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11
12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH SERVICES,
18 INC., JOY I. KOVAR, and BRENT CARSON
19 KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**MOTION FOR ORDER IN AID OF
RECEIVERSHIP (1) SETTING A CLAIMS
BAR DATE, (2) APPROVING PROPOSED
CLAIM SUBMISSION PROCESS, AND (3)
APPROVING SUMMARY CLAIM
PROCEDURES; DECLARATION OF
GEOFF WINKLER IN SUPPORT**

[HEARING REQUESTED]¹

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23
24 In accordance with Local Rule 66-6 and this Court’s August 6, 2021, order (ECF No. 26)
25 (the “Receiver Order”) appointing Geoff Winkler of American Fiduciary Services, LLC, as the
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27 ¹ The Receiver expects to file the fee application for his fees as well as the fees of his professionals
28 in the next week and would ask that the hearing on this motion be held concurrently with the fee
application hearing and the Receiver’s most recent status report, which was filed on May 2, 2023,
as Document No. 132.

1 permanent receiver of Profit Connect Wealth Services, Inc., and any subsidiaries and affiliates
2 (together, “Profit Connect”), the Receiver moves this Court for an order providing the following
3 relief: (1) setting a claims bar date; (2) approving the proposed claim submission process; and (3)
4 approving summary claim procedures. The Receiver is informed that the Securities & Exchange
5 Commission has no objection to the relief sought in this Motion.

6 This Motion is based on the below memorandum of points and authorities, the declaration
7 of Geoff Winkler and the exhibits thereto, all papers on file, and any argument the Court may call
8 and consider.

9 **MEMORANDUM OF POINTS AND AUTHORITIES**

10 **I. RELEVANT BACKGROUND AND PROCEDURAL HISTORY**

11 The Securities and Exchange Commission initiated this action against Profit Connect, Joy
12 Kovar, and Brent Kovar on July 8, 2021, by the sealed, ex parte filing of a complaint and motion
13 for temporary restraining order seeking, among other things, the freezing of defendants’ assets and
14 the appointment of a receiver over Profit Connect. The Court granted the ex parte temporary
15 restraining order, in part, by allowing the asset freeze to proceed but set the motion for a hearing
16 in order to provide defendants an opportunity to be heard on the temporary receivership request.

17 On July 23, 2021, the defendants stipulated to modify the temporary restraining order to
18 appoint the temporary receiver. On August 6, 2021, following another stipulation of the parties,
19 the Court converted the temporary restraining order to a preliminary injunction and appointed the
20 Receiver as the permanent receiver of Profit Connect. Among other things, the Court has charged
21 the Receiver with assuming control over all of Profit Connect’s assets and monetizing the assets,
22 and making an accounting of Profit Connect’s financial condition so that distributions can be made.

23
24 **II. PROPOSED CLAIM PROCEDURES**

25 To facilitate distributions, the Receiver proposes the following procedures for noticing,
26 reviewing, and processing investor and creditor claims:

27 (1) Retention of Kurtzman Carson Consultants to Receive and Maintain Claims. The
28 Receiver seeks to retain Kurtzman Carson Consultants (“KCC”) to provide an electronic claims

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1 submission process and to maintain the register of claims. KCC will not charge the estate for
2 these services. KCC will establish a web page to which investors and creditors will be directed
3 to complete and submit the claim form electronically. KCC will electronically log all of the
4 claims and maintain a database of submitted claims. This process is expected to make it easier
5 and more efficient for investors and creditors to submit their claims. An electronic version of the
6 form and instructions can be viewed at [Claim Form \(kccconnect.com\)](https://kccconnect.com).

7 (2) Notice to Investors and Creditors of the Deadline to Submit the Claim Form and
8 the Procedure For Doing So: Promptly upon entry of any order granting this Motion (the
9 “Claims Procedure Order”), the Receiver will mail a postcard in the form attached as Exhibit “1”
10 to the last known mailing address on file for the investors and creditors. The postcard will notify
11 them that they have 90 days from the date the Claims Procedure Order was entered to submit
12 their claims to the Receiver and will direct them to KCC’s website to submit the claim. The
13 postcard will contain the exact deadline. Alternatively, if they would prefer a paper claim form
14 to submit by mail, the postcard will direct them to the Receiver’s office to obtain a claim form.
15 The Receiver will also convey this message to investors by email to the last known email address
16 that he has for each investor, if any. For non-investor creditors, the Receiver will only mail the
17 postcard.

18 In addition to the above service of the postcard, the Receiver will publish notice of the
19 deadline to return claims at least twice in both *USA Today* and the *Las Vegas Review-Journal*.
20 That publication notice will provide investors and creditors instructions on how to obtain the
21 claim form and the deadline by which they need to return it. Moreover, the Receiver will also
22 prominently provide notice of the deadline for the return of the claim form on the website that he
23 set up for the receivership estate located at <https://profitconnect-receivership.com>, with
24 information on how to complete the claim form online via the KCC webpage.

25 Investors and creditors going to the KCC website will see a claim form with boxes to
26 complete. A print out of this form for investors is attached as Exhibit “2” and a print out of this
27 form for creditors is attached as Exhibit “3.” A print out of the form if a party is both an
28 investor and a creditor is attached as Exhibit “4.” The instructions appear at the top of the claim

1 form. The claim form contains all of the traditional boxes to complete, just in electronic form.
 2 Once the claim form is complete and supporting documentation is uploaded, the investor and/or
 3 creditor can submit the claim form. If an investor or creditor needs to upload more than ten
 4 documents or any one document is more than 5 MB, there will be a phone number and/or email
 5 for them to contact for further instructions.

6 If an investor or creditor wishes to receive and submit the claim form by mail, then they
 7 will be directed to contact the Receiver's office by phone. The Receiver's office will begin the
 8 claim form with the investor or creditor by completing their name and address and will then
 9 generate the claim form and mail it to the investor or creditor, who will then complete and return
 10 the claim form and submit supporting documentation by mail to KCC.² KCC will then scan the
 11 form in and store it electronically.

12 (2) Deadline for the Return of the Claim Forms: The Receiver proposes that the
 13 deadline for his receipt of the claim forms be ninety (90) days from the date the Claims Order is
 14 entered (the "Claims Bar Date"). Any investor or creditor who fails to submit a claim form
 15 online or to return one by mail to KCC by the Claims Bar Date shall be forever barred and
 16 enjoined from asserting a claim against Profit Connect, the Receiver, and the receivership estate.
 17 Claims shall not be filed with the Court, but rather, shall be submitted electronically through the
 18 claims website or by mail to KCC. If an investor or creditor submits a claim electronically, they
 19 will receive email confirmation of the receipt of their claim. If an investor or creditor elects to
 20 submit the claim form by mail, then in order to receive confirmation of the receipt of the claim,
 21 they will need to include a duplicate copy of the claim form and a self-addressed stamped
 22 envelope in which to return the duplicate copy with the file stamp on it.

23 (3) Claims Review Process and the Use of Summary Proceedings to Resolve
 24 Objections: The Receiver will evaluate all claim forms and supporting documentation that are
 25 received by the Claims Bar Date and compare them to the records of Profit Connect and the
 26

27 _____
 28 ² The Receiver's office will generate the claim form on KCC's website so that it contains a unique
 bar code that will assist KCC with organization and maintenance of the claims.

1 results of the Receiver's investigation and accounting, and make a determination of the
2 recommended treatment of each claim.

