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10 *Attorneys and Proposed Attorneys for Receiver*  
11 *Geoff Winkler of American Fiduciary Services*

12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH SERVICES,  
18 INC., JOY I. KOVAR, and BRENT CARSON  
19 KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**APPLICATION FOR ALLOWANCE AND  
PAYMENT OF FEES AND COSTS OF  
THE RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM APRIL 1, 2022, THROUGH JUNE  
30, 2022**

**[HEARING REQUESTED]<sup>1</sup>**

23 Geoff Winkler of American Fiduciary Services, LLC, the permanent receiver (the  
24 "Receiver") over Profit Connect Wealth Services, Inc., and any subsidiaries and affiliates  
25 (together, "Profit Connect") pursuant to an order entered on August 6, 2021 (the "Receiver Order"),  
26 submits this application for allowance and payment of his fees and costs and the fees and costs of

27 \_\_\_\_\_  
28 <sup>1</sup> The Receiver requests that the Court schedule a hearing on this application at the same date and  
time as the hearing on the Receiver's status report, which was filed on August 1, 2022 as Document  
No. 106.

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1 the professionals he employed to assist him in fulfilling his duties under the Receiver Order. The  
2 period of time covered by this Application is from April 1, 2022, through June 30, 2022 (the  
3 "Application Period"). The Receiver is informed that the Securities & Exchange Commission  
4 ("SEC") has no objection to the relief sought in this Application.

5 **MEMORANDUM OF POINTS AND AUTHORITIES**

6 Because the Receiver is not a licensed attorney, does not have in-house counsel, and is not  
7 a computer expert, he employed professionals to assist him in fulfilling his duties as the Receiver.  
8 Specifically, pursuant to Section X.G. of the Receiver Order, he retained Smiley Wang-Ekvall,  
9 LLP, and Ballard Spahr, LLP, as counsel and Stroz Friedberg as his computer forensic experts.  
10 The Court approved their employment by order entered on September 21, 2021. Maria Gall of  
11 Ballard Spahr has recently resigned from the firm after her appointment to the bench, so the  
12 Receiver has filed an application to retain Greenberg Traurig as Nevada counsel.

13 Pursuant to this Application, the Receiver and his professionals seek approval of the following  
14 fees and costs:

- 15 (1) \$214,884.50 in fees and \$1,975.33 in costs for the Receiver;
- 16 (2) \$48,484.42 in fees and \$892.97 in costs for Ballard Spahr, LLP;
- 17 (3) \$7,175.80 in fees and \$288.41 in costs for Smiley Wang-Ekvall, LLP;
- 18 (4) \$1,294.00 in fees and \$5.80 in costs for Baker Tilly, LLP; and
- 19 (5) \$2,793.75 in fees and \$26,869.50 in costs for Stroz Friedberg.

20 The Receiver seeks authority to pay 80% of the fees on an interim basis and 100% of the costs.

21 This Application is based on the below written argument, the declarations of Geoff  
22 Winkler, Maria A. Gall, Esq., Kyra E. Andrassy, Esq., Sergio Kopelev, and Stacy Chiang, all  
23 papers on file, and any argument the Court may call and consider.

24  
25 **I. RELEVANT BACKGROUND AND PROCEDURAL HISTORY**

26 The SEC initiated this action against Profit Connect, Joy Kovar, and Brent Kovar on July  
27 8, 2021, by the sealed, ex parte filing of a complaint and motion for temporary restraining order  
28 seeking, among other things, the freezing of defendants' assets and the appointment of a receiver

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1 over Profit Connect. The Court granted the ex parte temporary restraining order, in part, by  
2 allowing the asset freeze to proceed but set the motion for a hearing in order to provide defendants  
3 an opportunity to be heard on the temporary receivership request.

4 On July 23, 2021, defendants stipulated to modify the temporary restraining order to  
5 appoint the Temporary Receiver. By order entered on August 6, 2021, the Court approved a  
6 stipulation of the parties appointing the Receiver as the permanent receiver.

7 During this Application Period, the Receiver's focus has been on the forensic accounting  
8 and on resolution of claims against two former Profit Connect employees. Both have been resolved  
9 and are expected to generate approximately \$865,000 for the receivership estate. The completion  
10 of the forensic accounting will enable the Receiver to identify additional claims that belong to the  
11 receivership estate and to take steps to pursue them in order to generate additional recoveries to be  
12 obtained by the estate. Counsel continue to coordinate their efforts to avoid duplication of services.

13 As of June 30, 2022, the Receiver is holding \$9,136,306.46, which represents a slight  
14 increase from the last application period that ended on March 31, 2022, when the Receiver was  
15 holding \$8,906,812.05. The amount that the Receiver is holding as of June 30, 2022, does not  
16 include the settlements with the two employees. As was set forth in the motion to approve the  
17 employment of the professionals and because receivership work is a form of public service, each  
18 of the professionals has agreed to discount their typical hourly rate by 15%, and this discount is  
19 reflected in the fees being sought in this Application.

20

21 **II. LEGAL AUTHORITY**

22 “The power of a district court to impose a receivership ... derives from the inherent power  
23 of a court of equity to fashion effective relief.”<sup>2</sup> “The primary purpose of equity receiverships is  
24 to promote orderly and efficient administration of the Receivership Estate by the district court for  
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<sup>2</sup> *SEC v. Wencke*, 622 F.2d 1363, 1369 (9th Cir. 1980).

1 the benefit of creditors.”<sup>3</sup> “[T]he practice in administering an estate by a receiver ... must accord  
2 with the historical practice in federal courts or with a local rule.”<sup>4</sup>

3 As the Ninth Circuit explained:

4 A district court’s power to supervise an equity receivership and to  
5 determine the appropriate action to be taken in the administration of  
6 the receivership is extremely broad. The district court has broad  
7 powers and wide discretion to determine the appropriate relief in an  
8 equity receivership. The basis for this broad deference to the district  
9 court’s supervisory role in equity receiverships arises out of the fact  
10 that most receiverships involve multiple parties and complex  
11 transactions.<sup>5</sup>

12 Decisions regarding the timing and amount of an award of fees and expenses to the  
13 Receiver and his or her professionals are committed to the sound discretion of the Court.<sup>6</sup> In  
14 determining the reasonableness of fees and expenses requested in this context, the Court should  
15 consider the time records presented, the quality of the work performed, the complexity of the  
16 problems faced, and the benefit of the services rendered to the Estate, along with the Commission’s  
17 position on the request, which is entitled to "great weight."<sup>7</sup>

### 18 III. THE FEES AND COSTS BEING REQUESTED

19 As set forth in prior applications, in evaluating the fees and costs of the Receiver and his  
20 professionals, the fact that Profit Connect had no books and records, including books of account,  
21 or any functioning accounting department must be taken into account. The absence of these  
22 records means that the Receiver and his team were forced to get the documents from third parties

23 <sup>3</sup> *SEC v. Hardy*, 803 F.2d 1034, 1038 (9th Cir. 1986.)

24 <sup>4</sup> Fed. R. Civ. P. 66.

25 <sup>5</sup> *SEC v. Capital Consultants, LLC*, 397 F.3d 733, 738 (9th Cir. 2005) (citations omitted); *see also*  
26 *CFTC v. Topworth Int’l, Ltd.*, 205 F.3d 1107, 1115 (9th Cir. 1999) (“This court affords ‘broad  
27 deference’ to the court’s supervisory role, and ‘we generally uphold reasonable procedures  
28 instituted by the district court that serve th[e] purpose of orderly and efficient administration of  
the receivership for the benefit of creditors.”).

<sup>6</sup> *See SEC v. Elliot*, 953 F.2d 1560, 1577 (11th Cir. 1992) (rev’d in part on other grounds, 998 F.2d  
922 (11th Cir. 1993)).

<sup>7</sup> *SEC v. Fifth Ave. Coach Lines, Inc.*, 364 F. Supp. 1220, 1222 (S.D.N.Y. 1973).

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1 that they need to determine what happened with funds put in by investors. This has required the  
2 issuance of subpoenas to third parties, including financial institutions, and often ensuing  
3 discussions with the subpoenaed parties about the scope or timing of the requested production. It  
4 also means that the Receiver has had to recreate accounting records by reconstructing the entity's  
5 financials using financial statements and offsetting financial records. This process is now nearly  
6 complete.

7 The Receiver has also retained counsel in Texas to pursue certain claims for the benefit of  
8 the receivership estate that relate to sales agents who solicited investors for Profit Connect.  
9 Counsel is completing its pre-litigation investigation and the Receiver expects to commence  
10 litigation in the next quarter.

11 The foregoing highlights some of the actions the Receiver and his legal professionals have  
12 been constrained to undertake early in this case and which drives their fees. That said, the  
13 Receiver's prompt action in reconstructing Profit Connect's financial records has assisted in his  
14 preservation and marshalling of Profit Connect property, including over \$4 million dollars in  
15 cryptocurrency and a recovery of approximately \$5 million for the personal property assets.

16 Below is a description of the services provided by each of the professionals during the  
17 Application Period. All of the fees and expenses incurred during the Application Period will  
18 benefit the receivership estate moving forward.

19 **a. The Receiver**

20 [Fees of \$214,884.50 and costs of \$1,975.33]

21 Pursuant to paragraph X of the Receiver Order, the Receiver was empowered and tasked  
22 with a broad range of authority, including the authority to take possession and control of all assets,  
23 to assume full control of Profit Connect, to have control of and be added as the sole authorized  
24 signatory for all accounts, to conduct an investigation and discovery necessary to locate and  
25 account for assets, to assess the viability and profitability of Profit Connect, to take action  
26 necessary to preserve and prevent the disposition, concealment, or dissipation of assets, to employ  
27 professionals, to make an accounting, to make payments and disbursements, to investigate and  
28

1 prosecute claims, to engage in litigation to preserve or recover assets or to carry out the Receiver's  
2 mandate, and to have access to all mail and electronic mail.

3 Pursuant to the Receiver Order, the Receiver and his team performed the following duties:

- 4 • Obtained net proceeds of \$511,730.26 for the sale of the real property located at 7043  
5 Calvert Cliffs;
- 6 • Attended mediation with Magistrate Judge Weksler to reach a settlement with William  
7 Roshak to return \$470,000 to the receivership estate.
- 8 • Continued forensic accounting work, including identification of at least 1 additional  
9 account for a total of at least 29 accounts and identification of 1,401 additional  
10 transactions for a total of at least 17,290 transactions;
- 11 • Subpoenaed records and performed forensic accounting to recreate missing accounting  
12 information and validate amounts owed to investors and others;
- 13 • Investigated additional assets and worked to determine if they were properly assets of the  
14 receivership estate;
- 15 • Retained counsel in Texas on a contingency fee basis to pursue an action against certain  
16 Agents of Profit Connect;
- 17 • Worked with tax accountants to prepare unfiled tax returns;
- 18 • Worked with tax accountants to prepare an informative tax memo; and
- 19 • Continued to review documents and interview parties to determine potential litigation  
20 against third parties.

21 The Receiver's fees for the Application Period are as follows:

<i>Name</i>	<i>Title</i>	<i>Hours</i>	<i>Rate/Hr.</i>	<i>Total</i>
Geoff Winkler	Receiver	81.3	\$340.00	27,642.00
John Hall	Accountant	158.90	\$310.00	49,259.00
Miliana Barkhancy	Associate	123.40	\$255.00	31,467.00
Josh McGraw	Associate	356.80	\$255.00	90,984.00
Amanda Deering	Associate	47.8	\$225.00	10,755.00

<i>Name</i>	<i>Title</i>	<i>Hours</i>	<i>Rate/Hr.</i>	<i>Total</i>
Sam Parker	Associate	2.5	\$165.00	412.50
Ysabel Willits	Analyst	29.1	\$150.00	4365.00
<b>Total</b>		<b>1033.90</b>		<b>214,884.50</b>

AFS has written off 91.7 hours of its time, which equates to \$25,070.00 in fees, during the Application Period.

Due to its broad range of experience and expertise, AFS performs almost all required work in-house, saving both time and money, including tasks involving corporate accounting, forensic accounting, case administration, claims administration, asset valuation, investor communication and internet technology. AFS' billing philosophy is to leverage work down to the staff member with the lowest bill rate that also has the skills and experience necessary to complete the task. This allows AFS to minimize the cost to complete all work associated with the case, ensuring a maximum return to stakeholders involved in the matter while also preserving the quality of our work product. AFS does not bill for travel time in regulatory cases.

AFS's rates include a 15 percent discount off its already discounted hourly rates for government matters and will not increase for the pendency of the case. Both the standard regulatory rate and the discounted regulatory rate reflect a significant discount off our standard consulting rates. In addition, as noted above, because of its billing sensitivity, AFS has elected to voluntarily write off 91.7 hours of time totaling \$25,070.00 in fees.

All billing standards meet or exceed the SEC's Billing Instructions for Receivers in Civil Actions Commenced by the U.S. Securities and Exchange Commission (SFAR) and the U.S. Trustee's Guidelines for Reviewing Applications for Compensation and Reimbursement of Expenses.

The Receiver anticipates a continued drop in the number of hours required to manage this case with the forensic accounting now nearly complete.

The Receiver's expenses for the Application Period are as follows:

<i>Category</i>	<i>Total Cost</i>
Courier/Shipping/ Freight	\$170.05
Hotel	\$793.66
Meals	\$414.00
Technology	\$86.20
Miscellaneous	\$470.02
Taxi	\$41.40
<b><i>Total</i></b>	<b><i>\$1,975.33</i></b>

AFS does not seek reimbursement for routine copying, facsimile, postage, or other expenses. Any expenses which AFS seeks to have reimbursed will be done so in accordance with the SEC and U.S. Trustee guidelines above. Costs directly attributable to the administration of the estate will be paid directly by the estate in accordance with the order of appointment.

The Receiver also anticipates a significant drop in the expenses required to manage this case due to the sale of the personal property, closure of the business, and the turn-over of the warehouse location to the landlord as less travel will be necessary.

The Standardized Fund Accounting Report, AFS's invoice, and its billing entries are included with the Declaration of Geoff Winkler attached as Exhibit 1.

**b. Ballard Spahr**

[Fees of \$48,484.42, and costs of \$892.97]

During the Application Period, attorneys at Ballard Spahr LLP performed services on multiple projects. Their work included, among other things: (1) issuing and enforcing subpoenas to various entities in an effort to track, trace, and recover Profit Connect assets; (2) advising the Receiver on his obligations in connection with preserving Profit Connect assets; (3) analyzing legal issues related to consummating the settlement with former Profit Connect employee Ramona Uriarte; (4) liaising with potential counsel re: claims against certain Profit Connect agents and affiliates; and (5) litigating against former Profit Connect employee William Roshak, et al. to



1 recover over \$500,000 in fraudulently transferred Profit Connect funds, including discovery,  
2 preparing for and attending mediation, and working on the written settlement agreement. Ballard  
3 Spahr and Smiley Wang-Ekval have coordinated their efforts to avoid any duplication of services.

4 During the Application Period, the Receiver's primary counsel from Ballard Spahr, Maria  
5 Gall, billed 53.7 hours at a standard rate of \$495.00/hour for a total of \$30,609.00. Where  
6 appropriate, she leveraged work to a third-year associate, Andrew Clark, who billed 56.2 hours at  
7 a standard rate of \$315.00/hour for a total of \$23,604.00. As necessary, she involved partner Brian  
8 Pinheiro for a total of .5 hours at an hourly rate of \$835.00/hour for a total of \$417.50. She also  
9 involved real estate counsel Diane Markert for a total of 5 hours at the rate of \$450.00/hour for a  
10 total of \$2,250.00. She utilized paralegal Adam Crawford who billed 0.9 hours at a standard rate  
11 of \$160.00/hour for a total of \$144.00. Ms. Gall noted that much of the work being performed  
12 was not only appropriate for a junior partner but also requires wide-ranging knowledge of the  
13 receivership and, for that reason, was more efficiently performed by her. Ballard Spahr has  
14 discounted all these standard fees by 15%, and seeks \$48,484.42 in discounted fees and \$1,975.33  
15 in costs for its professional services in Q2 2022.

