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12
13 **UNITED STATES DISTRICT COURT**
14 **DISTRICT OF NEVADA**

15 SECURITIES AND EXCHANGE
16 COMMISSION,

17 Plaintiff,

18 v.

19 PROFIT CONNECT WEALTH SERVICES,
INC., JOY I. KOVAR, and BRENT CARSON
20 KOVAR,

21 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

22
23 **NINTH STATUS REPORT OF GEOFF WINKLER, RECEIVER FOR**
24 **PROFIT CONNECT WEALTH SERVICES, INC.**

25 Geoff Winkler of American Fiduciary Services, LLC, the permanent receiver for Profit
26 Connect Wealth Services, Inc., and any subsidiaries and affiliates (together, “Profit Connect”)
27 submits his Ninth Status Report (the “Report”) regarding the receivership pursuant to Local
28 Rule 66-4(b).

1 **I. SUMMARY OF THE RECEIVER’S OPERATIONS (LR 66-4(b)(1))**

2 The Securities and Exchange Commission initiated this action against Profit Connect,
3 Ms. Kovar, and Mr. Kovar on July 8, 2021, when it filed an ex parte complaint and motion for
4 temporary restraining order seeking, among other things, the freezing of defendants’ assets and the
5 appointment of a receiver over Profit Connect. On July 23, 2021, the Defendants stipulated to
6 modify the temporary restraining order to appoint Geoff Winkler as the Temporary Receiver,
7 giving him limited powers. On August 6, 2021, following another stipulation of the parties, the
8 Court converted the temporary restraining order to a preliminary injunction and appointed the
9 Temporary Receiver as the Permanent Receiver of Profit Connect. See Receivership Order (ECF
10 No. 26.)

11 After appointment, the Receiver and his team attempted to find a solution that would allow
12 Profit Connect to continue to operate legally and profitably to maximize return to investors. The
13 Receiver, his staff, and the Forensic IT team spent several weeks collecting and reviewing data,
14 communicating with Profit Connect parties, observing operations, and documenting assets and
15 information. After determining that there was no viable business to continue operating, the
16 Receiver terminated the employees and closed the offices, only retaining a few employees on a
17 contract basis to assist with the wind-down of operations and preparation for the sale of the
18 personal property.

19 Since the completion of the Investigation and Forensic Accounting Report (ECF No. 111)
20 in August 2022, the Receiver and his team have identified third-party recovery opportunities and
21 analyzed the accounting file to determine the recommended type of claims process. A hearing on
22 June 13, 2023 began the claims process with a bar date for September 11, 2023. Anyone intending
23 to make a claim against the receivership estate must have filed a claim no later than September 11,
24 2023, or their claims may be forever barred. During this reporting period, the Receiver finished
25 the receipt of timely claims. The Receiver has begun to audit these claims and estimates to have
26 a final approved claim list in the next reporting period.

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28 ///

1 The Receiver recorded 482 claims filed between June 13th and September 11th. The total
2 claimed amount filed was \$31,055,179.74.¹ Two tardy claims were received after the
3 September 11, 2023 claims bar date. The Receiver does not anticipate further untimely claims but
4 will provide claims forms as needed to those who believe they are still entitled to file.

5 Braun International Real Estate Company (“Braun”) continued its efforts to list and sell
6 the eight land parcels owned by Profit Connect in Searchlight, Nevada. The Receiver continues
7 to have conversations with Braun on whether any marketing strategies will be adjusted. Offers
8 have been presented on the more desirable parcels that Profit Connect had purchased. During this
9 reporting period the real property located at Parcel Number 243-34-101-002, Searchlight, Nevada
10 closed for \$67,650. After closing costs and broker fees the net proceeds were \$60,197.93. The
11 Receiver has accepted an offer on Parcel Numbers 243-27-701-004 and 243-27-701-005 for
12 \$50,000 total. The closing of these parcels will occur in the following reporting period.

13 During this reporting period, the Receiver has continued to administer the receivership estate.
14 The breakdown of cash flows and the current balance sheet are as follows:

SEC v. Profit Connect Wealth Services, Inc. et al	
Summary Cash Flow Statement	
September 30, 2023	
<i>Cash at Beginning of Period</i>	<i>8,994,214.07</i>
<i>Cash Inflows</i>	
Searchlight Sale (parcel #243-34-101-002)	60,198
Third-Party Litigation Income	10,744
Interest Income	28,413
<i>Total Cash Inflows</i>	<i>99,355</i>
<i>Cash Outflows</i>	
Payments to Professionals	(134,114)
Business Asset Expenses	(2,885)
<i>Total Cash Outflows</i>	<i>(137,000)</i>
<i>Cash at End of Period</i>	<i>8,956,569.05</i>

25
26
27 ¹ This amount is based on the amount claimed by investors and creditors and does not necessarily reflect
28 the amount the Receiver will recommend the Court approve. There are at least \$6 million in claims that
appear to be significantly in excess of the actual amount owed under the Money In - Money Out analysis
provided for in the claims process.

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SEC v. Profit Connect Wealth Services, Inc. et al	
Summary Balance Sheet	
September 30, 2023	
<i>Assets</i>	
EWB Account	8,956,569
Real Property**	339,600
Professional Liability Litigation**	5,000,000
Third Party Litigation**	3,706,964
Total Assets	18,003,133
<i>Liabilities</i>	
Accrued Professionals	387,123
Total Liabilities	387,123
<i>Equity</i>	
Net Cash Investors**	21,524,369
Retained Earnings	(3,908,359)
Total Equity	17,616,010
** Values Currently Estimated and Subject to Change	

II. INVENTORY OF ASSETS AND ESTIMATE VALUE (LR 66-4(b)(2))

As of the date of this Report, the Receiver is holding over \$8,956,569.05 in cash belonging to Profit Connect. Other than cash, the total value of assets is estimated to be \$9,046,564.67. It is estimated that there is \$5,000,000 in professional liability litigation, \$3,706,963.67 in third-party litigation and \$339,600 to be recovered in real property sales. This brings the total value of all assets to be estimated at \$18,003,133. The standardized fund accounting report for the period July 1, 2023, through September 30, 2023, is attached to this report as

Exhibit A.

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1 **III. SCHEDULE OF RECEIVER’S RECEIPTS AND DISBURSEMENTS (LR 66-**
2 **4(b)(3)**

3 In addition to the foregoing, please see **Exhibit A**.

4 **IV. OTHER ITEMS TO REPORT**

5 A. **Current Litigation**

6 As the Court is aware, the Receiver has initiated two proceedings in an attempt to recover
7 additional funds owed to Profit Connect.

8 **1. *Roshak Litigation***

9 The Receiver filed an ancillary proceeding (Case No. 21-cv-02000) seeking to claw back
10 over \$500,000 in investor funds fraudulently transferred to former Profit Connect employee and
11 agent William Roshak, along with his current wife and ex-wife. A settlement was reached by
12 which Mr. Roshak agreed to return \$470,000 to the estate. However, in order to pay the funds,
13 Mr. Roshak intended to withdraw money from his PERS account. Mr. Roshak requested the ability
14 to withdraw the funds from PERS and his request was initially denied. Mr. Roshak appealed the
15 denial, however, the PERS Board denied the appeal on July 20, 2023. The Receiver has been in
16 communication with Mr. Roshak’s counsel and, in order to pay the settlement amount, Mr. Roshak
17 has listed his residence for sale.

18 **2. *Texas Action Against Nicholas, Sutton and Allianz***

19 As referenced in prior reports, the Receiver, by and through contingency fee counsel, filed
20 an action which is currently pending in the United States District Court, Northern District of Texas,
21 Lubbock Division and assigned Case No. 5:23-cv-00074-C against Jeffrey Nicholas, Troy Sutton
22 and Allianz Life Financial Service, LLC (“Allianz”) (the “Texas Action”). Nicholas and Sutton
23 were both licensed by Allianz to sell life insurance and other annuity type products and promoted
24 Profit Connect to their clients which generated substantial investor funds for Profit Connect.

25 Despite the Receiver’s settlement discussions, Mr. Sutton filed a Motion to Dismiss, which
26 was moved to federal court, and the Receiver has responded and has reached a tentative settlement
27 with Mr. Sutton, largely based on his financial inability to pay any judgment. Once the form of
28 the settlement agreement is agreed upon, the settlement will be presented to the Court for approval.

1 Mr. Nicholas' counsel also filed a motion to dismiss, which was denied on October 25, 2023, after
2 the Receiver's counsel filed an opposition. Currently, Mr. Nicholas and the Receiver are
3 conducting discovery.

4 **B. Future Litigation and Clawback Actions**

5 The Receiver continues to evaluate additional avenues to recover funds for the Estate and
6 anticipates filing additional clawback actions. As of this reporting period, the Receiver continues
7 to investigate potential targets.

8 **1. *Proceedings Against Financial Institutions***

9 In the previous reporting period, the Court approved the Receiver's retention of special
10 litigation counsel to investigate and potentially pursue claims against financial institutions. The
11 investigation is ongoing and may result in the initiation of claims against Bank of America.

12 **2. *Clawback Actions***

13 The Receiver has determined that there are potential claims against promoters and agents
14 who raised funds for Profit Connect's investment fraud and benefitted from it. There are also
15 claims against credit card companies that received payments from Profit Connect for debts that it
16 did not owe. The Receiver is in the process of finalizing a motion that will permit him to resolve
17 any of these actions without further Court order if the matter is resolved for at least 85% of the
18 amount at issue after consideration of defenses. That motion will also seek Court authority to
19 pursue actions that cannot be settled if the Receiver believes that the cost of bringing such an action
20 is outweighed by the likely benefit. A description of the claims is as follows:

21 **a. *Claims Against Former Employees***

22 The Receiver has identified approximately eleven former employees who received funds
23 or gifts from Profit Connect in excess of their W-2 wages and with whom the Receiver has not
24 already settled. The Receiver sent these employees demand letters requesting the return of the
25 funds or value of the gifts in excess of their wages and has either resolved the claims or is in
26 settlement discussions with the former employees. If the Receiver is not able to reach a resolution
27 with these former employees, he will seek Court permission prior to commencing litigation
28 proceedings.

1 **b. Agents/Promoters**

2 The Receiver is evaluating potential claims against agents/promoters and has sent demand
3 letters to 70 individuals seeking the return of false profits related to the investment scheme. The
4 Receiver is hopeful that the majority of the claims against agents/promoters can be settled outside
5 of litigation. However, if the Receiver is not able to reach a resolution, he will seek Court
6 permission prior to commencing litigation proceedings.

7 **c. Investor Winners**

8 Investors that received payments from Profit Connect in excess of their investments are
9 considered investor winners. The Receiver is focused on recovery from investors who gained a
10 minimum of \$1,000.00 within the scheme. The Receiver will send recovery letters to these
11 individuals in the following reporting period.

12 **d. Credit Card Clawback Recovery**

13 The Receiver's forensic accounting revealed that Profit Connect had made payments
14 totaling \$1,724,153.64 on credit card accounts held by individuals affiliated with Profit Connect
15 and for which Profit Connect had no liability. To the extent that the charges on those cards
16 benefited the individuals, the Receiver will seek to avoid payments for those charges under the
17 rationale of *Henry v. Official Comm. of Unsecured Creditors of Walldesign, Inc. (In re Walldesign,*
18 *Inc.)*, 872 F.3d 954 (9th Cir. 2017). The Receiver's counsel sent demand letters to seven different
19 credit card companies regarding twelve accounts. The Receiver has determined that one had a
20 near total defense so will not pursue it further, reducing the potential amount at issue to about
21 \$1.6 million. Counsel for the Receiver is in discussions with the remainder of them and evaluating
22 potential defenses. If they cannot be settled and the Receiver believes litigation is warranted, he
23 will not pursue that litigation unless and until Court approval is obtained.

24 **e. Potential Claims Against Former Counsel for Profit Connect.**

25 The Receiver believes there may be a basis to pursue claims against Profit Connect's
26 former counsel and has identified an attorney that is willing to further evaluate, and if deemed
27 appropriate, pursue such claims on a contingency fee basis. A motion to facilitate the same was
28 filed on October 26, 2023. (ECF No. 157)

1 **V. RECOMMENDATION OF THE RECEIVER (LR 66-4(b)(5))**

2 The Receiver has determined that Profit Connect was not operating a viable business and
3 was quickly dissipating investor funds. So that the Receiver can continue to identify assets and
4 claims and pursue them for the benefit of the receivership estate, the Receiver recommends that
5 the receivership continue.

6 **VI. CONCLUSION AND PETITION FOR FURTHER INSTRUCTIONS**

7 Assuming the Court authorizes the Receiver to undertake the actions recommended herein,
8 as well as to continue those actions provided for in the Appointment Order and any subsequent
9 orders, the Receiver proposes to submit further interim reports to this Court, addressing his
10 progress, findings, final conclusions, and additional recommendations, approximately every 90
11 days.

12 Accordingly, and based on the foregoing, the Receiver respectfully requests that the Court
13 enter an order:

- 14 1. Accepting this Ninth Status Report;
- 15 2. Authorizing the Receiver to continue to administer the Receivership Entities and
16 their estate in accordance with the terms of the Appointment Order;
- 17 3. Authorizing the Receiver to undertake the recommendations presented herein,
18 including a continued engagement of those professionals he deems necessary for the proper
19 administration of the Receivership Entities and their estate;

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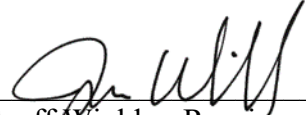
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4. Providing such other and further relief as the Court deems necessary and appropriate.

Dated this 14th day of November, 2023

I, Geoff Winkler, verify under penalty of perjury that the statements made in the foregoing report are true and correct to the best of my knowledge.



Geoff Winkler, Receiver

Dated this 14th day of November 2023

GREENBERG TRAUIG, LLP

By: */s/ Kara B. Hendricks*

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CERTIFICATE OF SERVICE

Pursuant to Fed. R. Civ. P. 5(b), I hereby certify that on **August 14, 2022**, a true and correct copy of the foregoing **NINTH STATUS REPORT OF GEOFF WINKLER, RECEIVER FOR PROFIT CONNECT WEALTH SERVICES, INC.** was filed electronically via the Court’s CM/ECF system. Notice of filing will be served on all parties registered to this case by operation of the Court’s CM/ECF system, and parties may access this filing through the Court’s CM/ECF system.

/s/ Evelyn Escobar-Gaddi
An employee of GREENBERG TRAUIG, LLP

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INDEX OF EXHIBITS	
EXHIBIT	DESCRIPTION
A	STANDARDIZED FUND ACCOUNTING REPORT

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TELEPHONE: (702) 938-6856

EXHIBIT A

EXHIBIT A

Standardized Funding Accounting Report

STANDARDIZED FUND ACCOUNTING REPORT for SEC v. Profit Connect Wealth Services, Inc. et al.

Receivership; Civil Docket No. 2:21-cv-01298-JAD-BNW

Reporting Period from 04/01/2023 to 06/30/2023

FUND ACCOUNTING (See instructions)				
		Detail	Subtotal	Grand Total
Line 1	Beginning Balance (As of 07/01/2023):	8,994,214.07		
	<i>Increases in Fund Balance:</i>			
Line 2	Business Income	-		
Line 3	Cash and Securities (in transit)	-		
Line 4	Interest/Dividend Income	28,412.95		
Line 5	Business Asset Liquidation	60,197.93		
Line 6	Personal Asset Liquidation	-		
Line 7	Third-Party Litigation Income	10,743.66		
Line 8	Miscellaneous - Other	-		
	Total Funds Available (Lines 1 - 8):		99,354.54	9,093,568.61
	<i>Decreases in Fund Balance:</i>			
Line 9	Disbursements to Investors			
Line 10	Disbursements for Receivership Operations			
Line 10a	Disbursements to Receiver or Other Professionals	(134,114.14)		
Line 10b	Business Asset Expenses	(2,885.42)		
Line 10c	Personal Asset Expenses	-		
Line 10d	Investment Expenses	-		
Line 10e	Third-Party Litigation Expenses	-		
	1. Attorney Fees	-		
	2. Litigation Expenses	-		
	<i>Total Disbursements for Receivership Operations</i>		(136,999.56)	
Line 10f	Tax Administrator Fees and Bonds		-	
Line 10g	Federal and State Tax Payments		-	
	Total Disbursements for Receivership Operations			(136,999.56)
Line 11	Disbursements for Distribution Expenses Paid by the Fund:			
Line 11a	Distribution Plan Development Expenses:			
	1. Fees:			
	Fund Administrator.....	-		
	Independent Distribution Consultant (IDC).....	-		
	Distribution Agent.....	-		
	Consultants.....	-		
	Legal Advisers.....	-		
	Tax Advisers.....	-		
	2. Administrative Expenses	-		
	3. Miscellaneous	-		
	<i>Total Plan Development Expenses</i>		-	
Line 11b	Distribution Plan Implementation Expenses:			
	1. Fees:			
	Fund Administrator.....	-		
	IDC.....	-		
	Distribution Agent.....	-		
	Consultants.....	-		
	Legal Advisers.....	-		
	Tax Advisers.....	-		
	2. Administrative Expenses	-		
	3. Investor Identification:			
	Notice/Publishing Approved Plan.....	-		
	Claimant Identification.....	-		
	Claims Processing.....	-		
	Web Site Maintenance/Call Center.....	-		
	4. Fund Administrator Bond	-		
	5. Miscellaneous	-		
	6. Federal Account for Investor Restitution (FAIR) Reporting Expenses	-		
	<i>Total Plan Implementation Expenses</i>		-	
	Total Disbursements for Distribution Expenses Paid by the Fund			-
Line 12	Disbursements to Court/Other:			
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-		
Line 12b	Federal Tax Payments	-		
	Total Disbursements to Court/Other:		-	
	Total Funds Disbursed (Lines 9 - 11):			(136,999.56)
Line 13	Ending Balance (As of 09/30/2023):			8,956,569.05
Line 14	Ending Balance of Fund - Net Assets:			
Line 14a	Cash & Cash Equivalents		8,956,569.05	
Line 14b	Investments		-	
Line 14c	Other Assets or Uncleared Funds		9,046,563.67	
	Total Ending Balance of Fund - Net Assets			18,003,132.72

STANDARDIZED FUND ACCOUNTING REPORT for SEC v. Profit Connect Wealth Services, Inc. et al.
 Receivership; Civil Docket No. 2:21-cv-01298-JAD-BNW
 Reporting Period from 04/01/2023 to 06/30/2023

OTHER SUPPLEMENTAL INFORMATION:		Detail	Subtotal	Grand Total
Line 15	Disbursements for Plan Administration Expenses Not Paid by the Fund:			
<i>Line 15a</i>	<i>Plan Development Expenses Not Paid by the Fund</i>			
	1. Fees:			
	Fund Administrator	-		
	IDC	-		
	Distribution Agent	-		
	Consultants	-		
	Legal Advisers	-		
	Tax Advisers	-		
	2. Administrative Expenses	-		
	3. Miscellaneous	-		
	Total Plan Development Expenses Not Paid by the Fund	-		
<i>Line 15b</i>	<i>Plan Implementation Expenses Not Paid by the Fund:</i>			
	1. Fees:			
	Fund Administrator	-		
	IDC	-		
	Distribution Agent	-		
	Consultants	-		
	Legal Advisers	-		
	Tax Advisers	-		
	2. Administrative Expenses	-		
	3. Investor Identification:			
	Notice/Publishing Approved Plan	-		
	Claimant Identification	-		
	Claims Processing	-		
	Web Site Maintenance/Call Center	-		
	4. Fund Administrator Bond	-		
	5. Miscellaneous	-		
	6. FAIR Reporting Expenses	-		
	Total Plan Implementation Expenses Not Paid by the Fund	-		
<i>Line 15c</i>	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>			
	Total Disbursements for Plan Administration Expenses Not Paid by the Fund			-
Line 16	Disbursements to Court/Other Not Paid by the Fund			
<i>Line 16a</i>	<i>Investment Expenses/CRIS Fees</i>		-	
<i>Line 16b</i>	<i>Federal Tax Payments</i>		-	
	Total Disbursements to Court/Other Not Paid by the Fund:			-
Line 17	DC & State Tax Payments			-
Line 18	No. of Claims:			
<i>Line 18a</i>	<i># of Claims Received This Reporting Period</i>	313		
<i>Line 18b</i>	<i># of Claims Received Since Inception of Fund</i>	484		
Line 19	No. of Claimants/Investors:			
<i>Line 19a</i>	<i># of Claimants/Investors Paid This Reporting Period</i>	-		
<i>Line 19b</i>	<i># of Claimants/Investors Paid Since Inception of Fund</i>	-		

Receiver: **Geoff Winkler**

By: 

Geoff Winkler
 (printed name)

Chief Executive Officer
American Fiduciary Services LLC
Receiver, Profit Connect Wealth Services, Inc.