3 Where the Receiver disputes all or a portion of a claim, he will provide written notice of
4 the objection to the claimant by mail and attempt to resolve the dispute informally. If the dispute
5 cannot be resolved informally and warrants judicial resolution, then the Receiver will submit the
6 dispute to the Court for resolution. If there are multiple objections on similar grounds, the
7 Receiver will likely file an omnibus motion addressing similar issues with different claims. For
8 more complex objections, the Receiver will file a separate motion objecting to the claim. In
9 either case, the Receiver will identify the portion of the claim that he believes is objectionable,
10 the proposed allowed amount, if any, and the factual and legal basis for the Receiver's objection.
11 The motion will be served by mail on the claimant at the address on the proof of claim. The
12 claimant may file an opposition in accordance with the Local Rules of this Court and the
13 Receiver will file a reply. As necessary, the Receiver and the claimant may seek Court
14 permission for discovery, a settlement conference, a briefing schedule, or other procedures the
15 Court deems appropriate.

16 The Receiver believes that these procedures will minimize delay and expense while still
17 affording investors and creditors a full and fair opportunity to present their claims and have any
18 disputes resolved by the Court.

20 **III. LEGAL ARGUMENT**

21 **A. The Court Has Broad Discretion to Approve Procedures for the Submission** 22 **and Administration of Claims**

23 “The power of a district court to impose a receivership . . . derives from the inherent power
24 of a court of equity to fashion effective relief.”³ “The primary purpose of equity receiverships is
25 to promote orderly and efficient administration of the Receivership Estate by the district court for
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28 ³ *SEC v. Wencke*, 622 F.2d 1363, 1369 (9th Cir. 1980).

1 the benefit of creditors.”⁴ “[T]he practice in administering an estate by a receiver . . . must accord
2 with the historical practice in federal courts or with a local rule.”⁵

3 As the Ninth Circuit explained:

4 A district court’s power to supervise an equity receivership and to
5 determine the appropriate action to be taken in the administration of
6 the receivership is extremely broad. The district court has broad
7 powers and wide discretion to determine the appropriate relief in an
8 equity receivership. The basis for this broad deference to the district
9 court’s supervisory role in equity receiverships arises out of the fact
10 that most receiverships involve multiple parties and complex
11 transactions.⁶

12 With respect to administering claims against the receivership estate, it is well-settled that
13 district courts supervising federal equity receiverships have broad discretion to adopt appropriate
14 procedures to administer the assets of and claims against a receivership estate.⁷

15 **B. The Claim Submission Process Is Appropriate**

16 Although historically, the Receiver has utilized a process that requires claims to be
17 submitted by mail, this mode of submitting claims is becoming technologically outdated and
18 burdensome. The Receiver stores the claims electronically, so claims submitted by mail must be
19 logged and scanned in and the original paper copy maintained. Permitting the submission of
20 claims electronically is more efficient, cheaper, and easier for the majority of claimants. This is
21 particularly true in a receivership like this, where Profit Connect communicated with investors
22 almost entirely by electronic mail and many investments were received electronically. Investors
23 will be directed to the claims website by postcard, email, posting on the receivership website,
24 and legal notices in two newspapers. The claims website will contain instructions on how to
25 complete various sections of the claim form, with a phone number to call if they have any

26 ⁴ *SEC v. Hardy*, 803 F.2d 1034, 1038 (9th Cir. 1986).

27 ⁵ Fed. R. Civ. P. 66.

28 ⁶ *SEC v. Capital Consultants, LLC*, 397 F.3d 733, 738 (9th Cir. 2005) (citations omitted); *see also*
CFTC v. Topworth Int’l, Ltd., 205 F.3d 1107, 1115 (9th Cir. 1999) (“This court affords ‘broad
deference’ to the court’s supervisory role, and ‘we generally uphold reasonable procedures
instituted by the district court that serve th[e] purpose of orderly and efficient administration of
the receivership for the benefit of creditors.’”).

⁷ *See Capital Consultants, LLC*, 397 F.3d at 738; *Hardy*, 803 F.2d at 1038.

1 questions. They will also have the option of submitting a claim by mail by contacting the
2 Receiver's office and requesting that a claim form be mailed to them. They may then complete
3 the claim form by hand and mail it to the address on the claim form for KCC so that it is received
4 by the Claims Bar Date.

5 This process gives investors and creditors the option of submitting their claim
6 electronically or by mail, but relieves the Receiver of the need to scan and maintain all of the
7 claims electronically. KCC has agreed not to charge the estate for its services as a courtesy to
8 the Receiver, who utilizes KCC in other unrelated matters.

9 C. The Claims Bar Date Is Necessary and Appropriate

10 In order to make distributions, the Receiver must have certainty about the possible
11 magnitude of allowed claims. The Receiver believes that he has identified a significant number
12 of Profit Connect investors and creditors by analyzing the funds transferred to and from Profit
13 Connect. However, because Profit Connect did not maintain a definitive register of its investors
14 and creditors, nor accurate books and records of its operations and financial transactions, there is
15 a significant likelihood that there are investors and creditors who have not yet been identified.

16 Setting a bar date will provide a mechanism for providing notice and a deadline after
17 which the Receiver can be confident that the universe and magnitude of investor and creditor
18 claims is known, and can be reserved for while the allowed amount of disputed claims is
19 determined by the Court. *See Hardy*, 803 F.2d at 1038-39 (affirming a district court's decision to
20 establish deadlines for the filing of claims because the rights of creditors must be balanced
21 against the need for the expeditious administration of the receivership).

22 Accordingly, the Receiver requests that the Court enter an order setting the Claims Bar
23 Date as ninety (90) days from when the Claims Procedure Order is entered. For both investors
24 and creditors, the Receiver proposes to send the Notice of Claims Bar Date and instructions on
25 how to submit a claim form on a postcard by mail (to those for whom he has mailing addresses)
26 and by email (to those for whom he has email addresses), and by publishing notice of the Claims
27 Bar Date on the website he set up for this case, and in *USA Today* and the *Las Vegas Review-*
28 *Journal*. The receivership website will also prominently give notice of the Claims Bar Date.

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1 **D. The Summary Claim Procedures Are Appropriate**

2 As set forth above, the Court has wide discretion to establish procedures in connection
3 with the administration of a receivership. The Court has the general power to use summary
4 proceedings in allowing, disallowing, and subordinating claims of creditors.⁸

5 The Receiver believes that the proposed procedures for resolution of disputes will
6 provide an efficient and expeditious method to resolve any disputes that require judicial
7 intervention while still ensuring that claimants have due process. Therefore, he requests that
8 they be approved.

9
10 **IV. CONCLUSION**

11 Based on the foregoing, the Receiver requests entry of an order in the form attached hereto
12 as Exhibit “5”:

- 13 (1) Granting the Motion in its entirety;
- 14 (2) Approving the Notice of Claims Bar Date attached hereto as Exhibit “1”;
- 15 (3) Authorizing and approving the Receiver’s proposed means of noticing investors
16 and creditors, including via mail, email, the Receiver’s website, the KCC webpage, and by
17 publication of notice of the claims process in *USA Today* and the *Las Vegas Review-Journal*;
- 18 (4) Authorizing and approving the Receiver’s proposed means for the submission of
19 claims through a website established by KCC or, where applicable, by mail to KCC;
- 20 (5) Approving and authorizing the use of summary proceedings for the determination
21 of claims against Profit Connect, as detailed in the Motion;
- 22 (6) Setting a claims bar date of ninety (90) days from entry of the Claims Procedure
23 Order; and
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28 ⁸ See *U.S. v. Arizona Fuels*, 739 F.2d 455, 458 (9th Cir. 1984); *Hardy*, 803 F.2d at 1040; *SEC v. Elliot*, 953 F.2d 1560, 1571 (11th Cir. 1992).