16 Ballard Spahr's invoices are included with the Declaration of Maria Gall attached as Exhibit  
17 2.

18 **c. Smiley Wang-Ekval**

19 [Fees of \$7,175.80 and costs of \$288.41]

20 During the Application Period, Smiley Wang-Ekval performed a number of different  
21 services from Ballard Spahr that benefited the estate, including: (1) finalizing the sale of the  
22 Calvert Cliffs property, including preparing a stipulation authorizing the Receiver to execute the  
23 deed on behalf of Profit Connect Nevada C Corp, as requested by the title company; (2) meeting  
24 and conferring with counsel for Amazon regarding a subpoena for records; (3) analyzing potential  
25 clawback actions; (4) communicating with an insurance company regarding its request that the  
26 Receiver execute a subrogation agreement; (5) revising the settlement agreement with Mr. Roshak  
27 to avoid any negative impact that may be caused by a bankruptcy filing; and (6) communicating  
28

1 with outside counsel to Bank of America regarding Mr. Kovar's continued use of a credit card in  
2 Profit Connect's name.

3 The Receiver's primary counsel from Smiley Wang-Ekvall billed \$7,175.80 in fees. These  
4 fees reflect a 15% discount off of the standard hourly rates.

5 Smiley Wang-Ekvall's invoices are included with the Declaration of Kyra Andrassy  
6 attached as Exhibit 3.

7 **d. Stroz Friedberg**

8 [Fees of \$2,793.75 and costs of \$26,869.50]

9 As reflected in the attached invoice, and pursuant to the Receivership Order (ECF No. 26)  
10 and the Order Authorizing Employment of Computer Forensic Experts (ECF No. 46),  
11 professionals at Stroz Friedberg assisted the Receiver as follows during the Application Period:

12 (1) continuing to inventory digital devices used by Profit Connect principals and employees; (2)  
13 continuing to gain access to and export financial data for Profit Connect; (3) continuing to perform  
14 forensic collection of data from various digital devices; (4) continuing to export data from various  
15 digital devices; (5) continuing to process and host data exported from various digital devices; and  
16 (6) continuing to perform searches of hosted data. Stroz Friedberg has agreed to discount its  
17 standard billing rates by providing a blended rate of \$525.00 per hour for forensic professionals,  
18 which takes into account its current hourly rates ranging from \$110.00-\$275.00 per hour for  
19 administrative professionals; \$150 - \$360 for Specialists, Analysts, and Associates; \$275 - \$565  
20 for Consultants; \$385 - \$660 for Managers and Directors: \$385 - \$660; \$550 to \$935 for Vice  
21 Presidents and Managing Directors; and \$935.00-\$1,250.00 per hour for its c-suite executives.  
22 Electronic Discovery Project managers are billed at \$195 per hour and engineers are billed at \$400  
23 per hour, which are less than the forensic blended hourly rate. During this period (04/01/2022 to  
24 06/30/2022), staff from Stroz Friedberg (an Aon company) billed \$2,793.75 in Professional Fees,  
25 and \$26,869.50 for processing, hosting and user licenses expenses.

26 Stroz Friedberg's invoices are attached to the Declaration of Sergio Kopelev attached as  
27 Exhibit 4.

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**e. Baker Tilly**

[Fees of \$1,294.00]

During the Application Period, Baker Tilly responded to inquiries from the Receiver's team regarding tax treatment of certain transactions, reporting methods and options, and updates to the tax research summary to be posted on the receivership website about the potential tax ramifications of investing in Profit Connect. Baker Tilly billed a total of 3.40 hours in fees, for a total of \$1,294.00.

Baker Tilly's invoices are attached to the Declaration of Stacy Chiang attached as Exhibit 5.

**IV. CONCLUSION**

Based on the foregoing and pursuant to Section X.G. of the Receiver Order, the Receiver and his professionals request entry of an order:<sup>8</sup>

- (1) Allowing the Receiver \$214,884.50 in fees and \$1,975.33 in costs for the Application Period;
- (2) Allowing Ballard Spahr \$48,484.42 in fees and \$892.97 in costs for the Application Period;
- (3) Allowing Smiley Wang-Ekvall, LLP \$7,175.80 in fees and costs of \$288.41 for the Application Period;
- (4) Allowing Stroz Friedberg \$2,793.75 in fees and \$26,869.50 in costs for the Application Period;
- (5) Allowing Baker Tilly \$1,294.00 in fees for the Application Period;
- (6) Authorizing the Receiver to pay 80% of the allowed fees and 100% of the allowed costs from funds on hand in the receivership estate; and

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<sup>8</sup> The form of the order is attached as Exhibit 6.

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(7) Granting such other and further relief as the Court deems just and appropriate.

Dated: August 17, 2022

SMILEY WANG-EKVALL, LLP

By: /s/ Kyra E. Andrassy  
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# EXHIBIT "1"

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11 *Geoff Winkler of American Fiduciary Services*

12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE  
15 COMMISSION,

16 Plaintiff,

17 v.

18 PROFIT CONNECT WEALTH SERVICES,  
19 INC., JOY I. KOVAR, and BRENT CARSON  
20 KOVAR,

21 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF GEOFF WINKLER  
IN SUPPORT OF APPLICATION FOR  
ALLOWANCE AND PAYMENT OF  
FEES AND COSTS OF THE RECEIVER  
AND HIS PROFESSIONALS FOR THE  
PERIOD FROM APRIL 1, 2022,  
THROUGH JUNE 30, 2022**

22  
23 I, Geoff Winkler, declare as follows:

24 1. I am over 21 years old and am a founding member and the chief executive officer  
25 of American Fiduciary Services LLC (“AFS”), which is based in Portland, Oregon.

26 2. I am competent to testify to the matters presented in this declaration, and I submit  
27 this declaration in support of my application for approval of the fees and costs of my firm and  
28 my professionals in connection with Profit Connect Wealth Services, Inc. This declaration is

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1 based on my personal knowledge, except where made on information and belief, and as to those  
2 matters, I believe them to be true.

3 3. On August 6, 2021, on stipulation of the parties, the Court appointed me as the  
4 permanent receiver of Profit Connect Wealth Services, Inc. and any of its subsidiaries and  
5 affiliates (“Profit Connect”). This fee application covers the period from April 1, 2022, through  
6 June 30, 2022 (the “Application Period.”)

7 4. Attached to this declaration is a true and correct copy of the invoice for my  
8 services and the services of my team at AFS for the Application Period, along with the  
9 Standardized Fund Accounting Report.

10 5. In the ordinary course of AFS's business, we keep a record of all time expended  
11 by our professionals in the rendering of professional services on a computerized billing system.

12 6. At or near the time the professional services are rendered, professionals of the  
13 firm records (a) the description of the nature of the services performed, (b) the duration of the  
14 time expended, and (c) the client/matter name or number by either: (1) writing such information  
15 on a time sheet, or (2) inputting such information directly into the firm's computer billing system.

16 7. For the professionals who record their time using written time sheets, the  
17 information contained in the time sheets is transcribed into the firm’s computer billing system.

18 8. The firm’s computer billing system keeps a record of all time spent on a  
19 client/matter, the professional providing the services, and a description of the services rendered.  
20 The firm’s computer billing system automatically multiplies the time expended by each  
21 professional by the respective professional's billing rate to calculate the amount of the fee. The  
22 firm conducts its business in reliance on the accuracy of such business records.

23 9. As reflected in the attached invoice, pursuant to the Receivership Order, my team  
24 and I performed the following duties during the Application Period:

- 25 • Obtained net proceeds of \$511,730.26 for the sale of the real property located at
- 26 7043 Calvert Cliffs;
- 27 • Attended mediation with Magistrate Judge Weksler to reach a settlement with
- 28 William Roshak to return \$470,000 to the receivership estate.

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COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

- 1 • Continued forensic accounting work, including identification of at least 1
- 2 additional account for a total of at least 29 accounts and identification of 1,401
- 3 additional transactions for a total of at least 17,290 transactions;
- 4 • Subpoenaed records and performed forensic accounting to recreate missing
- 5 accounting information and validate amounts owed to investors and others;
- 6 • Investigated additional assets and worked to determine if they were properly
- 7 assets of the receivership estate;
- 8 • Retained counsel in Texas on a contingency fee basis to pursue an action against
- 9 certain Agents of Profit Connect;
- 10 • Worked with tax accountants to prepare unfiled tax returns;
- 11 • Worked with tax accountants to prepare an informative tax memo;
- 12 • Continued to review documents and interview parties to determine potential
- 13 litigation against third parties;

14 10. In addition, because of its billing sensitivity, AFS has elected to voluntarily write  
15 off 91.7 hours of time during the Application Period totaling \$25,070.00 in fees.

16 11. Due to its broad range of experience and expertise, AFS performs almost all  
17 required work in-house, saving both time and money, including tasks involving corporate  
18 accounting, forensic accounting, case administration, claims administration, asset valuation,  
19 investor communication and internet technology.

20 12. AFS's billing philosophy is to leverage work down to the staff member with the  
21 lowest bill rate that also has the skills and experience necessary to complete the task. This  
22 allows AFS to minimize the cost to complete all work associated with the case, ensuring a  
23 maximum return to stakeholders involved in the matter while also preserving the quality of our  
24 work product. AFS does not bill for travel time in regulatory cases.

25 13. AFS's rates include a 15 percent discount off its already discounted hourly rates  
26 for government matters and will not increase for the pendency of the case. Both the standard  
27 regulatory rate and the discounted regulatory rate reflect a significant discount off our standard  
28 consulting rates.



1           14. All billing standards meet or exceed the Billing Instructions for Receivers in Civil  
2 Actions Commenced by the U.S. Securities and Exchange Commission (SFAR) and the U.S.  
3 Trustee’s Guidelines for Reviewing Applications for Compensation and Reimbursement of  
4 Expenses.

5           15. AFS will not seek reimbursement for routine copying, facsimile, postage, or other  
6 expenses. Any expenses that we seek to have reimbursed will be done in accordance with the  
7 SEC and U.S. Trustee guidelines referenced above. Costs directly attributable to the  
8 administration of the receivership estate will be paid directly by the estate in accordance with the  
9 order of appointment.

10           16. As of August 1, 2022, I was holding approximately \$9,136,306.46 in cash  
11 belonging to Profit Connect.

12           I declare under penalty of perjury that the foregoing is true and correct.

13           Executed on August 17, 2022.

14           /s/ Geoff Winkler

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**STANDARDIZED FUND ACCOUNTING REPORT for SEC v. Profit Connect Wealth Services, Inc. et al.**  
 Receivership; Civil Docket No. 2:21-cv-01298-JAD-BNW  
 Reporting Period from 08/06/2021 to 09/30/2021

FUND ACCOUNTING (See instructions)				
		Detail	Subtotal	Grand Total
Line 1	<b>Beginning Balance (As of 04/01/2022):</b>	8,906,812.05		
	<b>Increases in Fund Balance:</b>			
Line 2	<b>Business Income</b>	-		
Line 3	<b>Cash and Securities (in transit)</b>	-		
Line 4	<b>Interest/Dividend Income</b>	-		
Line 5	<b>Business Asset Liquidation</b>	511,982.01		
Line 6	<b>Personal Asset Liquidation</b>	-		
Line 7	<b>Third-Party Litigation Income</b>	4,000.00		
Line 8	<b>Miscellaneous - Other</b>	-		
	<b>Total Funds Available (Lines 1 - 8):</b>		515,982.01	9,422,794.06
	<b>Decreases in Fund Balance:</b>			
Line 9	<b>Disbursements to Investors</b>			
Line 10	<b>Disbursements for Receivership Operations</b>			
Line 10a	<i>Disbursements to Receiver or Other Professionals</i>	(277,877.34)		
Line 10b	<i>Business Asset Expenses</i>	(8,610.26)		
Line 10c	<i>Personal Asset Expenses</i>	-		
Line 10d	<i>Investment Expenses</i>	-		
Line 10e	<i>Third-Party Litigation Expenses</i>	-		
	1. Attorney Fees	-		
	2. Litigation Expenses	-		
	<b>Total Disbursements for Receivership Operations</b>		(286,487.60)	
Line 10f	<i>Tax Administrator Fees and Bonds</i>		-	
Line 10g	<i>Federal and State Tax Payments</i>		-	
	<b>Total Disbursements for Receivership Operations</b>			(286,487.60)
Line 11	<b>Disbursements for Distribution Expenses Paid by the Fund:</b>			
Line 11a	<i>Distribution Plan Development Expenses:</i>			
	1. Fees:			
	Fund Administrator.....	-		
	Independent Distribution Consultant (IDC).....	-		
	Distribution Agent.....	-		
	Consultants.....	-		
	Legal Advisers.....	-		
	Tax Advisers.....	-		
	2. Administrative Expenses	-		
	3. Miscellaneous	-		
	<b>Total Plan Development Expenses</b>		-	
Line 11b	<i>Distribution Plan Implementation Expenses:</i>			
	1. Fees:			
	Fund Administrator.....	-		
	IDC.....	-		
	Distribution Agent.....	-		
	Consultants.....	-		
	Legal Advisers.....	-		
	Tax Advisers.....	-		
	2. Administrative Expenses	-		
	3. Investor Identification:			
	Notice/Publishing Approved Plan.....	-		
	Claimant Identification.....	-		
	Claims Processing.....	-		
	Web Site Maintenance/Call Center.....	-		
	4. Fund Administrator Bond	-		
	5. Miscellaneous	-		
	6. Federal Account for Investor Restitution (FAIR) Reporting Expenses	-		
	<b>Total Plan Implementation Expenses</b>		-	
	<b>Total Disbursements for Distribution Expenses Paid by the Fund</b>			-
Line 12	<b>Disbursements to Court/Other:</b>			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-		
Line 12b	<i>Federal Tax Payments</i>	-		
	<b>Total Disbursements to Court/Other:</b>		-	
	<b>Total Funds Disbursed (Lines 9 - 11):</b>			(286,487.60)
Line 13	<b>Ending Balance (As of 06/30/2022):</b>			9,136,306.46
Line 14	<b>Ending Balance of Fund - Net Assets:</b>			
Line 14a	<i>Cash &amp; Cash Equivalents</i>		9,136,306.46	
Line 14b	<i>Investments</i>		-	
Line 14c	<i>Other Assets or Uncleared Funds</i>		9,300,000.00	
	<b>Total Ending Balance of Fund - Net Assets</b>			18,436,306.46

**STANDARDIZED FUND ACCOUNTING REPORT for SEC v. Profit Connect Wealth Services, Inc. et al.**  
 Receivership; Civil Docket No. 2:21-cv-01298-JAD-BNW  
 Reporting Period from 08/06/2021 to 09/30/2021

OTHER SUPPLEMENTAL INFORMATION:		Detail	Subtotal	Grand Total
<b>Line 15</b>	<b>Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>			
<i>Line 15a</i>	<i>Plan Development Expenses Not Paid by the Fund</i>			
	1. Fees:			
	Fund Administrator	-		
	IDC	-		
	Distribution Agent	-		
	Consultants	-		
	Legal Advisers	-		
	Tax Advisers	-		
	2. Administrative Expenses	-		
	3. Miscellaneous	-		
	<b>Total Plan Development Expenses Not Paid by the Fund</b>	-		
<i>Line 15b</i>	<i>Plan Implementation Expenses Not Paid by the Fund:</i>			
	1. Fees:			
	Fund Administrator	-		
	IDC	-		
	Distribution Agent	-		
	Consultants	-		
	Legal Advisers	-		
	Tax Advisers	-		
	2. Administrative Expenses	-		
	3. Investor Identification:			
	Notice/Publishing Approved Plan	-		
	Claimant Identification	-		
	Claims Processing	-		
	Web Site Maintenance/Call Center	-		
	4. Fund Administrator Bond	-		
	5. Miscellaneous	-		
	6. FAIR Reporting Expenses	-		
	<b>Total Plan Implementation Expenses Not Paid by the Fund</b>	-		
<i>Line 15c</i>	<i>Tax Administrator Fees &amp; Bonds Not Paid by the Fund</i>			
	<b>Total Disbursements for Plan Administration Expenses Not Paid by the Fund</b>			-
<b>Line 16</b>	<b>Disbursements to Court/Other Not Paid by the Fund</b>			
<i>Line 16a</i>	<i>Investment Expenses/CRIS Fees</i>		-	
<i>Line 16b</i>	<i>Federal Tax Payments</i>		-	
	<b>Total Disbursements to Court/Other Not Paid by the Fund:</b>			-
<b>Line 17</b>	<b>DC &amp; State Tax Payments</b>			-
<b>Line 18</b>	<b>No. of Claims:</b>			
<i>Line 18a</i>	<i># of Claims Received This Reporting Period</i>	-		
<i>Line 18b</i>	<i># of Claims Received Since Inception of Fund</i>	-		
<b>Line 19</b>	<b>No. of Claimants/Investors:</b>			
<i>Line 19a</i>	<i># of Claimants/Investors Paid This Reporting Period</i>	-		
<i>Line 19b</i>	<i># of Claimants/Investors Paid Since Inception of Fund</i>	-		