Date: October 31, 2023

SEC v. Profit Connect Wealth Services, Inc. et al.
Summary of Fees of Receiver and Retained Personnel
July 1, 2023 - September 30, 2023
(Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Updating accounting to adjust alias name.	07-05-2023	0.200	255.00	51.00	Financial - Accounting/Auditing
Josh McGraw	Call with MJ re: agent payments received, recovery discussion and claims under different name.	07-05-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Anna Priebe	Review and editing of investor question submissions for the purpose of the investor meeting.	07-05-2023	0.800	215.00	172.00	Legal - Case Administration
Anna Priebe	Update website and mass email reminder of upcoming investor and creditor meeting.	07-05-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	Report review and prepare.	07-05-2023	0.400	215.00	86.00	Legal - Case Administration
Anna Priebe	Review of proof for publication (.1) and email to JPM re: same (.1).	07-05-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Email to DW re: changes to publication notice.	07-05-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to investor re: claims process.	07-05-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to KCC re: review of claims information.	07-05-2023	0.100	215.00	21.50	Legal - Case Administration
Josh McGraw	Removing individuals from the mailing list per their request.	07-05-2023	0.400	255.00	102.00	Legal - Case Administration
Anna Priebe	Review of notices and determinations that were returned to sender, updating addresses where applicable.	07-05-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Reviewing KCC claims to determine additional information needed with JPM.	07-05-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Discussing with JPM investor questions, claims audit moving forward.	07-05-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Review and prepare of claims objections codes.	07-05-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
John Hall	Review and discuss claims issues with GBW and AMP.	07-05-2023	0.300	310.00	93.00	Legal - Claims Administration and Objections
Josh McGraw	Emails to claimants re: questions on their claim or issues with filing a claim.	07-05-2023	0.600	255.00	153.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing KCC claims to determine additional information needed with AMP.	07-05-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Discussing with AMP investor questions, claims audit moving forward.	07-05-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing received claims to respond to claimants per their request.	07-05-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with GBW re: filed claims and next steps in auditing process.	07-05-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Process wire in.	07-06-2023	0.100	340.00	34.00	Financial - Accounting/Auditing
Geoff Winkler	Call with JPM re: third-party recovery process and recovery questions.	07-06-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing offer updates from TW and responding to confirm the terms.	07-06-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: third-party recovery process and recovery questions.	07-06-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: offers received on searchlight parcel and recommended approach.	07-06-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing seller disclosures for Searchlight parcel.	07-06-2023	0.300	255.00	76.50	Legal - Asset Disposition
Josh McGraw	Email to TW re: approval of seller disclosure form and requesting DocuSign version.	07-06-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Review and prepare report including exhibits, outline, claims and recovery updates, and timeline for distribution.	07-06-2023	1.800	215.00	387.00	Legal - Case Administration
Anna Priebe	Call with JPM re: discussing investor questions for upcoming investor and creditor meeting.	07-06-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Email to JPM re: investor question submission.	07-06-2023	0.100	215.00	21.50	Legal - Case Administration
Josh McGraw	Call with AMP re: discussing investor questions for upcoming investor and creditor meeting.	07-06-2023	0.300	255.00	76.50	Legal - Case Administration
Josh McGraw	Removing mail list recipient based on their request.	07-06-2023	0.200	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to GP re: receivership notifications.	07-06-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	Review of publication changes (.1) and email to DW approving changes (.1).	07-06-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Josh McGraw	Call with SK re: payments received, and discussion of the reason money was exchanged. Additional documentation will be sent at a later date.	07-07-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Emails to TW re: counteroffer strategy on Searchlight parcel.	07-07-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: Searchlight offer and use of the property.	07-07-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Draft and review of notice to investors re: meeting time, email to investors.	07-07-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Communication with JPM re: investor questions, new investor and creditor meeting.	07-07-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Emails to counsel and IT to reschedule investor call.	07-07-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Emails with KCC to review claimant grid.	07-07-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Meeting with JPM re: investor communication, third party recovery process and outstanding recovery items.	07-07-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Website update with investor meeting instructions.	07-07-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to investor re: recording for meeting.	07-07-2023	0.100	215.00	21.50	Legal - Case Administration
Josh McGraw	Meeting with KCC and AMP reviewing claims report.	07-07-2023	0.400	255.00	102.00	Legal - Case Administration
Josh McGraw	Communication with AMP re: investor questions, new investor and creditor meeting.	07-07-2023	0.300	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with AMP re: investor communication, third party recovery process and outstanding recovery items.	07-07-2023	0.300	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with investor re: rescheduled investor and creditor meeting.	07-07-2023	0.100	255.00	25.50	Legal - Case Administration

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Anna Priebe	Meeting with KCC and JPM reviewing claims report.	07-07-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Review of new notices returned to sender.	07-07-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Call with investor, research and email of claim instructions.	07-07-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Receipt of paper claim, review of process moving forward.	07-07-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: agent third-party recovery.	07-10-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing agent schedules and documentation prior to calls with agents. Sending requested documentation to agents to review outflows and forensic accounting findings.	07-10-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with AMP re: agent third-party recovery.	07-10-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Emails with agent re: supporting documentation and mailing address for recovery payment.	07-10-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Calls and voicemails for RT re: return of funds received.	07-10-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with GBW re: recovery payment tracking and preference for assets in KCC.	07-10-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: Searchlight property offer.	07-10-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to TW re: countering due diligence terms within Searchlight offer.	07-10-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with agent re: recovery letter and payments received.	07-10-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: broker's opinion of best use for parcel.	07-10-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Review and outline of latest draft report, preparing claim information.	07-10-2023	1.400	215.00	301.00	Legal - Case Administration
Anna Priebe	Communication with GBW re: investor questions (.1) and emails to counsel re: same (.2).	07-10-2023	0.300	215.00	64.50	Legal - Case Administration
Geoff Winkler	Communication with AMP re: investor questions.	07-10-2023	0.300	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review memo from counsel (KH) re potential litigation counsel.	07-10-2023	0.200	340.00	68.00	Legal - Case Administration
John Hall	Team meeting to discuss ongoing casework and progress, GBW, JPM, MBB, ORR, PCC, AMP, JGR, JEF.	07-10-2023	0.100	310.00	31.00	Legal - Case Administration
Josh McGraw	Team meeting to discuss ongoing casework and progress, GBW, JBH, MBB, ORR, PCC, AMP, JGR, JEF.	07-10-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussing claims and third-party recovery with AMP, JBH, JGR, JPM, KJD, GBW, PCC.	07-10-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	Reviewing sample documents for standard operations in claims, finalized procedures.	07-10-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM and GBW re: claims process, investigation, and recording of audit.	07-10-2023	0.900	215.00	193.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to 2 investors re: questions on claims.	07-10-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Email to JE re: notes to improve claims audit.	07-10-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Conversation with JPM re: claimant voicemail and requesting assistance.	07-10-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Conversation with JPM re: claimant voicemail and requesting assistance.	07-10-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Meeting with JPM and AMP re: claims process, investigation, and recording of audit.	07-10-2023	0.900	340.00	306.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP and GBW re: claims process, investigation, and recording of audit.	07-10-2023	0.900	255.00	229.50	Legal - Claims Administration and Objections
Josh McGraw	Emails to claimants re: process to complete a claim and confirmation of claim being received.	07-10-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Verifying claim information for claimants per request of the claimant.	07-10-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Email to AMP re: claimant voicemail to change personal information.	07-10-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Conversation with AMP re: claimant voicemail and requesting assistance.	07-10-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Conversation with AMP re: claimant voicemail and requesting assistance.	07-10-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Reconcile bank statements, review issue with bank, correct issue.	07-11-2023	0.300	340.00	102.00	Financial - Accounting/Auditing
Geoff Winkler	Review and calculate potential underpayment issue, correct records.	07-11-2023	0.200	340.00	68.00	Financial - Accounting/Auditing
Geoff Winkler	Process wire out.	07-11-2023	0.200	340.00	68.00	Financial - Accounting/Auditing
Geoff Winkler	Communication with KP regarding update to the transaction register.	07-11-2023	0.100	340.00	34.00	Financial - Accounting/Auditing
Geoff Winkler	Email communication with KH regarding potentially pursuing a claim.	07-11-2023	0.200	340.00	68.00	Financial - Litigation Consulting
Anna Priebe	Conversation regarding agent communication for recovery with JPM.	07-11-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
John Hall	Call with JPM re: agent recovery calls and structure of conversations.	07-11-2023	0.400	310.00	124.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with SK re: funds received from BK were for a nonprofit organization. Discussing return of funds and options. Requested additional documentation from SK.	07-11-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JS re: agent payments and confirmation of address to mail a check for recovery. Updating notes from the conversation.	07-11-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JBH re: agent recovery calls and structure of conversations.	07-11-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Calls to MR and JG re: questions about a letter received and outstanding funds (.2). Reviewing schedules prior to calls (.2).	07-11-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating notes for conversations with agents and employees.	07-11-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing county website to provide tax deadlines for properties.	07-11-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery

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Josh McGraw	Conversation regarding agent communication for recovery with AMP.	07-11-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to TW re: counteroffer of terms on Searchlight parcel.	07-11-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to TW re: full purchase contract to create stipulation for Searchlight.	07-11-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Review and update of communication spreadsheet to reflect determinations.	07-11-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	Continued review and editing of status report sections.	07-11-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Finalizing investor meeting agenda based on feedback from counsel.	07-11-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Update website with meeting details.	07-11-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Mass email reminder via GoDaddy for investors re: upcoming meeting.	07-11-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Email to GBW re: investor meeting questions.	07-11-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Communication with JPM re: outstanding investor questions.	07-11-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Review potential contingent attorney proposal, discuss with counsel.	07-11-2023	0.300	340.00	102.00	Legal - Case Administration
Geoff Winkler	Email communication with KH and RR regarding preparing for hearing.	07-11-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Communication with AMP re: outstanding investor questions.	07-11-2023	0.100	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to individual re: requesting future communication from receivership.	07-11-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	Review of prepared determination letters, confirming identity to resend undeliverable letters.	07-11-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting re: claims form updates, claims review process, and claimant communication with JPM.	07-11-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to 4 investors re: claims questions.	07-11-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Returning 3 investor calls re: claims questions.	07-11-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Review of KCC update to creditor status.	07-11-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting re: claims form updates, claims review process, and claimant communication with AMP.	07-11-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: answering security questions for PIN and questions about the determination letter.	07-11-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Email to claimant re: website to file a claim.	07-11-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
John Hall	Review and discuss KA credit card claim spreadsheet with GBW.	07-12-2023	0.700	310.00	217.00	Legal - Asset Analysis and Recovery
Josh McGraw	Review KA credit card claim spreadsheet and confirm spreadsheet is in alignment with the original amounts sent to KA.	07-12-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing counteroffer on two parcels for Searchlight assets.	07-12-2023	0.300	255.00	76.50	Legal - Asset Disposition
Josh McGraw	Email to TW re: approval of counteroffer and request to send GBW DocuSign to execute.	07-12-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Attending investor meeting, answering questions, taking notes for records and updating investor information.	07-12-2023	1.000	215.00	215.00	Legal - Case Administration
Anna Priebe	Emails to GBW and counsel re: video recording of meeting.	07-12-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Email to counsel re: recording investor chat in zoom meeting.	07-12-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to counsel re: litigation section of status report.	07-12-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Prepare for and hold investor call.	07-12-2023	1.600	340.00	544.00	Legal - Case Administration
Geoff Winkler	Team meeting with JBH KH KA and JPM to discuss ongoing case issues and needs.	07-12-2023	0.500	340.00	170.00	Legal - Case Administration
Geoff Winkler	Work with AMP re investor communication.	07-12-2023	0.200	340.00	68.00	Legal - Case Administration
John Hall	Team meeting with GBW KH KA and JPM to discuss ongoing case issues and needs.	07-12-2023	0.500	310.00	155.00	Legal - Case Administration
Josh McGraw	Attending investor meeting, answering questions, and assisting with technical issues.	07-12-2023	1.000	255.00	255.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, KH, KA and RP re: claims and third party recovery.	07-12-2023	0.500	255.00	127.50	Legal - Case Administration
Anna Priebe	Review of operating procedures and updating audit to reflect new information.	07-12-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Spreadsheet review of claims form comparisons and analysis of KCC system for tracking claims data.	07-12-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Emails to 4 investors re: claims questions.	07-12-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Review of new prepared determination letters to resend undeliverable.	07-12-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Review of latest postcard notices returned to sender.	07-12-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Email to team re: claims process updates.	07-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
John Hall	Claims meeting with GBW.	07-12-2023	0.800	310.00	248.00	Legal - Claims Administration and Objections
Anna Priebe	Conversation with JPM re: treatment of recovery and claims.	07-13-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with TD re: payment received and current claim as investor.	07-13-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Conversation with AMP re: treatment of recovery and claims.	07-13-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Anna Priebe	Calls with 3 investors answering questions from meeting, discussing claims form.	07-13-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	Email to GT re: transcript of meeting.	07-13-2023	0.100	215.00	21.50	Legal - Case Administration

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Anna Priebe	Updating claimant address, preparing hard claim form for claimant and sending.	07-13-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to four investors re: claims questions and meeting recording.	07-13-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Preparation of determination for resending to known claimant.	07-13-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Conversation with JPM re: investor meeting issues and claimant communication.	07-13-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Josh McGraw	Conversation with AMP re: investor meeting issues and claimant communication.	07-13-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Geoff Winkler	Process wire in.	07-14-2023	0.100	340.00	34.00	Financial - Accounting/Auditing
Anna Priebe	Receipt and review of returned, undeliverable recovery letters (.3) and email names to JPM (.1).	07-14-2023	0.400	215.00	86.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with KH regarding stipulation for SL property.	07-14-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating tracker with calls and emails from agents.	07-14-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with FP re: payments received and recovery steps.	07-14-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to FP re: payment instructions.	07-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Writing and editing 8th status report.	07-14-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Email to investor re: website question.	07-14-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Email communication with KA, KH, JBH, and JPM regarding motion to clawback, review of documents.	07-14-2023	0.300	340.00	102.00	Legal - Case Administration
Anna Priebe	Receipt and review of new postcards that were not deliverable to potential claimants.	07-14-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Review of KCC changes and emailing JA proposed changes for claims audit.	07-14-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Geoff Winkler	Review analysis and demand for potential claw back target, email to JPM to discuss.	07-16-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with KH regarding credit card companies and conflicts.	07-17-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Anna Priebe	Review of recovered funds, email to GBW and JPM.	07-17-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Grace Radke	Discussion with promoter re: on payment process and timeline of payments.	07-17-2023	0.300	225.00	67.50	Legal - Asset Analysis and Recovery
Grace Radke	Call and email to promoter re: payment options.	07-17-2023	0.200	225.00	45.00	Legal - Asset Analysis and Recovery
Grace Radke	Call from promoter re: on payment process.	07-17-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Grace Radke	Email from promoter re: on how to pay and link to case legal documents.	07-17-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with JGR re: address to mail recovery payment.	07-17-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to KJ re: payment recovery received.	07-17-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with AMP regarding payment from KJ. Review of documents.	07-17-2023	0.200	340.00	68.00	Legal - Asset Disposition
Josh McGraw	Reviewing stipulation created by KH.	07-17-2023	0.200	255.00	51.00	Legal - Asset Disposition
Josh McGraw	Email to KH re: Searchlight parcel stipulation.	07-17-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Meeting with JEF reviewing status report and case updates, KCC data, claims process, and accounting findings within claims process.	07-17-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	Meeting with JPM re: investors outstanding concerns, process for returning calls and emails, KCC claims.	07-17-2023	0.400	215.00	86.00	Legal - Case Administration
Anna Priebe	Discuss case and ongoing items with JPM, JBH, MBB, JGR, KJD, GBW, PCC.	07-17-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Communication with JGR re: email concerns.	07-17-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Discuss case and ongoing items with JPM, JBH, MBB, JGR, KJD, AMP, PCC.	07-17-2023	0.200	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email communication with KH and JPM regarding revisions to SL stipulation.	07-17-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with KH and KA regarding preparing for BR appeal.	07-17-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Discuss case and ongoing items with JPM, JBH, MBB, AMP, KJD, GBW, PCC.	07-17-2023	0.200	225.00	45.00	Legal - Case Administration
John Hall	Team meeting to discuss outstanding activities and current work, claims and accounting with GBW, JPM, MBB, AMP, JGR, KJD, PCC.	07-17-2023	0.200	310.00	62.00	Legal - Case Administration
Josh McGraw	Meeting with AMP re: investors outstanding concerns, process for returning calls and emails, KCC claims.	07-17-2023	0.400	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with MR re: payment options for agent payments received.	07-17-2023	0.200	255.00	51.00	Legal - Case Administration
Josh McGraw	Discuss third party recovery and claims with JGR, JBH, MBB, AMP, KJD, GBW, PCC.	07-17-2023	0.200	255.00	51.00	Legal - Case Administration
Anna Priebe	Review of raw data from KCC and categorize for future use.	07-17-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to KCC re: data issue and resolution.	07-17-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to JPM re: claims raw data.	07-17-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Answering claims issue with JGR and GBW.	07-17-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email to JGR re: KCC data.	07-17-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Answering claims issue with JGR and AMP.	07-17-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Discuss third party recovery process and options for payment with JPM.	07-17-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: incorrect claims process.	07-17-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections

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Summary of Fees of Receiver and Retained Personnel
July 1, 2023 - September 30, 2023
(Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Grace Radke	Claims process discussion with JPM on tracking claims communication.	07-17-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Email from investors re: timeline on investor call being posted to site.	07-17-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: incorrect PIN.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: help with claim website.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: additional claim documentation.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Answering claims issue with AMP and GBW.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: claims amount dispute.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: incorrect claims process.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: additional claim status.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: link to investor call.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: requesting case updates via the website.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from lawyer for investor on questions of statute of limitations on the case.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: Zoom call and why she did not get a determination letter.	07-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Discuss third party recovery process and options for payment with JGR.	07-17-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Claims process discussion with JGR on tracking claims communication.	07-17-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Email to AMP re: filed claims from KCC.	07-17-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Review questions from litigation counsel, email to KH and KA re Relativity access.	07-18-2023	0.200	340.00	68.00	Financial - Litigation Consulting
Geoff Winkler	Email communication with KA regarding potential litigation matter.	07-18-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Anna Priebe	Call with JPM re: promoter recovery calls.	07-18-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with JPM re: promoter recovery calls.	07-18-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Grace Radke	Call from promoter re: payment options and applying for hardship.	07-18-2023	0.400	225.00	90.00	Legal - Asset Analysis and Recovery
Grace Radke	Meeting with JPM regarding claims and documenting communication with promoters.	07-18-2023	0.300	225.00	67.50	Legal - Asset Analysis and Recovery
Grace Radke	Email from promoter re: claims and money owned.	07-18-2023	0.300	225.00	67.50	Legal - Asset Analysis and Recovery
Grace Radke	Communication with JPM re guidance on promoter and payment options.	07-18-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Grace Radke	Email from promoter re: on timeline and payment options.	07-18-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing outstanding purchase and sale documents to instruct the broker which version needs to be executed.	07-18-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with AMP re: promoter recovery calls.	07-18-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Integrating recovery amounts into KCC.	07-18-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating notes on promoter recovery.	07-18-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: promoter recovery calls.	07-18-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with JGR re: recovery phone calls.	07-18-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Emails with TW re: Searchlight parcels and contract issues.	07-18-2023	0.300	255.00	76.50	Legal - Asset Disposition
Anna Priebe	Updating website for investors with additional pleading and claim information.	07-18-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Email to GT re: recording meeting.	07-18-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Email communication with AMP regarding preparing for the Investor and Creditor Meeting.	07-18-2023	0.100	340.00	34.00	Legal - Case Administration
John Hall	Review KA draft motion and discuss with GBW.	07-18-2023	0.400	310.00	124.00	Legal - Case Administration
Anna Priebe	Claim reviews and tying out in spreadsheet.	07-18-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Anna Priebe	Analysis of claims data sent by KCC to date, tying out total claimed amount with known and unknown claimants.	07-18-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Review of KCC claims data and new claimants, email to JGR re: cosigners.	07-18-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JGR re: reviewing claim numbers.	07-18-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Emails from investors re: when the investor meeting will be posted to the site.	07-18-2023	0.700	225.00	157.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: claim status and payout timeline.	07-18-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: claims process.	07-18-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with JGR regarding claims and documenting communication with promoters.	07-18-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Grace Radke	Discussion on third party recovery issues with JPM.	07-19-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Grace Radke	Emailed agent AS the address to remit payment.	07-19-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JG re: agent payment recovery.	07-19-2023	0.800	255.00	204.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with KL re: agent payment recoveries.	07-19-2023	0.700	255.00	178.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: recovery efforts from promoters.	07-19-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Anna Priebe	Meeting with JPM and JGR on processing claims in KCC.	07-19-2023	1.400	215.00	301.00	Legal - Case Administration

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Anna Priebe	Review of newest RTS notices, document receipt and recommendations to resend.	07-19-2023	0.600	215.00	129.00	Legal - Case Administration
Anna Priebe	Addition of litigation section to status report and review.	07-19-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Email to counsel re: reviewing litigation section.	07-19-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Communication with JGR re: meeting recording to investors.	07-19-2023	0.100	215.00	21.50	Legal - Case Administration
Grace Radke	Updating claims database with returned notice postcards.	07-19-2023	1.400	225.00	315.00	Legal - Case Administration
Grace Radke	Meeting with JPM and AMP on processing claims in KCC.	07-19-2023	1.400	225.00	315.00	Legal - Case Administration
Grace Radke	Meeting with AMP, JPM and GBW on outstanding claims issues.	07-19-2023	0.500	225.00	112.50	Legal - Case Administration
Grace Radke	Call with investor re third party promoter payment and sent JPM a message to return call.	07-19-2023	0.100	225.00	22.50	Legal - Case Administration
Josh McGraw	Meeting with AMP and JGR on processing claims in KCC.	07-19-2023	1.400	255.00	357.00	Legal - Case Administration
Josh McGraw	Discussion on third party recovery issues with JGR.	07-19-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	Claims auditing for first round, reviewing unknown claimants provided documents (1.2) and adding to operations process (.2).	07-19-2023	1.400	215.00	301.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JGR, JPM and GBW on outstanding claims issues.	07-19-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JGR to discuss physical notice to claimants.	07-19-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Geoff Winkler	Meeting with JGR, JPM and AMP on outstanding claims issues.	07-19-2023	0.500	340.00	170.00	Legal - Claims Administration and Objections
Geoff Winkler	Emails with counsel to discuss claims issues.	07-19-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Meeting with AMP to discuss physical notice to claimants.	07-19-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: address change.	07-19-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: how to register for email notifications.	07-19-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Call with JGR, AMP and GBW re: reviewing claims and outstanding questions on open claims.	07-19-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claims data from KCC.	07-19-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Identifying counsel-requested documents.	07-20-2023	0.600	255.00	153.00	Financial - Data Analysis
Anna Priebe	Research (.1) and email to JGR (.1) re: recovery responses.	07-20-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Anna Priebe	Review of recovery letter information for ET updated address.	07-20-2023	0.100	215.00	21.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM and KH regarding who to work with for the sale of the BR property, value, and next steps.	07-20-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Grace Radke	Reviewed documents from agent and drafted email to JPM and AMP.	07-20-2023	0.200	225.00	45.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing documents provided by SK re: agent recovery payments.	07-20-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to AMP re: ET updated address information to send recover letter.	07-20-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to agent re: recovery of payments received.	07-20-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing preliminary report for Searchlight parcel sale to confirm no outstanding issues to resolve prior to closing.	07-20-2023	0.400	255.00	102.00	Legal - Asset Disposition
Josh McGraw	Reviewing listing agreement for Searchlight parcels.	07-20-2023	0.200	255.00	51.00	Legal - Asset Disposition
Anna Priebe	Exhibit preparation for status report.	07-20-2023	0.600	215.00	129.00	Legal - Case Administration
Anna Priebe	Review and update website with new pleadings.	07-20-2023	0.200	215.00	43.00	Legal - Case Administration
Geoff Winkler	Email communication with KH regarding outcome of BR appeal.	07-20-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Call with investor re: status of claim.	07-20-2023	0.100	225.00	22.50	Legal - Case Administration
Anna Priebe	Meeting with GBW KH, KA, JPM, JGR to discuss outstanding claims processing issues.	07-20-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Geoff Winkler	Meeting with AMP, KH, KA, JPM, JGR to discuss outstanding claims processing issues.	07-20-2023	0.300	340.00	102.00	Legal - Claims Administration and Objections
Grace Radke	Meeting with GBW KH, KA, JPM, AMP to discuss outstanding claims processing issues.	07-20-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
John Hall	Prep for (.2) and meeting with GBW KH, KA, AMP, JPM, JGR to discuss outstanding claims processing issues (.3).	07-20-2023	0.500	310.00	155.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with GBW KH, KA, AMP, JPM, JGR to discuss outstanding claims processing issues.	07-20-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Geoff Winkler	Process deposits.	07-21-2023	0.200	340.00	68.00	Financial - Accounting/Auditing
Geoff Winkler	Process accounts receivable check.	07-21-2023	0.100	340.00	34.00	Financial - Accounting/Auditing
Anna Priebe	Call with JPM re: recovery process.	07-21-2023	0.400	215.00	86.00	Legal - Asset Analysis and Recovery
Grace Radke	Call from promoter and drafted email to JPM regarding payment arrangements.	07-21-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Gathering requested documents for KA re: recovery of CC payments.	07-21-2023	1.200	255.00	306.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing updated preliminary title report for Searchlight parcel to confirm no outstanding title issues to resolve.	07-21-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JD re: the opportunity to potentially sell WR house, comparable properties, and recommended pricing.	07-21-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Email to KH, GBW and KA re: call with JD discussing the market of WR property and broker commission reduction.	07-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: Pine Bend property value.	07-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to KA re: WD credit card documentation.	07-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JGR re: JS recovery call.	07-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to JS re: discussion of recovery payments.	07-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Creating exhibits for the stipulation to sell Searchlight parcel.	07-21-2023	0.200	255.00	51.00	Legal - Asset Disposition
Josh McGraw	Email to KH re: final Searchlight stipulation.	07-21-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Continued exhibit preparation for status report.	07-21-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	Emails to GT re: transcript of investor meeting and editing.	07-21-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Claims communication process discussion with JGR.	07-21-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Emails with counsel re NV PERS hearing.	07-21-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Call with investor and agent regarding MIMO.	07-21-2023	0.500	225.00	112.50	Legal - Case Administration
Grace Radke	Meeting with JPM to review claims issues MIMO for investor and agent.	07-21-2023	0.200	225.00	45.00	Legal - Case Administration
Grace Radke	Claims communication process discussion with AMP.	07-21-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Call with investor about error message upon submission of claim.	07-21-2023	0.100	225.00	22.50	Legal - Case Administration
Josh McGraw	Call with AMP re: recovery process and issues to resolve.	07-21-2023	0.400	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with JGR to review claims issues MIMO for investor and agent.	07-21-2023	0.200	255.00	51.00	Legal - Case Administration
Anna Priebe	Review and audit of first-round claims (.4) and review of objections (.4).	07-21-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Geoff Winkler	Emails with counsel re stipulation for sale of Searchlight property.	07-22-2023	0.100	340.00	34.00	Legal - Asset Disposition
Geoff Winkler	Emails with counsel re timing of calls re PERS board appeal.	07-22-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Prepare SFAR and financial report for quarterly case report.	07-24-2023	0.700	340.00	238.00	Financial - Accounting/Auditing
Josh McGraw	Creating financial exhibits for the status report.	07-24-2023	0.700	255.00	178.50	Financial - Status Reports
Anna Priebe	Receipt and review of recovery check (.1) and email to JPM and GBW (.1).	07-24-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating KCC assets with third-party recovery amounts and notes. 69 agents, 11 employees, and 9 net winners.	07-24-2023	3.100	255.00	790.50	Legal - Asset Analysis and Recovery
Anna Priebe	Review of SFAR and updating status report and exhibits.	07-24-2023	0.800	215.00	172.00	Legal - Case Administration
Anna Priebe	Discuss third party recovery and claims with JGR, MBB, JPM, GBW, and PCC.	07-24-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Call with GBW re: status report.	07-24-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Discuss third party recovery and claims with JGR, MBB, AMP, JPM, and PCC.	07-24-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Discuss case and ongoing items with JPM, JBH, MBB, AMP, KJD, GBW, PCC.	07-24-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Claimant returning call to complete missing info on submitted claim.	07-24-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Claimant returning call to complete missing SSN on submitted claim.	07-24-2023	0.100	225.00	22.50	Legal - Case Administration
John Hall	Meeting with team to discuss case and outstanding deliverables, claims and filings. GBW, JPM, MBB, AMP, JGR, KJD, ORR.	07-24-2023	0.100	310.00	31.00	Legal - Case Administration
Josh McGraw	Discuss third party recovery and claims with JGR, MBB, AMP, GBW, and PCC.	07-24-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discuss third party recovery and claims with JGR, GBW, AMP, JPM, and PCC.	07-24-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit of claims in KCC and tying out in spreadsheet.	07-24-2023	2.500	215.00	537.50	Legal - Claims Administration and Objections
Anna Priebe	Calls to 6 investors requesting missing claim information.	07-24-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Emails to 3 investors requesting missing claim information.	07-24-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to JE and JA re: claims process.	07-24-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JE re: claims questions.	07-24-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Grace Radke	Call to investors and LM on how to watch recorded the meeting.	07-24-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: how to access recorded meeting.	07-24-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call to investor re: how to access recorded meeting.	07-24-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call to investor and LM on how to watch recorded the meeting.	07-24-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: how to file a claim.	07-24-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Geoff Winkler	Email to counsel re updated information for tracking.	07-25-2023	0.100	340.00	34.00	Financial - Accounting/Auditing
Geoff Winkler	Email with litigation counsel re approved order, time to discuss.	07-25-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Josh McGraw	Reviewing the current draft of the status report and updating with asset transactions and third-party recovery.	07-25-2023	0.700	255.00	178.50	Financial - Status Reports
Josh McGraw	Reviewing documentation provided by DR re: payments received in 2021.	07-25-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery

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Josh McGraw	Reviewing documentation provided by SK re: payments received for non-profit.	07-25-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JM re: payment plan and documents needed for recovery efforts.	07-25-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating KCC assets with recovery phone calls and emails.	07-25-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing documentation provided by JM and comparing to payments received.	07-25-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Anna Priebe	Review of status report and integration of notes from team.	07-25-2023	1.300	215.00	279.50	Legal - Case Administration
Anna Priebe	Review of status edits and email to JPM for review.	07-25-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Review of total claimed amount and claimants to date (.2) communicate to GBW re: same (.1).	07-25-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Review pleading and update website (.2), email GBW re: same.	07-25-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Call with JPM re: status report and exhibits.	07-25-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Email to JPM re: reviewing status report.	07-25-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to GT re: video of meeting.	07-25-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Prepare for hearing and hearing with court re hiring litigation counsel to pursue claims against financial institutions.	07-25-2023	0.500	340.00	170.00	Legal - Case Administration
Geoff Winkler	Review order re litigation counsel, discuss with AMP.	07-25-2023	0.200	340.00	68.00	Legal - Case Administration
Grace Radke	Call with investor regarding missing info on claim.	07-25-2023	0.100	225.00	22.50	Legal - Case Administration
Josh McGraw	Call with AMP re: status report and exhibits.	07-25-2023	0.200	255.00	51.00	Legal - Case Administration
Anna Priebe	First round audit and review of claimants submitted in KCC with focus on determination letter review.	07-25-2023	2.700	215.00	580.50	Legal - Claims Administration and Objections
Anna Priebe	Calls with 3 investors re: missing claim information.	07-25-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to 2 investors re: missing claim information.	07-25-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Email to KCC re: update of claim form and review.	07-25-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Call with GBW re: process for adding documents to claims.	07-25-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: personal identification number for the determination letter.	07-25-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing counsel billings and scrubbing for completeness.	07-26-2023	0.300	255.00	76.50	Financial - Data Analysis
Josh McGraw	Email to KA re: documentation needed to update SFAR.	07-26-2023	0.100	255.00	25.50	Financial - Status Reports
Josh McGraw	Email to GBW re: final documentation to update the SFAR.	07-26-2023	0.100	255.00	25.50	Financial - Status Reports
Anna Priebe	Communication with JPM re: recovery checks and third party calls.	07-26-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication and review of information, with KW, KH, and JPM regarding sale of the SL property.	07-26-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication regarding update on the TS settlement matter, with KH and RP.	07-26-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with AMP re: recovery checks and third party calls.	07-26-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Emails to AMP re: litigation updates.	07-26-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Anna Priebe	Meeting with JPM re: status report updates and exhibits, recovery.	07-26-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	Receipt and review of RTS recovery letters (.3), email to JPM re: addresses (.1).	07-26-2023	0.400	215.00	86.00	Legal - Case Administration
Anna Priebe	Review of exhibits and status report (.3), and email to GBW re: ready for review.	07-26-2023	0.400	215.00	86.00	Legal - Case Administration
Anna Priebe	Email to JPM re: litigation updates (.1) and writing report to reflect (.2).	07-26-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Email to JGR (.1) and communication with JGR re: resending recovery letters (.1).	07-26-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Meeting with MBB, JPM, and GBW re: status report updates.	07-26-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Meeting with RP and JPM re: litigation updates.	07-26-2023	0.400	340.00	136.00	Legal - Case Administration
Geoff Winkler	Meeting with MBB, JPM, and AMP re: status report updates.	07-26-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Rec call from claimant providing missing SSN.	07-26-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Emailed investor regarding claim status.	07-26-2023	0.100	225.00	22.50	Legal - Case Administration
John Hall	Review and respond to TS Rule 408 documents from RLP and response to KH re: same.	07-26-2023	0.600	310.00	186.00	Legal - Case Administration
Josh McGraw	Meeting with AMP re: status report updates and exhibits, recovery.	07-26-2023	0.500	255.00	127.50	Legal - Case Administration
Josh McGraw	Meeting with RP and GBW re: litigation updates.	07-26-2023	0.400	255.00	102.00	Legal - Case Administration
Josh McGraw	Reviewing return to sender recovery letters and updating in KCC.	07-26-2023	0.300	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to AMP re: litigation updates on TS and JN.	07-26-2023	0.200	255.00	51.00	Legal - Case Administration
Josh McGraw	Meeting with MBB, AMP, and GBW re: status report updates.	07-26-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Meeting with GBW, JPM, and AMP re: status report updates.	07-26-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	Continued first round audit and review of determination claimants submitted in KCC.	07-26-2023	1.300	215.00	279.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with KH, AMP, JPM, and KA and file review for Status Report.	07-27-2023	0.300	340.00	102.00	Financial - Status Reports

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Summary of Fees of Receiver and Retained Personnel
July 1, 2023 - September 30, 2023
(Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Integrating balance sheet and cash flow statements into report. Reviewing final draft prior to sending to counsel and confirming WR updates.	07-27-2023	0.800	255.00	204.00	Financial - Status Reports
Geoff Winkler	Email communication and agreement review for PBH.	07-27-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Grace Radke	Email from promoter and his response to the certified letter.	07-27-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Grace Radke	Email from promoter re: payment and posted check.	07-27-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with TW re: outstanding counteroffer and recommendation to execute.	07-27-2023	0.200	255.00	51.00	Legal - Asset Disposition
Josh McGraw	Communication with GBW re: counteroffer and review approval for execution of Searchlight sale agreement.	07-27-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Review of email for GT with video edits, email GT, checking video for errors, upload to site share and publish for investors, prepare and mass email notice investors of ready site.	07-27-2023	0.900	215.00	193.50	Legal - Case Administration
Anna Priebe	Meeting with JPM re: status report review.	07-27-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Call with KH and counsel for WR re home sale, payment of settlement.	07-27-2023	0.400	340.00	136.00	Legal - Case Administration
Geoff Winkler	Email communication with CH and AMP regarding overlays.	07-27-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Review email confirmation of recording of meeting.	07-27-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Calls and emails from investors and attached supporting documents to claim.	07-27-2023	0.200	225.00	45.00	Legal - Case Administration
Grace Radke	Drafted determination letter and emailed to claimant.	07-27-2023	0.200	225.00	45.00	Legal - Case Administration
Grace Radke	Email to investor regarding claim submission process.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Call with investor regarding which website to go to submit claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Call from investor who does not have any documentation to support claim and what their next step is.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Sent email to investor re: investor call is now posted on website.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Email from investor re: timeline on notification of completion/processing of submitted claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Call from investor re: missing SSN on submitted claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Returning call from claimant re: claims submitted status.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Returning call from investor re: claims payout timeline.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Sent email to investor re: process to submit a claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Call with investor re: status of claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Call from investor as to what documents are necessary to file a claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Email from investor wanting the claim form emailed.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Grace Radke	Sent email to investor re: how to submit a claim.	07-27-2023	0.100	225.00	22.50	Legal - Case Administration
Josh McGraw	Meeting with AMP re: status report review.	07-27-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	First round audit and review of 6 claimants submitted in KCC.	07-27-2023	1.200	215.00	258.00	Legal - Claims Administration and Objections
Anna Priebe	Receipt of known and unknown claimant data from KCC, reviewed for consistency and amounts filed to date, reviewed for investors we are waiting to file.	07-27-2023	1.000	215.00	215.00	Legal - Claims Administration and Objections
Anna Priebe	Claims process discussion with JGR.	07-27-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Email to claimant with questions regarding all the communication once the claim is approved.	07-27-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Claims process discussion with AMP.	07-27-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JPM regarding preparing items for closing on SL property.	07-28-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Reviewing fully executed offer and detailing out schedule for closing and deadline for contingencies to be waived.	07-28-2023	0.300	255.00	76.50	Legal - Asset Disposition
Josh McGraw	Reviewing order received for selling Searchlight parcel and email to GBW.	07-28-2023	0.200	255.00	51.00	Legal - Asset Disposition
Josh McGraw	Email to JP, RC, and KD re: order received for selling Searchlight asset.	07-28-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to TW re: confirming closing date with buyer for Searchlight parcel.	07-28-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Review of pleadings and update to website.	07-28-2023	0.300	215.00	64.50	Legal - Case Administration
Geoff Winkler	Email communication and review of information sent by KE and KH regarding agreement for proceeds for PBH.	07-28-2023	0.200	340.00	68.00	Legal - Case Administration
Anna Priebe	First round audit and review of 27 claimants submitted in KCC, tying out determination letters and schedules with master accounting spreadsheet.	07-28-2023	3.800	215.00	817.00	Legal - Claims Administration and Objections
Anna Priebe	Call to 4 investors re: missing SSN.	07-28-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Emails to 3 investors re: missing claim details and prompt to call and resolve.	07-28-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JGR re: audit MIMO.	07-28-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Call with GBW re: claims questions.	07-28-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Created and emailed investor determination letter and updated contact info.	07-28-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections

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Grace Radke	Call with investor who did not receive determination letter and updated contact info and created and emailed letter.	07-28-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: status of claim.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: international number not accepted in phone number field.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: how to submit a claim.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: verification of claim.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: wanting to know that we received claim he things he already did.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Returned call from investor and left message to call me back. Unable to understand the message he left.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: a complicated investment and I added a note to the claim.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to investor re: SSN.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call received from investor re: SSN.	07-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JGR re: third party letters, net winners.	07-31-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with KA and KW regarding pursuing claw back actions against the CC companies.	07-31-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with KE and KH regarding Agreement for proceeds for BR.	07-31-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Grace Radke	Preparing 2 certified letters for a third-party recovery due to RTS and update address.	07-31-2023	0.200	225.00	45.00	Legal - Asset Analysis and Recovery
Grace Radke	Call with third party promoter.	07-31-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Anna Priebe	Case discussion of ongoing work with GBW, PCC, JPM, JBH, JGR, KJD, ORR, and JEF.	07-31-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Email communication with KA and KH regarding invoice and declaration.	07-31-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Case discussion of ongoing work with AMP, PCC, JPM, JBH, JGR, KJD, ORR, and JEF.	07-31-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Case discussion of ongoing work with GBW, PCC, JPM, JBH, AMP, KJD, ORR, and JEF.	07-31-2023	0.100	225.00	22.50	Legal - Case Administration
John Hall	Team meeting with GBW, JPM, MBB, AMP to discuss claims and other outstanding case issues.	07-31-2023	0.100	310.00	31.00	Legal - Case Administration
Josh McGraw	Case discussion third-party recovery and claims review with GBW, PCC, AMP, JBH, JGR, KJD, ORR, and JEF.	07-31-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JPM, JBH, AMP to discuss claims and other outstanding case issues.	07-31-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	First round audit and review of claimants submitted in KCC.	07-31-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Claim resolution discussion with JGR, JPM, GBW, and JBH.	07-31-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Claim discussion with JGR where claimant has no documentation.	07-31-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Sent emails to 2 investors who did not receive determination letter and updated contacts info and created and emailed letters.	07-31-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor regarding claims, his personal and the LLC he invested with.	07-31-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Claim resolution discussion with AMP, JPM, GBW, and JBH.	07-31-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Returning investors call and left a VM.	07-31-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Claim discussion with AMP where claimant has no documentation.	07-31-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
John Hall	Meeting with GBW, JPM, JGR, AMP to discuss claims issues.	07-31-2023	0.200	310.00	62.00	Legal - Claims Administration and Objections
Josh McGraw	Claim resolution discussion with JGR, AMP, GBW, and JBH.	07-31-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Grace Radke	Distributed certified letters.	08-01-2023	1.000	225.00	225.00	Legal - Asset Analysis and Recovery
Grace Radke	Drafted email to JPM re: third party promoter payment plan.	08-01-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Complete DocuSign addendum for searchlight.	08-01-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Email to TW re: addendum for extending the closing date on Searchlight parcels.	08-01-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to TW re: confirmation of the closing date for Searchlight parcel sale.	08-01-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to JP re: closing date change for Searchlight parcel.	08-01-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	First round audit and review of claimants submitted in KCC, noting incomplete claims and drafting notices to prevent hold and objection statuses, tying out known claimants.	08-01-2023	4.100	215.00	881.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: claims review, outstanding questions for claimants, and discussing meeting with KCC.	08-01-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Call with 6 claimants re: missing claim details.	08-01-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Emails with claimants re: missing claim details and request to resolve.	08-01-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with KCC and JPM re: updating claim audit system, creating method of additional documentation.	08-01-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Emails to JE and JPM re: questions for audits.	08-01-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: reaching out to claimants with questions.	08-01-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email to MS re: claimant numbers.	08-01-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections

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Grace Radke	Call with investor re: missing info and next steps.	08-01-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: missing info 3 claims and sent link to investor call.	08-01-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Email from investor re; submitted claim.	08-01-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: missing info.	08-01-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: missing info and link to investor call.	08-01-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: claims review, outstanding questions for claimants, and discussing meeting with KCC.	08-01-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with KCC and AMP re: updating claim audit system, creating method of additional documentation.	08-01-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: reaching out to claimants with questions.	08-01-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Anna Priebe	Receipt and review of RTS recovery letters and email to JPM re: same.	08-02-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review summary of call with JG by JPM.	08-02-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Grace Radke	Email from third party recovery to discuss the certified letter received.	08-02-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JG re: potential claims, recovery efforts of payment received and the receivership process.	08-02-2023	1.300	255.00	331.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing JG transactions and documentation. Emailing to schedule a phone conversation to discuss recovery.	08-02-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Writing summary of call with JG to GBW.	08-02-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JGR re: JG email regarding payments received.	08-02-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with JGR re: RB voicemail to discuss recovery efforts.	08-02-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JGR re: SS repayment plan.	08-02-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Meeting with JPM re: claim documents and informal objection process.	08-02-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Review and update website with new pleadings.	08-02-2023	0.200	215.00	43.00	Legal - Case Administration
Geoff Winkler	Email review of a conversation recap between JPM and an investor.	08-02-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Meeting with AMP re: claim documents and informal objection process.	08-02-2023	0.300	255.00	76.50	Legal - Case Administration
Anna Priebe	Creating and sending emails to 9 claimants requesting review of claim issues, review and resolve claim issues for 4 claimant and updating KCC to reflect.	08-02-2023	1.300	215.00	279.50	Legal - Claims Administration and Objections
Anna Priebe	First round audit and review of claimants submitted in KCC.	08-02-2023	1.300	215.00	279.50	Legal - Claims Administration and Objections
Anna Priebe	Emails with JE and MS reviewing claim numbers and document upload process.	08-02-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Call with JE (.1) and review and creation of additional document sharing to claimant page in KCC.	08-02-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Discussion with JGR re: process of resolving claim objections early in the process.	08-02-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Grace Radke	Discussion with AMP re: process of resolving claim objections early in the process.	08-02-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call from investor and mailed a hard copy of the claims form.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor re: online submission of claim and not knowing the fund name.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor wanting confirmation of claim submission.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor regarding missing SSN.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Voice message from third party recovery to discuss the certified letter received.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Called and left message to investor re: missing info on claim.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from third party recovery to discuss the certified letter received.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor regarding missing SSN for his claim and his relative.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor regarding missing SSN and sent email to link to video of investor meeting.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor regarding missing SSN and send link to recorded investor meeting.	08-02-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Geoff Winkler	Process two deposits.	08-03-2023	0.300	340.00	102.00	Financial - Accounting/Auditing
Josh McGraw	Updating assets in KCC.	08-03-2023	1.000	255.00	255.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to KH and KA re: promissory note documentation.	08-03-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
John Hall	Review and processing four BOA accounts.	08-03-2023	0.400	310.00	124.00	Legal - Case Administration
Anna Priebe	First round audit and review of 26 claimants submitted in KCC, all allowed claims were sent to 2nd round audit.	08-03-2023	2.900	215.00	623.50	Legal - Claims Administration and Objections
Anna Priebe	Call with GBW re: new document uploads for claimants.	08-03-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with counsel and JPM regarding investor inquiries (.1), call with counsel (.3).	08-03-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Geoff Winkler	Call with AMP re: new document uploads for claimants.	08-03-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Call from investor regarding missing SSN on his claim and his minor children.	08-03-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections

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Grace Radke	Call from investor regarding missing SSN.	08-03-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Email to KA re: unsecured promissory notes.	08-04-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Grace Radke	Emailed JPM to call back promoter SS regarding pay back.	08-07-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with SS re: payment plan for return of funds.	08-07-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing letter received from an individual stating payments were sent to limited liability corporation.	08-07-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Meeting with GBW, PCC, JGR, JBH, JPM, KJD, ORR, and JEF re: claims process and third-party recovery.	08-07-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to JGR re: investor voicemail.	08-07-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Meeting with AMP, PCC, JGR, JBH, JPM, KJD, ORR, and JEF re: claims process and third-party recovery.	08-07-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, PCC, JGR, JBH, AMP, KJD, ORR, and JEF re: claims process and third-party recovery.	08-07-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit of 64 claims received in KCC, including recommending pay allowed in full, marking incomplete, and requesting more documentation.	08-07-2023	5.100	215.00	1,096.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor re: amount of his return, sending a hard copy form and a new determination letter.	08-07-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Called CI and left message with IV after being on hold.	08-07-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Attaching missing documents to claims in KCC.	08-07-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Attached missing death certificate to claim provided by investor via email.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Case discussion of ongoing work with GBW, PCC, JPM, JBH, AMP, KJD, ORR, and JEF.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Mailed hard copy claim form per investor request.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Investor called back re: missing SSN.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Investor called back re: missing SSN and meeting posted to website.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Called investor and left message re: missing SSN.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email re: sending hard copy of claim form, however investor did not provide an address.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Attached missing statement to claim provided by investor via email.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Investor JS called back re: missing SSN.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Investor JB called back re: missing SSN.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Investor RF called back re: missing SSN.	08-07-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Geoff Winkler	Email from JPM re: summary of call with RR and interesting information provided.	08-08-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with RR re: WD and SMW recovery efforts.	08-08-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: summary of call with RR and interesting information provided.	08-08-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	1st round audit of more than 30 claims received in KCC, noting recommended allowances, incomplete claims, and review of withdrawals.	08-08-2023	4.900	215.00	1,053.50	Legal - Claims Administration and Objections
Grace Radke	Reviewed investor meeting.	08-08-2023	0.600	225.00	135.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails with 5 investors re: missing info on claim.	08-08-2023	0.500	225.00	112.50	Legal - Claims Administration and Objections
Grace Radke	Returning investor call re: missing info on claim and send email with a link to the recorded investor meeting.	08-08-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Mailed determination letter and hard copy of the claim form to investor.	08-08-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor BB re: missing info on claim and send email with a link to the recorded investor meeting.	08-08-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor DH re: missing info on claim and send email with a link to the recorded investor meeting.	08-08-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: missing info on claim and send email with a link to the recorded investor meeting.	08-08-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Returning investor call re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor ER re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Attached document provided by investor to claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor DB re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Discussion with AMP re glitch in KCC in regards to the SSN field.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor RF re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor DV re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor GO re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor PM re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections

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Grace Radke	Call with investor BR re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor PQ re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email from investor RR providing missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor RG re: missing info on claim.	08-08-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing BF transactions to determine the address for outflows and investor workbook information.	08-09-2023	0.300	255.00	76.50	Financial - Data Analysis
Josh McGraw	Updating KCC with the latest recovery efforts.	08-09-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Cross-referencing certified mail returns to determine the agent recovery amount.	08-09-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing banking transactions to confirm agent recovery payments have been cleared.	08-09-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM regarding notary and closing documents for Searchlight parcel.	08-09-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Reviewing closing documents for Searchlight parcel.	08-09-2023	0.700	255.00	178.50	Legal - Asset Disposition
Josh McGraw	Email to KD re: closing documents and notary options for Searchlight parcel sale.	08-09-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to GBW re: notary appointment for Searchlight sale.	08-09-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Review of KCC exported raw data and creation of folder for data records.	08-09-2023	0.500	215.00	107.50	Legal - Case Administration
Geoff Winkler	Call with KH, KA, JPM and JBH to discuss outstanding issues.	08-09-2023	0.700	340.00	238.00	Legal - Case Administration
Geoff Winkler	Email from JPM re: first installment payment for Searchlight parcels.	08-09-2023	0.100	340.00	34.00	Legal - Case Administration
John Hall	Case meeting with KH, KA, GBW, JPM, JP, RM, to discuss ongoing case issues, claims and recovery items.	08-09-2023	0.700	310.00	217.00	Legal - Case Administration
Josh McGraw	Call with KH, KA, GBW and JBH to discuss outstanding issues.	08-09-2023	0.700	255.00	178.50	Legal - Case Administration
Josh McGraw	Reviewing assessor's website to determine outstanding taxes for Searchlight parcels.	08-09-2023	0.200	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to GBW re: first installment payment for Searchlight parcels.	08-09-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit for over 45 determination letter known claimants, tying out in spreadsheets and moving to second round evaluation.	08-09-2023	4.800	215.00	1,032.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JGR, GBW, JPM, and JBH to discuss claims related issues.	08-09-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: claimant documentation issues and claimant questions.	08-09-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: password for raw data files.	08-09-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Call with GJR, JPM, AMP, and JBH to discuss claims related issues.	08-09-2023	0.800	340.00	272.00	Legal - Claims Administration and Objections
Grace Radke	Call with AMP, GBW, JPM, and JBH to discuss claims related issues.	08-09-2023	0.800	225.00	180.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails with 4 investors re: missing info on claim.	08-09-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
John Hall	Claims work session with GBW, JPM, AMP, JGR.	08-09-2023	0.800	310.00	248.00	Legal - Claims Administration and Objections
Josh McGraw	Call with JGR, GBW, AMP, and JBH to discuss ongoing case issues, claims and recovery items.	08-09-2023	0.800	255.00	204.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing KCC claims raw data to determine the overall claim amounts and unknown versus known claimants.	08-09-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: claimant documentation issues and claimant questions.	08-09-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: password for raw data files.	08-09-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Email to claimant re: question on investment classification.	08-09-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Call with RB to discuss agent recovery payments.	08-10-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to RB re: payment instructions for receivership.	08-10-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email from JPM re: scheduling notary for Searchlight sale.	08-10-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Email communication with KD re: closing documents and notary options.	08-10-2023	0.300	255.00	76.50	Legal - Asset Disposition
Josh McGraw	Email to GBW re: scheduling notary for Searchlight sale.	08-10-2023	0.100	255.00	25.50	Legal - Asset Disposition
Geoff Winkler	Email communication from AMP regarding EG/TV claim for review.	08-10-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication from KA regarding demand letter for JK's personal amazon credit card.	08-10-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Call with MBB re: claimant communication.	08-10-2023	0.100	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW re: online notary service options.	08-10-2023	0.100	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JPM re: online notary service options.	08-10-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with JPM re: claimant communication.	08-10-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit of 8 claims received in KCC.	08-10-2023	1.300	215.00	279.50	Legal - Claims Administration and Objections
Anna Priebe	Research of potential bundled claims and claimants (.7), emails with JBH for escalation (.2).	08-10-2023	0.900	215.00	193.50	Legal - Claims Administration and Objections
Anna Priebe	Reviewing new information requested from claimants, adding to current claims, and emails to claimants.	08-10-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: current claim methods and second audit process.	08-10-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims process.	08-10-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections

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Anna Priebe	Communication with JPM re: claims process.	08-10-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Geoff Winkler	Discuss claims issue with JPM.	08-10-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails with 8 investors on how to submit a claim, updating claim with missing info and emailed the investor call.	08-10-2023	0.800	225.00	180.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: missing bank statements.	08-10-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
John Hall	Review and auditing of escalated claims.	08-10-2023	1.200	310.00	372.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: current claim methods and second audit process.	08-10-2023	0.600	255.00	153.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 3 claimants.	08-10-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims process.	08-10-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Discuss claims issue with GBW.	08-10-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Process four accounts payable.	08-11-2023	0.300	340.00	102.00	Financial - Accounting/Auditing
Geoff Winkler	Review agreement for sale of WR house, execute and return.	08-11-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication from KH and document review regarding Pine Bend sale.	08-11-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review of information sent by JPM regarding Searchlight settlement, including closing documents.	08-11-2023	0.200	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Communication with JPM re: approval of the settlement statement.	08-11-2023	0.100	340.00	34.00	Legal - Asset Disposition
Geoff Winkler	Review of notarize signer checklist.	08-11-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Verifying Searchlight settlement statement. Confirming costs are in alignment with the listing agreement and purchase and sale agreement.	08-11-2023	0.300	255.00	76.50	Legal - Asset Disposition
Josh McGraw	Email to KD re: confirmation of online notary service appointment.	08-11-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to GBW re: approval of the settlement statement.	08-11-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Create and send notice to investors re: remaining time to submit a claim.	08-11-2023	0.600	215.00	129.00	Legal - Case Administration
Geoff Winkler	Email communication regarding settlement for Cox Communications.	08-11-2023	0.200	340.00	68.00	Legal - Case Administration
Anna Priebe	Reviewed 28 claims via KCC for the purpose of tying out to 1st round audits and moving to secondary approval.	08-11-2023	3.400	215.00	731.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: discussion of claims issues, resolution items and additional documentation.	08-11-2023	1.000	215.00	215.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JBH re: escalation of claims.	08-11-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM and GBW re: escalated claims review issues.	08-11-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM re: communicating with investors on unverified deposits.	08-11-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Geoff Winkler	Call with JPM and AMP re: escalated claims review issues.	08-11-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
John Hall	Meeting with AMP re: escalation of claims.	08-11-2023	0.500	310.00	155.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: discussion of claims issues, resolution items and additional documentation.	08-11-2023	1.000	255.00	255.00	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP and GBW re: escalated claims review issues.	08-11-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: communicating with investors on unverified deposits.	08-11-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Geoff Winkler	Email from JPM re: JG contact information.	08-14-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Grace Radke	Email with third party recover requesting call.	08-14-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Updating KCC with communication with agents.	08-14-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery
Josh McGraw	Creating financial hardship application.	08-14-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JS regarding recovery efforts for funds received.	08-14-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing JS transactions and preparing for call.	08-14-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with AS re: repayment and potential claim amount.	08-14-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: JG contact information.	08-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JGR re: third party recovery call.	08-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Notary signing session for Searchlight property.	08-14-2023	0.200	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Communication with JPM re: Searchlight property closing documents.	08-14-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Communication with GBW re: Searchlight property closing documents.	08-14-2023	0.100	255.00	25.50	Legal - Asset Disposition
Grace Radke	Case discussion of ongoing work with GBW, PCC, JPM, JBH, AMP, ORR, and JEF.	08-14-2023	0.100	225.00	22.50	Legal - Business Operations
Anna Priebe	Meeting with GBW, MBB, PCC, JGR, JBH, JPM, ORR, and JEF re: claims process and third-party recovery.	08-14-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Meeting with AMP, PCC, JGR, JBH, JPM, ORR, and JEF re: claims process and third-party recovery.	08-14-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with JPM regarding connecting with JG.	08-14-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Reviewing the list of investors that have not filed a claim with a determination letter. Searching claims register to see if a claim was submitted another route.	08-14-2023	0.300	255.00	76.50	Legal - Case Administration

