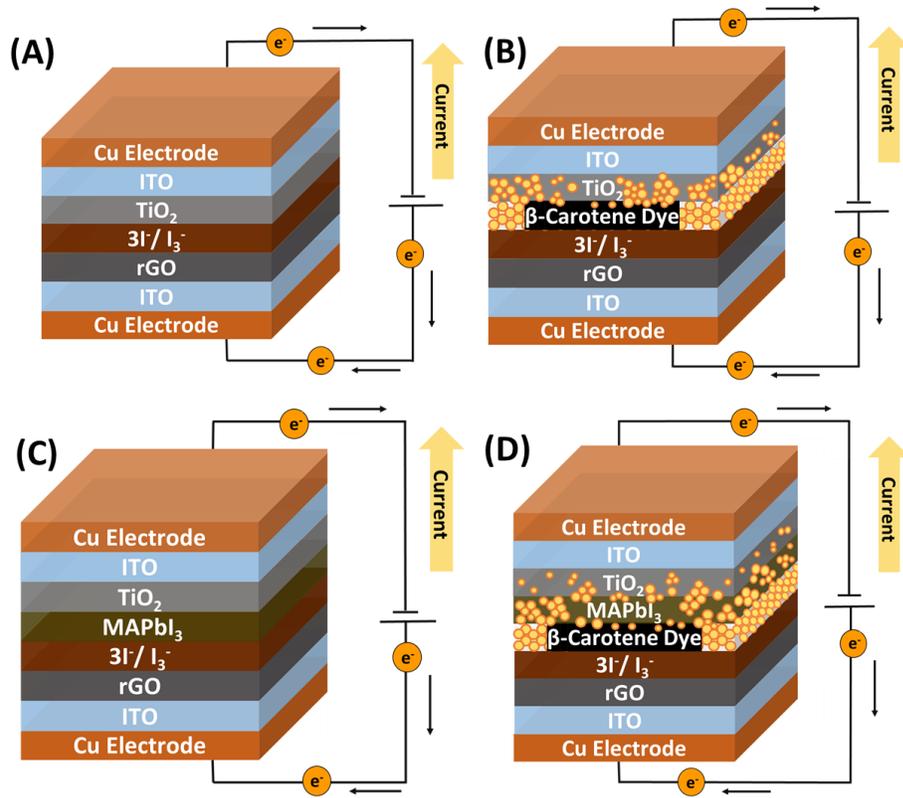
### 5.1 Selected Photoanode Designs for Cell Fabrication

Four DSSC cell designs are considered for the performance analysis:

- (a) ITO/TiO<sub>2</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO;
- (b) ITO/TiO<sub>2</sub>/ $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO;
- (c) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; and
- (d) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/ $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO;

as illustrated in Figure 5.1(A-D).

A drop of [I<sup>-</sup>/I<sub>3</sub><sup>-</sup>] liquid redox electrolyte was drop-casted on the TiO<sub>2</sub>-photoanode. Both photoanode and cathode were brought together while keeping the conductive ITO sides facing each other with a careful line-up of TiO<sub>2</sub>-anode on rGO-cathode. Once the line-up was satisfied, binder-clips were applied on the cell sides to hold the DSSCs in place. An offset was kept between the two electrodes to connect Cu-tapes electrical contacts at the positive and negative terminals creating a complete electric circuit ready for current-voltage (IV) analysis [212]. Diode and photovoltaic measurements were carried out in room-conditions with a source meter (Keithley 2612); current density versus voltage (IV) measurements of designed solar cells were performed under 100 mW/cm<sup>2</sup> illuminations (AM1.5G).



**Figure 5.1** Selected designs and fabricated bio-DSSCs: (A) ITO/TiO<sub>2</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (B) ITO/TiO<sub>2</sub>/β-carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (C) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; and (D) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO.

## 5.2 Diode Characteristics of Bio/Co-Sensitized DSSCs

### 5.2.1. Equivalent Circuit Single-Diode Model

An equivalent circuit model resembling the single-diode model for DSSCs was proposed by Sarker et al. [235] to relate possible interfacial resistances in DSSCs to the produced cell current and voltage. Total cell current ( $I_{cell}$ ) may be obtained from applying Kirchhoffs's voltage (KVL) and current (KCL) laws to the circuit model, Figure 5.2(A), in order to get Eq. (1) where  $I_{ph}$ ,  $I_0$ ,  $I_r$ , and  $I_{sh}$  refer to cell photo-generated current, dark saturation current, back reaction (recombination) current, and shunt (leakage) current, respectively. Photoanode electrode voltage ( $V_{PE}$ ) and  $I_{sh}$  are defined in previous works as shown in Eq. (2). Series resistance ( $R_s$ ), shunt resistance ( $R_{sh}$ ), recombination resistance

( $R_r$ ), and applied cell voltage ( $V_{cell}$ ) in DSSCs play an important role in controlling both  $V_{PE}$ ,  $I_{sh}$ , and therefore  $I_{cell}$ . Further, recombination current ( $I_r$ ) can be determined from Eq. (3) which was obtained from a Boltzmann-like distribution of electrons and  $V_{PE}$  that is the difference between electrons Fermi level and electrolyte redox potential. Hence, plugging both Eq. (2) and Eq. (3) in Eq. (1) will yield in Eq. (4) [235] that is the equivalent circuit model for DSSCs; where  $q$  ( $1.602 \times 10^{-18}$  C) is the electron charge,  $n$  is the ideality factor,  $k_B$  is Boltzmann constant ( $1.38 \times 10^{-23}$  J K<sup>-1</sup>) and  $T$  is the absolute temperature.

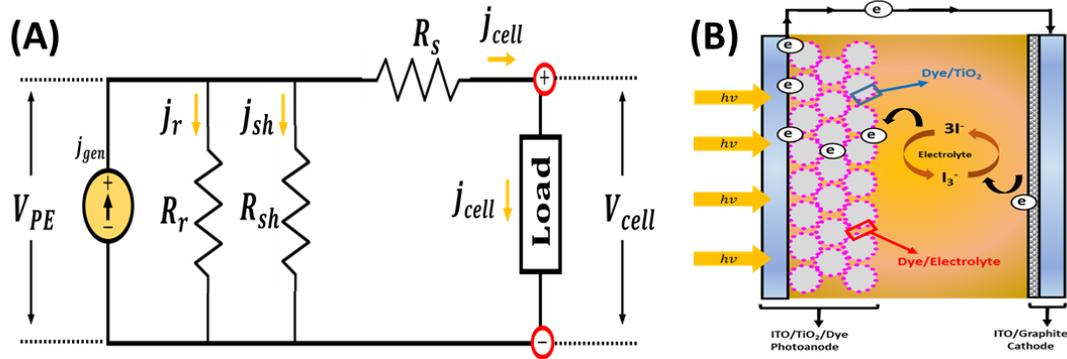
$$I_{cell} = I_{ph} + I_0 - I_r - I_{sh} \quad (1)$$

$$V_{PE} = V_{cell} + I_{cell}R_s \quad ; \quad I_{sh} = \frac{V_{PE}}{R_{sh}} \quad (2)$$

$$I_r = I_0 \exp\left(\frac{qV_{PE}}{nk_B T}\right) \quad (3)$$

$$I_{cell} = I_{ph} - I_0 \left[ \exp\left(\frac{q(V_{cell} + I_{cell}R_s)}{nk_B T}\right) - 1 \right] - \frac{V_{cell} + I_{cell}R_s}{R_{sh}} \quad (4)$$

The determined circuit model is comparable to the diode model equation for solar cells as explained in previous literature [236]–[238]. Both  $R_s$  and  $R_{sh}$  in DSSCs arise from the interfacial contact resistances between the phase contacts in the compact semiconductor layer [239] influencing electron recombination; Figure 5.2(B), specifically at the three interfaces: (i) TiO<sub>2</sub>/electrolyte, (ii) TiO<sub>2</sub>/dye, and (iii) ITO/electrolyte [82], [84], [240], [241]. Contact and bulk resistances from  $R_s$  and defect resistance from  $R_{sh}$  are both responsible for increasing recombination rates, shortening electron lifetime, and therefore decreasing the overall cell efficiency.



**Figure 5.2** (A) Equivalent circuit and/or single-diode model for DSSCs, ( $J=I/\text{Area}$ ); (B)  $\text{TiO}_2$  photoanode compact layer in DSSCs.

Eq. (4) can be simplified further to estimate the DSSCs open-circuit voltage ( $V_{oc}$ ) from the following assumptions [235] (i) there is an infinite value of  $R_{sh}$ , (ii)  $I_{cell} = 0$ , (iii)  $V_{cell} = V_{oc}$ , and ( $I_{ph} \gg I_0$ )  $\rightarrow (I_{ph} + I_0 \approx I_{ph})$ ; which will result in Eq. (5).

$$V_{oc} = \frac{nk_B T}{q} \ln \left( \frac{I_{ph}}{I_0} \right) \quad (5)$$

Similarly, Eq. (4) can be simplified further to estimate the DSSCs short-circuit current ( $I_{sc}$ ) from the following assumptions [235] (i) there is an infinite value of  $R_{sh}$ , (ii)  $I_{cell} = I_{sc}$ , (iii)  $V_{cell} = 0$ , and ( $I_{ph} \gg I_0$ )  $\rightarrow (I_{ph} + I_0 \approx I_{ph})$ ; which will result in Eq. (6).

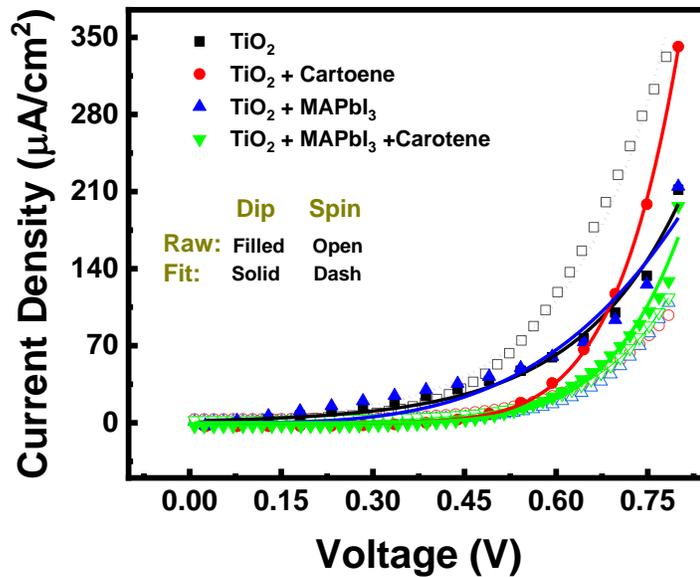
$$I_{sc} = I_{ph} - I_0 \exp \left( \frac{q I_{sc} R_s}{nk_B T} \right) \quad (6)$$

For ideal systems: ( $R_s \rightarrow 0$ ); hence, Eq. (6) becomes Eq. (7).

$$I_{sc} = I_{ph} - I_0 \quad (7)$$

### 5.2.2. Results and Discussion

In order to quantify the diode and photovoltaic parameters, thermionic emission (TE) [242] and Cheung's methods [243] are typically used. The cell diode parameters were determined from the exponential fitting of dark current density-voltage (J-V) data (dark current) following the TE model:  $J_D = J_0 \exp\left(\frac{qV}{nk_B T}\right)$ , (Figure 5.3), where symbols definitions are as follows:  $q$  ( $1.602 \times 10^{-19}$  C) is the electron charge,  $n$  is the ideality factor,  $k_B$  is Boltzmann constant ( $1.38 \times 10^{-23}$  J K<sup>-1</sup>),  $T$  is the absolute temperature (298 K),  $J_D$  is diode-generated current, and  $J_0$  is dark saturation current.



**Figure 5.3** Exponential fitting of forward-current using the TE diode model for analyzing diode ideality ( $n$  factors) for  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs.

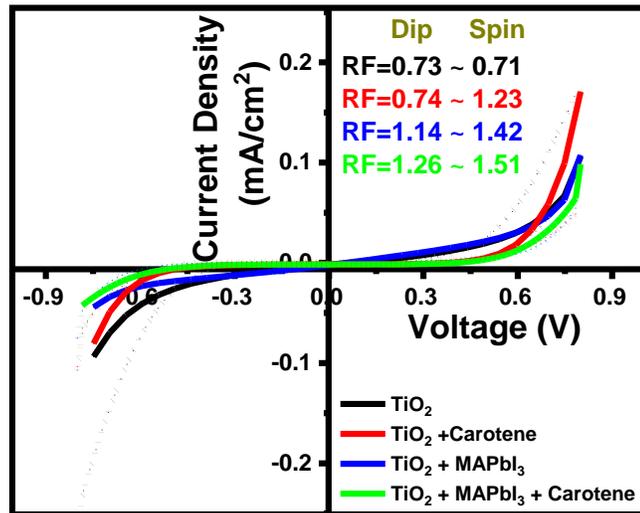
The diode ideality factor ( $n$ ) approached unity by MAPbI<sub>3</sub> and/or  $\beta$ -carotene photoanode sensitization reaching optimal  $n=4-5$ , due to reduced current leakage ( $J_0$ ). Dark saturation currents ( $J_0$ ) are found to approach the nA range when adding MAPbI<sub>3</sub> and/or  $\beta$ -carotene yielding in less leakage current, Table 5.1 or Figure 5.8 for spin-coated

photoanode cells. Lower  $n$  value indicates better electron transport from reduced recombination at the interfacial contacts. Reproducibility of the diode curves was obtained for the same photoanode (see Appendix A, Figure A1). Sensitized/cosensitized DSSCs diodes showed the highest  $RF \approx 1.2-1.5$  indicating a two-fold increase in [forward/reverse] currents compared to only-TiO<sub>2</sub> photoanodes (Figure 5.4). ITO/TiO<sub>2</sub> Schottky barrier height ( $SBH = \phi_{SBH}$ ) was also diminished matching the expected high  $V_{oc}$  (Figure 5.7).