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(7) Granting such other and further relief as the Court deems just and appropriate.

Dated: May 16, 2023

SMILEY WANG-EKVALL, LLP

By: /s/ Kyra E. Andrassy
Kyra E. Andrassy, Esq.
(Admitted *Pro Hac Vice*)
3200 Park Center Drive, Suite 250
Costa Mesa, California 92626

-and-

Kara B. Hendricks, Esq.
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Attorneys for Receiver

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DECLARATION OF GEOFF WINKLER

I, Geoff Winkler, declare as follows:

1. I am over 21 years old and am a founding member and the chief executive officer of American Fiduciary Services LLC, which is based on Portland, Oregon.

2. I am competent to testify to the matters presented in this declaration, and I submit this declaration in support of my motion for an order (1) setting a claims bar date, (2) approving proposed claim form, and (3) approving summary claim procedures (the "Motion"). This declaration is based on my personal knowledge, except where made on information and belief, and as to those matters, I believe them to be true.

3. By order entered on July 23, 2021, I was appointed as the temporary receiver over Profit Connect Wealth Services, Inc., and its affiliates and subsidiaries ("Profit Connect"). On August 6, 2021, upon order of the Court following stipulation of the parties, I was appointed as the permanent receiver.

4. Given the status of the case, in my business judgment, I believe that it is appropriate for creditors to now file proof of their claims against the receivership estate. A proposed Notice of Claims Bar Date that will be printed and mailed out as a postcard is attached as Exhibit "1." Its contents will also be circulated by email to the last known email address for investors, posted on the receivership website, and published in two newspapers. I have selected one newspaper with local circulation in Las Vegas, which is where the majority of the investors are located, and another with general circulation. A print out of the proposed electronic claim form for investors is attached as Exhibit "2" and the print out of the proposed electronic claim form for any non-investor creditors is attached as Exhibit "3." A print out of the claim form if a party is both an investor and a creditor is attached as Exhibit "4." These forms can be viewed electronically at [Claim Form \(kcccconnect.com\)](http://kcccconnect.com). These forms are virtually identical to the forms I would traditionally use and send by mail. Given that most of the investors communicated electronically with Profit Connect, I think that submission of the claims electronically is the most efficient method for running the claims process in this case. If anyone would prefer to submit a hard copy of the claim form, we

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1 will accommodate them. The electronic process will be more efficient and mean that my office
2 does not have to scan every claim form so that there is an electronic copy.

3 5. I believe based on my experience that a period of ninety days is sufficient for
4 investors and non-investor creditors to receive notice of the Claims Bar Date and to submit their
5 claim. My team and I will then review the claims that are timely submitted. If there are
6 discrepancies or disputes, we will first attempt to informally resolve them. Those that cannot be
7 resolved informally will be presented to the Court for judicial resolution as set forth in the Motion,
8 which I believe is efficient while still ensuring that parties have due process.

9 I declare under penalty of perjury that the foregoing is true and correct.

10 Executed on May 16, 2023.

11 /s/ Geoff Winkler
12 Geoff Winkler

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EXHIBIT "1"

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

PROFIT CONNECT WEALTH SERVICES, INC., JOY
I. KOVAR, and BRENT CARSON KOVAR,

Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**NOTICE OF CLAIMS BAR DATE FOR
INVESTORS AND CREDITORS AND
INSTRUCTIONS FOR ALL INVESTORS AND
CREDITORS SEEKING RECOVERY FROM
PROFIT CONNECT**

PLEASE TAKE NOTICE that by order entered by the U.S. District Court, District of Nevada, in the above-captioned case, the Court has set ____, 2023 as the deadline for investors and creditors of Profit Connect Wealth Services, Inc. to submit claims to Geoff Winkler, the Receiver. Claim forms may be completed and submitted electronically at [final URL address to be inserted here]. If you would prefer to complete a hard copy of the claim form, please contact Josh McGraw at josh@americanfiduciaryservices.com to request a Proof of Claim form. Claims must be submitted electronically or, if mailed, received by Kurtzman Carson Consultants, the Receiver's claims agent, by ____, 2023. Claims that are received late will not receive a distribution from the Receiver or the receivership estate.

EXHIBIT "2"

SEC vs PROFIT CONNECT WEALTH SERVICES, INC.

UNITED STATES DISTRICT COURT DISTRICT OF NEVADA

SECURITIES AND EXCHANGE COMMISSION, Plaintiff v. PROFIT CONNECT WEALTH SERVICES, INC., JOY I. KOVAR and BRENT CARSON KOVAR, Defendants.

Case Number 2:21-cv-01298-JAD-BNW

PROOF OF CLAIM

INSTRUCTIONS FOR PROOF OF CLAIM FORM

GENERAL INFORMATION

Geoff Winkler, the Receiver in the above-captioned case, has determined that there will be some assets to distribute to creditors and investors. The amount of funds available for distribution has not been determined; however, the Receiver believes that the best source of compensation to the investors and creditors is from the funds that the Receiver is bringing into the receivership estate and investors and creditors are strongly encouraged to file claims using the attached form. **If your Proof of Claim is not received before _____, 2023 at 5:00 p.m. PDT, you will lose your right to receive any distributions from the Receiver or the receivership estate and your claim will be barred.**

- 1. WHO MUST FILE A PROOF OF CLAIM FORM?** You must file a Proof of Claim form if you: Believe that you are owed money by Defendant PROFIT CONNECT WEALTH SERVICES, INC, or any of its subsidiaries and affiliates (collectively, the "Company"), arising out of or based upon: (a) any investment with, through, or in the Company; (b) any interest in the Company or any of its assets or any claim against the Company or any entity under its control based on primary, secondary, direct, indirect, secured, unsecured, or contingent liabilities; or (c) any claim of any sort against the Company or any entity under its control whether such claim is based upon contract, tort, contribution, indemnity, reimbursement, subrogation theories or other legal or equitable theory.. If you are both an investor and creditor, or are an investor with or through more than one of the Companies, you must file a single Proof of Claim form for all claim(s) or interest(s) you allege to have against the Companies,

collectively and individually. **Note that a failure to submit a signed Proof of Claim form and supporting documentation, will result in the denial of your claim.**

2. **CONSENT TO JURISDICTION OF THE COURT AND THE CONSEQUENCES THEREOF.** If you submit a Proof of Claim form in this case, you consent to the jurisdiction of United States District Court for the District of Nevada ("District Court") for all purposes, agree to be bound by its decisions, including a determination, among other things, as to the validity and amount of your claim against the Company, or other persons or entities as identified in paragraph 1, above. In submitting a Proof of Claim, you agree to be bound by the actions of the District Court, including the District's Court approval of limiting or denying your claim, if any. By submitting a Proof of Claim, you further agree that your participation in any distribution of the receivership estate may exclude or prevent you from pursuing any other remedies.
3. **WHERE MUST THE PROOF OF CLAIM FORM BE SENT?** The completed Proof of Claim form, along with all supporting documentation, must either be (1) completed electronically at the website located at _____; or (2) mailed to the address below. Do not do both or it will result in duplicate claims. Claims submitted by mail can be mailed to:

Kurtzman Carson Consultants
c/o Profit Connect Wealth Services, Inc Claims
PO Box __
New York, New York ____
4. **WHAT IS THE DEADLINE TO FILE THIS PROOF OF CLAIM FORM?** The Proof of Claim form must be submitted on the website or received by Kurtzman Carson Consultants before _____, **2023 at 5:00 p.m. PDT.** Please note that any late filed claim will be objected to and denied in its entirety.
5. **AM I AN INVESTOR OR CREDITOR?** If you believe you have or allege you have invested money for supercomputer seat time or otherwise invested with, through, or in the Company, you are an investor. If you have performed services for the Company, sold merchandise, loaned money, or were an employee of the Company, you are a creditor. All other Claimants to whom the Company may owe a debt, which debts are not based on the investment into the following products named, "Wealth Builder," "Wealth Builder Unlimited," "Wealth Builder VIP," "VIP Executive," "Equity Wealth Builder", "Wealth Builder Youth" and stock purchases in Profit Connect are also creditors. Based on your categorization as either an investor or creditor, fill in the appropriate section of the attached Proof of Claim form. If you believe that you are both an investor and a creditor, you need to fill out only one (1) Proof of Claim form. If unsure of the classification of being an investor or creditor, then select both boxes in line 2. In either case, you must sign the Proof of Claim form at line 8.
6. **SUPPORTING DOCUMENTS.** You must submit with the Proof of Claim form or, if mailing the Proof of Claim form, attach to the Proof of Claim form copies of all documents that show that the Company owes the debts or amounts claimed. If supporting documents are

not available, you must attach an explanation of why they are not available. Failure to provide such documents may result in the denial of your claim.

7. **ADDITIONAL INFORMATION.** Note that additional information regarding filing the Proof of Claim form can be obtained at www.profitconnect-receivership.com or you may write to the Receiver at the following address:

Geoff Winkler, Receiver
c/o Profit Connect Wealth Services, Inc Claims
PO Box 4364
Portland, OR 97208-4364

INFORMATION ON COMPLETING THE PROOF OF CLAIM FORM

1. **Information about Claimant.** Complete this section giving the name, address and telephone number of the Claimant to whom the Company allegedly owes money or property.
2. **Claimant Type.** Indicate in this box whether you are an investor or creditor (as defined in the General Information section above). If you are both an investor and a creditor, please file a single Proof of Claim form for all claim(s). If unsure, then select both investor and creditor. Upon completing this box, if you have marked investor, please proceed to box 3; if you have marked creditor, please proceed to box 4. If you have marked investor and creditor, please complete boxes 3 and 4.
3. **FOR INVESTORS.**
 - a. Indicate the name of the product(s) with, through, or in which you claim to have invested funds.
 - b. **Pending Legal Action.** If you have commenced a legal action against the Company, provide the details of said legal action here. Attach any additional pages of description and supporting documentation. Also, please provide any information regarding court judgments obtained against any of the above-captioned defendants.
 - c. **Claim Amount.** State the amount you invested or sent to the Company as of July 14, 2021, less any payments you received from the Company. If said claim amount includes amounts over principal invested, such as interest, attorneys' fees, damages, claims or late fees, please mark the appropriate box below the claim amount and provide a detailed break down of the claim. Also attach all documentation supporting said claim amount and the calculation of said claim. Also, if you allege that your claim is subject to a security interest, mark the appropriate box in this section and provide all supporting documentation evidencing the secured status of your claim.
 - d. **Date and Amount of Funds Invested.** Indicate in this section each time you made an investment with the applicable Company by indicating the investment date and the investment amount. Attach additional sheets as necessary to reflect all investments.

- e. **Date and Amount of any Distributions or Withdrawals.** Indicate the date of any distributions or withdrawals from the applicable Company by indicating the date and amount of each said distribution or withdrawal. Attach additional sheets as necessary to reflect the date and amount of all transfers of money out of the applicable Company. (Investors go to Section 5 of the Proof of Claim and complete Sections 5 – 8.)
- f. Submit with or attach to your Proof of Claim copies of all Agreements, Certificates, and any other documents you contend reflect the terms of your agreement or investment with the Company.

4. FOR CREDITORS.

- a. Indicate the basis of your claim in this section.
 - b. **Name or Entity of Creditor.** Indicate the creditor name or entity. Date the Claim was Incurred. Indicate the date on which the amount you allege to be owed arose.
 - c. **Pending Legal Action.** If you have commenced a legal action against the Company, provide the details of said legal action here. Attach any additional pages of description and supporting documentation. Also, please provide any information regarding court judgments obtained against any of the above-captioned defendants.
 - d. **Total Claim Amount as of July 14, 2021.** State your claim amount as of July 14, 2021. Also, mark the applicable box if you contend your claim is subject to a security interest, and attach copies of all security agreements and other documents that evidence the claim of secured status. Mark the applicable box if your claim amount includes interest or other charges, such as attorneys' fees, lost profits, or late fees in addition to the principal amount of your claim, and attach an itemized statement of all such additional charges.
- 5. Supporting Documentation.** Note that in addition to filling out the Proof of Claim form, you must provide supporting documentation evidencing your claim. Supporting documentation may include, but need not be limited to, documents such as canceled checks, bank statements, account ledgers, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, or evidence of perfection of liens. Furthermore, feel free to provide any additional pages of explanation or narrative discussing your claim and claim amount.
- 6. Acknowledgement of Filing.** If you are submitting your Proof of Claim by mail and wish to receive an acknowledgment of the filing of your Proof of Claim form, enclose an additional copy of the Proof of Claim form, along with a self-addressed, stamped envelope when submitting the original form.
- 7. Date.** Insert the date on which you completed and signed the Proof of Claim form.
- 8. Signature. Sign the Proof of Claim form and indicate your title, if applicable.**

1. NAME AND ADDRESS OF CLAIMANT:

*First Name

*Last Name

Tax I.D. No. or SSN

Nicknames/Other Names

First Name of Co-Filer

Last Name of Co-Filer

Tax I.D. No. or SSN of Co-Filer

*Current Address

Current Address Continued

*City

*Country

*State

*Zip Code

*Telephone No. of Claimant:

*Email Address

*2. CLAIMANT IS A(N):

INVESTOR CREDITOR

If Claimant is both an Investor and a Creditor, please file a single Proof of Claim form for all claim(s) and complete the form, per the instructions herein. If unsure, then check both boxes.

3. INVESTORS

3a. Product(s) In Which You Claim to Have Invested:

- Wealth Builder Unlimited
- Equity Wealth Builder
- Wealth Builder Youth

- Wealth Builder 200
- Wealth Builder VIP
- Wealth VIP Executive

- Wealth Builder 1,000
- Wealth Builder 50
- Profit Connect stock

- SalesLead4Me stock
- SalesLead4Me services
- Other

3b. If Legal Action Pending, Date Commenced, Court and Case No:

Date Commenced

Court

Case No

***3c. Total Amount of Claim:**

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- Check this box if you contend your claim includes amounts over principal invested, interest or other charges, such as attorneys' fees, damages, claims or late fees in addition to the principal amount of the claim **or the amount above does not deduct the repayments that you have already received.** Attach itemized statement of all additional amounts, interest or charges.
- Check this box if you contend your claim is subject to a security interest. Attach copies of all documents that evidence the claim of secured status.

3d. Date and Amount of Funds Sent or Entrusted as of July 14, 2021:

Date:

Amount:

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3e. Date and Amount of Any Distributions (including interest, commissions or returns of principal) or Withdrawals:

Date:

Amount:

\$.	00
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Add Remove

3f. Supporting Documentation:

Please upload copies of supporting documents, such as cancelled checks (front and back), account ledgers, bank statements, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, evidence of lien perfection, etc. in Section 5 below.

5. Supporting Documents:

Please upload copies of supporting documents, such as cancelled checks (front and back), account ledgers, bank statements, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, evidence of lien perfection, etc.