Receiver: **Geoff Winkler**

By: 

**Geoff Winkler**  
 (printed name)

**Chief Executive Officer**  
**American Fiduciary Services LLC**  
**Receiver, Profit Connect Wealth Services, Inc.**

**Date: July 30, 2022**

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
John Hall	Review and analysis of received production materials, update in accounting file.	4/1/2022	1.8	310.00	558.00	Financial - Forensic Accounting
Josh McGraw	Reviewing financial institution statements and analysis to identify missing transactions to update accounting file	4/1/2022	2.0	255.00	510.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with financial institution information	4/1/2022	0.5	255.00	127.50	Financial - Forensic Accounting
John Hall	Review of all outstanding assets and liquidation to-do items with YKW and MBB	4/1/2022	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
Milana Barkhanoy	Call with YKW and JBH reviewing and updating assets list	4/1/2022	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Respond to updates from TW, execute additional documents	4/1/2022	0.3	340.00	102.00	Legal - Asset Disposition
John Hall	Review unredacted production and instruct JPM on standardization needed to make it all readable.	4/1/2022	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review invoices, scan and send to GBW to process.	4/1/2022	0.3	310.00	93.00	Legal - Case Administration
John Hall	Call with JPM regarding accounting file and bank accounts	4/1/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Reviewing documents provided by vendor and standardizing for analysis	4/1/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review files to confirm financial account conversion source documents	4/1/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Listen to v/m and return call to AC regarding tax accountant question	4/1/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with JBH regarding accounting file and bank accounts	4/1/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with MBB regarding ScanWriter and validating bank account	4/1/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with JPM regarding ScanWriter and validating bank account	4/1/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewed documentation in relativity for credit card application or contract	4/4/2022	1.5	255.00	382.50	Financial - Data Analysis
Josh McGraw	Reviewing documentation for cryptocurrency transactions	4/4/2022	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Confirming investor transaction classifications	4/4/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Geoff Winkler	Prepare home for sale, meeting with parties, prepare properties, meeting with third parties	4/4/2022	-	340.00	-	Legal - Case Administration
John Hall	Prepare home for sale, ship remaining items from Calvert Cliffs residence to office.	4/4/2022	4.0	310.00	1,240.00	Legal - Case Administration
John Hall	Review BOA statements, discuss BOA cc with counsel.	4/4/2022	0.5	310.00	155.00	Legal - Case Administration
Josh McGraw	Call with YKW to discuss communication with investors	4/4/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding the findings of relativity search	4/4/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding correspondence with investor	4/4/2022	0.1	150.00	15.00	Legal - Case Administration
John Hall	Review rental accounting, memo to GBW.	4/5/2022	0.5	310.00	155.00	Financial - Accounting/Auditing
Josh McGraw	Confirming investor transaction classifications	4/5/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Milana Barkhanoy	Discussing next steps on forensic accounting with JPM	4/5/2022	0.5	255.00	127.50	Financial - Forensic Accounting
John Hall	Research Tierra Verde address ownership history, discuss with YKW	4/5/2022	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
John Hall	Review MW memo from JPM, discuss with GBW.	4/5/2022	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
John Hall	Review asset with MBB	4/5/2022	0.1	310.00	31.00	Legal - Asset Analysis and Recovery
Milana Barkhanoy	Scanning documents; communication to YKW assigning new task on asset recovery	4/5/2022	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Researching asset information	4/5/2022	0.7	150.00	105.00	Legal - Asset Analysis and Recovery
Milana Barkhanoy	Scanning invoices; communication to JPM regarding next steps	4/5/2022	0.4	255.00	102.00	Legal - Business Operations
Geoff Winkler	Prepare home for sale, meeting with parties, prepare properties, meeting with third parties	4/5/2022	3.3	340.00	1,122.00	Legal - Case Administration
Geoff Winkler	Communication with JPM regarding confirmation of incoming deposit	4/5/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Communication with JPM regarding investor calls	4/5/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team meeting with counsel to work through outstanding items.	4/5/2022	1.0	310.00	310.00	Legal - Case Administration
John Hall	Review WF documentation on MW payoff, discuss with JPM.	4/5/2022	0.5	310.00	155.00	Legal - Case Administration
John Hall	Review contingency agreement, discuss with GBW.	4/5/2022	0.5	310.00	155.00	Legal - Case Administration
John Hall	Call with JPM discussing additional documentation needed to be requested from SMW	4/5/2022	0.2	310.00	62.00	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
John Hall	Review subrogation receipt material from KA	4/5/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Review of documents provided by counsel against the accounting file	4/5/2022	1.0	255.00	255.00	Legal - Case Administration
Josh McGraw	Call with counsel to discuss case and outstanding items	4/5/2022	0.9	255.00	229.50	Legal - Case Administration
Josh McGraw	Call with SM and PM requesting an update and next steps in the process	4/5/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Summarizing call with SMH and emailing GBW & JBH	4/5/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with SMW to discuss deposits and follow-up email	4/5/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with MBB to discuss next steps in forensic accounting.	4/5/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Updating task list for counsel call	4/5/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding ongoing accounting tasks and investor correspondence	4/5/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to vendors accounting and operation department to follow-up on requests	4/5/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with SMW about deposits	4/5/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing documentation to prepare for call with SMW	4/5/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with JBH discussing additional documentation needed to be requested from SMW	4/5/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to claimant requesting update on case	4/5/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding confirmation of incoming deposit	4/5/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding outstanding tasks.	4/5/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding investor calls	4/5/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW and JBH regarding investor questions	4/5/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding ongoing accounting tasks and investor correspondence	4/5/2022	0.3	150.00	45.00	Legal - Case Administration
Ysabel Willits	Writing memo for JBH regarding potential asset information	4/5/2022	0.3	150.00	45.00	Legal - Case Administration
Josh McGraw	Reviewing documentation in relativity to classify transactions in accounting and exporting documentation for support	4/6/2022	2.6	255.00	663.00	Financial - Data Analysis
Josh McGraw	Troubleshooting BOA SW outputs against statements and manual adjusting data.	4/6/2022	1.9	310.00	589.00	Financial - Forensic Accounting
John Hall	Review questions with MBB.	4/6/2022	1.9	310.00	589.00	Financial - Forensic Accounting
John Hall	Discuss ongoing coordination and accounting issues with team	4/6/2022	1.0	310.00	310.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by classifying transactions	4/6/2022	3.1	255.00	790.50	Financial - Forensic Accounting
Josh McGraw	Accounting review with JBH, MBB and YKW	4/6/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Milana Barkhanoy	Accounting meeting with JPM, YKW and JBH to discuss forensic accounting tasks and requests	4/6/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Ysabel Willits	Accounting team meeting with MBB, JPM, and JBH	4/6/2022	1.0	150.00	150.00	Financial - Forensic Accounting
Geoff Winkler	Prepare home for sale, meeting with parties, prepare properties, meeting with third parties	4/6/2022	-	340.00	-	Legal - Case Administration
Josh McGraw	Email to financial institution following up on documentation requested	4/6/2022	0.1	255.00	25.50	Legal - Case Administration
Geoff Winkler	Call with local counsel	4/7/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Team meeting to discuss outstanding tasks	4/7/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team case review meeting.	4/7/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Team meeting with GBW, JBH, MBB and YKW discussing current and future tasks	4/7/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor requesting updates on investment	4/7/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JBH, JPM and YKW discussing current and future tasks	4/7/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Team meeting with JPM, JBH, GBW, and MBB regarding upcoming and ongoing tasks	4/7/2022	0.1	150.00	15.00	Legal - Case Administration
John Hall	Review and analysis of WF production materials.	4/8/2022	1.8	310.00	558.00	Financial - Forensic Accounting
John Hall	Review accounting issues and agent/investor categorization logical issues.	4/8/2022	1.0	310.00	310.00	Financial - Forensic Accounting

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Geoff Winkler	Call with TW re property sales	4/8/2022	0.3	340.00	102.00	Legal - Case Administration
Josh McGraw	Emails to investors requesting updates on the case	4/8/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to JMR regarding title replacements	4/8/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to JBH for accounting classification	4/8/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding additional detail from vendor	4/8/2022	0.1	255.00	25.50	Legal - Case Administration
	Review new statement and analyze three months of purchases by BK on PC BOA Mastercard. Summary to GBW and legal team.	4/11/2022	1.2	310.00	372.00	Financial - Accounting/Auditing
John Hall						
Josh McGraw	Review documentation in Stretto around dates of SMW deposits	4/11/2022	0.2	255.00	51.00	Financial - Data Analysis
Josh McGraw	Review accounting file against documentation provided by SMW	4/11/2022	0.4	255.00	102.00	Financial - Forensic Accounting
John Hall	Call with JPM and RP and KA MG and GBW on potential agent recovery	4/11/2022	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with counsel	4/11/2022	1.0	340.00	340.00	Legal - Case Administration
Geoff Winkler	Discussion with JPM re SMW accounting	4/11/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review BofA CC statements, discuss internally and with counsel	4/11/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Process AP/AR	4/11/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Meeting to discuss outstanding tasks	4/11/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review subpoena response	4/11/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team meeting to discuss casework.	4/11/2022	0.2	310.00	62.00	Legal - Case Administration
	Review documents provided by SMW, and financial institution information provided by counsel.	4/11/2022	1.1	255.00	280.50	Legal - Case Administration
Josh McGraw						
Josh McGraw	Review transactions to ensure accurate billing	4/11/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Team meeting with GBW, JBH, MBB and YKW discussing current and future tasks	4/11/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Team meeting with GBW, JBH, MBB and YKW discussing current and future tasks	4/11/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to JBH and GBW regarding documentation provided by SMW	4/11/2022	0.2	255.00	51.00	Legal - Case Administration
	Emails to JBH regarding additional documentation from SMW and responding to verification question	4/11/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to counsel regarding vendor request	4/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw						
Milana Barkhanoy	Review and update transactions to ensure accurate billing	4/11/2022	1.0	255.00	255.00	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JBH, JPM, YKW and SBP discussing current and future tasks	4/11/2022	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Team meeting with JPM, JBH, GBW, and MBB regarding upcoming and ongoing tasks	4/11/2022	0.2	150.00	30.00	Legal - Case Administration
Geoff Winkler	Review invoice and partition	4/12/2022	0.1	340.00	34.00	Financial - Accounting/Auditing
Josh McGraw	Searching relativity for documentation regarding affiliated entity	4/12/2022	0.3	255.00	76.50	Financial - Data Analysis
John Hall	Review accounting analysis from JPM, review accounting file and discuss with team.	4/12/2022	2.0	310.00	620.00	Financial - Forensic Accounting
John Hall	Review and analysis of COA and categorizations	4/12/2022	1.5	310.00	465.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file to change classifications of transactions	4/12/2022	1.1	255.00	280.50	Financial - Forensic Accounting
Josh McGraw	Updating investor summary for call with GBW	4/12/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM reviewing accounting file and planning steps to create 1099s.	4/12/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	Emails from JPM regarding the 1099 project; reviewing files	4/12/2022	0.3	255.00	76.50	Financial - Tax Issues
Geoff Winkler	Research potential asset and emails with counsel	4/12/2022	0.4	340.00	136.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review draft letter to insurer, emails with YKW	4/12/2022	0.2	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review and execute final letter	4/12/2022	0.1	340.00	34.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with subrogation adjuster regarding insurance claim	4/12/2022	0.4	150.00	60.00	Legal - Asset Analysis and Recovery

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Geoff Winkler	Review request from title company, emails with counsel re needs, review draft stipulation, emails to title company	4/12/2022	0.3	340.00	102.00	Legal - Asset Disposition
Geoff Winkler	Call with auctioneer and counsel re titles	4/12/2022	0.2	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Review sales offer and emails with TW	4/12/2022	0.2	340.00	68.00	Legal - Asset Disposition
Ysabel Willits	Meeting with JPM discussing potential asset and next steps	4/12/2022	0.6	150.00	90.00	Legal - Asset Disposition
Geoff Winkler	Calls with eight investors	4/12/2022	2.6	340.00	884.00	Legal - Case Administration
Geoff Winkler	Call with counsel and team to discuss outstanding tasks	4/12/2022	0.8	340.00	272.00	Legal - Case Administration
Geoff Winkler	Call with team to discuss outstanding tasks	4/12/2022	0.7	340.00	238.00	Legal - Case Administration
Geoff Winkler	Review investor list and discuss with JPM	4/12/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Calls with JPM re investor questions	4/12/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Call with JPM to discuss MW accounting	4/12/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Conversation with JPM regarding next steps of accounting and categorization of transactions	4/12/2022	0.5	310.00	155.00	Legal - Case Administration
John Hall	Summarization of forensic accounting categorization process for review and email to accounting team	4/12/2022	1.0	255.00	255.00	Legal - Case Administration
Josh McGraw	Call with MBB reviewing accounting file and planning steps to create 1099s	4/12/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with counsel to discuss case and outstanding items	4/12/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with YKW discussing potential asset and next steps	4/12/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Conversation with JBH regarding next steps of accounting and categorization of transactions	4/12/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Updating counsel task list for meeting	4/12/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Summarizing the review plan for 1099s and accounting transactions, email to MBB	4/12/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Communication with JM regarding the title for vehicle	4/12/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with GBW for investor interviews	4/12/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with GBW regarding title for vehicle	4/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with GBW to discuss SMW documentation	4/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW providing investor summarization for calls	4/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to YKW for investor interview calls	4/12/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Review and update transactions to ensure accurate billing	4/12/2022	1.1	255.00	280.50	Legal - Case Administration
Ysabel Willits	Reviewing time to present accurate billing	4/12/2022	1.0	150.00	150.00	Legal - Case Administration
John Hall	Processing JK personal credit card statements, 2020-2021.	4/13/2022	4.0	310.00	1,240.00	Financial - Accounting/Auditing
Josh McGraw	Searching relativity for documentation to verify accounting review	4/13/2022	0.2	255.00	51.00	Financial - Data Analysis
Milana Barkhanoy	Emails with JPM regarding transaction categorization and verification (for A.T.)	4/13/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Email to JPM with a question regarding transaction categorization and verification (for A.H.)	4/13/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM on 1099 project	4/13/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Verifying transaction categorization to identify and calculate 1099s for agents for 2021	4/13/2022	3.9	255.00	994.50	Financial - Tax Issues
Geoff Winkler	Review title commitments, review for potential closing issues, discuss information found with counsel	4/13/2022	0.4	340.00	136.00	Legal - Asset Analysis and Recovery
John Hall	Review Searchlight documents and title insurance commitments, discuss with GBW.	4/13/2022	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Work with counsel re updated documents needed, review draft agreement	4/13/2022	0.3	340.00	102.00	Legal - Asset Disposition
Geoff Winkler	Call with interested party, follow up	4/13/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Review letter from insurer, emails with counsel	4/13/2022	0.2	340.00	68.00	Legal - Case Administration
John Hall	Review findings from JPM, analysis and research on potential additional accounts.	4/13/2022	1.1	310.00	341.00	Legal - Case Administration