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Josh McGraw	Meeting with GBW, PCC, JGR, JBH, AMP, ORR, and JEF re: claims process and third-party recovery.	08-14-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Meeting with GBW, AMP, PCC, JGR, JBH, JPM, ORR, and JEF re: claims process and third-party recovery.	08-14-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit of 24 claims received in KCC and communication with claimants via email.	08-14-2023	3.000	215.00	645.00	Legal - Claims Administration and Objections
Anna Priebe	Review of known claimants that have filed and those who had RTS determinations or otherwise may be unaware of claims (.9) and emails JPM re: same (.2).	08-14-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Anna Priebe	Email and discussion with JGR re: duplicate claims and documentation for claimant.	08-14-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Review and prepare raw data of claims uploaded in KCC to date.	08-14-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims issues.	08-14-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM re: claimant amount differences from accounting.	08-14-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Email JBH re: escalated claims.	08-14-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email to investor re: claim number question.	08-14-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Emails and calls with investors re: missing info, if claim was received and attaching missing documents to claim.	08-14-2023	0.900	225.00	202.50	Legal - Claims Administration and Objections
Grace Radke	Email and discussion with AMP re: duplicate claims and documentation for claimant.	08-14-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Calls with investors re: email about missing info.	08-14-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: the status of their claim, PIN and that she is moving.	08-14-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: wanting to know the status of claim.	08-14-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with investor re: email about missing info.	08-14-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 11 claimants.	08-14-2023	1.500	255.00	382.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claims documents from an investor.	08-14-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims issues.	08-14-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: claimant amount differences from accounting.	08-14-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claim supporting information provided by the claimant.	08-14-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Email to AMP re: follow-up questions to list of individuals that have not filed claims.	08-14-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claims language email created by AMP and providing approval.	08-14-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Process wire in.	08-15-2023	0.200	340.00	68.00	Financial - Accounting/Auditing
Geoff Winkler	Email communication with JPM and KH regarding individuals requesting payment plans.	08-15-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Grace Radke	Call from claimant re: claim being accepted. Person turned out to be a promoter.	08-15-2023	0.200	225.00	45.00	Legal - Asset Analysis and Recovery
John Hall	Review draft hardship application with feedback to JPM.	08-15-2023	0.400	310.00	124.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating KCC with agent payment recovery activity.	08-15-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing promissory note draft created by KA.	08-15-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating financial hardship application with GBW comments.	08-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to MR re: repayment of funds received.	08-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to JS re: repayment of funds received.	08-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW and JBH re: financial hardship application.	08-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email from JPM re: wire received for Searchlight parcel.	08-15-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Email to KD re: recording of Searchlight parcel sale.	08-15-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to GBW re: wire received for Searchlight parcel.	08-15-2023	0.100	255.00	25.50	Legal - Asset Disposition
Geoff Winkler	Review and feedback of financial hardship application sent by JPG.	08-15-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Emails with KA re: payment plan documentation.	08-15-2023	0.200	255.00	51.00	Legal - Case Administration
Anna Priebe	First round audit and review of claimants submitted in KCC, review of potential duplicate claims and continued consideration of incomplete claimants and additional documentation.	08-15-2023	3.100	215.00	666.50	Legal - Claims Administration and Objections
Anna Priebe	Creating communication plan for known claimant who have yet to submit claim (.7) and research through KCC (.4).	08-15-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Anna Priebe	Discussing with JGR and JPM claimants' communication and reaching out to claimants yet to file.	08-15-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Communication to JPM and JGR re: claimant communication.	08-15-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Discussing with AMP and JPM claimants' communication and next steps.	08-15-2023	0.500	225.00	112.50	Legal - Claims Administration and Objections
Grace Radke	Call and emails with 5 investors re: the status of their claim, PIN and verify documents provided are attached.	08-15-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Discussing with AMP and JPM regarding person who submitted claim but received money out.	08-15-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Mailed a hard copy claim form to claimant.	08-15-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Grace Radke	Call on the main line from a person claiming he is a whistleblower.	08-15-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with investor regarding status of claim and sent him link to investor call.	08-15-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Returned call to claimant and left message.	08-15-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Sent email to claimant on how to move forward with accepting the determination letter amount.	08-15-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Sent email to claimant re: status of claim and that he has yet to submit it.	08-15-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Emailed claimant re: status of claim.	08-15-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Emailed claimant MJ re: status of claim.	08-15-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Discussing with JGR and AMP claimants' communication and reaching out to claimants yet to file.	08-15-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Discussing with AMP and JGR regarding a claim received by an individual that was fully repaid.	08-15-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP and JGR re: claimant communication.	08-15-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Communication with KH regarding signature needed for sale of the house.	08-16-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email from JPM re: draft promissory note for approval to send to SS.	08-16-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Drafting promissory note for SS repayment plan and emailing to KA for review.	08-16-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with SS re: payment plan options.	08-16-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Review of counsel changes to promissory note for SS.	08-16-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: draft promissory note for approval to send to SS.	08-16-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Phone calls and emails to approximately 60 known claimants re: less than 30 days remain to submit claim, assisting with claims questions and determination letters.	08-16-2023	2.900	215.00	623.50	Legal - Claims Administration and Objections
Anna Priebe	1st round audit of 9 claims received in KCC.	08-16-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Anna Priebe	Review of data questions surrounding claim submissions, research and speak with claimants and email to KCC to resolve.	08-16-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Anna Priebe	Review RTS determinations and comparing to claims already submitted.	08-16-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JGR reviewing plan for secondary notice to determined claimants.	08-16-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: the process of first round review, changes based on communication with the claimant, and verifying amount for the claimant.	08-16-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JGR and JPM re: obituary to determine the beneficiary of claimant.	08-16-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication with KCC and AMP regarding resolving issue with claims data.	08-16-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails with 65 claimants re upcoming claims window closing date.	08-16-2023	3.500	225.00	787.50	Legal - Claims Administration and Objections
Grace Radke	Return calls and emails to claimants.	08-16-2023	0.500	225.00	112.50	Legal - Claims Administration and Objections
Grace Radke	Meeting with AMP reviewing plan for secondary notice to determined claimants.	08-16-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Returned call with claimant regarding his two filed claims and which is correct.	08-16-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Received call from claimant sending in supporting documents and attached to claim.	08-16-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant regarding filing a claim.	08-16-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 9 claimants. Researching affiliated names to determine if claimant is affiliated with an agent.	08-16-2023	1.100	255.00	280.50	Legal - Claims Administration and Objections
Josh McGraw	Review of an obituary to determine the beneficiary of claimant and communication with AMP and JGR.	08-16-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: the process of first round review, changes based on communication with the claimant, and verifying amount for the claimant.	08-16-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Geoff Winkler	Discussion with JPM re: BV claim and recovery amount.	08-17-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Discussion with GBW re: BV claim and recovery amount.	08-17-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Anna Priebe	1st round audit of more than 28 claims received in KCC, allowing claims in full and reaching out to claimants for documentation.	08-17-2023	4.400	215.00	946.00	Legal - Claims Administration and Objections
Anna Priebe	Call with GBW and JPM re: claims data and reporting.	08-17-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Discussion with JGR re: KCC claims website.	08-17-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Emails to KCC and GBW re: data questions for claimants.	08-17-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call from investor re: request of hard copy POC and questions about timeline.	08-17-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims uploads and KCC updates.	08-17-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Call with AMP and JPM re: claims data and reporting.	08-17-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Geoff Winkler	Emails to KCC from AMP re: data questions for claimants.	08-17-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication with JPM re: KCC upload of claims data and communication with claimants.	08-17-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication from KCC and AMP regarding claims data.	08-17-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication from AMP regarding update on claims data.	08-17-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections

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Grace Radke	Called claimant LT re: her ability to file a claim.	08-17-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Discussion with AMP re: KCC claims website.	08-17-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Returned call to investor re claim.	08-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Received documents and attached to claim and confirmed with claimant.	08-17-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 13 claimants. Determining additional documentation or review is needed.	08-17-2023	1.500	255.00	382.50	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP and GBW re: claims data and reporting.	08-17-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with GBW re: claim data upload and email to KCC.	08-17-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims uploads and KCC updates.	08-17-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Anna Priebe	Review of new RTS recovery letters (2) and email JPM re: same (1).	08-18-2023	0.300	215.00	64.50	Legal - Asset Analysis and Recovery
Anna Priebe	Review of outstanding emails and voicemails from investors (3), email from JGR with investor questions and research (1), and response (1).	08-18-2023	0.500	215.00	107.50	Legal - Case Administration
Anna Priebe	1st round audit of 22 claims received in KCC including review of duplicates, potential objections and withdrawals.	08-18-2023	3.400	215.00	731.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM to review claimant concerns, documents, specific communication and informal objections.	08-18-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Anna Priebe	Reviewing co-filers with JPM and discussing documentation & communication with claimants.	08-18-2023	0.900	215.00	193.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with GBW and JPM re: unknown co filers and process for objections.	08-18-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Review of known claimants that provided confirmation numbers, research within KCC.	08-18-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Geoff Winkler	Meeting with JPM and AMP re: unknown co filers and process for objections.	08-18-2023	0.500	340.00	170.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails with claimants to resolve issues.	08-18-2023	1.300	225.00	292.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re how to file a claim. Emailed link and PDF claim form.	08-18-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP to review claimant concerns, documents, specific communication and informal objections.	08-18-2023	1.100	255.00	280.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing co-filers with AMP and discussing documentation & communication to claimants.	08-18-2023	0.900	255.00	229.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with GBW and AMP re: unknown co filers and process for objections.	08-18-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Grace Radke	Call from third party promoter.	08-21-2023	0.200	225.00	45.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call to MR re: returning missed call to discuss payments received.	08-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Grace Radke	Case discussion of ongoing work with GBW, PCC, JPM, JBH, ORR, and JEF.	08-21-2023	0.100	225.00	22.50	Legal - Business Operations
Geoff Winkler	Meeting with JPM, PCC, JGR, JBH, ORR, and JEF re: claims process and third-party recovery.	08-21-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Meeting with JPM re: discussion of claims review and third party recovery.	08-21-2023	0.600	225.00	135.00	Legal - Case Administration
John Hall	Team meeting to discuss outstanding activities and ongoing responsibilities with PCC, ORR, JEF, MBB, GBW, JPM, JGR.	08-21-2023	0.100	310.00	31.00	Legal - Case Administration
Josh McGraw	Meeting with JGR re: discussion of claims review and third party recovery.	08-21-2023	0.600	255.00	153.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, PCC, JGR, JBH, ORR, and JEF re: claims process and third-party recovery.	08-21-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting to discuss outstanding activities and ongoing responsibilities with PCC, ORR, JEF, JBH, GBW, JPM, JGR.	08-21-2023	0.100	255.00	25.50	Legal - Case Administration
Geoff Winkler	Call with JPM re: discussing claims audit.	08-21-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails responding to claimants re: missing info, attaching documents and status of claims.	08-21-2023	0.900	225.00	202.50	Legal - Claims Administration and Objections
Grace Radke	Reviewed outstanding claims list and updated with filed claims.	08-21-2023	0.600	225.00	135.00	Legal - Claims Administration and Objections
Grace Radke	Call from claimant who needed help filing a claim.	08-21-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Talked with claimant and they are unsure about providing SSN.	08-21-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call from claimant who provided missing SSN.	08-21-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 52 claimants.	08-21-2023	2.500	255.00	637.50	Legal - Claims Administration and Objections
Josh McGraw	Call with GBW re: discussing claims audit.	08-21-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Grace Radke	Call from third party promoter.	08-22-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Grace Radke	Responding to claimants and attached supporting documents to claim.	08-22-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Emails from investors and attached supporting documents to claim.	08-22-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Call from promoter re: payment schedule.	08-23-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Anna Priebe	Email to counsel and team re: weekly updates.	08-23-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	1st round audit progression of over 50 claims received in KCC, including continued communication efforts with unresponsive claimants requesting additional documentation, potential objection reviews, and allowing claims in full.	08-23-2023	6.800	215.00	1,462.00	Legal - Claims Administration and Objections

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Anna Priebe	Email claimant re: concerns over name correction.	08-23-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Claimant updated discussion with AMP.	08-23-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Call with JN re: mailing paper claim form.	08-23-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	2 emails with claimants.	08-23-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Anna Priebe	Email to JPM re: recovery letters RTS.	08-24-2023	0.100	215.00	21.50	Legal - Asset Analysis and Recovery
Anna Priebe	1st round audit of 13 claims received in KCC, reaching out to claimants requesting confirmation or additional documentation.	08-24-2023	2.800	215.00	602.00	Legal - Claims Administration and Objections
Anna Priebe	Email investor re: claim submission instructions.	08-24-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Called claimant to get missing SSN.	08-24-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Anna Priebe	Review of recovery questions and voicemails, emails to JPM.	08-25-2023	0.300	215.00	64.50	Legal - Asset Analysis and Recovery
Anna Priebe	Prepare documents (.2) and email investor re: meeting transcripts (.1).	08-25-2023	0.300	215.00	64.50	Legal - Case Administration
Geoff Winkler	Communication regarding Q3 accounting from KH.	08-25-2023	0.100	340.00	34.00	Legal - Case Administration
Anna Priebe	Phone calls to claimants with email attempts for corrections and additional information.	08-25-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	1st round audit of claims received in KCC.	08-25-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Review of KCC process for new documents from claimants (.2), email team re: SOP for documents (.1).	08-25-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Emails to 2 claimants re: reviewed new information and next steps.	08-25-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re: what documents are needed to file an online claim.	08-25-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re: missing info.	08-25-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Milana Barkhanoy	Discussing claim process and needs with AMP.	08-25-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Geoff Winkler	Process accounts receivable.	08-28-2023	0.200	340.00	68.00	Financial - Accounting/Auditing
Anna Priebe	Review of recovered commissions check and email GBW and JPM re: same.	08-28-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review of recovered commissions check and email from AMP.	08-28-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Update from AMP regarding incoming recovery checks.	08-28-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Communication with KH regarding Synchrony account and the fraudulent transfer claim.	08-28-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Case discussion of ongoing work with JGR, PCC, AMP, ORR, and JEF.	08-28-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Case discussion of ongoing work with GBW, PCC, AMP, ORR, and JEF.	08-28-2023	0.100	225.00	22.50	Legal - Case Administration
Anna Priebe	First round claims audit for 9 claims inclusive of potential duplicate claims.	08-28-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Anna Priebe	Review of bundled claimants and individual claims for same group (.6), email JGR with next steps for recovering claimants (.1).	08-28-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Emails with 8 investors re: claims questions and review of new documents.	08-28-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Review of outstanding investor questions and research in claims information for investor.	08-28-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Email to AK re: paper claims.	08-28-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email JGR re: investor voicemail.	08-28-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Discussion with AMP and JGR re: how to handle deceased claimants.	08-28-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Called 44 claimants and sent emails re eligibility to file a claim.	08-28-2023	3.900	225.00	877.50	Legal - Claims Administration and Objections
Grace Radke	Returned 4 claimant emails, 1 call and attached supporting documents to claim.	08-28-2023	0.800	225.00	180.00	Legal - Claims Administration and Objections
Grace Radke	Calls and email responses re: claim status.	08-28-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Printed 4 hardcopies claim forma and mailed to claimants.	08-28-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Discussion with AMP and GBW re: how to handle deceased claimants.	08-28-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Anna Priebe	1st round audit of claims received in KCC, focus on hold status claimants that have provided necessary information to tie out in spreadsheet and continue reach out to claimants missing information. Review of additional documents for cash claims and potential bundler.	08-29-2023	5.900	215.00	1,268.50	Legal - Claims Administration and Objections
Anna Priebe	Review of new claimant information from JGR (.2), email re: analysis of same (.1).	08-29-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JBH and AMP regarding escalated claim.	08-29-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re: filing a claim online and who sold him the investment.	08-29-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Call from claimant on how to file a claim.	08-29-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re: how to fill out the paper claim form.	08-29-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Attached supplemental documents to claims.	08-29-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Email to claimant re: link to investor call.	08-29-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re: verifying mailing address of paper claim form.	08-29-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from claimant re: the online claim.	08-29-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections

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John Hall	Review and audit escalated claim from AMP.	08-29-2023	1.000	310.00	310.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing documentation provided by an investor.	08-30-2023	0.200	255.00	51.00	Financial - Data Analysis
Geoff Winkler	Call with JPM re: allocating funds received to correct receivership assets.	08-30-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating KCC with agent communication for recovery.	08-30-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: allocating funds received to correct receivership assets.	08-30-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to RB re: recovery payment received.	08-30-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: meeting with JG to discuss possible claims.	08-30-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Email to investor re: follow up for documents and questions.	08-30-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	First round claims audit, reviewed 13 claimants and noted progress of approval, pending claimant information, or review of new documents.	08-30-2023	2.200	215.00	473.00	Legal - Claims Administration and Objections
Anna Priebe	Discussion with JPM re: missing claimant information, process for resolving youth accounts, and review of co-filer issues.	08-30-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: claims blocks and discussion of necessary documentation.	08-30-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Claims discussion with JPM and JGR re EG investors.	08-30-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Call with investor re: hard copy questions.	08-30-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Geoff Winkler	Email from JPM regarding communication with JG.	08-30-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Contacting investors as part of EG/TV that have no claim filed.	08-30-2023	1.000	225.00	225.00	Legal - Claims Administration and Objections
Grace Radke	Claims discussion with JPM re outstanding investment without a filed claim.	08-30-2023	0.500	225.00	112.50	Legal - Claims Administration and Objections
Grace Radke	Claims discussion with AMP and JPM re EG investors.	08-30-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Emails to investors re: missing info on claims.	08-30-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Call from claimant requesting a hard copy claim form.	08-30-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Called claimant re: SSN for minors.	08-30-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claims issues and determining if escalation is needed.	08-30-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections
Josh McGraw	Discussion with AMP re: missing claimant information, process for resolving youth accounts, and review of co-filer issues.	08-30-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections
Josh McGraw	Claims discussion with JGR re outstanding investment without a filed claim.	08-30-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: claims blocks and discussion of necessary documentation.	08-30-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Claims discussion with AMP and JGR re EG investors.	08-30-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claimant communication draft emails and making adjustments.	08-30-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JPM and JK regarding BoA and JG.	08-31-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Geoff Winkler	Emails from JPM re: meeting with JG to discuss possible asset recovery opportunities.	08-31-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JK re: meeting with JG.	08-31-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Emails with GBW re: meeting with JG to discuss possible asset recovery opportunities.	08-31-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Emails with JG re: meeting with JPM.	08-31-2023	0.200	340.00	68.00	Legal - Case Administration
Josh McGraw	Emails with JG re: meeting with GBW.	08-31-2023	0.200	255.00	51.00	Legal - Case Administration
Grace Radke	Called claimant re: SSN for minors.	08-31-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re: confirming claim received.	08-31-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Called claimant re: missing signature.	08-31-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 39 claimants.	08-31-2023	2.200	255.00	561.00	Legal - Claims Administration and Objections
Geoff Winkler	Process four accounts payable.	09-01-2023	0.300	340.00	102.00	Financial - Accounting/Auditing
Geoff Winkler	Call with JG, JPM, JK and MC re: discussing potential claims for the receivership to pursue.	09-01-2023	1.000	340.00	340.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM and JK regarding preparing for a follow up meeting with JG.	09-01-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email to JG, JK and JPM re: meeting to discuss possible claim.	09-01-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JG, GBW, JK and MC re: discussing potential claims for the receivership to pursue.	09-01-2023	1.000	255.00	255.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with RT re: discussion of payment recovery.	09-01-2023	0.700	255.00	178.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing background information and email to JG re: introductions to individuals on the call.	09-01-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Sending hardship application to RT per request.	09-01-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JK re: JG background.	09-01-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JG, JK and GBW re: meeting to discuss possible claim.	09-01-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JG re: follow-up meeting to discuss further items.	09-01-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with KH regarding transfer fraud claim with JK account.	09-01-2023	0.100	340.00	34.00	Legal - Case Administration
Anna Priebe	1st round audit of claims in KCC.	09-01-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections

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Summary of Fees of Receiver and Retained Personnel
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(Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Grace Radke	Auditing accounting file to review missing claimants identified in accounting.	09-01-2023	0.800	225.00	180.00	Legal - Claims Administration and Objections
Grace Radke	Email ET claimant on how to file a claim.	09-01-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Added supporting documentation provided by claimants to their claim.	09-01-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Processing second round of claims review, reviewing documents within relativity to better understand claim amount for three claimants.	09-01-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 6 claimants.	09-03-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Geoff Winkler	Discussion with counsel re PC call.	09-04-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Communication with KH regarding pleadings.	09-05-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Reviewing and processing second round of audit for 20 claimants.	09-05-2023	1.700	255.00	433.50	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: questions on filing a claim and determination letter information.	09-05-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Email to claimant re: updating their mailing address.	09-05-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Anna Priebe	Email JPM re: missed call from JG re: repayment.	09-06-2023	0.100	215.00	21.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Review updated settlement agreement and release, discuss with JBH.	09-06-2023	0.400	340.00	136.00	Legal - Asset Analysis and Recovery
John Hall	Review updated settlement agreement and release, discuss with GBW.	09-06-2023	0.400	310.00	124.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review of communication from RP regarding settlement agreement with TS.	09-06-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Review email from JPM to KH, KA, and JBH re: counsel meeting.	09-06-2023	0.100	340.00	34.00	Legal - Case Administration
John Hall	Meeting with JPM re: claims audit progress and third-party recovery.	09-06-2023	0.300	310.00	93.00	Legal - Case Administration
Josh McGraw	Meeting with JBH re: claims audit progress and third-party recovery.	09-06-2023	0.300	255.00	76.50	Legal - Case Administration
Josh McGraw	Email to KH, KA, GBW and JBH re: counsel meeting.	09-06-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit of claims in KCC, approved 11 claimants in full and withdrawn and review of new potential objections and incomplete claims.	09-06-2023	2.600	215.00	559.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM re: discussion claims and conversation with KCC.	09-06-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: discussing claims documentation and audit process.	09-06-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims numbers in KCC and conversations with KCC.	09-06-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JE re: claimant numbering.	09-06-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Call with GBW re: claims agent claim numbers.	09-06-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email to AK re: paper claim received.	09-06-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email JPM re: paper claims uploaded in KCC and claim numbers.	09-06-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email KCC team re: paper claim confirmations of numbers.	09-06-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Communication with AMP, JE, AK, DA, and JPM regarding claims numbers changing.	09-06-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Geoff Winkler	Call with AMP re: claims agent claim numbers.	09-06-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JBH and JPM regarding escalated claim for H&H.	09-06-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 20 claimants.	09-06-2023	1.900	255.00	484.50	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: discussion claims and conversation with KCC.	09-06-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claims documentation from EG and researching contact information for members.	09-06-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: discussing claims documentation and audit process.	09-06-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: questions on filing a claim and confirming their information for the determination letter.	09-06-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Auditing mailed claims to determine the information to provide to KCC.	09-06-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims numbers in KCC and conversations with KCC.	09-06-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: questions about their claim and distribution timeline.	09-06-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Call to claimant re: claims deadline.	09-06-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Email to AMP re: claims numbers and information to discuss with KCC.	09-06-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Call to claimant re: contact information for members of company.	09-06-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing recorded documents and obituaries to track down missing claimants.	09-07-2023	0.900	255.00	229.50	Financial - Data Analysis
Josh McGraw	Updating KCC assets with agent information, prior calls, email communication or receipt dates for certified mail.	09-07-2023	0.900	255.00	229.50	Legal - Asset Analysis and Recovery
Josh McGraw	Updating promissory note for SS and email communication discussing terms.	09-07-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JG re: answering questions about third party recovery.	09-07-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JK re: meeting with JG.	09-07-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Call with JPM re: discussion of conversations with KCC's claims structure and escalated claims.	09-07-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Geoff Winkler	Discussion with staff re claim numbering, meeting with KCC to discuss.	09-07-2023	0.300	340.00	102.00	Legal - Claims Administration and Objections

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Josh McGraw	Nine calls with claimants re: confirming claims, assisting with filing claims, answering questions or locating claimants.	09-07-2023	2.300	255.00	586.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of review for 38 claimants.	09-07-2023	1.400	255.00	357.00	Legal - Claims Administration and Objections
Josh McGraw	Cross-referencing filed claims, determination letters, and claimant communication to determine outstanding claimants to follow-up with.	09-07-2023	1.100	255.00	280.50	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: discussion of conversations with KCC's claims structure and escalated claims.	09-07-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Emails to claimants re: claims bar date and receivership information.	09-07-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Discussion of locating claimants prior to the bar date with MBB.	09-07-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Milana Barkhanoy	Discussion of locating claimants prior to the bar date with JPM.	09-07-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing JG commissions to confirm all investors filed claims.	09-08-2023	0.400	255.00	102.00	Financial - Data Analysis
Geoff Winkler	Communication with JPM re: meeting with JG and JK.	09-08-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JK, MC and JG re: discussion of possible claim.	09-08-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with GBW re: meeting with JG and JK.	09-08-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email follow-up to SS re: payment plan.	09-08-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Updating SS promissory note for correct effective date.	09-08-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with investor/promoter and litigation counsel re information needed.	09-08-2023	0.500	340.00	170.00	Legal - Case Administration
Anna Priebe	Communication with JPM re: EG claim and claims bar date.	09-08-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication with JPM re: required claims verification documents.	09-08-2023	0.300	340.00	102.00	Legal - Claims Administration and Objections
John Hall	Communication with JPM re: claimant voicemail.	09-08-2023	0.100	310.00	31.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 96 known claimants.	09-08-2023	4.300	255.00	1,096.50	Legal - Claims Administration and Objections
Josh McGraw	Analyzing accounting records to determine outstanding inflows not claimed.	09-08-2023	1.200	255.00	306.00	Legal - Claims Administration and Objections
Josh McGraw	Emails to claimants re: confirmation of claim being received or requesting additional information to confirm their claim.	09-08-2023	0.800	255.00	204.00	Legal - Claims Administration and Objections
Josh McGraw	Calls with six individuals associated with outstanding claims.	09-08-2023	0.800	255.00	204.00	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant regarding their claim and information about JG.	09-08-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: issues with filing a claim and assisting with filling out claim form.	09-08-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: EG claim and claims bar date.	09-08-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant with investment in EG.	09-08-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with JBH re: claimant voicemail.	09-08-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Call to claimant re: questions on their claim.	09-08-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant to confirm claim has been received.	09-08-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with GBW re: required claims verification documents.	09-08-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing pleadings related to SP, transactions and reviewing business online to determine licensing.	09-11-2023	1.200	255.00	306.00	Financial - Data Analysis
Geoff Winkler	Call with third party to discuss recovery of commission, case status.	09-11-2023	0.300	340.00	102.00	Financial - Litigation Consulting
Geoff Winkler	Review of data sent by JPM regarding third party recover from JG.	09-11-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email from JPM re: JG call and contact information for receiver to follow-up.	09-11-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing agent database and transactions to determine affiliation with investors.	09-11-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery
Josh McGraw	Calls with BF re: claim ownership and agent payments.	09-11-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: JG call and contact information for receiver to follow-up.	09-11-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Case discussion of ongoing work with GBW, MBB, JBH, JPM, PCC, JGR, ORR, and JEF.	09-11-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email PCC re: communication with investors.	09-11-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Discussion of the progress of the claims process with AMP, MBB, JBH, JPM, PCC, JGR, ORR, and JEF.	09-11-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Communication from RH regarding invoice for Relativity.	09-11-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Case discussion of ongoing work with GBW, MBB, JBH, JPM, PCC, AMP, ORR, and JEF.	09-11-2023	0.100	225.00	22.50	Legal - Case Administration
Josh McGraw	Discussion of the progress of the claims process with GBW, MBB, JBH, JPM, PCC, JGR, ORR, and JEF.	09-11-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussion of the progress of the claims process with GBW, JPM, JBH, JPM, PCC, JGR, ORR, and JEF.	09-11-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	1st round audit of claims in KCC for final day of timely claims, focus on incomplete claims, creditors, and potential objections.	09-11-2023	3.500	215.00	752.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: final day for submissions from claimants, outstanding communication and audits needed.	09-11-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections

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Anna Priebe	Communication re: claimant-specific questions, tardy claims, and claims agent with GBW, JBH, and JGR.	09-11-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claimant numbering discussing with KCC, beneficiary claims and determination letter claims.	09-11-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with GBW re: claims bar date information for website.	09-11-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Email AK re: new hard copy claim received.	09-11-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email to KCC re: final claim day and process for tardy claims.	09-11-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Call with JPM re: discussion of claims questions and auditing.	09-11-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication re: claimant-specific questions, tardy claims, and claims agent with AMP, JBH, and JGR.	09-11-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Geoff Winkler	Communication with AMP re: claims bar date information for website.	09-11-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
Grace Radke	Called all claimants who have yet to file re closing claims deadline, took calls and sent emails and attached supporting documents to 2 claimants.	09-11-2023	1.700	225.00	382.50	Legal - Claims Administration and Objections
Grace Radke	Communication re: claimant-specific questions, tardy claims, and claims agent with AMP, GBW, and JBH.	09-11-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant and helped them file a claim.	09-11-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Meeting with JPM re: final day for submissions from claimants and communication required.	09-11-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Emailed with claimant to add supporting documents to claim.	09-11-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Communication with JPM re: claimants' voicemails.	09-11-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant requesting confirmation of claim submission.	09-11-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Email to claimant in a different country re missing claim.	09-11-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Returned claimant phone call and left message.	09-11-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
John Hall	Communication re: claimant-specific questions, tardy claims, and claims agent with AMP, GBW, and JGR.	09-11-2023	0.400	310.00	124.00	Legal - Claims Administration and Objections
Josh McGraw	Calls with twelve claimants re: final day to file a claim filing, duplicate claim, and beneficiary claim.	09-11-2023	1.600	255.00	408.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing known claimant list and cross-referencing accounting file to confirm missing claims. Updating list to communicate with claims team on individuals to call.	09-11-2023	1.500	255.00	382.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: final day for submissions from claimants, outstanding communication and audits needed.	09-11-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Call with GBW re: discussion of claims questions and auditing.	09-11-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claimant numbering discussing with KCC, beneficiary claims and determination letter claims.	09-11-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with the claims audit team re: international investors, claims bar date and necessary items to finalize.	09-11-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with JGR re: final day for submissions from claimants and communication required.	09-11-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Call with GBW and AMP re: claims bar date procedures and process for claims submitted after the bar date.	09-11-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with JGR re: claimants' voicemails.	09-11-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Phil Chen	Reviewed 8 claims and downloaded supporting documents from related email records; uploaded bank statements, screenshots, certificates, email exchanges, and updated creditor notes. Tied out amounts in accounting and recommended approval of 4 claims, 4 claims pending action preference due to unknown claimant name/ no support/ duplicates.	09-11-2023	1.800	215.00	387.00	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JK regarding secure link for files.	09-12-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Anna Priebe	Email to JPM re: RTS recovery letter.	09-12-2023	0.100	215.00	21.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with JPM re: agent repayment plan.	09-12-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM regarding payment plan vs lump sum for recovery.	09-12-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email from JPM re: SS proposal for repayment.	09-12-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: agent repayment plan.	09-12-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing communication from SS and email responding with hardship agreement.	09-12-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: SS proposal for repayment.	09-12-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Updates to website re: bar date passing, review of new pleadings and add.	09-12-2023	0.400	215.00	86.00	Legal - Case Administration
Anna Priebe	Calls with PCC reviewing creditor versus investor claims, bundled claims, and duplicates.	09-12-2023	1.000	215.00	215.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM re: final claims numbers, claims to escalate for counsel review, and agent claims.	09-12-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Emails with KCC and JPM re: finalizing claims with passed deadline.	09-12-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Reviewed for last-minute hard copy claims received.	09-12-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections

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Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Anna Priebe	Review and emails to PCC re: claims pending action and accounting during Receivership period.	09-12-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Email to JPM re: creditor requesting payments.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with PCC re: claimant question on determination amount.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Review and email to PCC re: claims pending action.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Email to claimant re interim distribution info timeline.	09-12-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Retuned call and left message re interim distribution timeline.	09-12-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: final claims numbers, claims to escalate for counsel review, and agent claims.	09-12-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections
Josh McGraw	Email to AMP re: KCC website for claims.	09-12-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed and audited 11 claims. 1st round approval for 8 claims. 3 claims are pending further discussion.	09-12-2023	2.100	215.00	451.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed and audited 8 claims. Recommend approval of 5 claims. 3 claims are pending further discussion.	09-12-2023	1.600	215.00	344.00	Legal - Claims Administration and Objections
Phil Chen	Call with AMP regarding claims pending action preference and the next steps.	09-12-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Phil Chen	Call with AMP regarding 2 claims and the next steps.	09-12-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Phil Chen	Email to JPM and AMP regarding a claim and next steps.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Email to investor regarding supporting documents and action preference.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Email to an investor regarding additional supporting documents and amount.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Email to investor for additional supporting documents and to confirm the claim amount.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Replied to the email from the investor, clarified the findings, and requested for supporting documents.	09-12-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Discussion with JPM re: calling agents for fund recovery.	09-13-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Grace Radke	Call from third party promoter re payment options.	09-13-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GL re: agent payments received, receivership process, forensic accounting and financial hardship claim.	09-13-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing agent schedules and communication in preparation of recovery calls.	09-13-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with MR to discussion of repayment of funds.	09-13-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Discussion with AMP re: calling agents for fund recovery.	09-13-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JM JR re: payment plan for unearned payments.	09-13-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JS re: financial hardship claim.	09-13-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with SP re: return of agent payments.	09-13-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with JM SR re: return of unearned payments.	09-13-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to JC re: discussion of the payment plan offer and next steps for resolving the matter.	09-13-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to JM re: employee benefit payments and discussion of a payment plan.	09-13-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to JK re: agent payments received and setting up time to discuss.	09-13-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to LT re: claim submitted and agent payment recovery.	09-13-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Notification of electronic filing for hearing date.	09-13-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with KH regarding information for call.	09-13-2023	0.100	340.00	34.00	Legal - Case Administration
Anna Priebe	Reviewing claimant responses to pending claim questions and calling claimants who have not responded to initial email.	09-13-2023	2.500	215.00	537.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM and PCC re: claims audit questions and reviewing documentation.	09-13-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM and JGR re: discussing documentation needed from claimants and calls with claimants.	09-13-2023	0.600	215.00	129.00	Legal - Claims Administration and Objections
Anna Priebe	Review and temporary escalation of potentially bundled claims.	09-13-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with PCC on claims questions.	09-13-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM on claims auditing and investor questions.	09-13-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Discussion with JGR re: claimant communication for the post-claim period, distribution plans.	09-13-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Call with claimant re: duplicate claims resolve, email needed.	09-13-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Review of claims audit, processing, notes.	09-13-2023	1.100	340.00	374.00	Legal - Claims Administration and Objections
Grace Radke	Meeting with AMP and JPM re: discussing documentation needed from claimants and calls with claimants.	09-13-2023	0.600	225.00	135.00	Legal - Claims Administration and Objections
Grace Radke	Attached missing SSN from claimant to claim.	09-13-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re 2 claims.	09-13-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP and PCC re: claims audit questions and reviewing documentation.	09-13-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Meeting with AMP and JGR re: discussing documentation needed from claimants and calls with claimants.	09-13-2023	0.600	255.00	153.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP on claims auditing and investor questions.	09-13-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: duplicate claim.	09-13-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Email to claimant re: duplicate claim.	09-13-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed claim amounts and tied out supporting documents of 10 claims (1.5 hours). 8 Emails to claimants for additional supporting documents (0.8 hours).	09-13-2023	2.300	215.00	494.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed claim amounts and supporting documents of 3 claims.	09-13-2023	1.300	215.00	279.50	Legal - Claims Administration and Objections
Phil Chen	Call with AMP and JPM regarding progress in claim approval and next steps.	09-13-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Phil Chen	Replied to the email from a claimant regarding the claim amounts and supporting documents.	09-13-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Email to a claimant regarding SSN / NRIC No.	09-13-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Call from third party promoter re payment payback options.	09-14-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Calls with JM re: employee payments received.	09-14-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with JGR re: agent voicemails.	09-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GL re: forensic accounting report and financial hardship claim.	09-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JS re: financial hardship claim application.	09-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to KP re: unsecured promissory note draft.	09-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with LT re: agent payments received.	09-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to JGR re: LT claim.	09-14-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Preparing and drafting next status report.	09-14-2023	0.800	215.00	172.00	Legal - Case Administration
Anna Priebe	Meeting re: claimant questions and schedules for recovery, calls to unknown claimants with PCC (1) and JPM (6).	09-14-2023	1.600	215.00	344.00	Legal - Claims Administration and Objections
Anna Priebe	Reviewing and reaching out to claimant with claims pending action.	09-14-2023	1.200	215.00	258.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM for specific claim questions and net winner process.	09-14-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Grace Radke	Responded to a voice message from claimant.	09-14-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP for specific claim questions and net winner process.	09-14-2023	0.800	255.00	204.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting re: claimant questions and schedules for recovery, calls to unknown claimants with PCC and AMP.	09-14-2023	0.600	255.00	153.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claim from investor and agent in preparation for call.	09-14-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Reaching out to claimants to discuss claims.	09-14-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Phil Chen	Meeting re: claimant questions and schedules for recovery, calls to unknown claimants with AMP (1) and JPM (6).	09-14-2023	1.600	215.00	344.00	Legal - Claims Administration and Objections
Phil Chen	Reviewed 2 claims to tie out the amount in the accounting file (0.6). Emailed the claimant for supporting documents (0.2).	09-14-2023	0.800	215.00	172.00	Legal - Claims Administration and Objections
Phil Chen	Replied to investor's email regarding amount confirmation and supporting documents.	09-14-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Reconcile bank accounts, review checks and wires.	09-15-2023	0.200	340.00	68.00	Financial - Accounting/Auditing
Josh McGraw	Call with LT re: payment plan and forensic accounting.	09-15-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating assets after calls with agents.	09-15-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to LT re: forensic accounting report.	09-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with JGR re: LT voicemail and payment plan.	09-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to EG re: agent payment recovery.	09-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call to RT re: unsecured promissory note and questions on repayment.	09-15-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Anna Priebe	Prepare and email to PCC re: calls with investors.	09-15-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	1st round audit in KCC, review of claimant responses and emails confirming additional information.	09-15-2023	1.200	215.00	258.00	Legal - Claims Administration and Objections
Anna Priebe	Calls with 3 investors re: answering FAQs and missing information for claims.	09-15-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Review of tardy claim (.3) and email to GBW (.1).	09-15-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with PCC and JPM re: auditing of specific subscription transactions.	09-15-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: tardy claim.	09-15-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Geoff Winkler	Review of tardy claim (.3) and email to AMP (.1).	09-15-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Geoff Winkler	Email communication from AMP regarding tardy claim received.	09-15-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Calls with 2 investors.	09-15-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Josh McGraw	Call with claimant re: discussion of claims process, the timing of interim distribution, and missing claims information.	09-15-2023	0.800	255.00	204.00	Legal - Claims Administration and Objections