**Table 5.1.** Determined diode parameters and  $\phi_{SBH}$  (at the ITO/TiO<sub>2</sub> interface) in different DSSCs using various naturally-sensitized and/or cosensitized photoanode architectures.\*

(SN) Photoanode Architecture	Coating	$J_0$ ( $\mu A$ )	$n$	RF	$\phi_{SBH}$ (V)	$J_1$ ( $\mu A$ )	$J_2$ ( $\mu A$ )
(a) TiO <sub>2</sub>	Dip	2.280	5.97	0.73	0.607	0.274	0.150
	Spin	1.870	6.62	0.71	0.607	0.143	0.150
(b) TiO <sub>2</sub> + $\beta$ -Carotene	Dip	0.364	5.40	0.74	0.559	N/A	N/A
	Spin	<b>0.002</b>	<b>5.00</b>	1.23	0.557	N/A	N/A
(c) TiO <sub>2</sub> + MAPbI <sub>3</sub>	Dip	<b>0.006</b>	<b>4.03</b>	1.14	<b>0.542</b>	<b>423.2</b>	<b>1.330</b>
	Spin	0.041	10.76	1.42	0.542	3.988	1.170
(d) TiO <sub>2</sub> + MAPbI <sub>3</sub> + $\beta$ -Carotene	Dip	0.173	4.67	<b>1.26</b>	0.544	N/A	N/A
	Spin	0.081	5.47	<b>1.51</b>	<b>0.528</b>	N/A	N/A

\* $R^2 > 0.99$  in the different cells using TE diode equation against curves exponential fitting [ $y = a \exp(bx)$ ];  $\phi_{SBH}$  at ITO/TiO<sub>2</sub> from Eq. (19) from the measured  $J_{sc}$  and  $V_{oc} = V_1$  reported in Table 5.3;  $J_1$  is the apparent current density in both TiO<sub>2</sub> and MAPbI<sub>3</sub>,  $J_2$  is the total apparent current density in the electrolyte solution [ $I^-/I_3^-$ ] from electron diffusion, calculated @ corresponding reported diffusion coefficients ( $D_e$ ) and charge/electron densities or number of electrons ( $n_e$ ) of materials from literature (see section 6.2.2).



**Figure 5.4** Diode behavior and rectification factors (RFs) for  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs with highest  $RF \approx 1.5$  reserved for spin-coated photoanode with sensitized/cosensitized DSSC reducing dark saturation currents ( $J_0$ ).

## 5.3 Photovoltaic Performance of Bio/Co-Sensitized DSSCs

### 5.3.1. Photoelectrochemical Performance Parameters

The photoelectrochemical performance of various designs of DSSCs using different electrodes, semiconductors, and dye photosensitizers is measured from four typical parameters, including: (i) the short-circuit current, (ii) the open-circuit voltage, (iii) fill factor, and (iv) conversion efficiency.

The short-circuit current ( $I_{sc}$ ) is the maximum current from a DSSC which occurs at zero voltage (i.e. short-circuited solar cell), and can be determined from the IV curve and/or calculated from Eq. (8) [84], [244]; where  $q$  ( $1.602 \times 10^{-19}$  C) is the electron charge,  $G$  is the generation rate,  $L_n$  and  $L_p$  are the electron and hole diffusion lengths respectively, IPCE is the incident photon-to-current-efficiency and  $\Phi_0$  is the incident photoflux both over the spectral distribution as a function of light wavelength ( $\lambda$ ) in nm, and  $r(\lambda)$  is the incident light loss [84], [244].

The short-circuit current arises from the generation and collection of light-generated carriers and it depends on various critical parameters including (i) the area of the DSSC (it is more common to report current density in mA/cm<sup>2</sup> to eliminate the dependence on area), (ii) the number of directed and/or delivered photons (i.e. light intensity), (iii) the spectrum of the incident light which is usually standardized to AM1.5, (iv) the DSSC optical properties like carriers collection and light absorption, and (v) surface collection probability which is associated with surface passivation, electron and hole (carriers) diffusion length and their lifetime. The short-circuit current usually decreases with increasing bandgap energy ( $E_g$ ) and increases with increasing generation of light-generation carriers [244].

$$I_{sc} = qG(L_n + L_p) = q \int IPCE(\lambda)\Phi_0(\lambda)(1 - r(\lambda))d\lambda \quad (8)$$

The open-circuit voltage ( $V_{oc}$ ) is the maximum voltage from a DSSC which occurs at zero current, and can be determined from the IV curve and/or calculated from Eq. (9) [84], [244]; where  $q$  ( $1.602 \times 10^{-19}$  C) is the electron charge,  $n$  is the ideality factor, ( $k_B = k$ ) is Boltzmann constant ( $1.38 \times 10^{-23}$  J K<sup>-1</sup>),  $T$  is the absolute temperature,  $I_{ph}$  and  $I_0$  are photo-generated and dark-generated saturation currents respectively,  $\eta$  is the quantum yield of photo-generated electrons for the given incident photoflux ( $\Phi_0$ ),  $n_0$  represents the electron density on the conduction band of TiO<sub>2</sub> in the dark, while  $k_{et}$  reflects the recombination reaction rate for the given triiodide concentration [ $I_3^-$ ] [84], [244].

The open-circuit voltage arises from the forward bias in the solar cell junction due to light intensity, and it decreases with an increase in the amount of recombination of the generated carriers (electron-hole pairs) in the DSSC and increases with an increase in the band gap energy ( $E_g$ ) [244]. Theoretically, the open-circuit voltage corresponds to the energy difference between the Fermi level (from the illumination of the solid semiconductor in the DSSC) and the Nernst potential (of the redox couple in the electrolyte). However, the energy difference between the conduction band edge and the redox couple, in reality, is smaller than the expected difference from the theory which lead to lowering the observed open-circuit voltage; and this is due to the inevitable competition that arises between electron transfer and charge recombination pathways [81].

$$V_{oc} = \frac{nkT}{q} \ln \left( \frac{I_{ph}}{I_0} + 1 \right) = \frac{kT}{q} \ln \left( \frac{\eta\Phi_0}{n_0k_{et}[I_3^-]} \right) \quad (9)$$

The fill factor (FF) parameter, in conjunction with  $V_{oc}$  and  $I_{sc}$ , gives us an estimation of the maximum possible power from a DSSC and/or squareness of the IV curve which can be calculated from Eq. (10) [50], [82], [244], where the subscript mp refers to the maximum point. The FF is defined as the ratio of the maximum power ( $P_{max}$ ) from the DSSC to the product of  $V_{oc}$  and  $I_{sc}$  and it can take values between 0 and 1 [81]. The maximum power from the DSSC is reached when the product of the current and the voltage is maximal [52]. The measure of the squareness of the IV curve of the DSSC is directly associated with the FF parameter, and it is preferred to have the largest possible rectangle area in the IV curve for achieving higher FF and thereby higher cell efficiency (Figure 5.5) [244]. The FF reflects both electrical and electrochemical losses occurring during the DSSC operation [81], and it is proportionally related to the applied voltage and inversely proportional to carriers recombination rate [244]. The FF is also capable of measuring the junction quality and series resistance of the DSSC [50].

$$FF = \frac{I_{mp} \times V_{mp}}{I_{sc} \times V_{oc}} = \frac{P_{max}}{I_{sc} \times V_{oc}} \quad (10)$$

The solar-to-electrical conversion efficiency ( $\eta$ ) of the DSSC is the most common parameter to identify and compare between performances of various cell designs. Solar-to-energy conversion efficiency is defined as the ratio of the output energy from the DSSC to the input power ( $P_{in}$ ) from sunlight energy (usually with a simulated solar irradiation of AM1.5) and can be calculated from Eq. (11) [50], [82], [244]. The conversion efficiency of a DSSC depends on the spectrum and intensity of the incident sunlight as well as the temperature of the DSSC; hence, these parameters must be carefully controlled and optimized to obtain credible results for comparison purposes [244]. Incident photon-to-

current conversion efficiency (IPCE) and/or external quantum efficiency (EQE), Eq. (12) [81], are some of the other equivalent names of the above-discussed conversion efficiency which, as stated previously, determines how efficient is the DSSC in converting incident light into electrical energy at a given wavelength. The IPCE can be determined using Eq. (12), where  $LHE(\lambda)$  is the light-harvesting efficiency at wavelength  $\lambda$ ,  $\varphi_{inj}$  is the quantum yield for electron injection from the excited sensitizer in the conduction band of the  $TiO_2$ , and  $\eta_{coll}$  is the efficiency for the collection of electrons [81].

$$\eta = \frac{I_{sc} \times V_{oc} \times FF}{P_{in}} \times 100\% = \frac{P_{max}}{P_{in}} \times 100\% \quad (11)$$

$$IPCE(\lambda) = \frac{\text{Photocurrent density}}{\text{Wavelength} \times \text{Photon flux}} = LHE(\lambda) \times \varphi_{inj} \times \eta_{coll} \quad (12)$$

IPCE has to be increased to enhance light absorption over a longer wavelength range. It is worth to mention that there is a difference between EQE and internal quantum efficiency (IQE); basically, EQE is the ratio of the number of collected carriers to the number incident photons while IQE is the ratio of the number of collected carriers to the number of absorbed photons [49], [50], [81], [82]. Table 5.2 summarizes the four common photoelectrochemical parameters used to evaluate DSSCs performance.

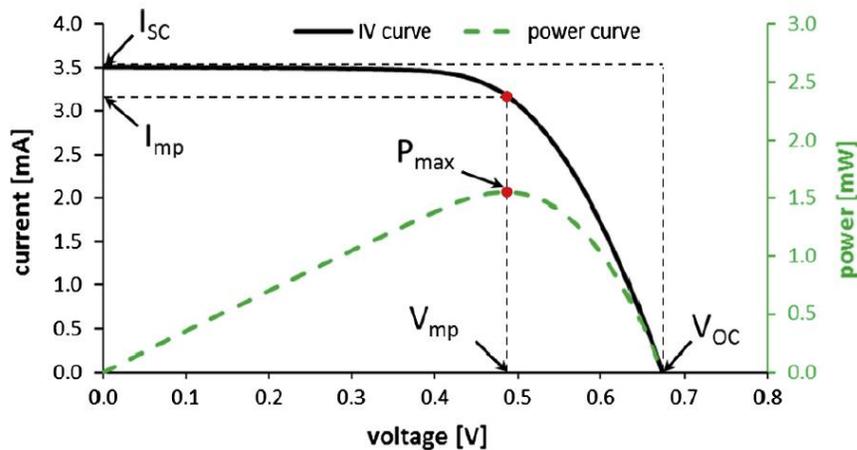
**Table 5.2** Typical photoelectrochemical parameters for the determination of DSSCs performance

Parameter	Definition	Equation
Short-circuit current ( $I_{sc}$ )	Max current at zero voltage	(8)
Open-circuit voltage ( $V_{oc}$ )	Max voltage at zero current	(9)
Fill factor (FF)	Squareness of the IV curve	(10)
Conversion efficiency ( $\eta$ ) <sup>a</sup>	Output power over input	(11) and (12)

<sup>a</sup>  $\eta = IPCE = EQE$

### 5.3.2. IV Curves and Diode Resistive Effects

IV curves (Figure 5.5) and equivalent circuits for the DSSCs are very useful tools for the analysis of DSSC devices in order to improve cell performance and understand the solar cell mechanism. A diode-like behavior is applicable to DSSCs due to the presence of a charge transport at the interface of semiconductor/dye/electrolyte [45]; hence, the DSSCs mechanism can be simply expressed from the equivalent circuit of the single diode model as shown in Figure 5.2(A) and Eq. (4). The major benefit from using the equivalent circuit model is to avoid the tedious use of various chemical and physical parameters, and instead to use a combination of a minimal number of electrical circuit elements for describing the DSSC system. However, the single diode model cannot explain the effect of the capacitance (which reflects the existence of a double-charged layer known as Helmholtz layer at the semiconductor/electrolyte interface) and/or unsteady state conditions on the cell performance [82].



**Figure 5.5** Photocurrent-voltage characteristics (I-V curve) of a DSSC; photosensitizer: ruthenium (Ru) complex dye N719, liquid electrolyte: redox couple  $[I^-/I_3^-]$ ; Adapted and modified from [52].

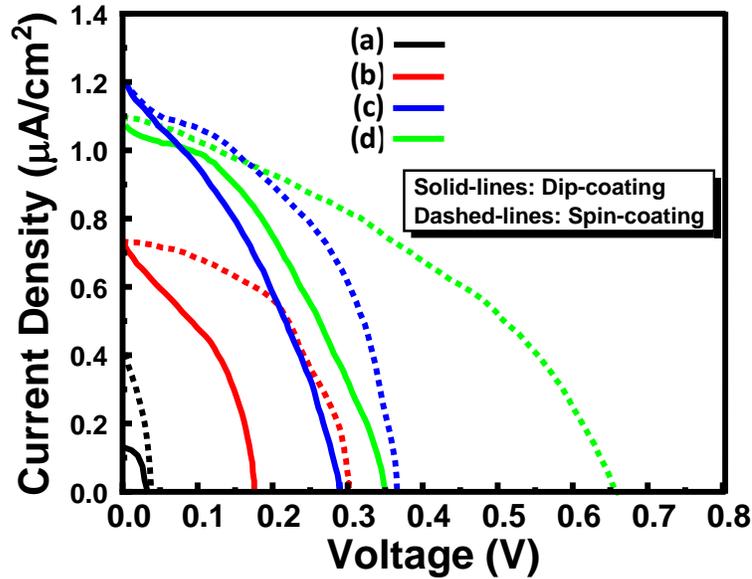
Resistive effects in the DSSCs may reduce cell efficiency by dissipating power in the resistance; a parasitic resistance which includes  $R_s$  and  $R_{sh}$  can reduce FF due to either high  $R_s$  and/or low  $R_{sh}$  values. Light-generated current can flow through an alternative current path when there is a low shunt resistance which causes a high solar power loss. Diversion in the current flow reduces the amount of current flowing through the solar cell junction which results in lower voltage (due to the lower forward bias) from the DSSCs. Series resistance arises from emitter/base current movement as well as interface and top/rear contacts; shunt resistance typically arises from manufacturing and/or fabrication defects. Series resistance is preferred to be as low as possible while shunt resistance is preferred to be as high as possible in order to achieve the maximum power output from the DSSCs [82], [244].

### 5.3.3. Results and Discussions

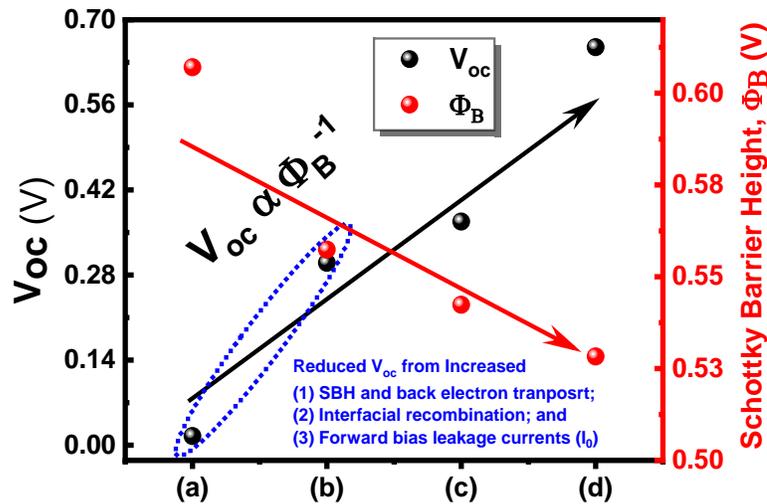
The J-V curves of the architecturally designed DSSCs with different photoanodes are plotted in Figure 5.6, with the obtained photovoltaic parameters and cell performance reported in Table 5.3. The involvement of both MAPbI<sub>3</sub> +  $\beta$ -carotene as a cosensitizer and a sensitizer, respectively, resulted in increasing both  $J_{sc}$  and  $V_{oc}$  leading to a higher fill factor (FF) and PCE ( $\eta$ ).