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Josh McGraw	Call with vendor to discuss billing issue and email follow-up with GBW	4/13/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Call with GBW to discuss financial institution account and next steps with counsel	4/13/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing access into financial institution to obtain documentation	4/13/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to MBB regarding documentation for AT to confirm investments	4/13/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to SMW regarding documentation provided	4/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JMR regarding vehicular titles	4/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to financial institution regarding documentation requested	4/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding additional entity	4/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor asking about the claims process and recovery timeline	4/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to MBB regarding documentation for AH to confirm transactions	4/13/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Reconciling and reviewing credit card transactions against DFS balance.	4/14/2022	2.2	310.00	682.00	Financial - Forensic Accounting
Josh McGraw	Converting financial institution statements and merging into accounting file	4/14/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Email from JPM regarding NFCU Transactions to be added into accounting file	4/14/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Reviewing documentation to automate the 1099 MISC process for agents	4/14/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Verifying transaction categorization to identify and calculate 1099s for agents for 2021	4/14/2022	2.8	255.00	714.00	Financial - Tax Issues
Geoff Winkler	Review asset finding, emails with JBH, review letter	4/14/2022	0.2	340.00	68.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication from MBB regarding asset recovery	4/14/2022	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Review and execute amended to sales contract	4/14/2022	0.2	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Call with team to discuss outstanding tasks	4/14/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Review SW analysis, discuss with JBH	4/14/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review and respond to three investor emails	4/14/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review website renewal, email from JPM	4/14/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Review and analysis of MW bank accounts	4/14/2022	1.5	255.00	382.50	Legal - Case Administration
Josh McGraw	Review documentation and process check provided in mail	4/14/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Summarization for document analysis and email findings to GBW	4/14/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Providing supporting documentation for accounting review	4/14/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to GBW regarding domain renewal and financial institution check	4/14/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Voicemail to vendor to discuss claims process for an outstanding invoice	4/14/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW to discuss communication with claimants	4/14/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to MBB confirming conversion of financial institution statements	4/14/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Sending documentation to GBW	4/14/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Communication from JPM regarding asset recovery	4/14/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/14/2022	1.1	150.00	165.00	Legal - Case Administration
Ysabel Willits	Call with JPM regarding investor correspondence	4/14/2022	0.1	150.00	15.00	Legal - Case Administration
Josh McGraw	Updating monthly financials to include additional financial institution accounts	4/15/2022	0.2	255.00	51.00	Financial - Accounting/Auditing
Josh McGraw	Reviewing documentation in relativity to classify credit card transactions	4/15/2022	0.8	255.00	204.00	Financial - Data Analysis
Josh McGraw	Updating accounting file by classifying credit card transactions	4/15/2022	1.6	255.00	408.00	Financial - Forensic Accounting
Josh McGraw	Classifying transactions for investor and agents	4/15/2022	0.7	255.00	178.50	Financial - Forensic Accounting
Josh McGraw	Call with MBB reviewing and discussing forensic accounting and next steps	4/15/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Updating the accounting file with financial institution transactions	4/15/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM reviewing and discussing forensic accounting and next steps	4/15/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Milana Barkhanoy	Email communication from JPM regarding transaction categorization	4/15/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Creating a cover letter to agents to be included with tax documents	4/15/2022	1.0	255.00	255.00	Financial - Tax Issues
Josh McGraw	Research tax document templates to expedite completion	4/15/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Verifying transaction categorization to identify and calculate 1099s for agents for 2021	4/15/2022	4.8	255.00	1,224.00	Financial - Tax Issues



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Geoff Winkler	Review inspection and addendum, execute, emails with TW	4/15/2022	0.2	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Investigate claims, assets, other potential parties	4/15/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Review email from JPM, review invoice, review account information, email to JPM	4/15/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review investor email, research, respond to YKW	4/15/2022	0.2	340.00	68.00	Legal - Case Administration
Josh McGraw	Review documentation provided by utility provider	4/15/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with YKW regarding investor interviews	4/15/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review documentation and email investor to provide clarity of the distribution process	4/15/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to GBW regarding investor requesting to speak about the case	4/15/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Auditing and saving expense receipts	4/15/2022	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/15/2022	2.1	150.00	315.00	Legal - Case Administration
John Hall	Review JPM process document and troubleshoot and review accounting file.	4/18/2022	0.9	310.00	279.00	Financial - Forensic Accounting
Milana Barkhanoy	Verifying transaction categorization to identify and calculate 1099s for agents for 2021	4/18/2022	2.2	255.00	561.00	Financial - Tax Issues
Geoff Winkler	Research and review background information, document system to locate new asset	4/18/2022	2.1	340.00	714.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review additional commitments, notes of potential issues	4/18/2022	0.2	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with TW to discuss marketing, sales, comps	4/18/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Review mail issue with JBH, list of funds from JPM	4/18/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review email from YKW, research and respond re investor call	4/18/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Team meeting discussing current and future tasks	4/18/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Communication with JPM regarding documentation provided by vendor	4/18/2022	0.1	310.00	31.00	Legal - Case Administration
John Hall	Document review with JPM	4/18/2022	0.1	310.00	31.00	Legal - Case Administration
John Hall	Team meeting to discuss cases.	4/18/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Reviewing physical documentation provide by vendor	4/18/2022	5.0	255.00	1,275.00	Legal - Case Administration
Josh McGraw	Summarization of checks received and email to GBW	4/18/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Team meeting with GBW, JBH, MBB and YKW discussing current and future tasks	4/18/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with MJ regarding conversation with GBW	4/18/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding documentation provided by vendor	4/18/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with YKW regarding email correspondence with investors	4/18/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Document review with JBH	4/18/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JBH, JPM, YKW and SBP discussing current and future tasks	4/18/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/18/2022	1.3	150.00	195.00	Legal - Case Administration
Ysabel Willits	Team meeting with JPM, JBH, and MBB regarding upcoming and ongoing tasks	4/18/2022	0.1	150.00	15.00	Legal - Case Administration
John Hall	review accounting file, account categorizations, discuss needed changes with GBW.	4/19/2022	1.9	310.00	589.00	Financial - Forensic Accounting
Geoff Winkler	Update accounting and create SFAR for filing with report	4/19/2022	1.8	340.00	612.00	Financial - Status Reports
Josh McGraw	Summarizing activities, achievements, and milestones for the status report. Email to summary to GBW	4/19/2022	1.3	255.00	331.50	Financial - Status Reports
John Hall	Review memo from counsel and read Searchlight title commitment documents.	4/19/2022	0.6	310.00	186.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Call with JBH regarding potential asset	4/19/2022	0.2	150.00	30.00	Legal - Asset Disposition
Geoff Winkler	Review professional bills	4/19/2022	1.2	340.00	408.00	Legal - Case Administration
Geoff Winkler	Call with five investors	4/19/2022	1.2	340.00	408.00	Legal - Case Administration
Geoff Winkler	Review legal bills	4/19/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with investor	4/19/2022	0.2	340.00	68.00	Legal - Case Administration

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Geoff Winkler	Emails with counsel, title company re order allowing sale	4/19/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review mail; NV DOM notice, Clark County notice, FTC letter, DOC letter, Verizon notices, Searchlight escrow notices, discuss with JPM.	4/19/2022	2.0	310.00	620.00	Legal - Case Administration
John Hall	Review memo and statement from BS. Discuss with GBW.	4/19/2022	0.6	310.00	186.00	Legal - Case Administration
John Hall	Review stale checks received from JPM and discuss with GBW.	4/19/2022	0.3	310.00	93.00	Legal - Case Administration
John Hall	Discuss casework FAQ items with YKW.	4/19/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Summary of documentation from vendor and email to the team with next steps	4/19/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Review investor information. Call DM answering questions regarding the case and next steps.	4/19/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Review notice from state department, locate property on google earth and call department for additional information	4/19/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Review time entries, edit, and sanity check for appropriateness	4/19/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to ASC regarding discovery meeting	4/19/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Saving down vendor provided information	4/19/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Listen to voicemails from investors	4/19/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with YKW regarding investor interviews	4/19/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to the team regarding investor communication	4/19/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding our case meeting	4/19/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JMR regarding vehicular title	4/19/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/19/2022	2.1	150.00	315.00	Legal - Case Administration
Ysabel Willits	Reviewing billing for accurate fee application	4/19/2022	1.3	150.00	195.00	Legal - Case Administration
Geoff Winkler	Draft accounting for report	4/20/2022	2.6	340.00	884.00	Financial - Accounting/Auditing
Geoff Winkler	Review and update accounting	4/20/2022	0.8	340.00	272.00	Financial - Accounting/Auditing
John Hall	Review 1099 materials, discuss completion with team, analysis of accounting file.	4/20/2022	0.5	310.00	155.00	Financial - Forensic Accounting
John Hall	Review memo from BT, examine fee app and discuss with GBW.	4/20/2022	0.4	310.00	124.00	Financial - Tax Issues
Josh McGraw	Call with MBB to review and discuss transaction verification for 1099 project; determine next steps	4/20/2022	0.5	255.00	127.50	Financial - Tax Issues
Milana Barkhanoy	Verifying transaction categorization to identify and calculate 1099s for agents for 2021; communication with JBH, JPM, YKW	4/20/2022	4.9	255.00	1,249.50	Financial - Tax Issues
Milana Barkhanoy	Verifying transaction categorization to identify and calculate 1099s for agents for 2021	4/20/2022	0.8	255.00	204.00	Financial - Tax Issues
Milana Barkhanoy	Communication with JBH, JPM and YKW regarding 1099 for agents	4/20/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Communication with JBH regarding 1099 for agents	4/20/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Call with claimant, follow up with JPM	4/20/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with investor	4/20/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with investor	4/20/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with investor	4/20/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Emails with JPM re UPS store mail drop	4/20/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review contingency agreement, discuss with GBW and counsel.	4/20/2022	0.4	310.00	124.00	Legal - Case Administration
John Hall	Communication with JPM regarding UPS and confirmation of payment	4/20/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Call to PM & SM to answer questions about the case	4/20/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with SL and GBW to discuss the case	4/20/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with YKW to discuss the next steps on vendor-provided documentation and investor calls	4/20/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with investor and GBW to discuss the case	4/20/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with GBW and investor to discuss the case	4/20/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Mailing documents to WD, BK and GBW	4/20/2022	0.4	255.00	102.00	Legal - Case Administration

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Josh McGraw	Call with UPS store to renew mailbox	4/20/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Preparing documents to be mailed to respective parties	4/20/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call to state department for additional information on notice and follow-up email.	4/20/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with SK and GBW to discuss the case	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with YKW regarding investor requests	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to financial institution following up on requested information	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to TW regarding information on real estate parcels	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Research location of development near real estate land to provide information to TW	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Message to JM regarding vehicular title	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JMR regarding vehicular title	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding UPS and confirmation of payment	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding documentation sent	4/20/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/20/2022	1.3	150.00	195.00	Legal - Case Administration
Ysabel Willits	Reviewing email and content from JPM regarding incoming mail	4/20/2022	0.5	150.00	75.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding investor interviews and incoming mail	4/20/2022	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Call with investor to update on progress	4/20/2022	0.2	150.00	30.00	Legal - Case Administration
Geoff Winkler	Update and complete accounting, emails with counsel	4/21/2022	1.2	340.00	408.00	Financial - Accounting/Auditing
Geoff Winkler	Review interest payment information, email from JPM	4/21/2022	0.1	340.00	34.00	Financial - Accounting/Auditing
John Hall	Transitioning accounting schedules to forensic report. Drafting schedules.	4/21/2022	2.2	310.00	682.00	Financial - Accounting/Auditing
Josh McGraw	Reviewing relativity for communication to classify transactions in accounting file	4/21/2022	0.7	255.00	178.50	Financial - Data Analysis
Josh McGraw	Updating accounting file with classifying vendor transactions	4/21/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	Reviewing financial institution account to determine next steps	4/21/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by categorizing investor transactions	4/21/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Geoff Winkler	Call with investor	4/21/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with investor	4/21/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with interested party re update, new information	4/21/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review notices from Division of Minerals, discuss with JPM, TW	4/21/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Call with interested party	4/21/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review and execute agreement, emails with counsel	4/21/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review email and invoice from BT	4/21/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email from counsel re BR meeting	4/21/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review final reports and exhibits for filing, make new PDFs. Discuss with GBW	4/21/2022	1.0	310.00	310.00	Legal - Case Administration
John Hall	Review of fee app, and discuss emails from counsel with GBW re: same.	4/21/2022	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review emails from counsel re: SFAR, discuss with GBW for filing.	4/21/2022	0.3	310.00	93.00	Legal - Case Administration
John Hall	Discuss FL property with YKW.	4/21/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Review responses from counsel regarding discovery for WR and TL	4/21/2022	1.1	255.00	280.50	Legal - Case Administration
Josh McGraw	Call to MR to discuss the case	4/21/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Call with YKW discussing investor communication and accounting needs	4/21/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review documentation, process check and communication with GBW	4/21/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding pleadings for the case	4/21/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review voicemail from DH regarding information on abandoned mine	4/21/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/21/2022	1.0	150.00	150.00	Legal - Case Administration
Ysabel Willits	Researching asset information	4/21/2022	0.7	150.00	105.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding information updates to investors	4/21/2022	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Call with investor to update on progress	4/21/2022	0.3	150.00	45.00	Legal - Case Administration
Ysabel Willits	Meeting with JBH regarding asset information	4/21/2022	0.1	150.00	15.00	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Josh McGraw	Auditing transactions to confirm classifications are in line	4/22/2022	0.5	255.00	127.50	Financial - Accounting/Auditing
Josh McGraw	Verify transfers between the PC and JK accounts	4/22/2022	0.2	255.00	51.00	Financial - Accounting/Auditing
Josh McGraw	Reviewing documentation for discovery request and confirming CC payments	4/22/2022	1.1	255.00	280.50	Financial - Data Analysis
John Hall	Review and correct accounting file.	4/22/2022	0.7	310.00	217.00	Financial - Forensic Accounting
	Pull relevant documents and links together for interested party, discuss next steps with GBW.					
John Hall		4/22/2022	0.9	310.00	279.00	Legal - Case Administration
John Hall	Communication with JPM regarding structuring of discovery information	4/22/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Creating schedule and structuring support for third party discovery	4/22/2022	2.2	255.00	561.00	Legal - Case Administration
Josh McGraw	Call with ASC regarding WR & TL discovery requests and necessary documentations	4/22/2022	1.4	255.00	357.00	Legal - Case Administration
Josh McGraw	Call with MAG regard financial institution matter	4/22/2022	0.4	255.00	102.00	Legal - Case Administration
	Review documentation provided by DH regarding improvements necessary on land and email to respond to DH					
Josh McGraw		4/22/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding structuring of discovery information	4/22/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding investor calls	4/22/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review email from JMR regarding vehicular title	4/22/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to ASC regarding discovery meeting	4/22/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding next steps on necessary improvements to parcel	4/22/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Emails to investors requesting an update	4/22/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding investor calls	4/22/2022	0.2	150.00	30.00	Legal - Case Administration
Josh McGraw	Reviewing PC credit card to determine purchasers for vendor requests	4/25/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Geoff Winkler	Call with JPM to discuss upcoming report	4/25/2022	0.3	340.00	102.00	Financial - Status Reports
Geoff Winkler	Communication with JPM regarding status report updates	4/25/2022	0.1	340.00	34.00	Financial - Status Reports
Josh McGraw	Call with GBW 2022 Q1status report	4/25/2022	0.3	255.00	76.50	Financial - Status Reports
Josh McGraw	Reviewing general ledger to update the status report	4/25/2022	0.2	255.00	51.00	Financial - Status Reports
Josh McGraw	Communication with GBW regarding status report updates	4/25/2022	0.1	255.00	25.50	Financial - Status Reports
Milana Barkhanoy	Transaction verification for 1099 list for 2021	4/25/2022	2.8	255.00	714.00	Financial - Tax Issues
Geoff Winkler	Email from TW, email to JPM re contractor need for abandoned mine	4/25/2022	0.1	340.00	34.00	Legal - Asset Disposition
Geoff Winkler	Review letter from Department of Minerals, requirements, email with TW and JPM	4/25/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Team call to discuss outstanding tasks	4/25/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review subpoena response, discuss with counsel	4/25/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Call with investor	4/25/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review draft application and declaration from counsel.	4/25/2022	0.5	310.00	155.00	Legal - Case Administration
John Hall	Team call to discuss ongoing case activities.	4/25/2022	0.1	310.00	31.00	Legal - Case Administration
John Hall	Conversation with JPM regarding the status report and tax document preparations.	4/25/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Call with investor regarding case update and expected distribution timeline	4/25/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with JMR regarding documents that must be executed and follow-up emails	4/25/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by investor	4/25/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Emails to counsel regarding vehicular titles	4/25/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Team meeting with GBW, JBH, MBB and YKW discussing current and future tasks	4/25/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Processing mail received	4/25/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with YKW to discuss accounting needs	4/25/2022	0.1	255.00	25.50	Legal - Case Administration
	Reviewing email correspondence between GBW and broker about land improvements requested to be completed.					
Josh McGraw		4/25/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to CJM regarding vehicular title signatures	4/25/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding credit card purchasers	4/25/2022	0.1	255.00	25.50	Legal - Case Administration