Check this box if documents are not available.

*Click the "Browse" or "Choose File" button and select the relevant file from your computer. The selected files will be uploaded when the "Agree and Submit" button is clicked.

Required documentation may be uploaded in .jpg,.jpeg,.tif,.tiff,.gif,.png,.pdf format only.

You may upload up to 10 files.

File size can not be larger than 5 MB.

Choose File No file chosen +

6. Date-Stamped Copy:

If you are filing an original executed proof of claim by mail rather than electronically and would like a copy of your claim returned to you as proof of receipt, please enclose an additional copy of the proof of claim and a self-addressed postage-paid envelope to:

Kurtzman Carson Consultants
Re: Profit Connect Wealth Services

[Address to be provided]

Certification

* I certify that the information contained in this Claim Form and any back-up documentation provided is true and correct.

Type the name and title, if any, of all Claimants or other persons authorized to file this claim (attach copy of power of attorney, death certificate, or other document as needed if co-owner is unable to sign).

Your typed name will serve as your electronic signature.

*Signature

Title (if any)

Signature of Co-Filer

Title (if any)

Submit

*Required

EXHIBIT "3"

SEC vs PROFIT CONNECT WEALTH SERVICES, INC.

UNITED STATES DISTRICT COURT DISTRICT OF NEVADA

SECURITIES AND EXCHANGE COMMISSION, Plaintiff v. PROFIT CONNECT WEALTH SERVICES, INC., JOY I. KOVAR and BRENT CARSON KOVAR, Defendants.

Case Number 2:21-cv-01298-JAD-BNW

PROOF OF CLAIM

INSTRUCTIONS FOR PROOF OF CLAIM FORM

GENERAL INFORMATION

Geoff Winkler, the Receiver in the above-captioned case, has determined that there will be some assets to distribute to creditors and investors. The amount of funds available for distribution has not been determined; however, the Receiver believes that the best source of compensation to the investors and creditors is from the funds that the Receiver is bringing into the receivership estate and investors and creditors are strongly encouraged to file claims using the attached form. **If your Proof of Claim is not received before _____, 2023 at 5:00 p.m. PDT, you will lose your right to receive any distributions from the Receiver or the receivership estate and your claim will be barred.**

- 1. WHO MUST FILE A PROOF OF CLAIM FORM?** You must file a Proof of Claim form if you: Believe that you are owed money by Defendant PROFIT CONNECT WEALTH SERVICES, INC, or any of its subsidiaries and affiliates (collectively, the "Company"), arising out of or based upon: (a) any investment with, through, or in the Company; (b) any interest in the Company or any of its assets or any claim against the Company or any entity under its control based on primary, secondary, direct, indirect, secured, unsecured, or contingent liabilities; or (c) any claim of any sort against the Company or any entity under its control whether such claim is based upon contract, tort, contribution, indemnity, reimbursement, subrogation theories or other legal or equitable theory.. If you are both an investor and creditor, or are an investor with or through more than one of the Companies, you must file a single Proof of Claim form for all claim(s) or interest(s) you allege to have against the Companies,

collectively and individually. **Note that a failure to submit a signed Proof of Claim form and supporting documentation, will result in the denial of your claim.**

2. **CONSENT TO JURISDICTION OF THE COURT AND THE CONSEQUENCES THEREOF.** If you submit a Proof of Claim form in this case, you consent to the jurisdiction of United States District Court for the District of Nevada ("District Court") for all purposes, agree to be bound by its decisions, including a determination, among other things, as to the validity and amount of your claim against the Company, or other persons or entities as identified in paragraph 1, above. In submitting a Proof of Claim, you agree to be bound by the actions of the District Court, including the District's Court approval of limiting or denying your claim, if any. By submitting a Proof of Claim, you further agree that your participation in any distribution of the receivership estate may exclude or prevent you from pursuing any other remedies.
3. **WHERE MUST THE PROOF OF CLAIM FORM BE SENT?** The completed Proof of Claim form, along with all supporting documentation, must either be (1) completed electronically at the website located at _____; or (2) mailed to the address below. Do not do both or it will result in duplicate claims. Claims submitted by mail can be mailed to:

Kurtzman Carson Consultants
c/o Profit Connect Wealth Services, Inc Claims
PO Box __
New York, New York ____
4. **WHAT IS THE DEADLINE TO FILE THIS PROOF OF CLAIM FORM?** The Proof of Claim form must be submitted on the website or received by Kurtzman Carson Consultants before _____, **2023 at 5:00 p.m. PDT.** Please note that any late filed claim will be objected to and denied in its entirety.
5. **AM I AN INVESTOR OR CREDITOR?** If you believe you have or allege you have invested money for supercomputer seat time or otherwise invested with, through, or in the Company, you are an investor. If you have performed services for the Company, sold merchandise, loaned money, or were an employee of the Company, you are a creditor. All other Claimants to whom the Company may owe a debt, which debts are not based on the investment into the following products named, "Wealth Builder," "Wealth Builder Unlimited," "Wealth Builder VIP," "VIP Executive," "Equity Wealth Builder", "Wealth Builder Youth" and stock purchases in Profit Connect are also creditors. Based on your categorization as either an investor or creditor, fill in the appropriate section of the attached Proof of Claim form. If you believe that you are both an investor and a creditor, you need to fill out only one (1) Proof of Claim form. If unsure of the classification of being an investor or creditor, then select both boxes in line 2. In either case, you must sign the Proof of Claim form at line 8.
6. **SUPPORTING DOCUMENTS.** You must submit with the Proof of Claim form or, if mailing the Proof of Claim form, attach to the Proof of Claim form copies of all documents that show that the Company owes the debts or amounts claimed. If supporting documents are

not available, you must attach an explanation of why they are not available. Failure to provide such documents may result in the denial of your claim.

- 7. **ADDITIONAL INFORMATION.** Note that additional information regarding filing the Proof of Claim form can be obtained at www.profitconnect-receivership.com or you may write to the Receiver at the following address:

Geoff Winkler, Receiver
 c/o Profit Connect Wealth Services, Inc Claims
 PO Box 4364
 Portland, OR 97208-4364

INFORMATION ON COMPLETING THE PROOF OF CLAIM FORM

- 1. **Information about Claimant.** Complete this section giving the name, address and telephone number of the Claimant to whom the Company allegedly owes money or property.
- 2. **Claimant Type.** Indicate in this box whether you are an investor or creditor (as defined in the General Information section above). If you are both an investor and a creditor, please file a single Proof of Claim form for all claim(s). If unsure, then select both investor and creditor. Upon completing this box, if you have marked investor, please proceed to box 3; if you have marked creditor, please proceed to box 4. If you have marked investor and creditor, please complete boxes 3 and 4.
- 3. **FOR INVESTORS.**
 - a. Indicate the name of the product(s) with, through, or in which you claim to have invested funds.
 - b. **Pending Legal Action.** If you have commenced a legal action against the Company, provide the details of said legal action here. Attach any additional pages of description and supporting documentation. Also, please provide any information regarding court judgments obtained against any of the above-captioned defendants.
 - c. **Claim Amount.** State the amount you invested or sent to the Company as of July 14, 2021, less any payments you received from the Company. If said claim amount includes amounts over principal invested, such as interest, attorneys' fees, damages, claims or late fees, please mark the appropriate box below the claim amount and provide a detailed break down of the claim. Also attach all documentation supporting said claim amount and the calculation of said claim. Also, if you allege that your claim is subject to a security interest, mark the appropriate box in this section and provide all supporting documentation evidencing the secured status of your claim.
 - d. **Date and Amount of Funds Invested.** Indicate in this section each time you made an investment with the applicable Company by indicating the investment date and the investment amount. Attach additional sheets as necessary to reflect all investments.