**Table 5.3.** DSSCs photovoltaic parameters in DSSCs using different photoanode heterostructures.

(SN) Photoanode Architecture	Coating	$R_s$ (k $\Omega$ )	$R_{sh}$ (k $\Omega$ )	$V_{oc}$ (V)	$J_{sc}$ ( $\mu$ A/cm <sup>2</sup> )	FF	$\eta$ (%)
(a) TiO <sub>2</sub>	Dip	< 33.3	55.56	0.015	0.15	0.24	5.47 x 10 <sup>-7</sup>
	Spin	< 4.76	5.56	0.015	0.15	0.32	7.11 x 10 <sup>-7</sup>
(b) TiO <sub>2</sub> + $\beta$ -Carotene	Dip	83 – 129	37.04	0.171	0.80	0.30	4.16 x 10 <sup>-5</sup>
	Spin	83 – 129	47.62	0.300	0.72	0.38	8.22 x 10 <sup>-5</sup>
(c) TiO <sub>2</sub> + MAPbI <sub>3</sub>	Dip	<b>55 – 84</b>	33.33	0.290	<b>1.33</b>	0.33	1.29 x 10 <sup>-4</sup>
	Spin	111 – 168	33.33	0.368	<b>1.17</b>	0.48	2.05 x 10 <sup>-4</sup>
(d) TiO <sub>2</sub> + MAPbI <sub>3</sub> + $\beta$ -Carotene	Dip	<b>51 – 83</b>	41.67	<b>0.341</b>	1.14	<b>0.36</b>	<b>1.40 x 10<sup>-4</sup></b>
	Spin	102 – 167	<b>166.67</b>	<b>0.655</b>	1.10	<b>0.63</b>	<b>4.56 x 10<sup>-4</sup></b>



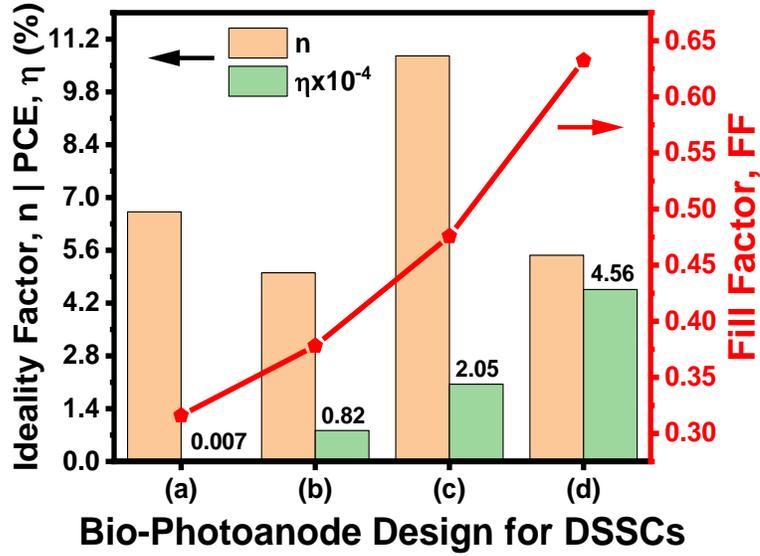
**Figure 5.6** J-V curves and photovoltaic effect for  $\beta$ -carotene-sensitized and/or  $\text{MAPbI}_3$  cosensitized DSSCs with highest  $V_{oc} \approx 0.66$  V reserved for DSSC with spin-coated sensitized/cosensitized photoanode.



### Bio-Photoanode Design for DSSCs

**Figure 5.7** Relationship between  $V_{oc}$  and  $\text{ITO}/\text{TiO}_2 \phi_{SBH}$  in spin-coated samples showing reduced  $\phi_{SBH}$  from addition of  $\text{MAPbI}_3$  cosensitizers resulting in high built-in potential and upward shifting of  $\text{TiO}_2$  Fermi level for  $\beta$ -carotene-sensitized and/or  $\text{MAPbI}_3$  cosensitized DSSCs, or as shown for the various DSSCs with different spin-coated photoanodes (a)-(d) from Figure 5.6 (or from Figure 5.1).

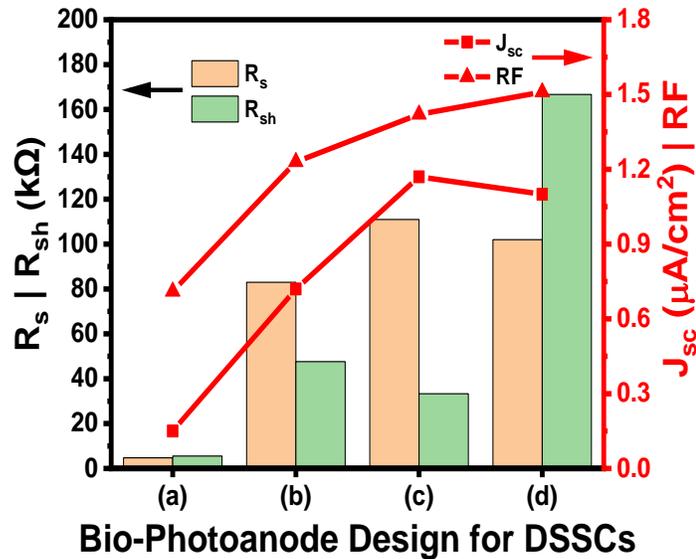
The reason behind the enhanced voltage is attributed to the presumed upward shifting in TiO<sub>2</sub> Fermi level due to increased number of injected electrons *via* MAPbI<sub>3</sub> cosensitization (lower TiO<sub>2</sub> work function decreases  $\phi_{SBH}$  at ITO/TiO<sub>2</sub> blocking back-electron transport for minimized voltage loss, Figure 5.7). However, the high currents in perovskites-sensitized cells are attributed to (i) low J<sub>0</sub> and less leakage, (ii) enhanced exciton generation (e-h pairs) by the dye/perovskite molecules, and (iii) facilitated electron injection and charge separation at [MAPbI<sub>3</sub>+dye]/TiO<sub>2</sub>. Cosensitization with MAPbI<sub>3</sub> resulted in higher J<sub>sc</sub> owing to low R<sub>s</sub> allowing easy e<sup>-</sup> injection from enhanced exciton generations and photon absorption. Reproducibility of the JV-curves has been also considered (see Appendix A, Figure A2 and A3). FF values have increased from 0.24 to 0.36 and from 0.32 to 0.63 when TiO<sub>2</sub> nanoparticles were interfaced with [MAPbI<sub>3</sub> +  $\beta$ -carotene] using dip-coated and spin-coated (Figure 5.8) photoanodes, respectively, in the different designed cell architectures, Figure 5.1(A-D), giving us a maximum PCE of (1.40–4.56)×10<sup>-4</sup>% (three-order-of-magnitudes higher than that of non-sensitized DSSCs).



**Figure 5.8** Calculated ideality factor ( $n$ ), power conversion efficiency (PCE), and fill factor (FF) for  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs, or as shown for the various DSSCs with different spin-coated photoanodes (a)-(d) from Figure 5.6.

#### 5.4 Series and Shunt Resistances of Bio/Co-Sensitized DSSCs

Series resistance ( $R_s$ ) and shunt resistance ( $R_{sh}$ ) were estimated from the linear relations obtained from the dark diode curves using Cheung's methods [243], [245] equation:  $\frac{dV}{d\ln(I)} = IR_s + \left(\frac{nk_B T}{q}\right)$ , and the J-V curves as  $R_s = -[\Delta V_{oc}/\Delta I_{oc}]$  and  $R_{sh} = -[\Delta V_{sc}/\Delta I_{sc}]$ , respectively, where  $I=J$  here, and subscripts: oc and sc refer to open-circuit and short-circuit, respectively [246].



**Figure 5.9**  $R_s$ ,  $R_{sh}$ ,  $J_{sc}$ , and RF observed in  $\beta$ -carotene-sensitized and/or MAPbI<sub>3</sub> cosensitized DSSCs, or as shown for the various DSSCs with different spin-coated photoanodes (a)-(d) from Figure 5.6.

From the J-V curves, maximum power points were determined and taken as reference to obtain the two linear lines at  $V_{oc}$  and  $J_{sc}$  locations. For efficient cells and high currents,  $R_s$  should be low for better electron injection and  $R_{sh}$  must be high enough to prevent current leakage. Both [MAPbI<sub>3</sub>+ $\beta$ -carotene] enhanced  $R_{sh}$  (but with increased  $R_s$ ) yielding in relatively high RF and  $J_{sc}$  (Figure 5.9).  $V_{oc}$  has been improved from the noticeable decrease in  $\Phi_{SBH}$  at ITO/TiO<sub>2</sub> (from 0.61 to 0.53 eV) from addition of both [MAPbI<sub>3</sub> +  $\beta$ -carotene] sensitizers which prevented back-electron transport, and increased excitons generation and electron injection rates (Table 5.1). The sensitized/cosensitized photoanode active layers and the other designed cell architectures including  $\beta$ -carotene are illustrated in Figure 5.1(A-D).

## Chapter 6: Photoanode UV-Vis Absorbance and Charge Transport

### Mechanisms

Maddah, H. A., Aryadwita, L., Berry, V., & Behura, S. K. (2020). Perovskite Cosensitization for Reduced Voltage Loss in Naturally-Sensitized Solar Cells, *Nano Energy*, Under-Review.

#### 6.1 UV-Vis Absorbance and Tauc Plots for Photosensitizers Bandgaps Estimation

##### 6.1.1 Introduction

Ultraviolet-visible (UV-Vis) spectroscopy is a common analytical technique that passes light through a sample and the transmittance of light by a sample is measured. This would also allow to detect nearly every molecule in the sample. From the transmittance (T), the absorbance can be calculated as  $A = -\log(T)$ . An absorbance spectrum is obtained that shows the absorbance of a compound at different wavelengths. The amount of absorbance at any wavelength is due to the chemical structure of the molecule. Most known molecules can absorb light energy in the UV-Vis wavelength range. The UV extends from 100–400 nm and the visible spectrum from 400–700 nm; whereas the 100–200 nm range is called the deep UV. Typical UV-Vis spectrometers use a deuterium lamp for the UV that produces light from 170–375 nm and a tungsten filament lamp for visible, which produces light from 350–2500 nm [247]. When a UV-visible light photon hits a molecule and is absorbed, the molecule is promoted into a more excited energetic state such that from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO). Bandgap is the energy difference between the HOMO and the LUMO and/or bonding and anti-bonding orbitals. Each material has its unique bandgap based on its chemical and molecular structures. The most common transitions that fall in the UV-Vis range are  $\pi-\pi^*$  and  $n-\pi^*$ . The  $\pi$  orbitals arise due to double bonds, and the n orbitals are for non-bonding electrons. The  $\pi^*$  are anti-bonding pi orbitals. Therefore, the best UV-Vis

absorption is by molecules that contain double bonds. Typically, absorption increases with many  $\pi$  orbitals adjacent to each other and are connected creating conjugation. Absorption follows Beer's Law,  $A=\epsilon bC$  where  $\epsilon$  is the molar attenuation coefficient,  $b$  is path length, and  $C$  is concentration. The molar attenuation coefficient is the characteristic of an individual compound to absorb at a given wavelength and this property is due to functional groups, conjugation, etc. Path length is generally related to the size of the cuvette and is 1 cm in standard spectrophotometers. The absorbance wavelength can be chosen using a filter or a monochromator, which is a device that separates the wavelengths of light spatially and then places an exit slit where the desired wavelength of light is [247].

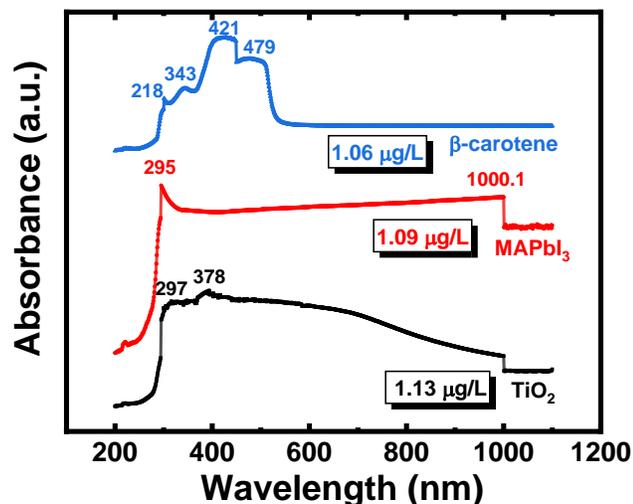
#### 6.1.2 Samples Preparation

Absorption spectrum analysis were carried out for the active photoanode materials (MAPbI<sub>3</sub>, TiO<sub>2</sub>, and  $\beta$ -Carotene), which typically absorb photons in the UV-Vis spectrum. Absorption spectrums of photoactive materials were measured using UV-Vis spectrometer (SSEYL UV-5100,  $\pm 2$ nm). Deionized water was used as a reference solution for the baseline of the absorption spectrum of MAPbI<sub>3</sub> and TiO<sub>2</sub>, whereas THF-solvent was used as a reference solution for  $\beta$ -carotene absorption measurements. First, DI-water (10 mL) reference solution spectrum was measured for baseline correction. Then, a drop of MAPbI<sub>3</sub> or TiO<sub>2</sub> was added to one of the two separate cuvettes filled with DI (10 mL), put in front of the UV-Vis light to measure the absorption spectrum. Similarly, a drop of  $\beta$ -carotene was added to THF-solvent (10 mL) reference solution for  $\beta$ -carotene spectrum analysis. Three runs of each solution were carried out for accurate measurements. Lastly, calculations of the cumulative fit peak for all three solutions results were done to check for the photoanode optimal wavelength absorption abilities as well as absorption maximums.