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Josh McGraw	Call with GBW and investor to discuss the case	4/25/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Conversation with JBH regarding the status report and tax document preparations.	4/25/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JBH, JPM, YKW discussing current and future tasks	4/25/2022	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Team meeting with JPM, JBH, and MBB regarding upcoming and ongoing tasks	4/25/2022	0.2	150.00	30.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding correspondence with investors	4/25/2022	0.1	150.00	15.00	Legal - Case Administration
John Hall	Review and correct staff work product, update accounting file.	4/26/2022	2.1	310.00	651.00	Financial - Forensic Accounting
Geoff Winkler	Call with JPM to discuss updates to financial section of report	4/26/2022	0.2	340.00	68.00	Financial - Status Reports
Josh McGraw	Updating the status report	4/26/2022	3.2	255.00	816.00	Financial - Status Reports
Josh McGraw	Call with GBW regarding updates to the report	4/26/2022	0.2	255.00	51.00	Financial - Status Reports
Milana Barkhanoy	Transaction verification for 1099 project for 2021 tax year	4/26/2022	1.6	255.00	408.00	Financial - Tax Issues
Milana Barkhanoy	Quick check in with JPM regarding 1099 project	4/26/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Call with three investor	4/26/2022	0.7	340.00	238.00	Legal - Case Administration
Geoff Winkler	Review draft of report, application, and declaration, make edits and respond to counsel	4/26/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Review pleadings and emails with counsel	4/26/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Emails with counsel re meeting	4/26/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Conversation with JPM regarding case accounting	4/26/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Creating schedule and structuring support for third party discovery	4/26/2022	2.2	255.00	561.00	Legal - Case Administration
Josh McGraw	Call with investor regarding the case and answering questions	4/26/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with investor regarding case update	4/26/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Call with investor regarding case update	4/26/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding upcoming status report and correspondence with investors	4/26/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Conversation with JBH about the counsel meeting and email follow-up to GBW	4/26/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to JBH regarding financials in report	4/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with MBB regarding tax issues	4/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Conversation with JBH regarding case accounting	4/26/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Correspondence with investors regarding updates to case and claims process	4/26/2022	1.1	150.00	165.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding upcoming status report and correspondence with investors	4/26/2022	0.5	150.00	75.00	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding redacting information on agent to send to counsel	4/27/2022	0.3	255.00	76.50	Financial - Accounting/Auditing
Josh McGraw	Email to MBB requesting additional documentation for financial audit	4/27/2022	0.1	255.00	25.50	Financial - Accounting/Auditing
Ysabel Willits	Accounting team meeting with JBH, JPM, and MBB	4/27/2022	1.0	150.00	150.00	Financial - Accounting/Auditing
Ysabel Willits	Redacting information on bank statements to send to counsel	4/27/2022	0.5	150.00	75.00	Financial - Accounting/Auditing
Ysabel Willits	Meeting with JPM regarding redacting information on agent to send to counsel	4/27/2022	0.3	150.00	45.00	Financial - Accounting/Auditing
Josh McGraw	Exporting documentation for third-party discovery	4/27/2022	0.8	255.00	204.00	Financial - Data Analysis
John Hall	Review and analysis of BR work product from JPM, send needed update requests.	4/27/2022	1.1	310.00	341.00	Financial - Forensic Accounting
John Hall	Forensic accounting team call with JPM MBB YKW	4/27/2022	1.0	310.00	310.00	Financial - Forensic Accounting
Josh McGraw	Forensic accounting team call with JBH MBB YKW	4/27/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Reviewing documentation provided by counsel	4/27/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Accounting meeting with JPM, YKW and JBH to discuss forensic accounting tasks and requests	4/27/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Milana Barkhanoy	Email to JPM regarding a transaction verification	4/27/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Email from JPM regarding a transaction verification	4/27/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Updating the status report	4/27/2022	2.3	255.00	586.50	Financial - Status Reports
Josh McGraw	Email to GBW regarding status report draft	4/27/2022	0.1	255.00	25.50	Financial - Status Reports



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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
John Hall	Review and discuss needed memo changes with BT, review updated memo and forward on to counsel for approval.	4/27/2022	0.8	310.00	248.00	Financial - Tax Issues
Milana Barkhanoy	Transaction verification for 1099 list for 2021	4/27/2022	4.3	255.00	1,096.50	Financial - Tax Issues
Geoff Winkler	Team call to discuss outstanding tasks	4/27/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with JBH to discuss property closing, assets	4/27/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team meeting with counsel and receiver to discuss ongoing case needs.	4/27/2022	0.6	310.00	186.00	Legal - Case Administration
John Hall	Conversations with JPM regarding third-party recovery and accounting needs	4/27/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Call with investor regarding case update and distribution timeline	4/27/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Creating schedule and structuring support for third party discovery	4/27/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Team meeting with counsel and receiver to discuss ongoing case needs.	4/27/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Messages to JM regarding vehicular title	4/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with vendor regarding a claim against the receivership estate	4/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Conversations with JBH regarding third-party recovery and accounting needs	4/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Updating counsel call discussion agenda	4/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to an investor to follow up on conversation	4/27/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding contact information for BK	4/27/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to financial institution regarding documentation requested	4/27/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding third-party discovery documentation	4/27/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding discovery documentation	4/27/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Processing mail received for case	4/27/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	4/27/2022	1.1	150.00	165.00	Legal - Case Administration
Ysabel Willits	Reviewing email correspondence from investor and organizing documents received	4/27/2022	0.6	150.00	90.00	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding redacting documents for counsel	4/28/2022	0.3	255.00	76.50	Financial - Accounting/Auditing
Ysabel Willits	Redacting information on bank statements to send to counsel	4/28/2022	0.8	150.00	120.00	Financial - Accounting/Auditing
Ysabel Willits	Meeting with JPM regarding redacting more information on agent to send to counsel	4/28/2022	0.3	150.00	45.00	Financial - Accounting/Auditing
Josh McGraw	Exporting additional documentation for BR discovery	4/28/2022	0.7	255.00	178.50	Financial - Data Analysis
John Hall	Review amazon production materials.	4/28/2022	1.1	310.00	341.00	Financial - Forensic Accounting
Josh McGraw	Updating discovery file for BR with additional transactions and creating support documents	4/28/2022	2.5	255.00	637.50	Financial - Forensic Accounting
Josh McGraw	Creating TL schedule and support for discovery	4/28/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Draft language for the status report and create summary for GBW	4/28/2022	3.0	255.00	765.00	Financial - Status Reports
Josh McGraw	Reviewing email from JBH regarding status report notes	4/28/2022	0.6	255.00	153.00	Financial - Status Reports
Josh McGraw	Call with GBW to discuss additional status report updates	4/28/2022	0.1	255.00	25.50	Financial - Status Reports
Geoff Winkler	Review tax memo, discuss internally	4/28/2022	0.2	340.00	68.00	Financial - Tax Issues
Milana Barkhanoy	Transaction verification for 1099 list for 2021	4/28/2022	5.1	255.00	1,300.50	Financial - Tax Issues
Geoff Winkler	Call with TW to discuss property marketing, costs	4/28/2022	0.2	340.00	68.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review and edit report draft	4/28/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Review discovery response, documents	4/28/2022	0.2	340.00	68.00	Legal - Case Administration
John Hall	Drafting and editing quarterly report.	4/28/2022	4.4	310.00	1,364.00	Legal - Case Administration
John Hall	Communication with JPM regarding BR discovery	4/28/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Calls with investors regarding the case and questions	4/28/2022	1.7	255.00	433.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding BR discovery	4/28/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by claimant	4/28/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to counsel regarding assistance with asset transfer	4/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding summary of status report updates	4/28/2022	0.1	255.00	25.50	Legal - Case Administration

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Josh McGraw	Communication with YKW regarding additional documents needing redaction	4/28/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Correspondence with investors regarding updates to case and claims process	4/28/2022	0.7	150.00	105.00	Legal - Case Administration
Josh McGraw	Restructuring documentation provided by financial institution to add to accounting file	4/29/2022	1.2	255.00	306.00	Financial - Forensic Accounting
Josh McGraw	Call with MBB regarding transaction verification for 1099 project and determination of next steps.	4/29/2022	0.9	255.00	229.50	Financial - Forensic Accounting
Josh McGraw	Reviewing documentation provided by vendor	4/29/2022	0.5	255.00	127.50	Financial - Forensic Accounting
	Call with JPM regarding transaction verification for 1099 project and determination of next steps.	4/29/2022	0.9	255.00	229.50	Financial - Forensic Accounting
Milana Barkhanoy	Communication with JPM regarding status report	4/29/2022	0.2	340.00	68.00	Financial - Status Reports
Geoff Winkler	Updating financial statements within the report	4/29/2022	0.4	255.00	102.00	Financial - Status Reports
Josh McGraw	Communication with GBW regarding status report	4/29/2022	0.2	255.00	51.00	Financial - Status Reports
Josh McGraw	Transaction verification for 1099 list for 2021	4/29/2022	4.5	255.00	1,147.50	Financial - Tax Issues
Milana Barkhanoy	Update accounting and report	4/29/2022	2.5	340.00	850.00	Legal - Case Administration
Geoff Winkler	Creating mail merge document for 1099 recipients	4/29/2022	0.9	255.00	229.50	Legal - Case Administration
Josh McGraw	Call with investor discussing the case	4/29/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with MBB regarding accounting needs	4/29/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Email to JMR regarding outstanding vehicular titles	4/29/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding vendor subpoena	4/29/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with JPM regarding accounting needs	4/29/2022	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Investor correspondence to schedule discussion	4/29/2022	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Review counsel updates to the status report	5/2/2022	0.4	255.00	102.00	Financial - Status Reports
Josh McGraw	Transaction verification for 1099 list for 2021	5/2/2022	3.2	255.00	816.00	Financial - Tax Issues
Milana Barkhanoy	Research potential asset, review digital records	5/2/2022	1.4	340.00	476.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Work with KF re property documents, closing, schedule	5/2/2022	0.2	340.00	68.00	Legal - Asset Disposition
Amanda Deering	Team meeting with GBW, JBH, JPM, YKW, MBB discussing current and future tasks	5/2/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Call with counsel, prepare package for counsel	5/2/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Review draft updates, emails with counsel	5/2/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Discussion re WP access, direction	5/2/2022	0.3	340.00	102.00	Legal - Case Administration
	Emails with title company re documents needed, closing date, coordination of signing, home closing	5/2/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Team call to discuss outstanding tasks	5/2/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Emails with counsel re timing	5/2/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Emails with JPM re NV Dept of Minerals	5/2/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review, tweak and accept proposed edits from counsel on third report.	5/2/2022	0.5	310.00	155.00	Legal - Case Administration
John Hall	Team meeting with GBW, JBH, JPM, YKW, MBB discussing current and future tasks	5/2/2022	0.1	310.00	31.00	Legal - Case Administration
John Hall	Call with investor regarding the case	5/2/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Researching contractors to secure abandoned mine	5/2/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Emails to contractors to obtain quotes to secure mine	5/2/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding investor correspondence	5/2/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by investor and email response	5/2/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to investors requesting updates to the case	5/2/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review mine securement quote from vendor	5/2/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Conversations with JBH regarding discovery request and status report	5/2/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Conversations with JPM regarding discovery request and status report	5/2/2022	0.2	255.00	51.00	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Team meeting with GBW, JBH, MBB and YKW discussing current and future tasks	5/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding contractors to secure mine	5/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding discovery request	5/2/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JBH, JPM, YKW, AMD discussing current and future tasks	5/2/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Investor follow up correspondence to schedule discussion	5/2/2022	0.3	150.00	45.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding investor correspondence	5/2/2022	0.3	150.00	45.00	Legal - Case Administration
Ysabel Willits	Team meeting with JPM, JBH, GBW, AMD, and MBB regarding upcoming and ongoing tasks	5/2/2022	0.1	150.00	15.00	Legal - Case Administration
John Hall	Review 1099 items, and work with MBB.	5/3/2022	0.5	310.00	155.00	Financial - Accounting/Auditing
Milana Barkhanoy	Summary verification for 1099 list for 2021	5/3/2022	0.8	255.00	204.00	Financial - Tax Issues
Milana Barkhanoy	Compiling data and email communication to JBH regarding 1099-MISC for 2021	5/3/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Emails with JBH regarding 1099-MISC for 2021	5/3/2022	0.2	255.00	51.00	Financial - Tax Issues
John Hall	Work through IT issues with WP server with MN and AV. Troubleshooting Linux server.	5/3/2022	2.8	310.00	868.00	Legal - Business Operations
Geoff Winkler	Review materials responsive to our subpoena	5/3/2022	0.2	340.00	68.00	Legal - Case Administration
John Hall	Review memo from counsel on creditor subpoena production.	5/3/2022	0.3	310.00	93.00	Legal - Case Administration
Josh McGraw	Discussing accounting needs and outstanding tax obligations with AMD and MBB	5/4/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Josh McGraw	Call with MBB reviewing and verifying transactions for 1099-MISC for 2021	5/4/2022	1.6	255.00	408.00	Financial - Tax Issues
Milana Barkhanoy	Call with JPM reviewing and verifying transactions for 1099-MISC for 2021	5/4/2022	1.6	255.00	408.00	Financial - Tax Issues
Milana Barkhanoy	Summary verification for 1099 list for 2021	5/4/2022	1.5	255.00	382.50	Financial - Tax Issues
Josh McGraw	Updating third party recovery schedule for counsel and emails to ASC	5/4/2022	0.7	255.00	178.50	Legal - Asset Analysis and Recovery
Josh McGraw	Updating third party recovery schedule for counsel and sending email to ASC	5/4/2022	0.6	255.00	153.00	Legal - Asset Analysis and Recovery
Amanda Deering	Discussing case progress and tasks with YKW, MBB, JPM	5/4/2022	0.3	225.00	67.50	Legal - Case Administration
Amanda Deering	Discussing accounting needs and outstanding tax obligations with JPM and MBB	5/4/2022	0.3	225.00	67.50	Legal - Case Administration
Amanda Deering	Conversation with JPM regarding case status and investor communication	5/4/2022	0.2	225.00	45.00	Legal - Case Administration
Geoff Winkler	Emails with counsel re stipulation	5/4/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by vendor and creating database for forensic accounting	5/4/2022	1.5	255.00	382.50	Legal - Case Administration
Josh McGraw	Meetings with AMD regarding case status and investor communication	5/4/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Conversation with YKW, MBB, and AMD regarding accounting updates and 1099 verification	5/4/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with YKW regarding investor calls and vendor communication	5/4/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call to investor to discuss case	5/4/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding subpoena response	5/4/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussing accounting needs and outstanding tax obligations with JPM and AMD	5/4/2022	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Discussing case progress and tasks with YKW, AMD, JPM	5/4/2022	0.3	255.00	76.50	Legal - Case Administration
Ysabel Willits	Investor correspondence to schedule discussion	5/4/2022	0.5	150.00	75.00	Legal - Case Administration
Ysabel Willits	Conversation with JPM, MBB, and AMD regarding updates to case and accounting transactions	5/4/2022	0.3	150.00	45.00	Legal - Case Administration
Josh McGraw	Researching individuals in relativity to confirm accounting classifications	5/5/2022	0.4	255.00	102.00	Financial - Data Analysis
Josh McGraw	Restructuring financial institution data to merge into accounting file	5/5/2022	2.1	255.00	535.50	Financial - Forensic Accounting
Milana Barkhanoy	Discussions with JBH regarding new task for employee transactions; looking for transactions	5/5/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Milana Barkhanoy	Gathering data and email communication to GBW regarding deposited amount	5/5/2022	0.6	255.00	153.00	Financial - Forensic Accounting