- e. **Date and Amount of any Distributions or Withdrawals.** Indicate the date of any distributions or withdrawals from the applicable Company by indicating the date and amount of each said distribution or withdrawal. Attach additional sheets as necessary to reflect the date and amount of all transfers of money out of the applicable Company. (Investors go to Section 5 of the Proof of Claim and complete Sections 5 – 8.)
- f. Submit with or attach to your Proof of Claim copies of all Agreements, Certificates, and any other documents you contend reflect the terms of your agreement or investment with the Company.

4. FOR CREDITORS.

- a. Indicate the basis of your claim in this section.
 - b. **Name or Entity of Creditor.** Indicate the creditor name or entity. Date the Claim was Incurred. Indicate the date on which the amount you allege to be owed arose.
 - c. **Pending Legal Action.** If you have commenced a legal action against the Company, provide the details of said legal action here. Attach any additional pages of description and supporting documentation. Also, please provide any information regarding court judgments obtained against any of the above-captioned defendants.
 - d. **Total Claim Amount as of July 14, 2021.** State your claim amount as of July 14, 2021. Also, mark the applicable box if you contend your claim is subject to a security interest, and attach copies of all security agreements and other documents that evidence the claim of secured status. Mark the applicable box if your claim amount includes interest or other charges, such as attorneys' fees, lost profits, or late fees in addition to the principal amount of your claim, and attach an itemized statement of all such additional charges.
- 5. Supporting Documentation.** Note that in addition to filling out the Proof of Claim form, you must provide supporting documentation evidencing your claim. Supporting documentation may include, but need not be limited to, documents such as canceled checks, bank statements, account ledgers, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, or evidence of perfection of liens. Furthermore, feel free to provide any additional pages of explanation or narrative discussing your claim and claim amount.
- 6. Acknowledgement of Filing.** If you are submitting your Proof of Claim by mail and wish to receive an acknowledgment of the filing of your Proof of Claim form, enclose an additional copy of the Proof of Claim form, along with a self-addressed, stamped envelope when submitting the original form.
- 7. Date.** Insert the date on which you completed and signed the Proof of Claim form.
- 8. Signature. Sign the Proof of Claim form and indicate your title, if applicable.**

1. NAME AND ADDRESS OF CLAIMANT:

*First Name

*Last Name

Tax I.D. No. or SSN

Nicknames/Other Names

First Name of Co-Filer

Last Name of Co-Filer

Tax I.D. No. or SSN of Co-Filer

*Current Address

Current Address Continued

*City

*Country

*State

*Zip Code

*Telephone No. of Claimant:

*Email Address

***2. CLAIMANT IS A(N):**

- INVESTOR
- CREDITOR

If Claimant is both an Investor and a Creditor, please file a single Proof of Claim form for all claim(s) and complete the form, per the instructions herein. If unsure, then check both boxes.

4. CREDITORS

4a. Basis of Claim:

- Goods Sold
- Services Performed
- Money Loaned
- Taxes

- Wages, salaries or compensation
- Benefits
- Other

4b. Creditor information:

Entity/Person:

Date Claim was Incurred:

4c. If Legal Action Pending, Date Commenced, Court and Case No.:

Date Commenced

Court

Case No

If Court Judgment, Date Obtained

***4d. Total Amount of Claim as of July 14, 2021:**

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- Check this box if you contend your claim is subject to a security interest. Upload copies of all security agreements and other documents that evidence the claim of secured status.
- Check this box if you contend your claim includes interest or other charges, such as attorney's fees, lost profits or late fees in addition to the principal amount of the claim. Upload itemized statement of all interest or additional charges.

5. Supporting Documents:

Please upload copies of supporting documents, such as cancelled checks (front and back), account ledgers, bank statements, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, evidence of lien perfection, etc.

- Check this box if documents are not available.

*Click the "Browse" or "Choose File" button and select the relevant file from your computer. The selected files will be uploaded when the "Agree and Submit" button is clicked.

Required documentation may be uploaded in .jpg,.jpeg,.tif,.tiff,.gif,.png,.pdf format only.

You may upload up to 10 files.

File size can not be larger than 5 MB.



6. Date-Stamped Copy:

If you are filing an original executed proof of claim by mail rather than electronically and would like a copy of your claim returned to you as proof of receipt, please enclose an additional copy of the proof of claim and a self-addressed postage-paid envelope to:

Kurtzman Carson Consultants
Re: Profit Connect Wealth Services
[Address to be provided]

Certification

* I certify that the information contained in this Claim Form and any back-up documentation provided is true and correct.

Type the name and title, if any, of all Claimants or other persons authorized to file this claim (attach copy of power of attorney, death certificate, or other document as needed if co-owner is unable to sign).

Your typed name will serve as your electronic signature.

*Signature

Title (if any)

Signature of Co-Filer

Title (if any)

Submit

*Required

EXHIBIT "4"

SEC vs PROFIT CONNECT WEALTH SERVICES, INC.

UNITED STATES DISTRICT COURT DISTRICT OF NEVADA

SECURITIES AND EXCHANGE COMMISSION, Plaintiff v. PROFIT CONNECT WEALTH SERVICES, INC., JOY I. KOVAR and BRENT CARSON KOVAR, Defendants.

Case Number 2:21-cv-01298-JAD-BNW

PROOF OF CLAIM

INSTRUCTIONS FOR PROOF OF CLAIM FORM

GENERAL INFORMATION

Geoff Winkler, the Receiver in the above-captioned case, has determined that there will be some assets to distribute to creditors and investors. The amount of funds available for distribution has not been determined; however, the Receiver believes that the best source of compensation to the investors and creditors is from the funds that the Receiver is bringing into the receivership estate and investors and creditors are strongly encouraged to file claims using the attached form. **If your Proof of Claim is not received before _____, 2023 at 5:00 p.m. PDT, you will lose your right to receive any distributions from the Receiver or the receivership estate and your claim will be barred.**

- 1. WHO MUST FILE A PROOF OF CLAIM FORM?** You must file a Proof of Claim form if you: Believe that you are owed money by Defendant PROFIT CONNECT WEALTH SERVICES, INC, or any of its subsidiaries and affiliates (collectively, the "Company"), arising out of or based upon: (a) any investment with, through, or in the Company; (b) any interest in the Company or any of its assets or any claim against the Company or any entity under its control based on primary, secondary, direct, indirect, secured, unsecured, or contingent liabilities; or (c) any claim of any sort against the Company or any entity under its control whether such claim is based upon contract, tort, contribution, indemnity, reimbursement, subrogation theories or other legal or equitable theory.. If you are both an investor and creditor, or are an investor with or through more than one of the Companies, you must file a single Proof of Claim form for all claim(s) or interest(s) you allege to have against the Companies,

collectively and individually. **Note that a failure to submit a signed Proof of Claim form and supporting documentation, will result in the denial of your claim.**

2. **CONSENT TO JURISDICTION OF THE COURT AND THE CONSEQUENCES THEREOF.** If you submit a Proof of Claim form in this case, you consent to the jurisdiction of United States District Court for the District of Nevada ("District Court") for all purposes, agree to be bound by its decisions, including a determination, among other things, as to the validity and amount of your claim against the Company, or other persons or entities as identified in paragraph 1, above. In submitting a Proof of Claim, you agree to be bound by the actions of the District Court, including the District's Court approval of limiting or denying your claim, if any. By submitting a Proof of Claim, you further agree that your participation in any distribution of the receivership estate may exclude or prevent you from pursuing any other remedies.