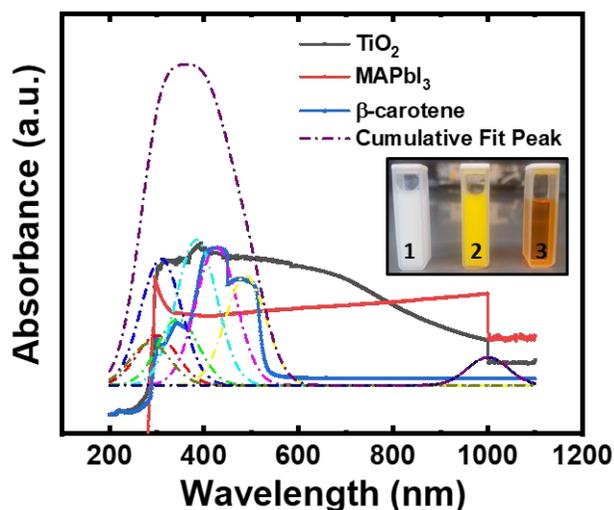
### 6.1.3 Results and Discussion

Tauc plots for the wide bandgap (WBG) semiconductor and the sensitizers (Figure 6.3, Figure 6.4, and Figure 6.5), obtained from UV-Vis absorbance curves (Figure 6.1 and Figure 6.2) and the linearized Tauc Equation  $\alpha h\nu = A(h\nu - E_g)^{1/2}$  [248], are used to fit the absorption spectrum for direct allowed transition bandgaps of TiO<sub>2</sub>, MAPbI<sub>3</sub>, and  $\beta$ -carotene. Despite that MAPbI<sub>3</sub> is considered a direct bandgap semiconductor due to the high VB density of state, an indirect bandgap exists from spin-orbit coupling owing to CB Rashba-splitting [249]; where  $\alpha$  is the absorption coefficient,  $h\nu$  is the photon energy,  $A$  is a constant, and  $E_g$  is the bandgap;  $\alpha$  is calculated from:  $\alpha = (2.303t) * OD$  where  $t$  is the thickness of the quartz cuvette (light path), 2.303 is the conversion constant between  $\log(x)$  and  $\ln(x)$  and  $OD$  is the optical density (UV/Vis absorbance) [249].

Absorbance curves from Figure 6.1 showed maximum absorption ( $\lambda_{max}$ ) for TiO<sub>2</sub> at 297, 378 cm<sup>-1</sup>, MAPbI<sub>3</sub> at 295, 1000.1 cm<sup>-1</sup>, and  $\beta$ -carotene at 218, 343, 421, 479 cm<sup>-1</sup> confirming that TiO<sub>2</sub> absorbs light mostly from the UV-region whereas sensitization fosters visible-light absorption. Cumulative peaks fitting (Gaussian fitting in ORIGIN, Figure 6.2) from the sub-fitted peaks of TiO<sub>2</sub> and both  $\beta$ -carotene and MAPbI<sub>3</sub> sensitizers would lead to minimum of 2-fold increase in the photoanode light absorption capabilities in the 200–600 UV-Vis spectrum, explaining the high observed performance in cosensitized DSSCs.



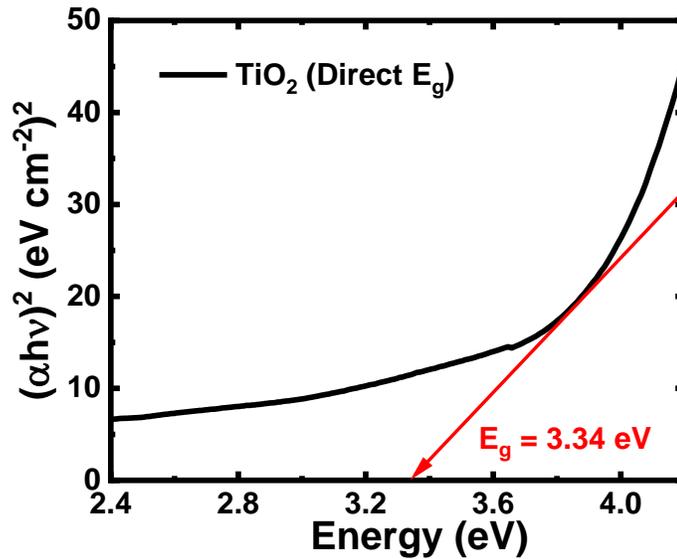
**Figure 6.1** Absorbance curves for TiO<sub>2</sub> and sensitizers in H<sub>2</sub>O solutions used in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs showing absorbance maximum of each material.



**Figure 6.2** Cumulative peaks Gaussian fitting of the sub-fitted peaks of TiO<sub>2</sub> and sensitizers used in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs, showing 2-fold increase in the photoanode light absorption within 200–600 UV-Vis spectrum, inset: (1) TiO<sub>2</sub>, (2) MAPbI<sub>3</sub>, (3)  $\beta$ -carotene.

First-principle density functional theory (DFT) calculation showed that anatase behaves as an indirect transition bandgap semiconductor with high photocatalytic activity. Indirect transition ensures longer photogenerated exciton lifetime. Anatase is characterized with the lightest average effective mass phase yielding in faster electron

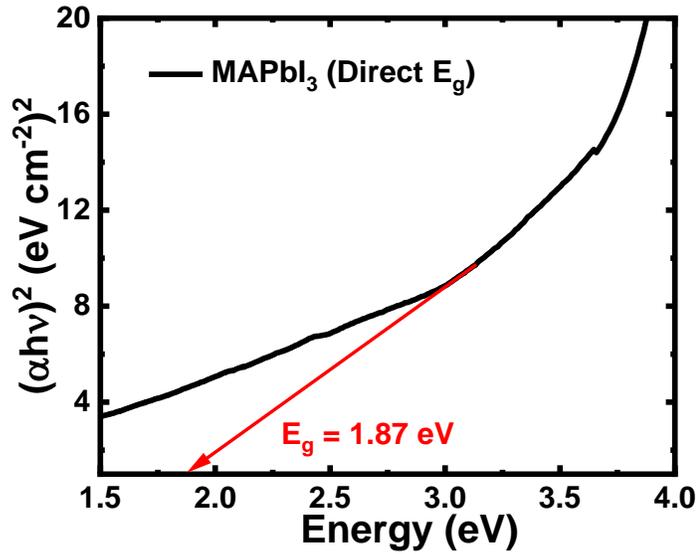
migration from TiO<sub>2</sub> to ITO with minimum recombination rates within TiO<sub>2</sub> [250]. WBG TiO<sub>2</sub> was observed with a direct bandgap of 3.34 eV (Figure 6.3) that is equivalent to the reported 3.3 eV for TiO<sub>2</sub> nanoparticles utilized by Kumar et al. in naturally-cosensitized DSSC [Jambolana + Eosin dye] with 0.13% PCE [251], where absorbance lies in the UV region. Organic–inorganic MAPbI<sub>3</sub> direct bandgap was found to be 1.87 eV (Figure 6.4), which agrees with the Guo et al. reported literature range (1.53 to 2.1 eV) [252].



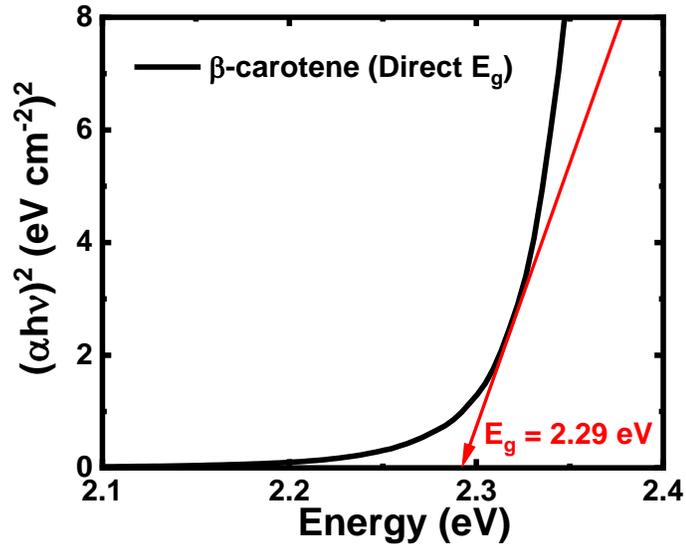
**Figure 6.3** Tauc plot for TiO<sub>2</sub> semiconductor showing direct bandgap = 3.34 eV, obtained from absorbance curves for TiO<sub>2</sub> used later in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs.

An estimated direct bandgap of 2.29 eV (Figure 6.5) was obtained for  $\beta$ -carotene; this is smaller than the maximum reported direct bandgap of 3.74 eV (1.59 eV for indirect transition) for  $\beta$ -carotene in the fabricated  $x(\text{SnO}_2)\text{-}y(\text{Ag})\text{-}\beta\text{-carotene/ITO}$  photoanode [86], [253]. However, other works estimated the HOMO/LUMO energy difference for various classes of carotene to be 2.2-6.7 eV [144]. Controllability of  $\beta$ -carotene bandgap is possible *via* incorporation of Ag/SnO<sub>2</sub> nanoparticles yielding  $\beta$ -carotene nanocomposite with lower  $E_g$  for its application in DSSCs, giving better molecular-dye electron excitation

[253]. Regarding the TiO<sub>2</sub>, increasing semiconductor annealing temperature can blue-shift energies (decreasing bandgap); while, a decrease in semiconductor grain size decrease  $E_g$  due to electron quantum confinement [254].



**Figure 6.4** Tauc plot for MAPbI<sub>3</sub> semiconductor showing direct bandgap = 1.87 eV, obtained from absorbance curves for MAPbI<sub>3</sub> used later in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs.



**Figure 6.5** Tauc plot for  $\beta$ -carotene dye showing direct bandgap = 2.29 eV, obtained from absorbance curves for  $\beta$ -carotene dye used later in  $\beta$ -carotene-sensitized MAPbI<sub>3</sub>-cosensitized DSSCs.

## 6.2 Voltage Loss at TiO<sub>2</sub>/ITO and Hypothesized Charge Transport Mechanisms

### 6.2.1 Thermionic Emission and Cheung's Models

In a heterojunction diode structure, values like ideality factor ( $n$ ), dark saturation and/or leakage current ( $J_0$ ) [where,  $J_0=I_0/\text{Area}$ ], and series resistance ( $R_s$ ) are important for cell performance characterization. In DSSCs, thermionic emission (TE) [242] and Cheung's methods [243], [245] can be used to quantify the diode parameters. A Schottky diode exists in DSSCs at the metal-semiconductor interface in the photoanode structure as in ITO/TiO<sub>2</sub> or [Cu/ITO]/TiO<sub>2</sub> junctions. TE model has been used to determine both  $n$  and  $J_0$  as shown in Eq. (13) from the exponential fitting [ $y = a \exp(bx)$ ;  $J_0 \rightarrow 0$ ]. Moreover, TE model can determine the Schottky-barrier height ( $\Phi_{Bo}$ ) as shown in Eq. (14) to Eq. (15); where  $A$  is the diode effective area and  $A^*$  is Richardson constant from free electrons carriers' mass ( $120 \text{ A cm}^{-2} \text{ K}^{-2}$ ) [243], [255]. For ideal structures ideality factor ( $n = 1$ ); however, usually  $n > 1$  due to recombination and  $R_s$  from interfacial contacts [242], [243], [256], [257].

$$J = J_0 \exp\left(\frac{qV}{nk_B T}\right) \quad (13)$$

$$I_0 = AA^*T^2 \exp\left(-\frac{q\Phi_{Bo}}{k_B T}\right) \quad (14)$$

$$n = \frac{q}{k_B T} \left(\frac{dV}{d \ln I}\right); \quad \Phi_{Bo} = \frac{k_B T}{q} \ln\left(\frac{AA^*T^2}{I_0}\right) \quad (15)$$

According to Cheung's method,  $n$ ,  $\Phi_{Bo}$ , and  $R_s$  can be determined from [242], [243], [256], [257]:

$$\frac{dV}{d\ln(I)} = IR_s + \left(\frac{nk_B T}{q}\right) \quad (16)$$

$$H(I) = V - \left(\frac{nk_B T}{q}\right) \ln\left(\frac{I}{AA^* T^2}\right) = IR_s + n\Phi_{Bo} \quad (17)$$

### 6.2.2 Voltage Loss from SBH at TiO<sub>2</sub>/ITO and Max V<sub>oc</sub> Correlated to TiO<sub>2</sub> Electrons

As mentioned earlier, In DSSCs there exists a Schottky diode at the metal-semiconductor interface in the photoanode structure as in ITO/TiO<sub>2</sub> or [Cu/ITO]/TiO<sub>2</sub> junctions. Onodera et al. [258]–[260] showed that V<sub>oc</sub> decreased with increasing Schottky barrier height ( $\phi_{SBH}$ ). When TiO<sub>2</sub> comes in contact with ITO, band bending in TiO<sub>2</sub> CB occurs influencing current-loss mechanism from inhibited electron collection or increased recombination in agreement with thermionic-emission theory [260].

Voltage loss from  $\phi_{SBH}$  at the ITO/TiO<sub>2</sub> interface (n-type semiconductor/metal) results from internal resistance and recombination current with the electrolyte; Onodera et al. [258]–[260] showed that the V<sub>oc</sub> decreased with increasing the  $\phi_{SBH}$ . Onodera et al. (2011) [258] proposed Eq. (19) to relate experimentally observed V<sub>oc</sub> (V) to Schottky barrier height (SBH= $\phi_{SBH}$ ) at the TiO<sub>2</sub>/ITO interface ( $\phi_{TiO_2-ITO}$ ); with the assumption that Fermi-level and conduction-band energies in TiO<sub>2</sub> are approximately the same. This assumption is valid due to the intrinsic n-type behavior of TiO<sub>2</sub> ( $E_f \approx E_{CB}$ ), where one may estimate the maximum theoretical V<sub>oc</sub> ( $V_0 \approx 1$  V).

$$V = V_0 - V_1 \quad (18)$$

$$V_1 = \frac{k_B T}{q} \ln \left[ 1 + \frac{J_{cell}}{A^* T^2 \exp(-q \phi_{TiO_2-ITO}/k_B T)} \right] \quad (19)$$

$$J_{cell} = J_1 + J_2 \quad (20)$$

$$j_1 = q D_e \frac{dn}{dz} \quad (21)$$

$$J_1 = j_{1-TiO_2} + j_{1-MAPbI_3} \quad (22)$$

$$J_2 = q \frac{p}{\tau} (D_3 - 3D_1) \frac{dC_3}{dz} \quad (23)$$

where  $V_0$  is the maximum theoretical  $V_{oc}$  could be obtained from the cell,  $V_1$  is the voltage loss at  $TiO_2/ITO$  interface, and  $V$  is the experimental (actual) measured  $V_{oc}$ ,  $A^*$  is the Richardson constant of  $TiO_2$  equal to  $6.71 \times 10^6 \text{ A m}^{-2} \text{ K}^{-2}$  [258],  $\phi_{TiO_2-ITO}$  is the Schottky barrier height at the  $TiO_2/ITO$  interface,  $J_1$  is the apparent current density in the  $TiO_2$  porous structure,  $J_2$  the total apparent current density in the electrolyte solution ( $J_2 = J_{I^-} + J_{I_3^-}$ ),  $D_e$  is the effective electron diffusion coefficient,  $n$  is the electron concentration,  $z$  is the thickness of  $TiO_2$  film,  $p$  is the porosity,  $\tau$  is the tortuosity,  $D_1$  and  $D_3$  are the effective diffusion coefficients of  $I^-$  and  $I_3^-$ , respectively, (Redox reaction:  $I_3^- + 2e^- \rightleftharpoons 3I^-$ ), and  $C_3$  is the ion concentration of  $I_3^-$  (0.75 M). Using Eq. (18) to Eq. (23) taken from ref. [258], the below calculation procedure is proposed to find out  $V_1$ ,  $\phi_{TiO_2-ITO}$ ,  $j_2$  from  $j_1$ , and  $p/\tau$  for the designed cosensitized and bio-molecularly sensitized DSSCs.