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<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Milana Barkhanoy	Discussions with JBH regarding new task for employee transactions; looking for transactions	5/5/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Milana Barkhanoy	Discussions with JPM regarding employee transactions	5/5/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Discussions with YKW regarding employee transactions	5/5/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Discussion and call with MBB verifying transaction categorization to identify 1099-MISC recipients for 2021	5/5/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	Filling out tax software to prepare and print 1099-MISC for 2021	5/5/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	Discussion and call with JPM verifying transaction categorization to identify 1099-MISC recipients for 2021	5/5/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	Summary verification for 1099 list for 2021	5/5/2022	0.5	255.00	127.50	Financial - Tax Issues
Milana Barkhanoy	Email communication to JBH regarding payer detail for 1099-MISC for 2021	5/5/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Emails with title company, review sellers closing statement	5/5/2022	0.2	340.00	68.00	Legal - Asset Disposition
Amanda Deering	Call with JPM regarding the investor calls and discussing responses	5/5/2022	0.4	225.00	90.00	Legal - Case Administration
Geoff Winkler	Call with IT professional	5/5/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Coordinate meeting with KF	5/5/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Review email and stipulation	5/5/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication from MBB regarding deposited amount	5/5/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Call with investor regarding the case	5/5/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with investor regarding the case	5/5/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by vendor and creating database for forensic accounting	5/5/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with AMD regarding the investor calls and discussing responses	5/5/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Communication with MBB regarding supporting documentation for employee transactions	5/5/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding the outstanding vehicular documents	5/5/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to JMR regarding documents needed	5/5/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing information provided by investors	5/5/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Communication with MBB regarding tax information for employee	5/5/2022	0.2	150.00	30.00	Legal - Case Administration
John Hall	Review and input splits on payroll items.	5/6/2022	1.1	310.00	341.00	Financial - Accounting/Auditing
Josh McGraw	Restructuring financial institution data to import into accounting file	5/6/2022	2.4	255.00	612.00	Financial - Forensic Accounting
Josh McGraw	Analyzing financial institution account to determine if transactions should be included in accounting file	5/6/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Call and discussions with MBB reviewing list of 1099-MISC recipients, verifying transactions, gathering detail	5/6/2022	1.1	255.00	280.50	Financial - Tax Issues
Milana Barkhanoy	Filling out tax software with payee data to prepare and print 1099-MISC for 2021	5/6/2022	1.1	255.00	280.50	Financial - Tax Issues
Milana Barkhanoy	Call and discussions with JPM reviewing list of 1099-MISC recipients, verifying transactions, gathering detail	5/6/2022	1.1	255.00	280.50	Financial - Tax Issues
Milana Barkhanoy	Searching for alternative tax software to prepare and print 1099-MISC for 2021	5/6/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	Searching for SSN # for recipients of 1099-MISC for 2021	5/6/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Communication with JPM regarding list of 1099-MISC recipients for 2021	5/6/2022	0.3	255.00	76.50	Financial - Tax Issues
Milana Barkhanoy	Email communication from JBH regarding payer detail for 1099-MISC for 2021	5/6/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Meeting to execute closing documents for Calvert Cliffs home	5/6/2022	1.6	340.00	544.00	Legal - Asset Disposition
Geoff Winkler	Review and execute addendum	5/6/2022	0.2	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Emails with TW re property closing	5/6/2022	0.1	340.00	34.00	Legal - Asset Disposition
Amanda Deering	Meeting with JBH regarding contractors for abandoned mine	5/6/2022	0.1	225.00	22.50	Legal - Case Administration
John Hall	Meeting with JBH regarding contractors for abandoned mine	5/6/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Call with investor regarding the case and answering questions	5/6/2022	0.8	255.00	204.00	Legal - Case Administration

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Josh McGraw	Call with investor regarding case	5/6/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Communication with MBB regarding list of 1099-MISC recipients for 2021	5/6/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with AMD regarding contractors for abandoned mine	5/6/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call from GBW regarding tax information	5/6/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to AMD regarding contractor information for upgrades to land	5/6/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call and text with GBW regarding EIN	5/6/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to JBH regarding unemployment department requests	5/8/2022	0.1	255.00	25.50	Legal - Case Administration
Geoff Winkler	Processing AP and AR	5/9/2022	0.3	340.00	102.00	Financial - Accounting/Auditing
Geoff Winkler	Reconcile bank accounts	5/9/2022	0.2	340.00	68.00	Financial - Accounting/Auditing
Josh McGraw	Discussion with MBB regarding missing information for 1099s	5/9/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Analyzing data and compiling summary for tax filing	5/9/2022	2.4	255.00	612.00	Financial - Tax Issues
Milana Barkhanoy	Searching folders for SSN # for recipients of 1099-MISC for 2021	5/9/2022	1.7	255.00	433.50	Financial - Tax Issues
Milana Barkhanoy	Searching Relativity for SSN # for recipients of 1099-MISC for 2021	5/9/2022	1.4	255.00	357.00	Financial - Tax Issues
Milana Barkhanoy	Populating tax software with payee data to prepare and print 1099-MISC for 2021	5/9/2022	1.1	255.00	280.50	Financial - Tax Issues
Milana Barkhanoy	Call with JPM regarding SSN for 1099s	5/9/2022	0.3	255.00	76.50	Financial - Tax Issues
Geoff Winkler	Discussion with TW re abandoned items	5/9/2022	0.2	340.00	68.00	Legal - Asset Disposition
Geoff Winkler	Emails with broker re sale closing and utility transfer	5/9/2022	0.1	340.00	34.00	Legal - Asset Disposition
Geoff Winkler	Emails with TW re utilities	5/9/2022	0.1	340.00	34.00	Legal - Asset Disposition
Amanda Deering	Discussion with MBB regarding a new task for 1099s	5/9/2022	0.2	225.00	45.00	Legal - Case Administration
Amanda Deering	Calls with MBB regarding SSN and documents in Relativity for 1099s	5/9/2022	0.2	225.00	45.00	Legal - Case Administration
Amanda Deering	Team meeting with GBW, JBH, JPM, YKW, MBB discussing current and future tasks	5/9/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Team call to discuss outstanding tasks	5/9/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Call with JPM regarding contractor bid	5/9/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team meeting with GBW, JBH, JPM, YKW, MBB discussing current and future tasks	5/9/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Call with contractor regarding mine improvements	5/9/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Processing mail and sending next steps emails	5/9/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Team meeting with GBW, MBB, AMD and YKW discussing current and future tasks	5/9/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting with YKW regarding correspondence with investors on meeting with receiver team	5/9/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with GBW regarding contractor bid	5/9/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding vendor invoice	5/9/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussions with AMD regarding a new task for 1099s	5/9/2022	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Calls with AMD regarding SSN and documents in Relativity for 1099s	5/9/2022	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, JBH, JPM, YKW, AMD discussing current and future tasks	5/9/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Correspondence with investor regarding status report and scheduling call with receiver team	5/9/2022	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Team meeting with JPM, GBW, AMD, and MBB regarding upcoming and ongoing tasks	5/9/2022	0.1	150.00	15.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding correspondence with investors on meeting with receiver team	5/9/2022	0.1	150.00	15.00	Legal - Case Administration
Josh McGraw	Discussion with MBB regarding filing for 1099 for 2021 for overseas recipients	5/10/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Analyzing and compiling list of tax form recipients and detail to clarify with JBH; emails to JBH	5/10/2022	1.4	255.00	357.00	Financial - Tax Issues

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Milana Barkhanoy	Filling out tax software with payee data to prepare and print 1099-MISC for 2021	5/10/2022	1.2	255.00	306.00	Financial - Tax Issues
Milana Barkhanoy	Communication with JBH and YKW re next steps in 1099 filings	5/10/2022	0.5	255.00	127.50	Financial - Tax Issues
Milana Barkhanoy	Communication with JBH re next steps in 1099 filings	5/10/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Discussions with JPM regarding filing for 1099 for 2021 for overseas recipients	5/10/2022	0.1	255.00	25.50	Financial - Tax Issues
Amanda Deering	Cancellation of utilities	5/10/2022	0.5	225.00	112.50	Legal - Business Operations
Geoff Winkler	Review four responses to discovery requests	5/10/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with counsel and JPM to discuss outstanding tasks	5/10/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Review interrogatories and execute verification	5/10/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review sale proceeds and log	5/10/2022	0.2	340.00	68.00	Legal - Case Administration
Josh McGraw	Reviewing discovery responses	5/10/2022	0.9	255.00	229.50	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by vendor	5/10/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Uploading court filings onto website	5/10/2022	0.6	255.00	153.00	Legal - Case Administration
	Discussions and call with MBB re website update and claimant email notifications; sending notification to claimants	5/10/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Call with counsel to discuss outstanding items and next steps	5/10/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing counsel provided verifications for discovery	5/10/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Emails to third parties requesting information	5/10/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Confirming claimant is identified in case for LB	5/10/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Updating task list for counsel call	5/10/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to investors regarding distribution timelines	5/10/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with AMD regarding cancellation of utilities	5/10/2022	0.1	255.00	25.50	Legal - Case Administration
	Communication with JPM regarding third parties requesting information and cancelation of utilities	5/10/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding subpoena production	5/10/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding court filings	5/10/2022	0.1	255.00	25.50	Legal - Case Administration
	Discussions and call with JPM re website update and claimant email notifications; sending notification to claimants	5/10/2022	0.6	255.00	153.00	Legal - Case Administration
Milana Barkhanoy	Correspondence with investor regarding status report and scheduling call with receiver team	5/10/2022	0.2	150.00	30.00	Legal - Case Administration
Ysabel Willits						
Josh McGraw	Reviewing documentation in relativity to assist with classification of accounting	5/11/2022	0.9	255.00	229.50	Financial - Data Analysis
Josh McGraw	Updating accounting file by classifying investor inflows and vendor outflows	5/11/2022	3.8	255.00	969.00	Financial - Forensic Accounting
Milana Barkhanoy	Compiling data and drafting request to communicate to CPA; email to CPA	5/11/2022	0.8	255.00	204.00	Financial - Tax Issues
Amanda Deering	Communication with JPM regarding investor call	5/11/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Research database for information re new assets	5/11/2022	-	340.00	-	Legal - Case Administration
Geoff Winkler	Detailed call with investor/promoter	5/11/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call to discuss call updates	5/11/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Call with JPM regarding assets of PC	5/11/2022	0.2	340.00	68.00	Legal - Case Administration
Josh McGraw	Emails to investors asking questions about the case	5/11/2022	1.3	255.00	331.50	Legal - Case Administration
Josh McGraw	Call with investor regarding case	5/11/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Updating lien analysis with additional information provided by investors	5/11/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with GBW regarding assets of PC	5/11/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Scheduling call with investor to discuss case	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RR to schedule a call with GBW	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding investor requested information	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding investor call requesting the order	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with MBB regarding forensic accounting progress	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to contractor requesting updated bid	5/11/2022	0.1	255.00	25.50	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Josh McGraw	Email to JMR regarding updates on titles	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JM regarding executed order and next steps for vehicular title	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussions with JBH, JPM, YKW regarding forensic accounting	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussions with JPM regarding forensic accounting progress	5/11/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Correspondence with investor regarding status report and scheduling call with receiver team	5/11/2022	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Correspondence with investor regarding scheduling call with receiver team	5/11/2022	0.2	150.00	30.00	Legal - Case Administration
Josh McGraw	Reviewing credit card transactions to determine additional information needed from counsel and email with summary	5/12/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Email from CPA regarding 1099-MISC for 2021	5/12/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Email to JBH regarding next steps for 1099-MISC for 2021	5/12/2022	0.1	255.00	25.50	Financial - Tax Issues
Amanda Deering	Call with investors requesting updates	5/12/2022	0.2	225.00	45.00	Legal - Case Administration
Amanda Deering	Communication with JPM regarding investor call	5/12/2022	0.1	225.00	22.50	Legal - Case Administration
Amanda Deering	Discussion between AMD and JPM regarding updates on accounting and operational needs	5/12/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Call with interested party	5/12/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with investor	5/12/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Call with former EE to discuss settlement	5/12/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review and respond to document summary	5/12/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review draft motion and emails with counsel	5/12/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review service invoice for necessity	5/12/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Call between GBW and JPM regarding contractor and proposal	5/12/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email communication between MBB and GBW re business insurance and next steps	5/12/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Emails to discuss insurance coverage	5/12/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Emails to investors regarding questions about the case and latest status report	5/12/2022	1.1	255.00	280.50	Legal - Case Administration
Josh McGraw	Completing counsel request for information and email	5/12/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with investor regarding questions about the case	5/12/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Call with investor requesting updates on the case and process	5/12/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with investor requesting updates	5/12/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Researching requirements for required land improvements	5/12/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call between YKW and JPM regarding outstanding operational items	5/12/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Discussion between AMD and JPM regarding updates on accounting and operational needs	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing proposal from contractor	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call between GBW and JPM regarding contractor and proposal	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to AMD regarding tax memo	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor to set up a meeting to discuss items	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing response from GBW regarding items to complete	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to contractor to accept bid and obtain invoice	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call to investor requesting information about the case	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with AMD regarding investor call	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing additional discovery requests from counsel	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Analyzing business insurance history; call with insurance rep; drafting summary for GBW to determine next steps	5/12/2022	1.3	255.00	331.50	Legal - Case Administration
Milana Barkhanoy	Email communication to GBW re business insurance and next steps	5/12/2022	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Call between YKW and JPM regarding outstanding operational items	5/12/2022	0.3	150.00	45.00	Legal - Case Administration