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Josh McGraw	Communication with PCC and AMP re: auditing of specific subscription transactions.	09-15-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: tardy claim.	09-15-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Phil Chen	Reviewed documents provided by claimants and tied them out in the accounting file (2 claims).	09-15-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Phil Chen	Called 3 claimants for missing information and left 2 voice messages.	09-15-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Phil Chen	Replied the email from a claimant regarding confirmation of the claim amount and time to expect claim distribution.	09-15-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Answered a call from a claimant regarding missing information.	09-15-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Phil Chen	Reply to the claimant's email regarding missing SSN.	09-15-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JK, review and signing of agreement for litigation order BoA.	09-18-2023	0.200	340.00	68.00	Financial - Litigation Consulting
Grace Radke	Call from claimant re providing missing info.	09-18-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Anna Priebe	Meeting with JBH, MBB, JPM, PCC JGR, JEF, re: claim audit and distribution process.	09-18-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Case discussion of ongoing work with MBB, JBH, JPM, PCC, AMP, ORR, and JEF.	09-18-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Case discussion of ongoing work with MBB, JBH, JPM, PCC, AMP, ORR, and JEF.	09-18-2023	0.100	225.00	22.50	Legal - Case Administration
Josh McGraw	Meeting with JBH, MBB, AMP, PCC JGR, JEF, re: claim audit and distribution process.	09-18-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Meeting with JBH, JPM, AMP, PCC JGR, JEF, re: claim audit and distribution process.	09-18-2023	0.100	255.00	25.50	Legal - Case Administration
Phil Chen	Meeting with JBH, MBB, JPM, AMP, JGR, JEF, and ORR regarding claim distribution and next steps.	09-18-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Claims audit and review of claimant responses to missing information. Calls to claimants and emails requesting additional information.	09-18-2023	3.200	215.00	688.00	Legal - Claims Administration and Objections
Anna Priebe	Emails and call with claim agent re: review of hard copy claims and confirming claimant receipts.	09-18-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims review and classification of incomplete submitted claims.	09-18-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Email to claimant re: confirming receipt of additional information.	09-18-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Discussion with PCC regarding claim distribution in KCC and handling a claimant having no ownership of the claimed transactions.	09-18-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Call from claimant re missing info and sent email with link to investor call.	09-18-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call from claimant re status and sent email with link to investor call.	09-18-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from investor wanting to know if we received the claim.	09-18-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Returned call from claimant re claim status.	09-18-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Processing second round of claims audit for 34 claimants.	09-18-2023	1.400	255.00	357.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing communication with KCC and AMP re: claims number adjustments and confirming communication with claimants.	09-18-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims review and classification of incomplete submitted claims.	09-18-2023	0.300	255.00	76.50	Legal - Claims Administration and Objections
Josh McGraw	Call with investor re: claims verification.	09-18-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed 12 claims, downloaded relevant statements, certificates, and email records provided by claimants, uploaded supporting documents, and updated creditor notes in KCC(1.7). Sent 9 emails to claimants re: claim amount and additional supporting documents(0.9).	09-18-2023	2.600	215.00	559.00	Legal - Claims Administration and Objections
Phil Chen	Discussion with AMP regarding claim distribution in KCC and handling a claimant having no ownership of the claimed transactions.	09-18-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JK regarding signed agreement.	09-19-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Geoff Winkler	Call with JPM re: agent calls and funds being returned.	09-19-2023	0.300	340.00	102.00	Legal - Asset Analysis and Recovery
Grace Radke	Email to JPM re: third party promoter.	09-19-2023	0.100	225.00	22.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: agent calls and funds being returned.	09-19-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to TW re: draft stipulation for Searchlight property.	09-19-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Preparing stipulation for Searchlight property.	09-19-2023	0.400	255.00	102.00	Legal - Asset Disposition
Josh McGraw	Reviewing addendum for extending real estate purchase agreement. Communication with GBW re: approval to sign and requesting DocuSign from TW.	09-19-2023	0.400	255.00	102.00	Legal - Asset Disposition
Josh McGraw	Reviewing the estimated settlement statement and confirming that estimated costs are in line with agreements.	09-19-2023	0.300	255.00	76.50	Legal - Asset Disposition
Josh McGraw	Email to TW re: status of buyer's due diligence for Searchlight property.	09-19-2023	0.100	255.00	25.50	Legal - Asset Disposition
Josh McGraw	Email to KD re: prelim report for searchlight property.	09-19-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Preparing preliminary numbers of claims, reviewed percentages, and estimated allowable claims for the purpose of reporting at hearing.	09-19-2023	1.500	215.00	322.50	Legal - Case Administration
Anna Priebe	1st round claims audit for 14 claims, including confirming emailed adjustments from claimants, calling claimants to review information.	09-19-2023	3.600	215.00	774.00	Legal - Claims Administration and Objections

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Anna Priebe	Meetings with JPM re: claim objections review, claim process numbers for hearing, and status of 2nd round auditing.	09-19-2023	1.800	215.00	387.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM and PCC re: claimant-specific questions, requesting more information from claimants, and tying out accounting.	09-19-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JGR re: investor call and claimant-specific information.	09-19-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Email to GBW re: claims objections.	09-19-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email from AMP re: claims objections.	09-19-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with AMP regarding late claim.	09-19-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Geoff Winkler	Email from AMP re: claims objections.	09-19-2023	0.100	340.00	34.00	Legal - Claims Administration and Objections
Grace Radke	Calls and emails to get missing info to update claims.	09-19-2023	0.400	225.00	90.00	Legal - Claims Administration and Objections
Grace Radke	Returned call from claimant re status of claim and sent investor meeting link.	09-19-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call from claimant re: claim amount discrepancy.	09-19-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Returned call from claimant re status of claim and sent investor meeting link.	09-19-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call and email to claimant re: duplicate claims.	09-19-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call from claimant re: claim amount discrepancy.	09-19-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Meetings with AMP re: claim objections review, claim process numbers for hearing, and status of 2nd round auditing.	09-19-2023	1.800	255.00	459.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP and PCC re: claimant-specific questions, requesting more information from claimants, and tying out accounting.	09-19-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing documents of an investor to understand the relationship of the claimant on file.	09-19-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Phil Chen	Reviewed about 13 claims and downloaded relevant bank statements, certificates, and screenshots from claimants' emails, uploaded supporting documents, and updated creditor notes in KCC (1.7). Sent 12 emails to claimants (1.2).	09-19-2023	2.900	215.00	623.50	Legal - Claims Administration and Objections
Phil Chen	Audited 8 claims, reviewed and downloaded relevant email attachments provided by claimants, uploaded files, removed files that were not readable, and updated creditor notes in KCC (1.2). Sent 8 emails to claimants re: claim amounts and additional documentation(0.8).	09-19-2023	2.000	215.00	430.00	Legal - Claims Administration and Objections
Phil Chen	Communication with AMP and JPM re: claimant-specific questions, requesting more information from claimants, and tying out accounting.	09-19-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Phil Chen	Called 3 claimants regarding the claim amount and asked for confirmation via email.	09-19-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Geoff Winkler	Process five wires out and verify wires.	09-20-2023	0.500	340.00	170.00	Financial - Accounting/Auditing
Geoff Winkler	Email communication with JBH and MC regarding forensic accounting reports.	09-20-2023	0.200	340.00	68.00	Financial - Forensic Accounting
Geoff Winkler	Review of email and settlement agreement from RP regarding TS matter.	09-20-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication from KH regarding TS settlement agreement.	09-20-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing BR listing and email to KH confirming listing is active.	09-20-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Anna Priebe	Meeting with GBW, JPM, and counsel re: claims audit updates, questions on specific claimants, hearing preparation.	09-20-2023	0.800	215.00	172.00	Legal - Case Administration
Anna Priebe	Meeting with JPM reviewing counsel recommendations for agents and insiders, claim objections.	09-20-2023	0.400	215.00	86.00	Legal - Case Administration
Anna Priebe	Communication with JGR re: investor communication, reaching claimants for missing information on claims, and audit schedule.	09-20-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Upload and review of returned physical notices to determine if late creditor was notified, email GBW re: same.	09-20-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Email to GBW and counsel re: attorney letter.	09-20-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Email to debt collector re: claims bar date.	09-20-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Prepare for and attend hearing.	09-20-2023	1.000	340.00	340.00	Legal - Case Administration
Geoff Winkler	Meeting with AMP, JPM, and counsel re: claims audit updates, questions on specific claimants, hearing preparation.	09-20-2023	0.800	340.00	272.00	Legal - Case Administration
Geoff Winkler	Updated from AMP Re upload and review of returned physical notices to determine if late creditor was notified.	09-20-2023	0.300	340.00	102.00	Legal - Case Administration
Geoff Winkler	Email communication with AMP regarding parties receiving notice and next steps.	09-20-2023	0.200	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email from AMP re: attorney letter.	09-20-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication regarding Cox Communications and review of document.	09-20-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Review of requested information from Relativity.	09-20-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with AMP regarding email from AT attorney.	09-20-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication from JPM and review of subpoena DT.	09-20-2023	0.100	340.00	34.00	Legal - Case Administration

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Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Meeting with GBW, AMP, and counsel re: claims audit updates, questions on specific claimants, hearing preparation.	09-20-2023	0.800	255.00	204.00	Legal - Case Administration
Josh McGraw	Updating assets and claim information to discuss with counsel.	09-20-2023	0.500	255.00	127.50	Legal - Case Administration
Josh McGraw	Meeting with AMP reviewing counsel recommendations for agents and insiders, claim objections.	09-20-2023	0.400	255.00	102.00	Legal - Case Administration
Josh McGraw	Email to counsel re: DH response.	09-20-2023	0.100	255.00	25.50	Legal - Case Administration
Anna Priebe	Reaching out to claimants that need to give additional information for claim via email and phone. Reviewing information provided and auditing claims.	09-20-2023	3.000	215.00	645.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims status, audit progress, estimated loss versus claimed loss, and tardy claims.	09-20-2023	0.900	215.00	193.50	Legal - Claims Administration and Objections
Anna Priebe	Finalizing claims update and email to GBW.	09-20-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with PCC re: claim question.	09-20-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email to claimant re: follow up from phone call.	09-20-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Email uploaded tardy claim to KCC.	09-20-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Finalizing claims update from AMP.	09-20-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with AMP and document analysis for creditor breakdowns.	09-20-2023	0.200	340.00	68.00	Legal - Claims Administration and Objections
Grace Radke	Call and email with claimant re tardy claim.	09-20-2023	0.300	225.00	67.50	Legal - Claims Administration and Objections
Grace Radke	Discussion with JPM re: steps for filing a tardy claim.	09-20-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing 24 claims.	09-20-2023	1.200	255.00	306.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims status, audit progress, estimated loss versus claimed loss, and tardy claims.	09-20-2023	0.900	255.00	229.50	Legal - Claims Administration and Objections
Josh McGraw	Discussion with PCC regarding the next steps to proceed with a creditor providing no documents showing the ownership of the amount they claim.	09-20-2023	0.700	255.00	178.50	Legal - Claims Administration and Objections
Josh McGraw	Discussion with JGR re: steps for filing a tardy claim.	09-20-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Phil Chen	Audited 3 claims (0.7). Called 4 investors and left voice messages (0.4).	09-20-2023	1.100	215.00	236.50	Legal - Claims Administration and Objections
Phil Chen	Discussion with JPM regarding the next steps to proceed with a creditor providing no documents showing the ownership of the amount they claim.	09-20-2023	0.700	215.00	150.50	Legal - Claims Administration and Objections
Phil Chen	Communication with AMP re: claim question.	09-20-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Review schedules for largest promoters from JPM.	09-21-2023	0.200	340.00	68.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating EG schedule.	09-21-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to KH and KA re: EG and AT schedules.	09-21-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM and review of documents regarding Searchlight.	09-21-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Review prelim report for Searchlight and confirming no outstanding title issues.	09-21-2023	0.800	255.00	204.00	Legal - Asset Disposition
Josh McGraw	Creating stipulation to sell Searchlight property and email to KH for approval.	09-21-2023	0.500	255.00	127.50	Legal - Asset Disposition
Geoff Winkler	Email communication with JPM and review of schedules for EG and AT.	09-21-2023	0.200	340.00	68.00	Legal - Case Administration
Anna Priebe	1st round audit of claims, confirming duplicate claims and reaching out to confirmed claimants.	09-21-2023	2.700	215.00	580.50	Legal - Claims Administration and Objections
Anna Priebe	Review of additional documents provided by claimants for first round audit of claims.	09-21-2023	1.800	215.00	387.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with JPM re: two claimants claiming one transaction, plan for recovery of agent funds, and research into potential bundled transaction.	09-21-2023	0.900	215.00	193.50	Legal - Claims Administration and Objections
Anna Priebe	Review of claims made by net winners, agents, or insiders and email to JPM re: same.	09-21-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Call with GBW to review creditor appeal and two investor claims.	09-21-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM re: claims for cash transactions.	09-21-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Auditing transaction claimed by multiple parties.	09-21-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JGR re: claimant calling to provide information.	09-21-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with PCC re: claimant MIMO correction.	09-21-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with team re: bundled claim process.	09-21-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Geoff Winkler	Call with AMP to review creditor appeal and two investor claims.	09-21-2023	0.400	340.00	136.00	Legal - Claims Administration and Objections
Grace Radke	Calls with 2 claimants re: missing info on claim and claim process.	09-21-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Josh McGraw	Meeting with AMP re: two claimants claiming one transaction, plan for recovery of agent funds, and research into potential bundled transaction.	09-21-2023	0.900	255.00	229.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 24 claimants.	09-21-2023	0.600	255.00	153.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing claimant documentation to understand transfer of money between parties.	09-21-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with AMP re: claims for cash transactions.	09-21-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Phil Chen	Audited 3 claims (1.1) and called/emailed 4 claimants (0.4).	09-21-2023	1.500	215.00	322.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing SOS entity search to determine managing members for claimant co-filer.	09-22-2023	0.200	255.00	51.00	Financial - Data Analysis

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Josh McGraw	Relativity access email regarding database and trying to access to review agent communication.	09-22-2023	0.200	255.00	51.00	Financial - Data Analysis
Anna Priebe	Receipt and review of returned recovery letter and email to JPM re: same.	09-22-2023	0.200	215.00	43.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with JPM re: update from call with BF on agent payments.	09-22-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with BF re: EG claim and agent commission.	09-22-2023	0.200	255.00	51.00	Legal - Asset Analysis and Recovery
Josh McGraw	Review of returned recovery letter and email from AMP re: same.	09-22-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with GBW re: update from call with BF on agent payments.	09-22-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to KD re: stipulation to sell Searchlight property.	09-22-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Review of pleadings and added to investor website, edited site for clarity.	09-22-2023	0.300	215.00	64.50	Legal - Case Administration
Anna Priebe	Draft confirmation of paper claim, edit with feedback from JPM, prepare for claimant.	09-22-2023	0.300	215.00	64.50	Legal - Case Administration
Geoff Winkler	Email communication with KH and review of documents related to Searchlight Stipulation.	09-22-2023	0.200	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email communication with KH and KW regarding stipulation to facilitate the sale of Searchlight properties.	09-22-2023	0.100	340.00	34.00	Legal - Case Administration
Anna Priebe	Review of additional information provided by claimants to tie out 1st round auditing.	09-22-2023	1.200	215.00	258.00	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM discussing accounting updates based on new information provided by claimants.	09-22-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Research cash transaction information provided by claimant and drafting response.	09-22-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Discussion with JPM re: co-filer information and verification.	09-22-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM reviewing potential agent in connection with cash transaction.	09-22-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Grace Radke	Called 2 claimants re missing info and left messages.	09-22-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re missing info.	09-22-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with EG/BF re group of claimants.	09-22-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant re claim amount.	09-22-2023	0.100	225.00	22.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing and processing second round of audit for 33 claimants. Reviewing alias names to determine claim adjustments to discuss with claimant.	09-22-2023	3.000	255.00	765.00	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: the discussion of accounting changes based on documentation provided by claimants.	09-22-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing incorporated documents and bank statements prior to the call with BF. Reviewing accounting transactions.	09-22-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Josh McGraw	Discussion with AMP re: co-filer information and verification.	09-22-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Phil Chen	Audited 1 claim (0.2) and sent an email (0.1)	09-22-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Phil Chen	Review supporting documents and investigate the identity of claimants.	09-23-2023	1.000	215.00	215.00	Legal - Claims Administration and Objections
Anna Priebe	Meeting with PCC and JPM regarding the process in third-party recovery (0.2) and review of documents pertaining to agents (0.3).	09-25-2023	0.500	215.00	107.50	Legal - Asset Analysis and Recovery
Josh McGraw	Meeting with PCC and AMP regarding the process in third-party recovery (0.2) and review of documents pertaining to agents (0.3).	09-25-2023	0.500	255.00	127.50	Legal - Asset Analysis and Recovery
Phil Chen	Meeting with JPM and AMP regarding the process in third-party recovery (0.2) and review of documents pertaining to agents (0.3).	09-25-2023	0.500	215.00	107.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM and KH regarding approval from FATCO on drafted stipulation.	09-25-2023	0.100	340.00	34.00	Legal - Asset Disposition
Josh McGraw	Email to KD re: draft stipulation for Searchlight parcels.	09-25-2023	0.100	255.00	25.50	Legal - Asset Disposition
Anna Priebe	Meeting with JBH, PCC, JGR, JPM, ORR, JMA re: claims audit progress and updates on recovery.	09-25-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Email communication with KH regarding coordinating a meeting with JS to discuss DH matter.	09-25-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Case discussion of ongoing work with MBB, JBH, JPM, PCC, AMP, ORR, and JEF.	09-25-2023	0.100	340.00	34.00	Legal - Case Administration
Grace Radke	Case discussion of ongoing work with MBB, JBH, JPM, PCC, AMP, ORR, and JEF.	09-25-2023	0.100	225.00	22.50	Legal - Case Administration
John Hall	Team meeting with PCC, MBB, AMP, JEF, JPM, JMA, ORR, JGR to discuss ongoing case needs.	09-25-2023	0.100	310.00	31.00	Legal - Case Administration
Josh McGraw	Meeting with JBH, PCC, JGR, JPM, ORR, JMA re: claims audit progress and updates on recovery.	09-25-2023	0.100	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with PCC, JBH, AMP, JEF, JPM, JMA, ORR, JGR to discuss ongoing case needs.	09-25-2023	0.100	255.00	25.50	Legal - Case Administration
Phil Chen	Meeting with JBH, MBB, JPM, AMP, JEF, JGR, ORR, and JMA regarding claim distribution and next steps.	09-25-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Meeting with PCC re: claimant responses to additional information and objection process.	09-25-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Josh McGraw	Discussion with PCC regarding 3 claims filed by international claimants and 1 claimant who does not provide supporting documents.	09-25-2023	0.500	255.00	127.50	Legal - Claims Administration and Objections
Phil Chen	Discussion with JPM regarding 3 claims filed by international claimants and 1 claimant who does not provide supporting documents.	09-25-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Phil Chen	Meeting with AMP re: claimant responses to additional information and objection process.	09-25-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Continue to draft upcoming status report.	09-26-2023	0.300	215.00	64.50	Legal - Case Administration