Calculations procedures (proposed and established) for  $V_1$ ,  $\phi_{TiO_2-ITO}$ ,  $J_1$  and  $J_2$  of the structured (bio/co)-sensitized DSSCs:

- (i) Determine  $V_1$  (lost voltage at TiO<sub>2</sub>/ITO interface) from maximum theoretical  $V_{oc}$  ( $V_0$ ) and experimentally observed  $V_{oc}$  (V) using Eq. (18).
- (ii) Calculate  $\phi_{TiO_2-ITO}$  from the total current density in the cell (J) and  $V_1$  from Eq. (19).
- (iii) Estimate  $j_1$  in TiO<sub>2</sub> layer from the known (number of electrons= $n_e=12,500$  for  $0.5 \mu\text{m}^3$  TiO<sub>2</sub> [258]) that is equivalent to ( $n_e=6 \times 10^{12}$  for  $240 \times 10^6 \mu\text{m}^3$  TiO<sub>2</sub> layer volume in our system),  $D_e=1.24 \times 10^{-4} \text{cm}^2 \text{s}^{-1}$  [258] and  $z=1.2 \mu\text{m}$  (as compared to  $\sim 3.5\text{-}15 \mu\text{m}$  film for anatase TiO<sub>2</sub> from literature [261]) for TiO<sub>2</sub> film, and  $q=1.602 \times 10^{-18} \text{C}$ , plugged into Eq. (21).
- (iv) Estimate  $j_1$  in MAPbI<sub>3</sub> perovskite layer from the known (photogenerated carrier density  $10^{17} \text{cm}^{-3}$  for MAPbI<sub>3</sub> from literature [262] and  $400 \times 10^6 \mu\text{m}^3$  volume for MAPbI<sub>3</sub> layer in our system) to get  $n=4 \times 10^{13}$ , with the known average MAPbI<sub>3</sub>  $D_e=0.03 \text{cm}^2 \text{s}^{-1}$  [263] and  $z=2 \mu\text{m}$  for MAPbI<sub>3</sub> film, and  $q=1.602 \times 10^{-18} \text{C}$ , plugged into Eq. (21).
- (v) Calculate the overall current  $J_1$  flowing in the photoanode active layers from Eq. (22).
- (vi) Back-calculate  $J_2$  from using Eq. (20) with the known and/or experimentally observed  $J_{cell}$ ; where that is equivalent to  $J_{cell} = J_{sc}$  ( $V_{cell} = 0$ ).

We can also get the ratio  $p/\tau$  from Eq. (23) from concentration of redox,  $C_3$ ,  $[I^-/I_3^-]$  and their diffusion coefficients, and the calculated  $J_2$ .

Fermi energy ( $E_F$ ) and vacuum level ( $E_V$ ) correspond to the work function ( $\Phi$ ) of either the semiconductor or the metal, Eq. (24). The work function corresponds to the minimum amount of energy needed to remove an electron from the metal. However, the work function in semiconductors is different from the ionization energy in metals (energy difference between valence bands maximum (VBM) and vacuum level). In a

semiconductor, valence bands ( $E_{VB}$ ) and conduction bands ( $E_{CB}$ ) are separated by the bandgap ( $E_g$ ), and the Fermi level becomes a somewhat theoretical construct since there are no allowed electronic states within the bandgap, which is a statistical function that gives the probability to find an electron in a given electronic state. The Fermi level or  $E_F$  refers to the point on the energy scale where the probability is just 50%, knowing that the valence bands are filled with electrons up to the Fermi energy. Nondegenerate semiconductors (having a moderate doping level) have their Fermi levels located within the bandgap [264].

The barrier between the metal and the semiconductor can be identified on an energy band diagram. To construct such diagram, we first consider the energy band diagram of the metal as well as the semiconductor and align them using the same vacuum level. As the metal and semiconductor are brought together, the difference in Fermi energies yield in band bending [265]. Band bending implies an electric field and, therefore, a potential difference across the junction. This “built-in” potential ( $V_{bi}$ ) which can be determined from Eq. (25), where  $E_{F_2}$  and  $E_{F_1}$  refers to the Fermi energies of both the n-type semiconductor and the metal (or p-type semiconductor), respectively. This “built-in” potential is directly correlated to the difference in the Fermi levels on the two sides of the junction. This is clear because the bands need to bend due to this potential, so that the Fermi level is constant and flat throughout the device in equilibrium [266]. The barrier height ( $\phi_{SBH}$ ) is defined as the potential difference between the Fermi energy of the metal and the band edge where the majority carriers reside, as shown in Eq. (26) for an n-type semiconductor, where  $\Phi_M$  is the work function of the metal and  $\chi$  is the electron affinity of the semiconductor. A metal-semiconductor junction will therefore form a barrier for electrons and holes if the Fermi energy of the metal is somewhere between the conduction and valence band edge [265].

$$\Phi = E_V - E_F \quad (24)$$

$$V_1 = qV_{bi} = E_{F_2} - E_{F_1} \quad (25)$$

$$\phi_{SBH} = \Phi_M - \chi \approx \phi_{TiO_2} - \phi_{ITO} \quad (26)$$

In addition to that the built-in potential is the difference between the Fermi energy of the metal and that of the semiconductor,  $V_{bi}$  is defined for n-type semiconductor as in Eq. (27) [265].

$$V_{bi} = \Phi_M - \chi - \frac{E_{CB} - E_F}{q} \quad (27)$$

The dark equilibrium density ( $n_{eq}$ ) can be found using the expression from Andrade et al. [267] in Eq. (28), which gives  $n_{eq} = 1.87 \times 10^5 \text{ cm}^{-3}$  from  $E_{CB} - E_{redox} = 0.93 \text{ eV}$ , based on the literature value of the density of conduction band electrons  $N_{CB} (1 \times 10^{21} \text{ cm}^{-3})$  in the semiconductor, where  $E_{CB}$  is the conduction band energy,  $E_R$  is the redox energy,  $k_B$  and  $T$  have been previously defined [267]. Similarly, the same equation can be rearranged and written in terms of  $E_F - E_{CB} = -0.272 \text{ eV}$  (for n-type  $TiO_2$ ), to calculate effective density of states of conduction band electrons or  $N_{CB} (2.46 \times 10^{21} \text{ cm}^{-3})$  in  $TiO_2$  from carrier concentration in the conduction band  $n_0 = 2.5 \times 10^{16} \text{ cm}^{-3}$  from knowing (number electrons =  $n_e = 12,500$  for  $0.5 \mu\text{m}^3 TiO_2$  [258] that is equivalent to  $n_e = 6 \times 10^{12}$  for  $240 \times 10^6 \mu\text{m}^3 TiO_2$  layer volume in our system), Eq. (29) [85].

$$n_{eq} = N_{CB} \exp\left(-\frac{E_{CB} - E_R}{k_B T}\right) \quad (28)$$

$$E_F = E_{CB} + k_B T \ln \frac{n_0}{N_{CB}} \quad (29)$$

The work function of TiO<sub>2</sub> has been estimated in the two cells before (0) and after (1) photoanode perovskite cosensitization and from being correlated to the obtained fraction of reduced SBH, Eq. (30). The maximum  $V_{oc}^*=V_0$  from the cell can be calculated from Eq. (31). Considering the produced voltage ( $V_2$ ) from the semiconductor using the estimated Eq. (32), a new formulated equation can be used to theoretically estimate the actual or experimentally-tested cell output  $V_{oc}|_{exp}$ , with  $x_1=x_2=0.5$  as shown in Eq. (33).

$$\phi_{TiO_2}|_1 = \left( \frac{\phi_{SBH}|_0}{\phi_{SBH}|_1} \right) \times \phi_{TiO_2}|_0 \quad (30)$$

$$V_{oc}^* = V_0 = \frac{E_F - E_R}{q} \quad (31)$$

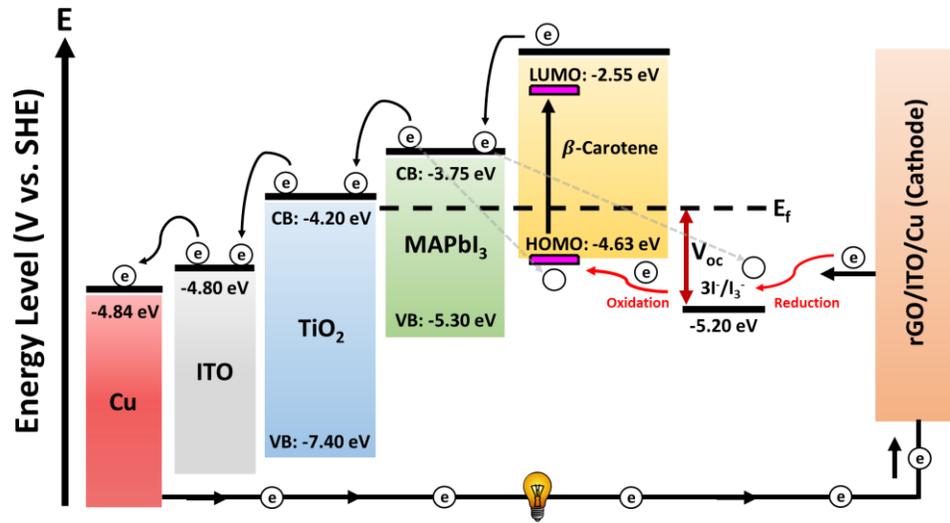
$$V_2 = E_{CB} - E_F \quad (32)$$

$$V_{oc}|_{exp} \approx x_1(V_0 - V_1) + x_2V_2 \quad (33)$$

### 6.2.3 Theoretical Conversion Efficiency and Band Diagram Alignment

Maximum theoretical PCE of <0.1% (spin-coated) and <0.7% (dip-coated) are estimated from the maximum theoretical  $V_{oc} = 1$  V plugged into  $[V_{oc} = \frac{nk_B T}{q} \ln \left( \frac{J_{ph}}{J_0} \right)]$  and  $[\eta^* = \frac{P_m}{P_{in}}]$  according to DSSCs circuit and TE models (see Appendix B); where symbols definitions can be found in Appendix B.  $\beta$ -carotene deposited dye on TiO<sub>2</sub>-photoanodes and their use in the different designed/fabricated DSSCs are shown in Figure 5.1(A-D), respectively. Post-annealed and created ITO/rGO CEs for lower  $R_s$  are shown in Figure 3.3(B). Energy band diagram alignment illustrated in Figure 6.6 shows perfect energy cascading for enhanced photoanode electron mobility, reduced interfacial recombination, and continuous forward electron pathways. The low bandgaps of both  $\beta$ -carotene (2.29

eV) and MAPbI<sub>3</sub> (1.87 eV) assure visible-light absorption, with their LUMO (-2.55 eV) and CB (-3.75 eV), respectively, relatively in higher states than TiO<sub>2</sub> CB (-4.2 eV) for more exciton generation and easy electron injection with a maximum ideal  $V_{oc} = 1$  V. Low excitation energy requirements in the sensitizers ensure easy electron excitation and injection above the Fermi level of TiO<sub>2</sub>. The large difference between HOMO/redox potentials drives electron-diffusion which effectively control charge injection and dye reduction rates [69].



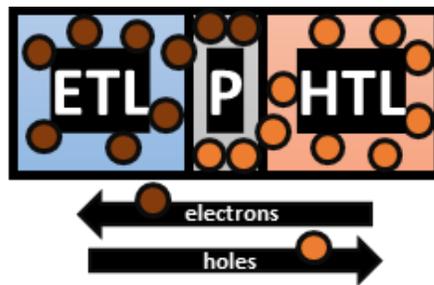
**Figure 6.6** Energy levels and band diagram alignment for the sensitized/co-sensitized photoanode with perfect energy cascading for enhanced carriers mobility owing to the visible-absorption and low bandgaps of  $\beta$ -carotene and MAPbI<sub>3</sub>.

#### 6.2.4 HTL/ETL Theory and Improved Charge Transport from MAPbI<sub>3</sub> Cosensitization

Perovskite-based mesoporous solar cells typically consist of conductive electrodes, an electron transport layer (ETL), a mesoporous oxide layer (TiO<sub>2</sub>), a perovskite layer, a hole transport layer (HTL). Solution spin-coating of perovskites on TiO<sub>2</sub> layer forms an interconnected absorbing layer and allows the perovskite nanocrystals to penetrate the pores of mesoporous, which transport electrons, block holes, and inhibit electron-hole recombination [268].

A schematic for the hypothesized HTL/ETL theory for exciton generation and charge transport is shown in Figure 6.7. According to Zhou et al. (2018) [268] and as illustrated in Figure 6.7, the basic roles of ETL and HTL in the charge transport are as follows:

- (i) Electron Transport Layer (ETL): The basic function of the electron transport layer is to form an electron-selective contact with the perovskite light-absorbing layer to improve the extraction efficiency of photo-generated electrons, and to effectively prevent the hole from migrating to the counter electrode, enhancing the carrier's separation effect and to reducing the recombination.
- (ii) Hole Transport Layer (HTL): The main role of the hole transport layer is to collect and transport holes from the perovskite light-absorbing layer to promote the separation of the electron-hole pairs, where the HOMO must match the valence band of perovskite materials for hole transport.