3. **WHERE MUST THE PROOF OF CLAIM FORM BE SENT?** The completed Proof of Claim form, along with all supporting documentation, must either be (1) completed electronically at the website located at _____; or (2) mailed to the address below. Do not do both or it will result in duplicate claims. Claims submitted by mail can be mailed to:

Kurtzman Carson Consultants
c/o Profit Connect Wealth Services, Inc Claims
PO Box __
New York, New York ____

4. **WHAT IS THE DEADLINE TO FILE THIS PROOF OF CLAIM FORM?** The Proof of Claim form must be submitted on the website or received by Kurtzman Carson Consultants before _____, **2023 at 5:00 p.m. PDT.** Please note that any late filed claim will be objected to and denied in its entirety.

5. **AM I AN INVESTOR OR CREDITOR?** If you believe you have or allege you have invested money for supercomputer seat time or otherwise invested with, through, or in the Company, you are an investor. If you have performed services for the Company, sold merchandise, loaned money, or were an employee of the Company, you are a creditor. All other Claimants to whom the Company may owe a debt, which debts are not based on the investment into the following products named, "Wealth Builder," "Wealth Builder Unlimited," "Wealth Builder VIP," "VIP Executive," "Equity Wealth Builder", "Wealth Builder Youth" and stock purchases in Profit Connect are also creditors. Based on your categorization as either an investor or creditor, fill in the appropriate section of the attached Proof of Claim form. If you believe that you are both an investor and a creditor, you need to fill out only one (1) Proof of Claim form. If unsure of the classification of being an investor or creditor, then select both boxes in line 2. In either case, you must sign the Proof of Claim form at line 8.

6. **SUPPORTING DOCUMENTS.** You must submit with the Proof of Claim form or, if mailing the Proof of Claim form, attach to the Proof of Claim form copies of all documents that show that the Company owes the debts or amounts claimed. If supporting documents are

not available, you must attach an explanation of why they are not available. Failure to provide such documents may result in the denial of your claim.

- 7. **ADDITIONAL INFORMATION.** Note that additional information regarding filing the Proof of Claim form can be obtained at www.profitconnect-receivership.com or you may write to the Receiver at the following address:

Geoff Winkler, Receiver
 c/o Profit Connect Wealth Services, Inc Claims
 PO Box 4364
 Portland, OR 97208-4364

INFORMATION ON COMPLETING THE PROOF OF CLAIM FORM

- 1. **Information about Claimant.** Complete this section giving the name, address and telephone number of the Claimant to whom the Company allegedly owes money or property.
- 2. **Claimant Type.** Indicate in this box whether you are an investor or creditor (as defined in the General Information section above). If you are both an investor and a creditor, please file a single Proof of Claim form for all claim(s). If unsure, then select both investor and creditor. Upon completing this box, if you have marked investor, please proceed to box 3; if you have marked creditor, please proceed to box 4. If you have marked investor and creditor, please complete boxes 3 and 4.
- 3. **FOR INVESTORS.**
 - a. Indicate the name of the product(s) with, through, or in which you claim to have invested funds.
 - b. **Pending Legal Action.** If you have commenced a legal action against the Company, provide the details of said legal action here. Attach any additional pages of description and supporting documentation. Also, please provide any information regarding court judgments obtained against any of the above-captioned defendants.
 - c. **Claim Amount.** State the amount you invested or sent to the Company as of July 14, 2021, less any payments you received from the Company. If said claim amount includes amounts over principal invested, such as interest, attorneys' fees, damages, claims or late fees, please mark the appropriate box below the claim amount and provide a detailed break down of the claim. Also attach all documentation supporting said claim amount and the calculation of said claim. Also, if you allege that your claim is subject to a security interest, mark the appropriate box in this section and provide all supporting documentation evidencing the secured status of your claim.
 - d. **Date and Amount of Funds Invested.** Indicate in this section each time you made an investment with the applicable Company by indicating the investment date and the investment amount. Attach additional sheets as necessary to reflect all investments.

- e. **Date and Amount of any Distributions or Withdrawals.** Indicate the date of any distributions or withdrawals from the applicable Company by indicating the date and amount of each said distribution or withdrawal. Attach additional sheets as necessary to reflect the date and amount of all transfers of money out of the applicable Company. (Investors go to Section 5 of the Proof of Claim and complete Sections 5 – 8.)
- f. Submit with or attach to your Proof of Claim copies of all Agreements, Certificates, and any other documents you contend reflect the terms of your agreement or investment with the Company.

4. FOR CREDITORS.

- a. Indicate the basis of your claim in this section.
 - b. **Name or Entity of Creditor.** Indicate the creditor name or entity. Date the Claim was Incurred. Indicate the date on which the amount you allege to be owed arose.
 - c. **Pending Legal Action.** If you have commenced a legal action against the Company, provide the details of said legal action here. Attach any additional pages of description and supporting documentation. Also, please provide any information regarding court judgments obtained against any of the above-captioned defendants.
 - d. **Total Claim Amount as of July 14, 2021.** State your claim amount as of July 14, 2021. Also, mark the applicable box if you contend your claim is subject to a security interest, and attach copies of all security agreements and other documents that evidence the claim of secured status. Mark the applicable box if your claim amount includes interest or other charges, such as attorneys' fees, lost profits, or late fees in addition to the principal amount of your claim, and attach an itemized statement of all such additional charges.
- 5. Supporting Documentation.** Note that in addition to filling out the Proof of Claim form, you must provide supporting documentation evidencing your claim. Supporting documentation may include, but need not be limited to, documents such as canceled checks, bank statements, account ledgers, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, or evidence of perfection of liens. Furthermore, feel free to provide any additional pages of explanation or narrative discussing your claim and claim amount.
- 6. Acknowledgement of Filing.** If you are submitting your Proof of Claim by mail and wish to receive an acknowledgment of the filing of your Proof of Claim form, enclose an additional copy of the Proof of Claim form, along with a self-addressed, stamped envelope when submitting the original form.
- 7. Date.** Insert the date on which you completed and signed the Proof of Claim form.
- 8. Signature. Sign the Proof of Claim form and indicate your title, if applicable.**

1. NAME AND ADDRESS OF CLAIMANT:

*First Name

*Last Name

Tax I.D. No. or SSN

Nicknames/Other Names

First Name of Co-Filer

Last Name of Co-Filer

Tax I.D. No. or SSN of Co-Filer

*Current Address

Current Address Continued

*City

*Country

*State

*Zip Code

*Telephone No. of Claimant:

*Email Address

*2. CLAIMANT IS A(N):

- INVESTOR
- CREDITOR

If Claimant is both an Investor and a Creditor, please file a single Proof of Claim form for all claim(s) and complete the form, per the instructions herein. If unsure, then check both boxes.

3. INVESTORS

3a. Product(s) In Which You Claim to Have Invested:

- Wealth Builder Unlimited
- Equity Wealth Builder
- Wealth Builder Youth

- Wealth Builder 200
- Wealth Builder VIP
- Wealth VIP Executive

- Wealth Builder 1,000
- Wealth Builder 50
- Profit Connect stock

- SalesLead4Me stock
- SalesLead4Me services
- Other

3b. If Legal Action Pending, Date Commenced, Court and Case No:

Date Commenced

Court

Case No

***3c. Total Amount of Claim:**

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- Check this box if you contend your claim includes amounts over principal invested, interest or other charges, such as attorneys' fees, damages, claims or late fees in addition to the principal amount of the claim **or the amount above does not deduct the repayments that you have already received.** Attach itemized statement of all additional amounts, interest or charges.
- Check this box if you contend your claim is subject to a security interest. Attach copies of all documents that evidence the claim of secured status.

