Executive Briefing: AI Risk & Compliance

What It is

AI Risk and Compliance ensures your AI systems meet legal requirements, avoid harm, and operate within acceptable risk boundaries.

Why It Matters

AI introduces new categories of risk (e.g., behavior, data, security, operational, reputational, and regulatory), each with material business impact.

What Executives Must Know

- AI risk is now a regulatory priority
- Compliance obligations apply even without "AI laws"
- High-risk systems require documentation, testing, and oversight
- Risk must be managed continuously and not reactively

What a Good AI Risk & Compliance Looks Like

- A unified AI risk taxonomy
- Controls mapped to each risk category
- Compliance alignment across different jurisdictions
- Constant testing, monitoring, and audit trails
- Vendor and third-party oversight

Immediate Actions

- Identify all AI systems and map their risks
- Implement controls for high-risk use cases
- Establish compliance documentation
- Prepare for audits and regulatory inquiries

How We Help

Bradley J. Martineau with **The AI-Enabled Executive LLC** builds AI risk and compliance programs that meet regulatory expectations and protect organizations from legal, operational, and reputational exposure.

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