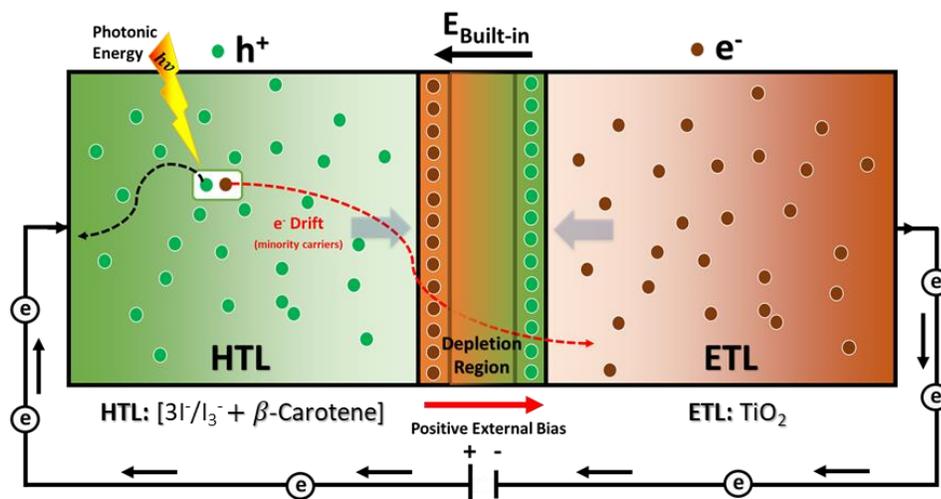
**Figure 6.7** Hypothesized HTL/ETL (or HTL/P=Perovskite/ETL) theory for exciton generation and charge transport across the presumed built-in potential.

Like the p-n junction in conventional silicon solar cells, the DSSCs herein is hypothesized to have both HTL (p-type) and ETL (n-type) layers and when they come in contact, a depletion region is formed and there will be very few mobile electrons and holes in the depletion region, Figure 6.8. This region will only have the fixed charges associated with the dopant atoms exist, making it highly resistive and act a as a nearly perfect

insulator. The "built-in" electric field in the depletion region can be overcome by "applying" an external electric field opposite to the direction of the "built-in" electric field. This would result in thinner and less resistive depletion region, which is considered to operate as a voltage-controlled resistor. In forward bias, if a positive voltage is applied to the p-type side and a negative voltage to the n-type side, current can flow (depending upon the magnitude of the applied voltage). If the applied voltage is large enough, the depletion region's resistance becomes negligible and current flows virtually unimpeded [269].

Typically, DSSCs without perovskite have a (i) depletion region between the n-type  $\text{TiO}_2$  semiconductor (ETL) and redox electrolyte (HTL), and a (ii) depletion region between ITO/ $\text{TiO}_2$  [258], [270], [271]. We may consider the dye component to generate its excitons in the HTL layer, and similarly for the  $\text{MAPbI}_3$  cosensitizers, since these perovskites are observed as a hole conductor in HTM-free perovskite solar cells (PSCs). Many similarities arise between different solar cells [Si-cells, PSCs, and DSSCs], except that we have in DSSCs a redox electrolyte since it is an electrochemical cell sensitized with a molecular dye component, knowing that ETL=n-type, HTL=p-type [258], [270]–[272].

A perovskite solar cell can be either with mesoscopic or planar structure, our cell here is a n-i-p planar type (from SEM results), and since  $\text{MAPbI}_3$  has both electron and hole transport features, the cell follows the HTL/P/ETL structure [271]. There will be no depletion region with  $\text{TiO}_2$  if perovskite is an intrinsic semiconductor without n-type or p-type property [272]. However, another possibility arises in co-sensitized perovskite solar cells (including perovskite DSSCs) that there will be a depletion layer created at the  $\text{CH}_3\text{NH}_3\text{PbI}_3/\text{TiO}_2$  interface, considering perovskite as a hole conductor as observed in HTM-free PSCs [273].



**Figure 6.8** Excitons generation and charge transport mechanisms in perovskite-cosensitized DSSCs under illumination and/or forward bias; ETL: electron transport layer ( $\text{TiO}_2$ ); HTL: hole-transport layer ( $\beta$ -carotene/ $[\text{I}^-/\text{I}_3^-]$ ); in ETL/P/HTL.

When light shines on the DSSCs, they can generate current and voltage. The reason this can happen is because of dye excitation and the "built-in" electric field at the HTL/ETL junction (whether between dye/ $\text{TiO}_2$  or even at a large-scale in the junction shown in Figure 6.8). The light has enough energy to excite both dye and semiconductor electrons into higher energy states creating excitons followed by charge separation, electron injection, and transport from anode (HTL) to cathode (ETL) for conventional current generation (from the p-type side to the n-type side). Created excitons in the HTL layer are free electron-hole pairs because for every electron excited by the light there is a corresponding hole generated, which both remains excited and free to move throughout the material for a short period of time before recombination occurs and electrons lose electrical energy as heat [269]. Electron drifting is the dominant electron transport mechanism when the cell is short-circuited for current generation as shown in Figure 6.8, while electron diffusion driving force becomes weak. Upon illumination, excited electrons in the HTL drifts towards the ETL layer, due to the moderate electric field existing in the depletion region.

Further, the existing electrons in the ETL move through the external load to the cathode for current generation. The current from the solar cell is the difference between the light-generated current and the forward bias current [244].

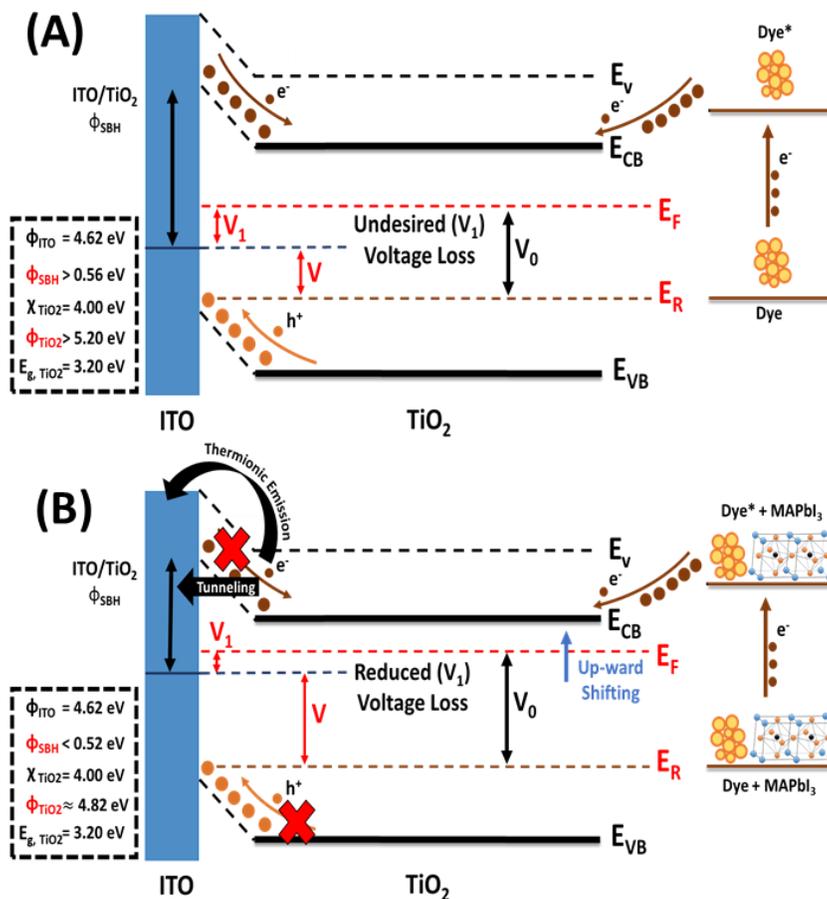
Under open-circuit conditions, the forward bias of the junction increases to a point where the light-generated current is exactly balanced by the forward bias diffusion current, and the net current is zero. The light-generated carriers forward bias the junction, thus increasing the diffusion current. Since the drift and diffusion current are in opposite direction, there is no net current from the solar cell at open circuit. In this scenario, both diffusion and drift mechanisms play equal roles in charge transport where light-generated carriers are prevented from leaving the solar cell and that collection of carriers causes an increase in the number of electrons on the n-type side of the p-n junction and a similar increase in holes in the p-type material. The separation of charge creates an electric field opposite to that already existing at the junction, reaching a new equilibrium in which light-generated current balancing diffusion current and a voltage exists across the p-n junction (yielding in generating high built-in potential equivalent to the cell voltage) [244].

Perovskite cosensitization can promote the  $\beta$ -carotene sensitized-photoanode light-harvesting ability addressing both TiO<sub>2</sub> CB bending and voltage loss problems. Perovskite cosensitization mitigates interfacial recombination and CB bending in TiO<sub>2</sub> (reduced  $\phi_{SBH}$ ) due to the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/TiO<sub>2</sub> interactions. Strong van der Waals interactions ensure fast electron injection completed within 100 fs (with possible improved dye attachment on MAPbI<sub>3</sub>), where CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/TiO<sub>2</sub> covalent bonding facilitates photoexcitation of charge-separated states leading to ultrafast interfacial charge separation prior to electron injection [229].

We suspect that there are three mechanisms, as illustrated in Figure 6.9(A,B) before/after perovskite sensitization, responsible for the improved PCE from MAPbI<sub>3</sub> cosensitization:

- (i) Absorbance expansion and increase in the probability of absorbing more visible-photons (400–700 nm) [70].
- (ii) Upward shifting of TiO<sub>2</sub> Fermi level due to increased injected electrons and CB effective density of states ( $>2.46 \times 10^{21} \text{ cm}^{-3}$ ) reducing the TiO<sub>2</sub> work function (4.82 eV), consequently preventing back-electron transport allowing only quantum tunneling of photoelectrons at ITO/TiO<sub>2</sub>, rather than transport over a Schottky barrier [88], [274], Figure 6.9(A,B).
- (iii) Hindered  $\phi_{SBH}$  at the ITO/TiO<sub>2</sub> interface with a 13.1% reduction in photoinduced electrostatic potential barrier due to the minimized band bending of TiO<sub>2</sub> CB and reduced TiO<sub>2</sub> work function resulting in low built-in potential and lower voltage loss ( $V_1$ ).

All of the discussed mechanisms are attributed to possible ITO/TiO<sub>2</sub> electron tunneling from the increased number of initially injected electrons from perovskite and dye molecules into TiO<sub>2</sub> CB [260], [275]. Briefly, this upward shifting in  $E_F$  level for TiO<sub>2</sub> promotes both electrons tunneling and  $V_{oc}$  while decreasing the minimum work function ( $\phi_{TiO_2}$ ) required for electron excitation. Injected electrons tunnel from TiO<sub>2</sub> to ITO overcoming the suppressed  $\phi_{SBH}$  at the presumed junction with hindered TiO<sub>2</sub> CB band bending resulting in less recombination and high  $V_{oc}$  [276]–[278] from the MAPbI<sub>3</sub> cosensitization.



**Figure 6.9** (A) TiO<sub>2</sub> Fermi level and ITO/TiO<sub>2</sub> SBH before MAPbI<sub>3</sub> cosensitization; (B) Upward shifting of TiO<sub>2</sub> Fermi level after MAPbI<sub>3</sub> cosensitization minimizing ITO/TiO<sub>2</sub> SBH for reduced voltage loss ( $V_1$ ) and prevented back-electron transport to TiO<sub>2</sub>.

### 6.2.5 Discussion

The voltage loss from  $\phi_{SBH}$  at the ITO/TiO<sub>2</sub> interface arise from internal resistance and recombination current with the electrolyte; thus, the  $V_{oc}$  decreased with increasing the  $\phi_{SBH}$ . When TiO<sub>2</sub> comes in contact with ITO, band bending in TiO<sub>2</sub> CB occurs influencing current-loss mechanism from inhibited electron collection. In other words, As the metal and semiconductor are brought together, the difference in Fermi energies yield in band bending. Band bending implies an electric field and, therefore, a potential difference across the junction. This “built-in” potential is directly correlated to the difference in the Fermi levels on the two sides of the junction.

Fermi energy and vacuum level corresponds to the work function of either the semiconductor or the metal. However, the work function in semiconductors is different from the ionization energy in metals. In a semiconductor, valence bands and conduction bands are separated by the bandgap, and the Fermi level becomes a somewhat theoretical construct since there are no allowed electronic states within the bandgap, which is a statistical function that gives the probability to find an electron in a given electronic state. We have suggested a calculations procedure for determining  $V_1$ ,  $\Phi_{TiO_2-ITO}$ ,  $J_1$  and  $J_2$  of the structured (bio/co)-sensitized DSSCs based on the discussed concepts and common theoretical models.

The barrier height can also be defined as the potential difference between the Fermi energy of the metal and the band edge where the majority carriers reside. A metal-semiconductor junction will therefore form a barrier for electrons and holes if the Fermi energy of the metal is somewhere between the conduction and valence band edge. We calculated the effective density of states of conduction band electrons or  $N_{CB}$  ( $2.46 \times 10^{21} \text{ cm}^{-3}$ ) in  $TiO_2$  from carrier concentration in the conduction band. The work function of  $TiO_2$  has been estimated in the two cells before (0) and after (1) photoanode perovskite cosensitization and from being correlated to the obtained fraction of reduced SBH. A new formulated equation is proposed to be used to theoretically estimate the actual or experimentally-tested cell output. Maximum theoretical PCE of <0.1% (spin-coated) and <0.7% (dip-coated) are estimated from the maximum theoretical  $V_{oc} = 1 \text{ V}$ . Energy band diagram alignment showed perfect energy cascading for enhanced photoanode electron mobility, reduced interfacial recombination, and continuous forward electron pathways. The low bandgaps of both  $\beta$ -carotene (2.29 eV) and  $MAPbI_3$  (1.87 eV) assure visible-light

absorption for more exciton generation and easy electron injection with a maximum ideal  $V_{oc} = 1$  V.

Like the p-n junction in conventional silicon solar cells, the DSSCs herein is hypothesized to have both HTL (p-type) and ETL (n-type) layers and when they come in contact, a depletion region is formed and there will be very few mobile electrons and holes in the depletion region. The basic function of the electron transport layer is to form an electron-selective contact with the perovskite light-absorbing layer, while the main role of the hole transport layer is to collect and transport holes from the perovskite light-absorbing layer to promote the separation of the electron-hole pairs. Electron drifting is found to be the dominant electron transport mechanism when the cell is short-circuited for current generation. Upon illumination, excited electrons in the HTL drifts towards the ETL layer, due to the moderate electric field existing in the depletion region. Further, the existing electrons in the ETL move to the cathode for current generation. The current from the solar cell is the difference between the light-generated current and the forward bias current.

Perovskite cosensitization can promote the  $\beta$ -carotene sensitized-photoanode light-harvesting ability addressing both TiO<sub>2</sub> CB bending and voltage loss problems. Perovskite cosensitization mitigates interfacial recombination and CB bending in TiO<sub>2</sub> (reduced  $\phi_{SBH}$ ) due to the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/TiO<sub>2</sub> interactions. We suspect that there are three mechanisms responsible for the improved PCE from MAPbI<sub>3</sub> cosensitization: (i) absorbance expansion, (ii) upward shifting of TiO<sub>2</sub> Fermi level due to increased injected electrons and CB effective density of states ( $>2.46 \times 10^{21}$  cm<sup>-3</sup>) reducing the TiO<sub>2</sub> work function (4.82 eV), and (iii) hindered  $\phi_{SBH}$  at the ITO/TiO<sub>2</sub> interface with a 13.1% reduction in photoinduced electrostatic potential barrier.