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Geoff Winkler	Review bank notice, emails with counsel re check issue	5/13/2022	0.2	340.00	68.00	Financial - Accounting/Auditing
Josh McGraw	Searching for bank statements and contact information	5/13/2022	0.4	255.00	102.00	Financial - Data Analysis
Josh McGraw	Updating the accounting file by classifying vendor transactions	5/13/2022	3.1	255.00	790.50	Financial - Forensic Accounting
Geoff Winkler	Meeting with interested party	5/13/2022	1.5	340.00	510.00	Legal - Case Administration
Geoff Winkler	Review notice of penalty offenses from the FTC, email to set up a time to discuss	5/13/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Call with former employee	5/13/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Communication with JPM regarding counsel request	5/13/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Call with ASC regarding discovery requests	5/13/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call to financial institution to request information	5/13/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email communication with counsel regarding discovery requests	5/13/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Updating task list to include completed items	5/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding contact information of agents	5/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding counsel request	5/13/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Review subpoena list of accounts, reconcile to in-hand statements and review residual YKW notes and update account list.	5/16/2022	2.3	310.00	713.00	Financial - Accounting/Auditing
John Hall	Reconcile unknown memos against statements to confirm accounting file categorizations.	5/16/2022	2.7	310.00	837.00	Financial - Forensic Accounting
Milana Barkhanoy	Call with JBH regarding CPA response and next steps in preparing 1099s	5/16/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Discussions communication with JBH regarding CPA response and next steps in preparing 1099s	5/16/2022	0.1	255.00	25.50	Financial - Tax Issues
John Hall	Review discovery exhibits and settlement brief. Discuss with GBW.	5/16/2022	1.0	310.00	310.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updated BR discovery schedule	5/16/2022	1.3	255.00	331.50	Legal - Asset Analysis and Recovery
Josh McGraw	Emails with counsel regarding discovery requests	5/16/2022	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Call with ASC regarding additional discovery request	5/16/2022	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Amanda Deering	Team meeting with JBH, JPM, AMD, MBB discussing current and future tasks	5/16/2022	0.3	225.00	67.50	Legal - Case Administration
Amanda Deering	Call between AMD and MBB discussing current and future tasks	5/16/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Review settlement conference statement, exhibits, discuss with counsel	5/16/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with interested party	5/16/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review responses to discovery requests, emails with counsel	5/16/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Emails with counsel re subpoena	5/16/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Follow up discussion with counsel re subpoena request, contact	5/16/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email with counsel re check reissue	5/16/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review notice of subpoena, discuss with GBW	5/16/2022	0.3	310.00	93.00	Legal - Case Administration
John Hall	Team case discussion and working through upcoming tasks.	5/16/2022	0.3	310.00	93.00	Legal - Case Administration
Josh McGraw	Reviewing employee information to respond to CF and email of responses	5/16/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Call with investor requesting update on the case	5/16/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Team meeting with JBH, MBB, AMD discussing current and future tasks	5/16/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Conversation with JBH regarding employee request and forensic accounting	5/16/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email communication with RR regarding call times	5/16/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with GBW regarding call with RR	5/16/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing email regarding next steps for financial institution	5/16/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call to CF regarding requested information	5/16/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with JBH, JPM, AMD discussing current and future tasks	5/16/2022	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Call between AMD and MBB discussing current and future tasks	5/16/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing documentation for counsel requests	5/17/2022	0.6	255.00	153.00	Financial - Data Analysis
John Hall	Review categorization against COA and produced financial statements. Troubleshooting accounting file.	5/17/2022	2.2	310.00	682.00	Financial - Forensic Accounting



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Josh McGraw	Classifying vendor payments to update discovery documentation	5/17/2022	0.7	255.00	178.50	Financial - Forensic Accounting
Geoff Winkler	Discussions with counsel re tax letter	5/17/2022	0.1	340.00	34.00	Financial - Tax Issues
John Hall	Review memo with GBW and send to counsel for final approval.	5/17/2022	0.4	310.00	124.00	Financial - Tax Issues
Milana Barkhanoy	Searching for and testing new software to prepare 1099-NEC	5/17/2022	1.1	255.00	280.50	Financial - Tax Issues
Milana Barkhanoy	Email to CPA	5/17/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Review asset lease information	5/17/2022	0.2	340.00	68.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating discovery documentation and extracting support	5/17/2022	2.8	255.00	714.00	Legal - Asset Analysis and Recovery
Josh McGraw	Call with ASC regarding discovery requests	5/17/2022	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Josh McGraw	Email communication with counsel regarding discovery requests	5/17/2022	0.4	255.00	102.00	Legal - Asset Analysis and Recovery
Josh McGraw	Redacting statements for discovery support	5/17/2022	0.4	255.00	102.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with counsel regarding current and future tasks	5/17/2022	0.7	340.00	238.00	Legal - Case Administration
Geoff Winkler	Emails with AH re notice, no action required	5/17/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email to RR regarding call with JPM	5/17/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Communication with AMD regarding call with RR	5/17/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team call with counsel to discuss ongoing activities.	5/17/2022	0.7	310.00	217.00	Legal - Case Administration
Josh McGraw	Call with counsel regarding current and future tasks	5/17/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Updating counsel call document	5/17/2022	0.6	255.00	153.00	Legal - Case Administration
	Reviewing the information provided by an investor and researching for additional information	5/17/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Summarization of transactions in discovery for counsel and email	5/17/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding call with RR	5/17/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RR regarding call with GBW	5/17/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Categorization and review of staff work product.	5/18/2022	1.8	310.00	558.00	Financial - Forensic Accounting
Milana Barkhanoy	Email from CPA re 1099	5/18/2022	0.1	255.00	25.50	Financial - Tax Issues
Amanda Deering	Communication between JPM and AMD regarding next steps of discovery	5/18/2022	0.2	225.00	45.00	Legal - Asset Analysis and Recovery
Josh McGraw	Updating documents for WR discovery	5/18/2022	2.3	255.00	586.50	Legal - Asset Analysis and Recovery
Josh McGraw	Redacting statements for discovery support	5/18/2022	1.2	255.00	306.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication between JPM and AMD regarding next steps of discovery	5/18/2022	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with counsel to discuss mediation brief, upcoming mediation	5/18/2022	0.7	340.00	238.00	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by counsel and responding to inquiry	5/18/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Email communication to counsel regarding WR discovery	5/18/2022	0.2	255.00	51.00	Legal - Case Administration
John Hall	Review work product and troubleshoot COA items against BS and IS items.	5/19/2022	2.3	310.00	713.00	Financial - Accounting/Auditing
Josh McGraw	Reviewing relativity for missing information for 1099-NECs	5/19/2022	1.4	255.00	357.00	Financial - Data Analysis
Josh McGraw	Restructuring financial institution data for accounting file merge	5/19/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Classifying agent transactions	5/19/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	Communication with MBB about missing information for 1099s	5/19/2022	0.2	255.00	51.00	Financial - Tax Issues
	Searching and placing order for new tax kit with software for 1099-NEC; saving invoice to internal folder and web-app	5/19/2022	0.8	255.00	204.00	Financial - Tax Issues
Milana Barkhanoy	Call between AMD and JPM regarding vendor production and next steps	5/19/2022	0.3	225.00	67.50	Legal - Case Administration
Amanda Deering	Call with investor	5/19/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Emails with counsel re hearing	5/19/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Review email re response to request for information	5/19/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Call with contractor and communication follow-up	5/19/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by vendor	5/19/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call between AMD and JPM regarding vendor production and next steps	5/19/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Email to GBW and TW regarding required land improvements	5/19/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JM regarding vehicular title and reimbursement	5/19/2022	0.1	255.00	25.50	Legal - Case Administration

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Josh McGraw	Email to JMR regarding updates on outstanding asset documentation	5/19/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Reviewing and troubleshooting credit card entries for personal v business categorization.	5/20/2022	3.5	310.00	1,085.00	Financial - Forensic Accounting
John Hall	Forensic accounting meeting with JPM and MBB	5/20/2022	0.2	310.00	62.00	Financial - Forensic Accounting
Josh McGraw	Integrating financial institution account into the accounting file	5/20/2022	2.1	255.00	535.50	Financial - Forensic Accounting
Josh McGraw	Classifying agent transactions	5/20/2022	1.9	255.00	484.50	Financial - Forensic Accounting
Josh McGraw	Restructuring financial institution data for accounting file merge	5/20/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Forensic accounting meeting with JBH and MBB	5/20/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Geoff Winkler	Review hearing details and emails with counsel	5/20/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Email to investor requesting information about the case	5/20/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussing accounting needs and case progression with JBH and JPM	5/20/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing documentation to classify accounting entries	5/23/2022	0.7	255.00	178.50	Financial - Data Analysis
Josh McGraw	Updating accounting file with the vendor production	5/23/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Amanda Deering	Communication with JBH, MBB, JPM and AMD to discuss next steps for forensic reports	5/23/2022	0.2	225.00	45.00	Financial - Status Reports
John Hall	Communication with JBH, MBB, JPM and AMD to discuss next steps for forensic reports	5/23/2022	0.2	310.00	62.00	Financial - Status Reports
Josh McGraw	Communication with JBH, MBB, JPM and AMD to discuss next steps for forensic reports	5/23/2022	0.2	255.00	51.00	Financial - Status Reports
Milana Barkhanoy	Populating software with data for 1099-NEC preparation	5/23/2022	0.4	255.00	102.00	Financial - Tax Issues
Geoff Winkler	Emails with counsel re turnover of titles	5/23/2022	0.1	340.00	34.00	Legal - Asset Disposition
Amanda Deering	Team meeting with GBW, JBH, MBB, JPM and AMD discussing current and future tasks	5/23/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Call with counsel to discuss other filed cases	5/23/2022	0.6	340.00	204.00	Legal - Case Administration
Geoff Winkler	Review letter and subpoena request, emails with team	5/23/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review agency request letter, emails with counsel	5/23/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Team call to discuss outstanding tasks	5/23/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review accounting transaction categorization staff work product for correctness.	5/23/2022	2.1	310.00	651.00	Legal - Case Administration
John Hall	Case discussion with team.	5/23/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Creating outline for forensic accounting report	5/23/2022	2.0	255.00	510.00	Legal - Case Administration
Josh McGraw	Review physical files for invoices for accounting	5/23/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Email to JBH and GBW regarding vendor letter	5/23/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Team meeting with GBW, JBH, MBB and AMD discussing current and future tasks	5/23/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding forensic accounting report	5/23/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting to discuss outstanding tasks	5/23/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing information in relativity from vendor production	5/24/2022	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Reviewing documentation to confirm deposit	5/24/2022	0.5	255.00	127.50	Financial - Data Analysis
	Recategorizing private cc transactions and analysis and composition of flows.					
John Hall	Cryptocurrency analysis of relevant transactions.	5/24/2022	2.1	310.00	651.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with the vendor production	5/24/2022	1.2	255.00	306.00	Financial - Forensic Accounting
Josh McGraw	Communication between MBB and JPM regarding the status of 1099s	5/24/2022	0.1	255.00	25.50	Financial - Tax Issues
Josh McGraw	Review direct marketing material from broker and provide feedback to GBW	5/24/2022	0.6	255.00	153.00	Legal - Asset Disposition
Geoff Winkler	Call with counsel to discuss litigation strategy	5/24/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with counsel and JPM to discuss outstanding tasks	5/24/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with counsel regarding current and future tasks	5/24/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with promoter	5/24/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review marketing materials and discuss internally	5/24/2022	0.2	340.00	68.00	Legal - Case Administration

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Geoff Winkler	Review quote to fence abandoned mines, vet contractor	5/24/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review email from MG re settlement conference, emails with counsel	5/24/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Emails with JPM re interest payments	5/24/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Email to TW re postcard update	5/24/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Review hearing information and schedule	5/24/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Review and analyze vendor production	5/24/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call to claimant regarding the case and their investment	5/24/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with counsel regarding current and future tasks	5/24/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with claimant regarding the case	5/24/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Updating lien analysis with information provided by claimants	5/24/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Updating counsel call task list	5/24/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with claimant regarding investment status	5/24/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with the claimant regarding status of lien	5/24/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review of payments from RMU and email to GBW	5/24/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to claimants requesting information about the case	5/24/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with contract regarding land improvements	5/24/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call to claimant requesting information	5/24/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding updated costs for land improvements	5/24/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Review JPM work and drafts, analysis and discussion of progress with GBW.	5/25/2022	1.8	310.00	558.00	Financial - Forensic Accounting
John Hall	Conversation between JBH and JPM regarding the forensic account report	5/25/2022	0.2	310.00	62.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with the vendor production	5/25/2022	1.7	255.00	433.50	Financial - Forensic Accounting
Josh McGraw	Conversation between JBH and JPM regarding the forensic account report	5/25/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Geoff Winkler	Prepare for mediation, attend mediation with counsel	5/25/2022	-	340.00	-	Financial - Litigation Consulting
Josh McGraw	Structuring outline of the forensic accounting report and detailing information to discuss in report	5/25/2022	1.3	255.00	331.50	Financial - Status Reports
Milana Barkhanoy	Email from JBH with clarification on next steps for certain 1099 recipients	5/25/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Communication with JPM regarding information needed during mediation	5/25/2022	0.2	340.00	68.00	Legal - Asset Analysis and Recovery
Josh McGraw	Communication with GBW (.2) and providing requested email during mediation (.4)	5/25/2022	0.6	255.00	153.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review and finalize marketing materials for Searchlight	5/25/2022	0.1	340.00	34.00	Legal - Asset Disposition
Amanda Deering	Meeting between AMD and JPM regarding claimant questions and forensic accounting report	5/25/2022	0.3	225.00	67.50	Legal - Case Administration
Geoff Winkler	Email with team re settlement hearing results	5/25/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Emails with counsel re material terms of settlement	5/25/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Call with investor regarding case update and questions	5/25/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Compiling counsel requested documentation and sending email to counsel	5/25/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Review email communication system to assist with claimant's issue of receiving notifications	5/25/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Meeting between AMD and JPM regarding claimant questions and forensic accounting report	5/25/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Reviewing updated marketing materials and responding to broker	5/25/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with contractor regarding land improvements	5/25/2022	0.2	255.00	51.00	Legal - Case Administration
Geoff Winkler	Process accounts payable	5/26/2022	0.3	340.00	102.00	Financial - Accounting/Auditing
John Hall	Correcting and updating simplified COA entries for accounting file.	5/26/2022	2.4	310.00	744.00	Financial - Accounting/Auditing
Josh McGraw	Updating accounting file with vendor provided documentation	5/26/2022	3.0	255.00	765.00	Financial - Forensic Accounting
Josh McGraw	Structuring outline of the forensic accounting report and detailing information to discuss in report	5/26/2022	1.1	255.00	280.50	Financial - Status Reports



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<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Milana Barkhanoy	Email to CPA re 1099	5/26/2022	0.1	255.00	25.50	Financial - Tax Issues
Amanda Deering	Mail processing and forwarding	5/26/2022	1.2	225.00	270.00	Legal - Case Administration
Amanda Deering	Reviewing and summarizing audio for discovery	5/26/2022	1.5	225.00	337.50	Legal - Case Administration
Amanda Deering	Reviewing and summarizing audio for discovery	5/26/2022	1.0	225.00	225.00	Legal - Case Administration
Amanda Deering	Discussing next steps with JPM on reviewing audio for discovery	5/26/2022	0.5	225.00	112.50	Legal - Case Administration
Amanda Deering	Meeting with JPM to update the website with tax memo	5/26/2022	0.4	225.00	90.00	Legal - Case Administration
Geoff Winkler	Hearing regarding fee application and employee litigation counsel	5/26/2022	1.0	340.00	340.00	Legal - Case Administration
Geoff Winkler	Status conference with court and counsel	5/26/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with interested party	5/26/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Review mine repair photos, information, email response to JPM	5/26/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Emails with JPM re website updates	5/26/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Emails with KW re hearing timing	5/26/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Update emails with KA and MG re hearing	5/26/2022	0.1	340.00	34.00	Legal - Case Administration
Josh McGraw	Discussing next steps with JPM and AMD on reviewing audio for discovery	5/26/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Meeting between MBB and JPM to review documentation provided by claimant and next steps for processing	5/26/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Communication with an investor regarding notification issues. Updating contact information for investor and testing notifications	5/26/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with AMD to update the website with tax memo	5/26/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with a claimant asking for an update on the case	5/26/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review website to incorporate updates	5/26/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Email correspondence with contracted employee and GBW	5/26/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review documentation provided by contractor and email with billing information	5/26/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to TW regarding status of the land improvements	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding mine enclosure work completed	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding website	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding documentation provided by claimant	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to TW regarding land improvements	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding beneficiary request from claimant	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding financial institution request	5/26/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Forensic accounting report planning meeting with MBB JPM AMD	5/27/2022	0.8	310.00	248.00	Financial - Forensic Accounting
John Hall	Conversation with JPM regarding classification of vendor payments	5/27/2022	0.2	310.00	62.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by classifying vendor payments	5/27/2022	0.9	255.00	229.50	Financial - Forensic Accounting
Josh McGraw	Conversation with JBH regarding classification of vendor payments	5/27/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Email to MBB re transaction categorizations for agent fees and investor flows	5/27/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Updating transaction categorizations for agent fees and investor flows	5/27/2022	1.2	255.00	306.00	Financial - Forensic Accounting
Milana Barkhanoy	Email to JPM re transaction categorizations for agent fees and investor flows	5/27/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Email from JPM re transaction categorizations for agent fees and investor flows	5/27/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Email to JPM re last name spelling correction for agent records	5/27/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Geoff Winkler	Review tax letter and emails with counsel, JBH	5/27/2022	0.1	340.00	34.00	Financial - Tax Issues
Josh McGraw	Communication between JPM and MBB re tax memo issues	5/27/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Setting up and populating software with tax	5/27/2022	2.4	255.00	612.00	Financial - Tax Issues
Milana Barkhanoy	Validating totals for 1099 recipients for 2021	5/27/2022	1.1	255.00	280.50	Financial - Tax Issues
Milana Barkhanoy	Searching for and populating TINs for 2021 1099 recipients	5/27/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	E-filing 1099-NEC for 2021 to IRS	5/27/2022	0.6	255.00	153.00	Financial - Tax Issues
Milana Barkhanoy	Organizing and auditing printed 1099 and 1096 forms; researching re next steps in tax filing process	5/27/2022	0.6	255.00	153.00	Financial - Tax Issues