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Anna Priebe	Communication with JGR re: investor call with questions.	09-26-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	Follow up email to investor re: phone call and confirmation of message.	09-26-2023	0.100	215.00	21.50	Legal - Case Administration
Anna Priebe	First round claims audit for claims pending claimant action.	09-26-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Anna Priebe	Call with JPM re: review of agent claimants.	09-26-2023	0.400	215.00	86.00	Legal - Claims Administration and Objections
Anna Priebe	Call with claimant re: claim amount adjustment and distribution questions.	09-26-2023	0.300	215.00	64.50	Legal - Claims Administration and Objections
Anna Priebe	Communication with JPM and PCC re: agent claims.	09-26-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Call with claimant DM re claim and the money he invested with BF.	09-26-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Josh McGraw	Communication with PCC and AMP re: agent claims.	09-26-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed about 7 claims, left 3 voice messages, and sent 3 emails to claimants.	09-26-2023	1.800	215.00	387.00	Legal - Claims Administration and Objections
Phil Chen	Communication with JPM and AMP re: agent claims.	09-26-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Josh McGraw	Reviewing DH documents prior to sending to GBW.	09-27-2023	0.100	255.00	25.50	Financial - Data Analysis
Geoff Winkler	Discussion with KH re malpractice claims.	09-27-2023	0.800	340.00	272.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Email from JPM re: DH requested information.	09-27-2023	0.100	340.00	34.00	Legal - Asset Analysis and Recovery
Josh McGraw	Returning call to AT re: payments received and claim.	09-27-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with GBW re: DH documents.	09-27-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email to GBW re: DH requested information.	09-27-2023	0.100	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email communication with JPM and review of document sent regarding policy number.	09-27-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with KH and JS regarding Special Litigation and Motion for Order in Aid of Receivership.	09-27-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with KH regarding preparing exhibits for analysis for DH matter.	09-27-2023	0.100	340.00	34.00	Legal - Case Administration
Josh McGraw	Discussing property taxes and claims communication with PCC.	09-27-2023	0.300	255.00	76.50	Legal - Case Administration and Recovery
Josh McGraw	Call with AMP re: claimant communication.	09-27-2023	0.200	255.00	51.00	Legal - Case Administration
Josh McGraw	Discussion with PCC regarding upcoming tasks in claim distribution and third-party recovery.	09-27-2023	0.200	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing tax deadlines for Searchlight parcels.	09-27-2023	0.100	255.00	25.50	Legal - Case Administration
Phil Chen	Discussing property taxes and claims communication with JPM.	09-27-2023	0.300	215.00	64.50	Legal - Case Administration
Phil Chen	Discussion with JPM regarding upcoming tasks in claim distribution and third-party recovery.	09-27-2023	0.200	215.00	43.00	Legal - Case Administration
Phil Chen	Reviewed real property tax inquiry detail of assets and update payment status.	09-27-2023	0.200	215.00	43.00	Legal - Case Administration
Anna Priebe	Call with JPM re: claimant communication.	09-27-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Josh McGraw	Call with AMP re: claimant voice mails.	09-27-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Geoff Winkler	Email communication with JBH and JK regarding files and recordings.	09-28-2023	0.100	340.00	34.00	Financial - Litigation Consulting
Anna Priebe	Meeting with JPM re: asset updates and items to include in the upcoming report.	09-28-2023	0.400	215.00	86.00	Financial - Status Reports
Josh McGraw	Meeting with AMP re: asset updates and items to include in upcoming report.	09-28-2023	0.400	255.00	102.00	Financial - Status Reports
Josh McGraw	Calling agents to discuss repayment plans.	09-28-2023	0.600	255.00	153.00	Legal - Asset Analysis and Recovery
Josh McGraw	Creating promissory notes for payment plans and emailing to GBW for approval.	09-28-2023	0.400	255.00	102.00	Legal - Asset Analysis and Recovery
Anna Priebe	Communication with PCC re: outstanding voicemails from investors.	09-28-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Email communication with JS and review of fee agreement.	09-28-2023	0.200	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email communication with KH regarding fees and motion for DH matter.	09-28-2023	0.200	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email communication with RP and KH regarding discovery requests.	09-28-2023	0.100	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication with JPM and review of promissory note for JM.	09-28-2023	0.100	340.00	34.00	Legal - Case Administration
Anna Priebe	Reach out to claimants for additional information and first round audits.	09-28-2023	1.600	215.00	344.00	Legal - Claims Administration and Objections
Anna Priebe	Communication with PCC, JGR, and JPM re: status of claimant with multiple claims.	09-28-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Anna Priebe	Receipt and review of claim notice returned to sender.	09-28-2023	0.100	215.00	21.50	Legal - Claims Administration and Objections
Grace Radke	Emailed claimant re combining multiple claims and updated email address.	09-28-2023	0.200	225.00	45.00	Legal - Claims Administration and Objections
Josh McGraw	Reviewing documentation provided by claimants and processing second round of audit for 10 claimants. Cross referencing accounting file to determine if missing documents from claimants.	09-28-2023	1.700	255.00	433.50	Legal - Claims Administration and Objections
Josh McGraw	Communication with PCC, JGR, and AMP re: status of claimant with multiple claims.	09-28-2023	0.200	255.00	51.00	Legal - Claims Administration and Objections
Josh McGraw	Email to claimant requesting additional documents.	09-28-2023	0.100	255.00	25.50	Legal - Claims Administration and Objections
Phil Chen	Reviewed 2 claims and supporting documents.	09-28-2023	0.500	215.00	107.50	Legal - Claims Administration and Objections
Phil Chen	Communication with JPM, JGR, and AMP re: status of claimant with multiple claims.	09-28-2023	0.200	215.00	43.00	Legal - Claims Administration and Objections
Josh McGraw	Creating unsecured promissory note for JM.	09-29-2023	0.300	255.00	76.50	Legal - Asset Analysis and Recovery
Josh McGraw	Reviewing executed documents and compiling completed purchase and sale agreement.	09-29-2023	0.200	255.00	51.00	Legal - Asset Disposition
Josh McGraw	Email to TW re: purchase and sale agreement for Searchlight.	09-29-2023	0.100	255.00	25.50	Legal - Asset Disposition

SEC v. Profit Connect Wealth Services, Inc. et al.
 Summary of Fees of Receiver and Retained Personnel
 July 1, 2023 - September 30, 2023
 (Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Anna Priebe	Email to counsel re: litigation section of status report.	09-29-2023	0.100	215.00	21.50	Legal - Case Administration
Geoff Winkler	Email communication with JS and document review for malpractice matter.	09-29-2023	0.200	340.00	68.00	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by claimants, reaching out to first round auditor for clarification and processing second round of review for 13 claimants.	09-29-2023	2.400	255.00	612.00	Legal - Claims Administration and Objections
Josh McGraw	Updating claims audit spreadsheet with missing claims information and noting changes to be made in the accounting file.	09-29-2023	0.400	255.00	102.00	Legal - Claims Administration and Objections
Totals			528.7		127,013.50	

SEC v. Profit Connect Wealth Services, Inc. et al.
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Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Summary by Activity Category and Personnel						
Financial - Accounting/Auditing	Personnel	Firm	Hours	Rate	Amount	
Financial - Accounting/Auditing	Jessica Abrego	AFS	0.0	225.00 \$	-	
Financial - Accounting/Auditing	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Financial - Accounting/Auditing	Phil Chen	AFS	0.0	215.00 \$	-	
Financial - Accounting/Auditing	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Financial - Accounting/Auditing	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Financial - Accounting/Auditing	John Hall	AFS	0.0	310.00 \$	-	
Financial - Accounting/Auditing	Josh McGraw	AFS	0.2	255.00 \$	51.00	
Financial - Accounting/Auditing	Anna Priebe	AFS	0.0	215.00 \$	-	
Financial - Accounting/Auditing	Grace Radke	AFS	0.0	225.00 \$	-	
Financial - Accounting/Auditing	Geoff Winkler	AFS	4.1	340.00 \$	1,394.00	
			<u>4.3</u>	<u>\$</u>	<u>1,445.00</u>	
Financial - Data Analysis	Personnel	Firm	Hours	Rate	Amount	
Financial - Data Analysis	Jessica Abrego	AFS	0.0	225.00 \$	-	
Financial - Data Analysis	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Financial - Data Analysis	Phil Chen	AFS	0.0	215.00 \$	-	
Financial - Data Analysis	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Financial - Data Analysis	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Financial - Data Analysis	John Hall	AFS	0.0	310.00 \$	-	
Financial - Data Analysis	Josh McGraw	AFS	4.4	255.00 \$	1,122.00	
Financial - Data Analysis	Anna Priebe	AFS	0.0	215.00 \$	-	
Financial - Data Analysis	Grace Radke	AFS	0.0	225.00 \$	-	
Financial - Data Analysis	Geoff Winkler	AFS	0.0	340.00 \$	-	
			<u>4.4</u>	<u>\$</u>	<u>1,122.00</u>	
Financial - Forensic Accounting	Personnel	Firm	Hours	Rate	Amount	
Financial - Forensic Accounting	Jessica Abrego	AFS	0.0	225.00 \$	-	
Financial - Forensic Accounting	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Financial - Forensic Accounting	Phil Chen	AFS	0.0	215.00 \$	-	
Financial - Forensic Accounting	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Financial - Forensic Accounting	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Financial - Forensic Accounting	John Hall	AFS	0.0	310.00 \$	-	
Financial - Forensic Accounting	Josh McGraw	AFS	0.0	255.00 \$	-	
Financial - Forensic Accounting	Anna Priebe	AFS	0.0	215.00 \$	-	
Financial - Forensic Accounting	Grace Radke	AFS	0.0	225.00 \$	-	
Financial - Forensic Accounting	Geoff Winkler	AFS	0.2	340.00 \$	68.00	
			<u>0.2</u>	<u>\$</u>	<u>68.00</u>	

SEC v. Profit Connect Wealth Services, Inc. et al.
 Summary of Fees of Receiver and Retained Personnel
 July 1, 2023 - September 30, 2023
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Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Financial - Litigation Consulting	Personnel	Firm	Hours	Rate	Amount	
Financial - Litigation Consulting	Jessica Abrego	AFS	0.0	225.00 \$	-	
Financial - Litigation Consulting	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Financial - Litigation Consulting	Phil Chen	AFS	0.0	215.00 \$	-	
Financial - Litigation Consulting	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Financial - Litigation Consulting	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Financial - Litigation Consulting	John Hall	AFS	0.0	310.00 \$	-	
Financial - Litigation Consulting	Josh McGraw	AFS	0.0	255.00 \$	-	
Financial - Litigation Consulting	Anna Priebe	AFS	0.0	215.00 \$	-	
Financial - Litigation Consulting	Grace Radke	AFS	0.0	225.00 \$	-	
Financial - Litigation Consulting	Geoff Winkler	AFS	1.6	340.00 \$	544.00	
			<u>1.6</u>	<u>\$</u>	<u>544.00</u>	
Financial - Status Reports	Personnel	Firm	Hours	Rate	Amount	
Financial - Status Reports	Jessica Abrego	AFS	0.0	225.00 \$	-	
Financial - Status Reports	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Financial - Status Reports	Phil Chen	AFS	0.0	215.00 \$	-	
Financial - Status Reports	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Financial - Status Reports	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Financial - Status Reports	John Hall	AFS	0.0	310.00 \$	-	
Financial - Status Reports	Josh McGraw	AFS	2.8	255.00 \$	714.00	
Financial - Status Reports	Anna Priebe	AFS	0.4	215.00 \$	86.00	
Financial - Status Reports	Grace Radke	AFS	0.0	225.00 \$	-	
Financial - Status Reports	Geoff Winkler	AFS	0.3	340.00 \$	102.00	
			<u>3.5</u>	<u>\$</u>	<u>902.00</u>	
Legal - Asset Analysis and Recovery	Personnel	Firm	Hours	Rate	Amount	
Legal - Asset Analysis and Recovery	Jessica Abrego	AFS	0.0	225.00 \$	-	
Legal - Asset Analysis and Recovery	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Legal - Asset Analysis and Recovery	Phil Chen	AFS	0.5	215.00 \$	107.50	
Legal - Asset Analysis and Recovery	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Legal - Asset Analysis and Recovery	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Legal - Asset Analysis and Recovery	John Hall	AFS	1.9	310.00 \$	589.00	
Legal - Asset Analysis and Recovery	Josh McGraw	AFS	44.0	255.00 \$	11,220.00	
Legal - Asset Analysis and Recovery	Anna Priebe	AFS	4.9	215.00 \$	1,053.50	
Legal - Asset Analysis and Recovery	Grace Radke	AFS	5.3	225.00 \$	1,192.50	
Legal - Asset Analysis and Recovery	Geoff Winkler	AFS	8.2	340.00 \$	2,788.00	
			<u>64.8</u>	<u>\$</u>	<u>16,950.50</u>	
Legal - Asset Disposition	Personnel	Firm	Hours	Rate	Amount	
Legal - Asset Disposition	Jessica Abrego	AFS	0.0	225.00 \$	-	
Legal - Asset Disposition	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Legal - Asset Disposition	Phil Chen	AFS	0.0	215.00 \$	-	
Legal - Asset Disposition	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Legal - Asset Disposition	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Legal - Asset Disposition	John Hall	AFS	0.0	310.00 \$	-	
Legal - Asset Disposition	Josh McGraw	AFS	8.9	255.00 \$	2,269.50	
Legal - Asset Disposition	Anna Priebe	AFS	0.0	215.00 \$	-	
Legal - Asset Disposition	Grace Radke	AFS	0.0	225.00 \$	-	
Legal - Asset Disposition	Geoff Winkler	AFS	1.7	340.00 \$	578.00	
			<u>10.6</u>	<u>\$</u>	<u>2,847.50</u>	

SEC v. Profit Connect Wealth Services, Inc. et al.
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Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Legal - Business Operations	Personnel	Firm	Hours	Rate	Amount	
Legal - Business Operations	Jessica Abrego	AFS	0.0	225.00 \$	-	
Legal - Business Operations	Milana Barkhanoy	AFS	0.0	255.00 \$	-	
Legal - Business Operations	Phil Chen	AFS	0.0	215.00 \$	-	
Legal - Business Operations	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Legal - Business Operations	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Legal - Business Operations	John Hall	AFS	0.0	310.00 \$	-	
Legal - Business Operations	Josh McGraw	AFS	0.0	255.00 \$	-	
Legal - Business Operations	Anna Priebe	AFS	0.0	215.00 \$	-	
Legal - Business Operations	Grace Radke	AFS	0.2	225.00 \$	45.00	
Legal - Business Operations	Geoff Winkler	AFS	0.0	340.00 \$	-	
			<u>0.2</u>	<u>\$</u>	<u>45.00</u>	
Legal - Case Administration	Personnel	Firm	Hours	Rate	Amount	
Legal - Case Administration	Jessica Abrego	AFS	0.0	225.00 \$	-	
Legal - Case Administration	Milana Barkhanoy	AFS	1.0	255.00 \$	255.00	
Legal - Case Administration	Phil Chen	AFS	0.9	215.00 \$	193.50	
Legal - Case Administration	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Legal - Case Administration	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Legal - Case Administration	John Hall	AFS	3.6	310.00 \$	1,116.00	
Legal - Case Administration	Josh McGraw	AFS	15.3	255.00 \$	3,901.50	
Legal - Case Administration	Anna Priebe	AFS	33.8	215.00 \$	7,267.00	
Legal - Case Administration	Grace Radke	AFS	8.0	225.00 \$	1,800.00	
Legal - Case Administration	Geoff Winkler	AFS	15.0	340.00 \$	5,100.00	
			<u>77.6</u>	<u>\$</u>	<u>19,633.00</u>	
Legal - Claims Administration and Objections	Personnel	Firm	Hours	Rate	Amount	
Legal - Claims Administration and Objections	Jessica Abrego	AFS	0.0	225.00 \$	-	
Legal - Claims Administration and Objections	Milana Barkhanoy	AFS	0.5	255.00 \$	127.50	
Legal - Claims Administration and Objections	Phil Chen	AFS	31.8	215.00 \$	6,837.00	
Legal - Claims Administration and Objections	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
Legal - Claims Administration and Objections	Jennifer Floyd	AFS	0.0	255.00 \$	-	
Legal - Claims Administration and Objections	John Hall	AFS	5.8	310.00 \$	1,798.00	
Legal - Claims Administration and Objections	Josh McGraw	AFS	84.1	255.00 \$	21,445.50	
Legal - Claims Administration and Objections	Anna Priebe	AFS	179.0	215.00 \$	38,485.00	
Legal - Claims Administration and Objections	Grace Radke	AFS	49.9	225.00 \$	11,227.50	
Legal - Claims Administration and Objections	Geoff Winkler	AFS	10.4	340.00 \$	3,536.00	
			<u>361.5</u>	<u>\$</u>	<u>83,456.50</u>	

SEC v. Profit Connect Wealth Services, Inc. et al.
 Summary of Fees of Receiver and Retained Personnel
 July 1, 2023 - September 30, 2023
 (Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Overall Summary						
Totals	Personnel	Firm	Hours	Rate	Amount	
	Jessica Abrego	AFS	0.0	225.00 \$	-	
	Milana Barkhanoy	AFS	1.5	255.00 \$	382.50	
	Phil Chen	AFS	33.2	215.00 \$	7,138.00	
	Renee Dieffenderfer	AFS	0.0	255.00 \$	-	
	Jennifer Floyd	AFS	0.0	255.00 \$	-	
	John Hall	AFS	11.3	310.00 \$	3,503.00	
	Josh McGraw	AFS	159.7	255.00 \$	40,723.50	
	Anna Priebe	AFS	218.1	215.00 \$	46,891.50	
	Grace Radke	AFS	63.4	225.00 \$	14,265.00	
	Geoff Winkler	AFS	41.5	340.00 \$	14,110.00	
			528.7		\$ 127,013.50	
	TOTAL FEES		528.7		\$ 127,013.50	

SEC v. Profit Connect Wealth Services, Inc. et al.
 Summary of Expenses of Receiver and Retained Personnel
 July 1, 2023 - September 30, 2023

Attachment 2

Incurring By	Payee	Item / Description	Date Incurred	Amount	Category
John Hall	Extra Space Storage	Storage rent prorations Extra Space Storage	07/01/23	73.50	Document and Asset Storage
Grace Radke	USPS	Registered mail (5/23-5/29)	07/01/23	386.74	Courier/Shipping/Freight
Geoff Winkler	NORD VPN	NORD VPN Software	07/14/23	99.48	Miscellaneous
John Hall	Extra Space Storage	Storage rent prorations Extra Space Storage	08/01/23	81.25	Document and Asset Storage
Geoff Winkler	GoDaddy	Website renewal (annual)	08/10/23	143.76	Internet/Online Fees
Geoff Winkler	GoDaddy	Website domain renewal (annual)	08/11/23	44.34	Courier/Shipping/Freight
Geoff Winkler	GoDaddy	Website tools (annual)	08/14/23	263.88	Printing/Photocopying/Stationery
John Hall	Extra Space Storage	Storage rent prorations Extra Space Storage	09/01/23	81.25	Document and Asset Storage
Geoff Winkler	GoDaddy	Website security renewal (annual)	09/15/23	22.17	Courier/Shipping/Freight
Total				<u>1,196.37</u>	

	<i>Geoff Winkler</i>	<i>John Hall</i>	<i>Grace Radke</i>		<i>Total</i>
Airfare	-	-	-	-	-
Courier/Shipping/Freight	66.51	-	386.74	-	453.25
Document and Asset Storage	-	236.00	-	-	236.00
Hotel	-	-	-	-	-
Individual Meals	-	-	-	-	-
Internet/Online Fees	143.76	-	-	-	143.76
Miscellaneous	99.48	-	-	-	99.48
Office Supplies	-	-	-	-	-
Personal Car Mileage	-	-	-	-	-
Printing/Photocopying/Stationery	263.88	-	-	-	263.88
Total	573.63	236.00	386.74	-	1,196.37

SEC v. Profit Connect Wealth Services, Inc. et al.
Receivership Estate Balance Sheet
July 1, 2023 - September 30, 2023

Attachment 3

Assets:		Liabilities:	
Cash	\$ 8,956,569	State/Federal Taxes ⁴	\$ -
Loan Receivable	\$ -	Property Taxes ⁴	\$ -
Marketable Securities	\$ -	Admin Holdback ¹	\$ 387,123
Real Property ¹	\$ 339,600	Total Liabilities	\$ 387,123
Personal Property ¹	\$ -		
Private Equity Investments ¹	\$ -	Claims:1	
Existing Litigation ^{2,3}	\$ -	Investors ⁵	\$ 21,524,320
Third Party Litigation ^{2,3}	\$ 3,706,964	Creditors ⁵	\$ 49
Professional Liability Litigation ^{2,3}	\$ 5,000,000	Total Claims	\$ 21,524,369
Total Assets	\$ 18,003,133	Total Liabilities plus Claims	\$ 21,911,492

1. Estimated value that is subject to further revision.

2. Probability of successful recovery unknown.

3. Value based on discounted present value and is under evaluation.

4. There is no known tax liability, but the Receiver is reviewing.

5. The total amount of investor and creditor claims is still under review. Amount based on current allowed amount and w

**SEC v. Profit Connect Wealth Services, Inc. et al.
Receiver's Cash Receipts and Disbursements
July 1, 2023 - September 30, 2023**

Attachment 4

Beginning Balance of Cash in Receivership Estate on April 1, 2023	\$ 8,994,214.07
Deposits:	\$ 99,354.54
Payments:	\$ (136,999.56)
Ending Balance of Cash in Receivership Estate on June 30, 2023	<u><u>\$ 8,956,569.05</u></u>