## Chapter 7: Conclusions and Recommendations

**Maddah, H. A.,** Aryadwita, L., Berry, V., & Behura, S. K. (2020). Perovskite Cosensitization for Reduced Voltage Loss in Naturally-Sensitized Solar Cells, *Nano Energy*, Under-Review.

### 7.1 Thesis Summary

We demonstrated the concept of natural photosensitization using bio-sensitized TiO<sub>2</sub> photoanodes which were further cosensitized by MAPbI<sub>3</sub> perovskites for enhanced photon absorption, exciton generation, charge separation, and electron injection resulting in reduced voltage loss. The hybrid dye-perovskite photoanode heterostructure [TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene] is believed to extend the photoanode absorption spectrum and reduce interfacial recombination, and most importantly facilitating interfacial charge separation, electron injection, and electron tunneling which reduced voltage loss at the presumed junction (ITO/TiO<sub>2</sub>) for enhanced V<sub>oc</sub>.

### 7.2 Contribution and Recommendations

The observed results were attributed to the upward shifting in E<sub>F</sub> level for TiO<sub>2</sub>, TiO<sub>2</sub> minimized work function, and hindered TiO<sub>2</sub> CB bending. Perovskite-integrated photoanode structures found to enhance diode ideality, reduce leakage current, improve cell rectification factors, increase FF, and noticeably show high V<sub>oc</sub> (>0.65 V), from shifting E<sub>F</sub> level and lowering  $\phi_{SBH}$ . The low cell current densities are due to the unfavorable high series resistances need further investigation on the created interfacial contacts with the possibility of using noble-Pt-like CE for better electron collection.

Moreover, cosensitization prevents back-electron transport which also facilitate exciton generation, electron injection, and the possibility of 2-fold increase in photoanode UV-Vis absorption capabilities. This thesis paves the way towards designing efficient bio/co-sensitized photoanodes, which can be further improved from incorporation of WBG

low-dimensional materials, solid-state HTL redox electrolytes, and Ag/Au plasmonic nanoparticles leveraging photon absorption, electron injection and hopping, dye regeneration, and interfacial charge transport for an improved overall cell performance.

### 7.3 Directions for Future Works

Lastly, more focused future works for this research and/or thesis area include: (i) utilization of acidic dye solutions and/or surface hydroxylation of TiO<sub>2</sub> semiconductor (to introduce more –OH anchoring groups) for strong dye molecules acceptor-moieties attachment yielding in lower series resistance, (ii) designing of 3D graphene-based counter electrodes with interconnected networks to provide fast electron transport from cathode, diminished contact resistance at the graphene/electrolyte interface, and high electrocatalytic activity towards reduction of I<sub>3</sub><sup>-</sup>, and (iii) incorporation of low-dimensional WBG 1D-ZnO nanowires ensuring large surface area for better dye coverage, high V<sub>oc</sub>, and enhanced photoanode stability against excessive UV radiation.

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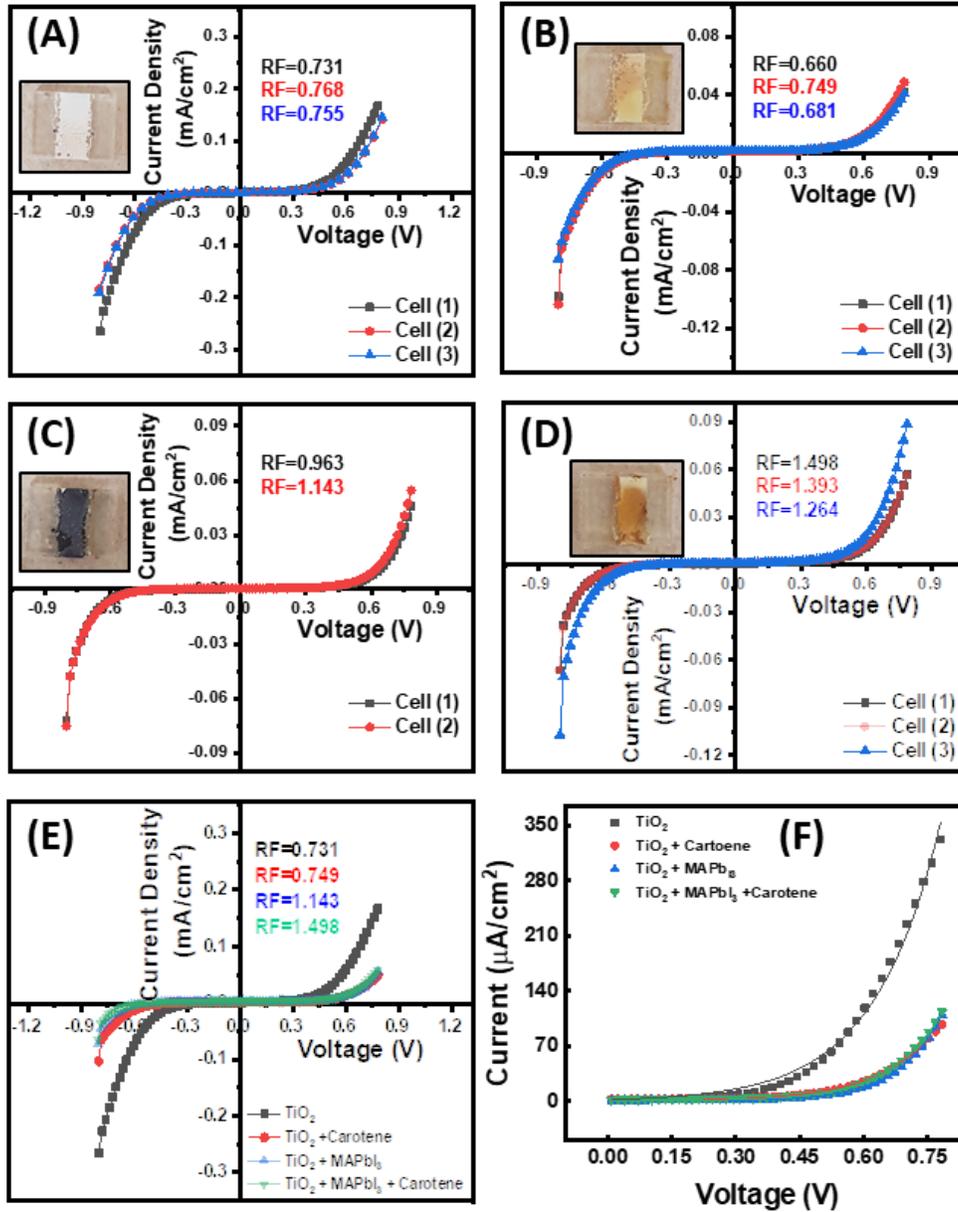
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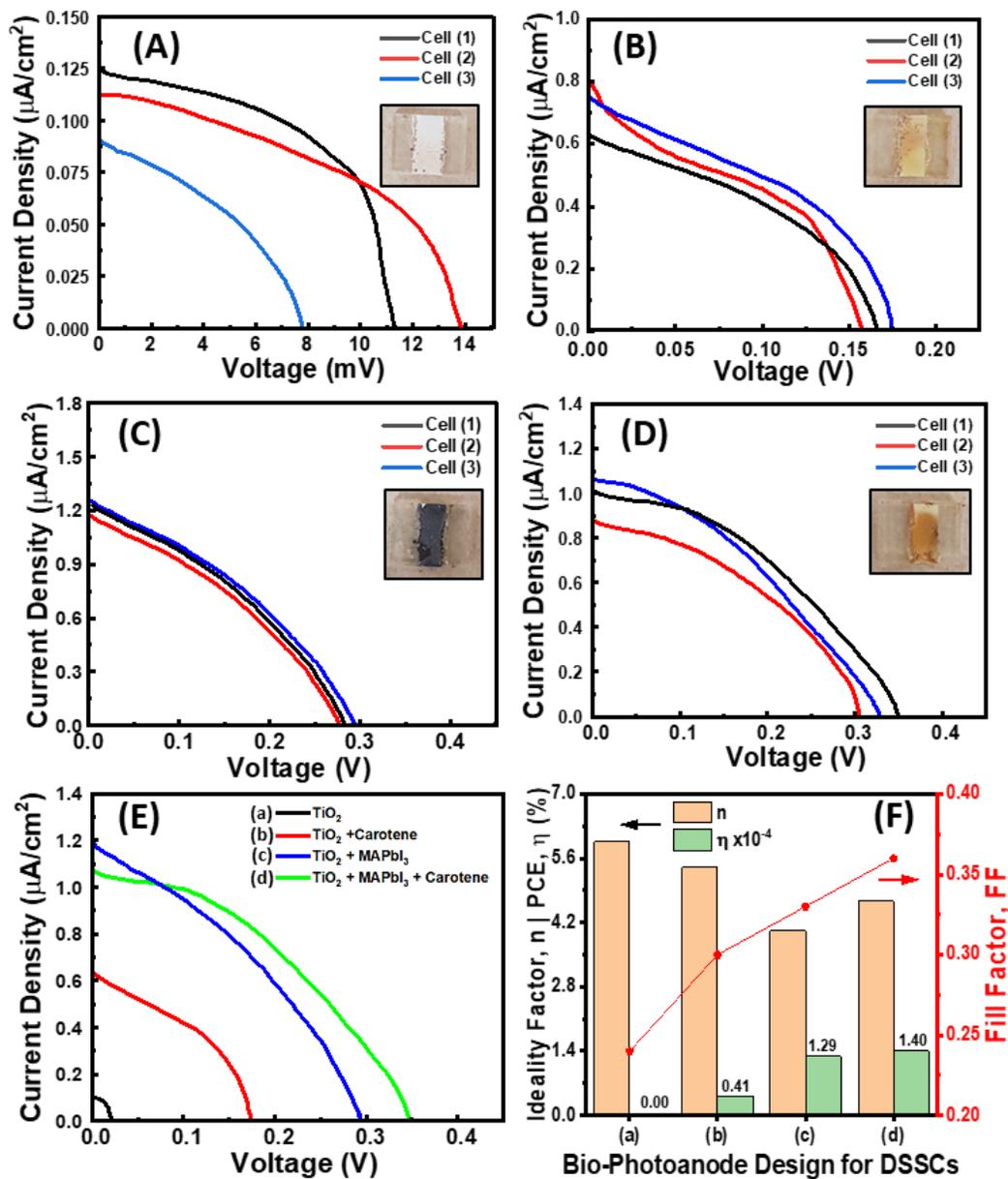
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APPENDICES

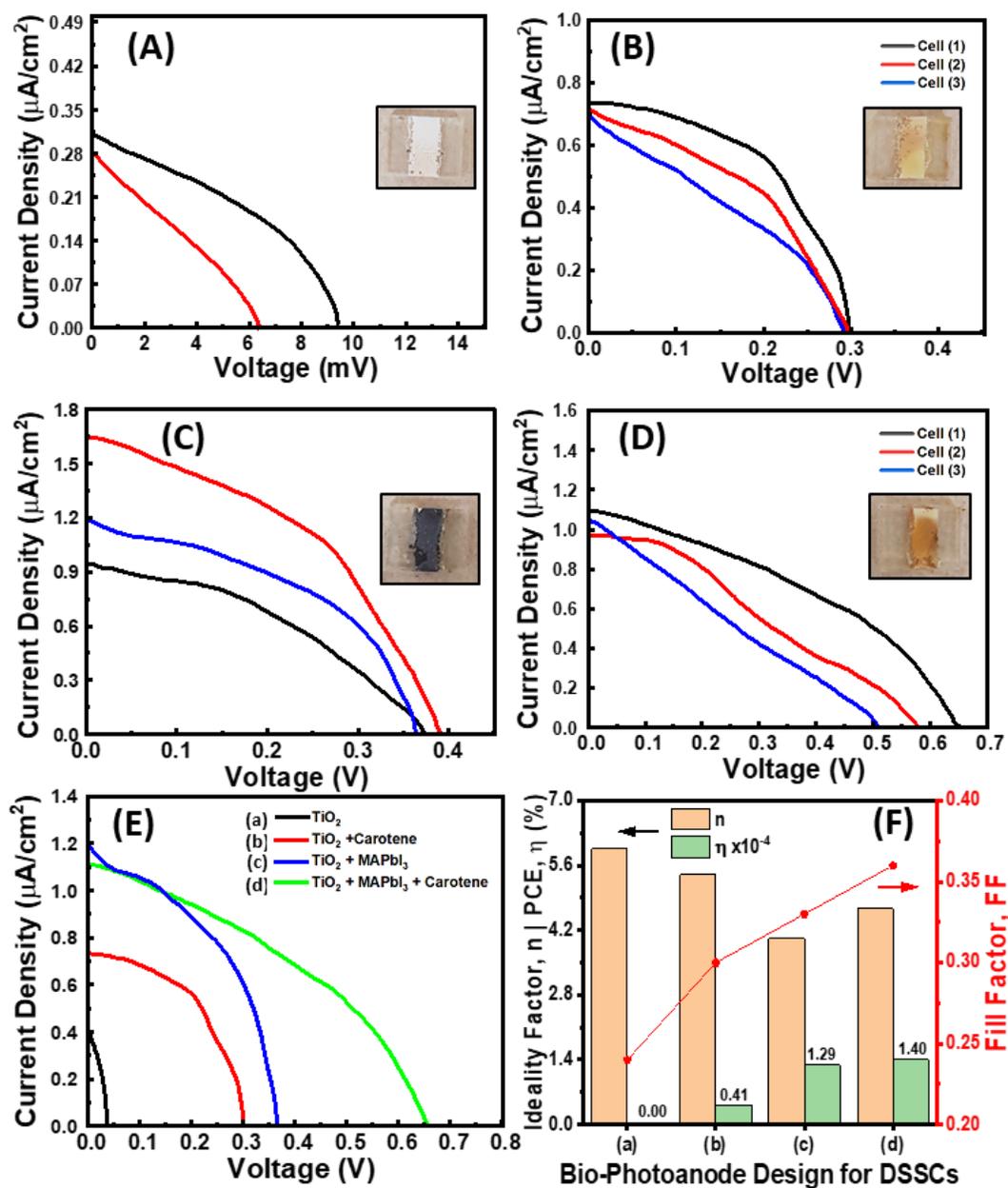
Appendix A: Reproducibility of the Diode Curves and the JV-Curves for Bio-DSSCs



**Figure A1.** Diode curves and rectification factors (RFs) for bio-sensitized DSSCs using  $\beta$ -carotene dip-coated photoanodes: (A) ITO/TiO<sub>2</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (B) ITO/TiO<sub>2</sub>/ $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (C) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; and (D) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/ $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO. (E) Comparison of diode curves and RFs obtained from using the four different bio-photoanodes with highest RF for design (d); (F) Exponential fitting of forward-current data using the TE diode model for diode ideality analysis.