3d. Date and Amount of Funds Sent or Entrusted as of July 14, 2021:

Date:

mm/dd/yyyy

Amount:

\$.	00
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Add Remove

3e. Date and Amount of Any Distributions (including interest, commissions or returns of principal) or Withdrawals:

Date:

mm/dd/yyyy

Amount:

\$.	00
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Add Remove

3f. Supporting Documentation:

Please upload copies of supporting documents, such as cancelled checks (front and back), account ledgers, bank statements, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, evidence of lien perfection, etc. in Section 5 below.

4. CREDITORS

4a. Basis of Claim:

- Goods Sold
- Services Performed
- Money Loaned
- Taxes

- Wages, salaries or compensation
- Benefits
- Other

4b. Creditor information:

Entity/Person:

Date Claim was Incurred:

4c. If Legal Action Pending, Date Commenced, Court and Case No.:

Date Commenced

Court

Case No

If Court Judgment, Date Obtained

mm/dd/yyyy

***4d. Total Amount of Claim as of July 14, 2021:**

\$.	00
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Check this box if you contend your claim is subject to a security interest. Upload copies of all security agreements and other documents that evidence the claim of secured status.

Check this box if you contend your claim includes interest or other charges, such as attorney's fees, lost profits or late fees in addition to the principal amount of the claim. Upload itemized statement of all interest or additional charges.

5. Supporting Documents:

Please upload copies of supporting documents, such as cancelled checks (front and back), account ledgers, bank statements, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, evidence of lien perfection, etc.

Check this box if documents are not available.

*Click the "Browse" or "Choose File" button and select the relevant file from your computer. The selected files will be uploaded when the "Agree and Submit" button is clicked.

Required documentation may be uploaded in .jpg,.jpeg,.tif,.tiff,.gif,.png,.pdf format only.

You may upload up to 10 files.

File size can not be larger than 5 MB.

Choose File

No file chosen



6. Date-Stamped Copy:

If you are filing an original executed proof of claim by mail rather than electronically and would like a copy of your claim returned to you as proof of receipt, please enclose an additional copy of the proof of claim and a self-addressed postage-paid envelope to:

Kurtzman Carson Consultants
Re: Profit Connect Wealth Services
[Address to be provided]

Certification

* I certify that the information contained in this Claim Form and any back-up documentation provided is true and correct.

Type the name and title, if any, of all Claimants or other persons authorized to file this claim (attach copy of power of attorney, death certificate, or other document as needed if co-owner is unable to sign).

Your typed name will serve as your electronic signature.

*Signature

Title (if any)

Signature of Co-Filer

Title (if any)

Submit

*Required

EXHIBIT "5"

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6 Kyra E. Andrassy, Esq.
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10 *Attorneys for Receiver*
Geoff Winkler of American Fiduciary Services

11
12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH SERVICES,
18 INC., JOY I. KOVAR, and BRENT CARSON
19 KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**ORDER GRANTING MOTION FOR
ORDER IN AID OF RECEIVERSHIP (1)
SETTING A CLAIMS BAR DATE, (2)
APPROVING PROPOSED CLAIMS
SUBMISSION PROCESS, AND (3)
APPROVING SUMMARY CLAIM
PROCEDURES**

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24 The Court having reviewed the *Motion for Order in Aid of Receivership (1) Setting a*
25 *Claims Bar Date, (2) Approving Proposed Claim Submission Process, and (3) Approving*
26 *Summary Claim Procedures* (the “Motion”) and there being no opposition to the Motion and good
27 cause appearing,

28 **IT IS ORDERED AS FOLLOWS:**

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- (1) The Motion is granted;
- (2) The Notice of Claims Bar Date attached to the Motion as Exhibit “1” is approved;
- (3) The Receiver’s proposed means of noticing investors and creditors, including via mail, email, the Receiver’s website, the KCC webpage, and by publication of notice of the claims process in *USA Today* and the *Las Vegas Review-Journal* is authorized and approved;
- (4) The Receiver’s proposed means for the submission of claims through a website established by KCC or, where applicable, by mail to KCC as set forth in greater detail in the Motion is approved and authorized;
- (5) The use of summary proceedings for the determination of claims against Profit Connect, as detailed in the Motion, is approved; and
- (6) The deadline for creditors and investors to submit their claims is ninety (90) days from entry of this Order.

IT IS SO ORDERED.

DATED: _____

SMILEY WANG-EKVALL, LLP
3200 PARK CENTER DRIVE, SUITE 250
COSTA MESA, CALIFORNIA 92626
(714) 445-1000 FAX (714) 445-1002

CERTIFICATE OF SERVICE

1 At the time of service, I was over 18 years of age and not a party to this action. I
2 am employed in the County of Orange, State of California. My business address is 3200
Park Center Drive, Suite 250, Costa Mesa, CA 92626.

3 On 5/16/2023, I served true copies of the following document(s) described as

4 **MOTION FOR ORDER IN AID OF RECEIVERSHIP (1) SETTING A CLAIMS BAR DATE, (2) APPROVING**
5 **PROPOSED CLAIM SUBMISSION PROCESS, AND (3) APPROVING SUMMARY CLAIM PROCEDURES;**
6 **DECLARATION OF GEOFF WINKLER IN SUPPORT** on the interested parties in this action as
follows:

7 **SEE ATTACHED SERVICE LIST**

8 **(X) (BY COURT VIA NOTICE OF ELECTRONIC FILING (“NEF”)).** Pursuant to Fed. R.
9 Civ. P. 5(b), the foregoing document will be served by the court via NEF and hyperlinked
10 to the document. On 5/16/2023, I checked the CM/ECF docket for this case and
determined that the aforementioned person(s) are on the Electronic Mail Notice List to
receive NEF transmission at the email address(es) indicated.

11 **(X) (BY U.S. MAIL).** I enclosed the document(s) in a sealed envelope or package and
12 placed the envelope for collection and mailing, following our ordinary business practices.
I am readily familiar with the practice of Smiley Wang-Ekvall, LLP for collecting and
13 processing correspondence for mailing. On the same day that correspondence is placed
14 for collection and mailing, it is deposited in the ordinary course of business with the
United States Postal Service, in a sealed envelope with postage fully prepaid. I am a
resident or employed in the county where the mailing occurred. The envelope was
placed in the mail at Costa Mesa, California.

15 **() (BY E-MAIL).** By scanning the document(s) and then e-mailing the
16 resultant pdf to the e-mail address indicated above per agreement. Attached to
this declaration is a copy of the e-mail transmission.

17 **() (BY FACSIMILE).** I caused the above-referenced documents to be
18 transmitted to the noted addressee(s) at the fax number as stated. Attached to this
declaration is a "TX Confirmation Report" confirming the status of transmission.
19 Executed on _____, at Costa Mesa, California.

20 **() STATE** I declare under the penalty of perjury under the laws of the State of
21 California that the above is true and correct.

22 **(X) FEDERAL** I declare that I am employed in the office of a member of the bar
23 of this court at whose direction the service was made.

24
25 Executed on May 16, 2023, at Costa Mesa,
California.

/s/ Lynnette Garrett

Lynnette Garrett

SMILEY WANG-EKVALL, LLP
3200 Park Center Drive, Suite 250
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Tel 714 445-1000 • Fax 714 445-1002

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27
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SERVICE LIST

1 **BY COURT VIA NOTICE OF ELECTRONIC FILING (“NEF”):**

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