**Figure A2.** J-V curves for bio-sensitized DSSCs using  $\beta$ -carotene dip-coated photoanodes in: (A) ITO/TiO<sub>2</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (B) ITO/TiO<sub>2</sub>/ $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (C) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; and (D) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/ $\beta$ -carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO. (E) Comparison of J-V curves obtained from using the four different bio-photoanodes with highest V<sub>oc</sub> for design (d); (F) Calculated ideality factor (n), conversion efficiency (PCE) and fill factor (FF) for the four bio-DSSCs devices.



**Figure A3.** J-V curves for bio-sensitized DSSCs using  $\beta$ -carotene spin-coated photoanodes in: (A) ITO/TiO<sub>2</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (B) ITO/TiO<sub>2</sub>/β-carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; (C) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO; and (D) ITO/TiO<sub>2</sub>/MAPbI<sub>3</sub>/β-carotene/[I<sup>-</sup>/I<sub>3</sub><sup>-</sup>]/rGO/ITO. (E) Comparison of J-V curves obtained from using the four different bio-photoanodes with highest V<sub>oc</sub> for design (d); (F) Calculated ideality factor (n), conversion efficiency (PCE) and fill factor (FF) for the four bio-DSSCs devices.

## Appendix B: Photovoltaic Parameters, Maximum PCE, and TE Models

Total cell current density ( $J_{cell}$ ) can be obtained from Kirchhoff's voltage (KVL) and current (KCL) laws to the circuit model yield in Eq. (S1) [235] that is the equivalent circuit model for DSSCs where  $q$  ( $1.602 \times 10^{-19}$  C) is the electron charge,  $n$  is the ideality factor,  $k_B$  is Boltzmann constant ( $1.38 \times 10^{-23}$  J K<sup>-1</sup>),  $T$  is the absolute temperature (298 K),  $J_{ph}$  is photo-generated current, and  $J_0$  is dark saturation current. Series resistance ( $R_s$ ), shunt resistance ( $R_{sh}$ ), and applied cell voltage ( $V_{cell}$ ) in DSSCs play an important role in controlling  $J_{cell}$ .

$$J_{cell} = J_{ph} - J_0 \left[ \exp \left( \frac{q(V_{cell} + J_{cell}R_s)}{nk_B T} \right) - 1 \right] - \frac{V_{cell} + J_{cell}R_s}{R_{sh}} \quad (S1)$$

The above equation, Eq. (S1), can be simplified further to estimate the DSSCs open circuit voltage ( $V_{oc}$ ) from the following assumptions and considerations[235] (i) there is an infinite value of  $R_{sh}$ ; (ii)  $J_{cell} = 0$ ; (iii)  $V_{cell} = V_{oc}$ ; ( $I_{ph} \gg I_0$ )  $\rightarrow$  ( $I_{ph} + I_0 \approx I_{ph}$ ); which will result in Eq. (S2) that is used to determine ( $J_{ph}/J_0$ ) and  $J_{sc}$  for estimating the maximum theoretical PCE. With the assumption that Fermi-level and conduction-band energies in TiO<sub>2</sub> are approximately the same due to the intrinsic n-type behavior of TiO<sub>2</sub> ( $E_f \approx E_{CB}$ ), one may estimate the maximum theoretical  $V_{oc} \approx 1$  V. Using Eq. (S2-S4) with the obtained experimental results of the photoanode architecture (d) TiO<sub>2</sub> + MAPbI<sub>3</sub> +  $\beta$ -Carotene [ $n^* = 4.67$  (dip-coated) and 5.47 (spin-coated);  $FF^* = 1$ ;  $k_B T/q = 25.9$  mV ( $T = 298$  K);  $J_0 = 0.173 \mu A/cm^2$  (dip-coated) and  $0.081 \mu A/cm^2$  (spin-coated);  $R_s = 0$ ;  $R_{sh} = \infty$ ;  $J_{sc} = J_{cell}$  ( $V_{cell} = 0$ )], we have approximated the maximum PCE\* that could be achieved by MAPbI<sub>3</sub>/ $\beta$ -Carotene photoanodes interfaced with coal-derived-rGO-cathode in liquid-state DSSCs.

$$V_{oc} = \frac{nk_B T}{q} \ln \left( \frac{J_{ph}}{J_0} \right) = 1 \text{ V} \quad (\text{S2})$$

$$\frac{J_{ph}}{J_0} \approx 3896 \rightarrow J_{sc}^* = J_{ph} - J_0 = 0.09 \sim 0.67 \text{ mA/cm}^2 \quad (\text{S3})$$

$$PCE^* = \frac{P_m}{P_{in}} = \frac{J_{sc} V_{oc} FF}{100 \text{ (mW/cm}^2\text{)}} = 0.1\% \sim 0.7\% \quad (\text{S4})$$

In a heterojunction diode structure, values like  $n$ ,  $J_0$ , and series resistance ( $R_s$ ) are important for cell performance characterization. In DSSCs, thermionic emission (TE) [242] and Cheung's methods [243], [245] can be used to quantify the diode parameters. TE model have been used to determine both  $n$  and  $J_0$  as shown in Eq. (S5) from the exponential fitting [ $y = a \exp(bx)$ ]. For ideal structures ideality factor ( $n = 1$ ); however, usually  $n > 1$  due to recombination and  $R_s$  from interfacial contacts [242], [243], [256], [257].

$$J = J_0 \exp \left( \frac{qV}{nk_B T} \right) \quad (\text{S5})$$

## Appendix C: Performance Comparison of $\beta$ -carotene-based DSSCs with Previous Works

**Table C1.** Performance comparison and photovoltaic parameters of various literature works on  $\beta$ -carotene-based DSSCs in contrast with the proposed bio-DSSCs system under AM1.5 radiation\*

Pigment: Source / Absorbance   [Architecture]	$J_{sc}$ ( $\mu\text{A cm}^{-2}$ )	$V_{oc}$ (mV)	FF	$\eta^*$ (%)	Ref.
Daucus Carota / 415-508 nm [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/C/FTO]	33	239	-	$1.2 \times 10^{-3}$	[279]
Carrot / 400-510 nm; peaks: 433, 472.5 nm [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/Pt/FTO]	360	40	0.64	$9 \times 10^{-3}$	[172]
Orange fruit; 400-510 nm; peaks: 441, 466.5 nm [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/Pt/FTO]	370	60	0.58	$2 \times 10^{-2}$	[172]
Tomatoes / 400-510 nm; max: 441 nm and 466.5 nm [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/Pt/FTO]	510	140	0.37	$3 \times 10^{-2}$	[172]
Syngonium sp leaves / 440-480 nm; peaks: 450, 480 nm; bandgap=2.47 eV; EL-HSE (High stability redox, Dyesol) [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/Pt/FTO]	270	400	0.68	$7.4 \times 10^{-2}$	[280]
Yellow sweet potato / 400-500 nm; peaks: 401 nm [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/Pt/FTO]	0.27	515	-	$5.7 \times 10^{-2}$	[281]
Purple sweet potato /400-500 nm; peaks: 532 nm, [FTO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/Pt/FTO]	0.17	378	-	$3.3 \times 10^{-2}$	[281]
$\beta$ -carotene powder; 380 nm – 500 nm [ITO/TiO <sub>2</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/C/ITO]	162	123	0.039	$1 \times 10^{-4}$	[282]
$\beta$ -carotene powder; 218 nm – 479 nm [ITO/TiO <sub>2</sub> /MAPbI <sub>3</sub> / $\beta$ -carotene/[I <sup>-</sup> /I <sub>3</sub> <sup>-</sup> ]/rGO/ITO]	1.10	655	0.63	$4.6 \times 10^{-4}$	This work

\*  $J_{sc}$  = Short-circuit current density;  $V_{oc}$  = Open-circuit voltage; FF = Fill factor;  $\eta$  = Incident photon-to-current efficiency (IPCE) = Quantum efficiency (QE). Note that we have used the  $\beta$ -carotene powder source similar to the last reported study [282], Sigma US, and recorded better  $\eta$ .

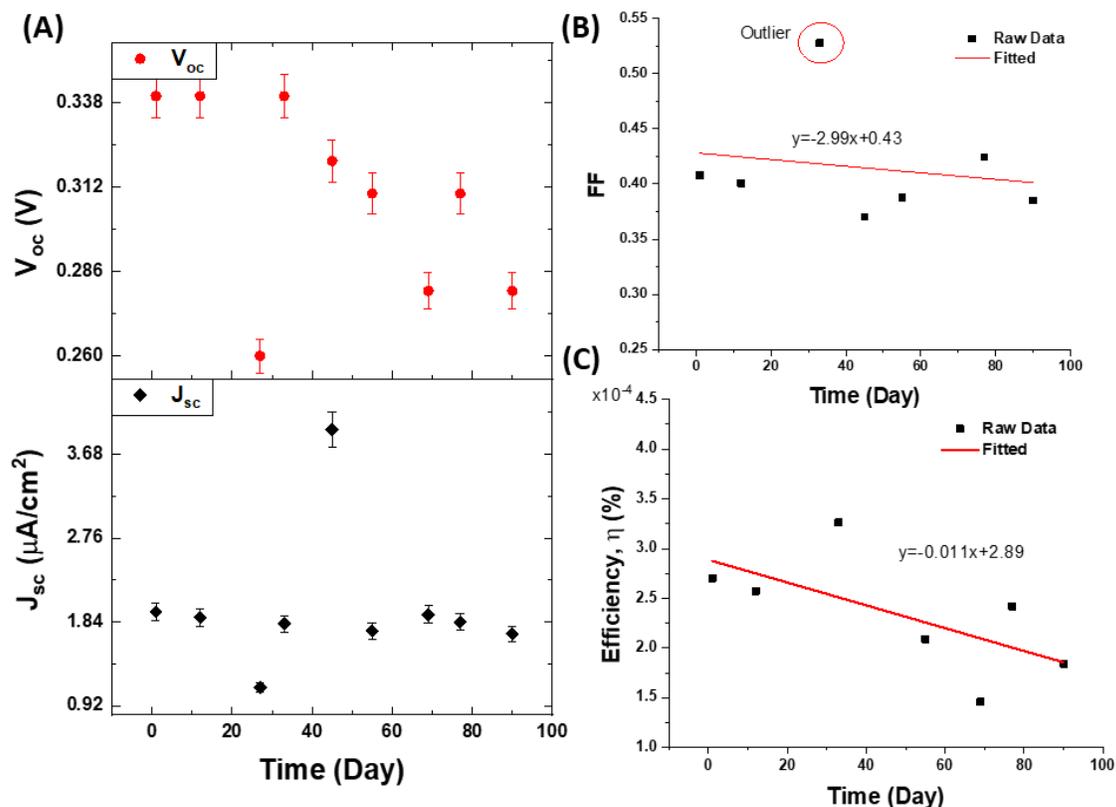
## Appendix D: Stability Over Time (3 months) of Bio-DSSCs with Dip-Coated Photoanodes

Incident light: 100 mW/cm<sup>2</sup> [ $\sim$ 20 hr of total radiation for 3 months; equivalent to 9 measurements with 2 hours of radiation for each analysis]

Redox refill: 1-2 drops of iodide-triiodide are added before each measurement [ $\sim$ 9 times]

Humidity: 20 – 35%

Temperature: 23 °C



**Figure D1.** Cell stability over time for bio-sensitized DSSCs using  $\beta$ -carotene dip-coated photoanodes: (A) Changes in the observed  $V_{oc}$  (2% error bars) and  $J_{sc}$  (5% error bars); (B) Slight reductions in FF with the estimated linear fitted relationship considering an outlier value; (C) Slight reductions in efficiency with the estimated linear fitted relationship after removing any outlier values.



### Biomolecular photosensitizers for dye-sensitized solar cells: Recent developments and critical insights

**Author:** Hisham A. Maddah, Vikas Berry, Sanjay K. Behura

**Publication:** Renewable and Sustainable Energy Reviews

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### Cuboctahedral stability in Titanium halide perovskites via machine learning

**Author:** Hisham A. Maddah, Vikas Berry, Sanjay K. Behura

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- (1) **Maddah, H. A.**, Berry, V., & Behura, S. K. (2020). Biomolecular Photosensitizers for Dye-Sensitized Solar Cells: Recent Developments and Critical Insights. *Renewable and Sustainable Energy Reviews*, *121*, 109678.
- (2) **Maddah, H. A.**, Berry, V., & Behura, S. K. (2020). Cuboctahedral stability in Titanium halide perovskites via machine learning. *Computational Materials Science*, *173*, 109415.
- (3) **Maddah, H. A.**, Aryadwita, L., Berry, V., & Behura, S. K. (2020). Perovskite Cosensitization for Reduced Voltage Loss in Naturally-Sensitized Solar Cells, *Nano Energy*, Under-Review.
- (4) Emani, P. S.<sup>#</sup>, **Maddah, H. A.**<sup>#</sup>, Ragoonwala, A., Che, S., Gruen, D. M., Berry, V., & Behura, S. K. (2020). Organophilicity of Graphene Oxide for Enhanced Wettability of ZnO Nanowires, *ACS Applied Materials & Interfaces*, Under-Review. <sup>#</sup>Equally contributing authors.

#### (d) Conferences (Selected)

- (1) **Maddah, H. A.**, Aryadwita, L., Berry, V., & Behura, S. K. (2020). Perovskite Co-Sensitization of Naturally-Sensitized  $\beta$ -Carotene Photoanode for Dye-Sensitized Solar Cells. In *12<sup>th</sup> Annual AIChE Midwest Regional Conference*.
- (2) **Maddah, H. A.**, Berry, V., Behura, S. K. (2019). Random Sampling of Ionic Radii and Discrete Distributions for Structural Stability and Formability of Titanium-Based Perovskites. In *APS/CNM Users Meeting*.
- (3) **Maddah, H. A.**, Berry, V., & Behura, S. K. (2019). Random Sampling of Ionic Radii and Discrete Distributions for Structural Stability and Formability of Titanium-Based Perovskites. In *11<sup>th</sup> Annual AIChE Midwest Regional Conference*.
- (4) **Maddah, H. A.**, Berry, V., & Behura, S. K. (2018). Anthocyanin Coated TiO<sub>2</sub> as a Photoanode in Dye-Sensitized Solar Cells. In *2nd Annual Graduate Research and Career Symposium at UIC*. [Best Poster Award]

#### (e) Book Chapters

- (1) **Maddah, H. A.**, Jhally, A., Berry, V., Behura, S. K. (2020). Highly Efficient DSSCs with 3D Graphene-Based Materials, *Royal Society of Chemistry*, Under-Review.