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Milana Barkhanoy	Arranging 1099-NEC letters	5/27/2022	0.5	255.00	127.50	Financial - Tax Issues
Milana Barkhanoy	Compiling data and summarizing tax filing into email to CPA; email communication to CPA	5/27/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Summarizing task status and sending email communication to JBH	5/27/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Communication between JPM and MBB re tax memo issues	5/27/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Preparing and printing 1096 for 2021 recipients of 1099-NEC	5/27/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Filing invoice with web billing software	5/27/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Email to JBH re 1099 payer sales tax exemption status	5/27/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Communication from JBH re 1099 payer sales tax exemption status	5/27/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Saving invoice for accounting software	5/27/2022	0.1	255.00	25.50	Financial - Tax Issues
Amanda Deering	Reviewing audio for potential discovery	5/27/2022	4.0	225.00	900.00	Legal - Case Administration
Amanda Deering	Discussion on forensic accounting report and next steps with JBH, MBB and JPM	5/27/2022	0.8	225.00	180.00	Legal - Case Administration
Amanda Deering	AMD and JPM discussing future tasks for forensic accounting report and outstanding accounting needs	5/27/2022	0.7	225.00	157.50	Legal - Case Administration
Amanda Deering	Reviewing website and discussing documentation for AMD and JPM	5/27/2022	0.4	225.00	90.00	Legal - Case Administration
Geoff Winkler	Review and listen to files received from third party	5/27/2022	1.4	340.00	476.00	Legal - Case Administration
Geoff Winkler	Review documents and summary for interested party	5/27/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Reviewing summaries of records received	5/27/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Process vendor invoices	5/27/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Review information re subpoena of bank, known information	5/27/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Discussion between counsel and interested party re information received	5/27/2022	0.2	340.00	68.00	Legal - Case Administration
Josh McGraw	Discussion on forensic accounting report and Next Steps with JBH, MBB and JPM	5/27/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	AMD and JPM discussing future tasks for forensic accounting report and outstanding accounting needs	5/27/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Updating and restructuring website	5/27/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing website and discussing documentation for AMD and JPM	5/27/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Reviewing additional counsel requests	5/27/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Responding to counsel requested information	5/27/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review invoice from contractor, send confirmation, request payment from GBW and communication with contractor	5/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email and communication with JBH and GBW regarding tax document provided by CPAs	5/27/2022	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Discussing case progress and tasks with AMD, JPM, JBH	5/27/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Updating accounting file by classifying credit card transactions	5/29/2022	1.6	255.00	408.00	Financial - Forensic Accounting
Josh McGraw	Email to a claimant regarding updates on the case	5/29/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Updating accounting file by classifying credit card transactions	5/30/2022	1.4	255.00	357.00	Financial - Forensic Accounting
Geoff Winkler	Review and research accounting question, emails with MBB	5/31/2022	0.2	340.00	68.00	Financial - Accounting/Auditing
John Hall	Drafting and analysis for accounting report. reviewing JPM and MBB work product.	5/31/2022	3.4	310.00	1,054.00	Financial - Forensic Accounting
John Hall	Reviewing employee and crypto transaction categorization.	5/31/2022	0.1	310.00	31.00	Financial - Forensic Accounting
John Hall	Communication with JPM regarding requested vendor production documentation	5/31/2022	0.1	310.00	31.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by classifying credit card transactions	5/31/2022	-	255.00	-	Financial - Forensic Accounting
Josh McGraw	Reviewing documentation to classify vendor transactions	5/31/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	Communication with JBH regarding requested vendor production documentation	5/31/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Checking and validating e-Filing status for 1099-NEC	5/31/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Email from CPA re 1096 submission	5/31/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Email to CPA re 1096 submission and next steps	5/31/2022	0.1	255.00	25.50	Financial - Tax Issues
Geoff Winkler	Emails with MBB and JBH re insurance renewal	5/31/2022	0.1	340.00	34.00	Legal - Asset Analysis and Recovery

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Amanda Deering	Calling vendor re: payment for accounting	5/31/2022	0.3	225.00	67.50	Legal - Case Administration
Amanda Deering	Communication between AMD and JPM regarding vendor request and contact information	5/31/2022	0.2	225.00	45.00	Legal - Case Administration
Amanda Deering	Meeting with MBB, JBH, GBW, SBP and AMD to discuss next steps	5/31/2022	0.1	225.00	22.50	Legal - Case Administration
Amanda Deering	Processing mail re: third party next steps	5/31/2022	0.1	225.00	22.50	Legal - Case Administration
Amanda Deering	Calling vendor re: payment for accounting	5/31/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Call with team and counsel to discuss outstanding tasks	5/31/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with former professional	5/31/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Call with investor to discuss case	5/31/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Work with counsel re subpoenaed documents, additional documents requested but not received	5/31/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Communication with JPM regarding hearing discussion	5/31/2022	0.1	340.00	34.00	Legal - Case Administration
Geoff Winkler	Team meeting to discuss outstanding tasks	5/31/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review insurance issues with MBB.	5/31/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Meeting with counsel regarding case and next steps	5/31/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Updating counsel call task list	5/31/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Reviewing emails from counsel regarding vendor production and requested information	5/31/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication between AMD and JPM regarding vendor request and contact information	5/31/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Team meeting discussing current and future tasks	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to CPAs regarding tax memo	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing email from CPAs regarding tax memo	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication to JBH regarding tax memo	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to contractor regarding status of payment	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call from vendor regarding balance prior to receivership	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding hearing discussion	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Verifying Hartford Insurance bill status	5/31/2022	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Team meeting to discuss outstanding tasks	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Email to GBH re Hartford Insurance bill	5/31/2022	0.1	255.00	25.50	Legal - Case Administration
Sam Parker	Team meeting to discuss outstanding tasks	5/31/2022	0.1	165.00	16.50	Legal - Case Administration
Josh McGraw	Review documentation in relativity to classify transfers, payments and transactions	6/1/2022	1.3	255.00	331.50	Financial - Data Analysis
Josh McGraw	Accessing vendor websites to classify transactions	6/1/2022	0.3	255.00	76.50	Financial - Data Analysis
John Hall	Review Amazon production items.	6/1/2022	0.8	310.00	248.00	Financial - Forensic Accounting
Josh McGraw	Classifying and reviewing agent and investor transactions to incorporate additional financial institution accounts into file.	6/1/2022	4.0	255.00	1,020.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by classifying credit card transactions and third-party transfers	6/1/2022	1.2	255.00	306.00	Financial - Forensic Accounting
Amanda Deering	Discussion around future tasks	6/1/2022	0.4	225.00	90.00	Legal - Case Administration
Amanda Deering	Collection of invoices and communication with case manager re: next steps	6/1/2022	0.3	225.00	67.50	Legal - Case Administration
Amanda Deering	Reviewing invoices from vendor and communication with AMD	6/1/2022	0.2	225.00	45.00	Legal - Case Administration
Geoff Winkler	Review progress on accounting, report, review financials	6/1/2022	2.1	340.00	714.00	Legal - Case Administration
Geoff Winkler	Discussion with interested party, work with team to gather information	6/1/2022	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Email and communication with JPM regarding timing of payment to JM	6/1/2022	0.2	340.00	68.00	Legal - Case Administration
John Hall	Review settlement agreement and discuss with GBW	6/1/2022	0.5	310.00	155.00	Legal - Case Administration
Josh McGraw	Discussion around future tasks	6/1/2022	0.4	255.00	102.00	Legal - Case Administration

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Josh McGraw	Emails with JMR regarding vehicular title and next steps	6/1/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by WR	6/1/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with JM regarding payment and confirming mailed documents	6/1/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email and communication with GBW regarding timing of payment to JM	6/1/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing invoices from vendor and communication with AMD	6/1/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to GBW regarding vehicular title timeline	6/1/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email with the contractor regarding documents in transit	6/1/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Email from JBH re Hartford Insurance bill	6/1/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Classifying and reviewing agent and investor transactions to incorporate additional financial institution accounts into file.	6/2/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	Classifying vendor payments in accounting file	6/2/2022	0.7	255.00	178.50	Financial - Forensic Accounting
John Hall	Review analyses from team, discuss options with GBW	6/2/2022	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
Amanda Deering	Reviewing and summarizing recordings	6/2/2022	4.0	225.00	900.00	Legal - Case Administration
Amanda Deering	Communication with JPM regarding the land improvements and investor communications.	6/2/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Research for documents related to litigation, review database	6/2/2022	2.4	340.00	816.00	Legal - Case Administration
Geoff Winkler	Call with interested party	6/2/2022	0.6	340.00	204.00	Legal - Case Administration
John Hall	Communication with JPM regarding forensic accounting timelines	6/2/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Exporting and reviewing production received from counsel	6/2/2022	1.2	255.00	306.00	Legal - Case Administration
Josh McGraw	Reviewing summaries from information provided by claimant	6/2/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call to claimant requesting updates and documentation	6/2/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Preparing documents to be sent to claimant upon request	6/2/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with AMD regarding the land improvements and investor communications.	6/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding forensic accounting timelines	6/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding production documents	6/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call to a claimant to discuss their investment	6/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW and JBH regarding vendor production	6/2/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call from vendor regarding balance prior to receivership	6/2/2022	0.1	255.00	25.50	Legal - Case Administration
Geoff Winkler	Process accounts receivable	6/3/2022	0.2	340.00	68.00	Financial - Accounting/Auditing
Josh McGraw	Reviewing and verifying classifications of accounting	6/3/2022	3.8	255.00	969.00	Financial - Forensic Accounting
Josh McGraw	Reviewing credit card transactions and classifying	6/3/2022	1.1	255.00	280.50	Financial - Forensic Accounting
Amanda Deering	Reviewing receipts for third party recovery and entering data into spreadsheet	6/3/2022	2.0	225.00	450.00	Legal - Case Administration
Amanda Deering	Reviewing checks and spreadsheets for information requested by counsel	6/3/2022	2.0	225.00	450.00	Legal - Case Administration
Amanda Deering	Meeting between JPM and AMD regarding counsel requested information	6/3/2022	0.4	225.00	90.00	Legal - Case Administration
Amanda Deering	Communication with JPM regarding verifying WR provided documentation	6/3/2022	0.1	225.00	22.50	Legal - Case Administration
Josh McGraw	Reviewing production received from counsel	6/3/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing documentation created for counsel request	6/3/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting between JPM and AMD regarding counsel requested information	6/3/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Exporting documents provided by WR and structuring verification spreadsheet	6/3/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with AMD regarding verifying WR provided documentation	6/3/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing and verifying classifications of accounting	6/4/2022	2.5	255.00	637.50	Financial - Forensic Accounting
Josh McGraw	Reviewing credit card transactions and classifying	6/4/2022	2.5	255.00	637.50	Financial - Forensic Accounting
Josh McGraw	Classifying vendor transactions	6/5/2022	1.4	255.00	357.00	Financial - Forensic Accounting
John Hall	Communication with JPM regarding forensic accounting	6/5/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Communication with JBH regarding forensic accounting	6/5/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing physical documentation to classify transactions in accounting.	6/6/2022	0.4	255.00	102.00	Financial - Data Analysis

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
John Hall	Review accounting and categorizations.	6/6/2022	2.5	310.00	775.00	Financial - Forensic Accounting
John Hall	Work with JPM (1.1) and solo on forensic accounting topics (.5)	6/6/2022	1.6	310.00	496.00	Financial - Forensic Accounting
Josh McGraw	Classifying vendor transactions, updating classifications based on WR production and reviewing personal accounts	6/6/2022	-	255.00	-	Financial - Forensic Accounting
Josh McGraw	Meeting with JBH to discuss outstanding forensic accounting items.	6/6/2022	1.1	255.00	280.50	Financial - Forensic Accounting
Geoff Winkler	Team meeting to discuss outstanding tasks	6/6/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Case meeting with team	6/6/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Call with claimant requesting an update and next steps	6/6/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Sending documents to claimant per request	6/6/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call to vendor regarding payment details	6/6/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Emails to claimants regarding status and location of court documents	6/6/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with contractor regarding payment	6/6/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Team meeting discussing current and future tasks	6/6/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting to discuss outstanding tasks	6/6/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing and exporting documentation for third-party transfers	6/7/2022	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Reviewing documentation to classify transactions in financial institution account	6/7/2022	0.5	255.00	127.50	Financial - Data Analysis
Josh McGraw	Classifying vendor transactions, separating personal transactions and updating schedules	6/7/2022	3.6	255.00	918.00	Financial - Forensic Accounting
Josh McGraw	Integrating financial institution account into accounting file	6/7/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Reviewing and analyzing transaction in accounting file with MBB	6/7/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Josh McGraw	Summarizing missing information in vendor production	6/7/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Reviewing and analyzing transaction in accounting file with JPM	6/7/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Geoff Winkler	Call with team to discuss outstanding tasks	6/7/2022	0.6	340.00	204.00	Legal - Case Administration
John Hall	PC review of outstanding issues with JPM	6/7/2022	0.7	310.00	217.00	Legal - Case Administration
John Hall	Team meeting with GBW JPM KA and MG	6/7/2022	0.6	310.00	186.00	Legal - Case Administration
Josh McGraw	Preparing summarization of forensic accounting and email communication for JBH review	6/7/2022	1.7	255.00	433.50	Legal - Case Administration
Josh McGraw	PC review of outstanding issues with JBH	6/7/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Team meeting with GBW JBH KA and MG	6/7/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Updating task list for counsel call	6/7/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email communication with JMR regarding vehicular title	6/7/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW to confirm receipt of vehicular title and payment information for JM	6/7/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with SBP regarding claimant communication	6/7/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to MAG regarding missing information from vendor production	6/7/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call from vendor regarding balance prior to receivership	6/7/2022	0.1	255.00	25.50	Legal - Case Administration
Sam Parker	Communication with JPM regarding claimant communication	6/7/2022	0.1	165.00	16.50	Legal - Case Administration
John Hall	Review of 1099 items, analysis of employee categorizations, integrate investor and agent flows.	6/8/2022	3.9	310.00	1,209.00	Financial - Accounting/Auditing
Milana Barkhanoy	Review items for the upcoming forensic accounting discussion	6/8/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Call with MBB re updated amounts for 1099-NEC	6/8/2022	0.3	255.00	76.50	Financial - Tax Issues
Josh McGraw	Call with MBB re 2021 tax forms	6/8/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Updating tax amounts on 1099-NEC forms	6/8/2022	2.4	255.00	612.00	Financial - Tax Issues
Milana Barkhanoy	Compiling data into summary re filed 1099 forms; email communication to JBH	6/8/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Call with JPM re updated amounts for 1099-NEC	6/8/2022	0.3	255.00	76.50	Financial - Tax Issues
Milana Barkhanoy	Verifying e-filing status for 1099-NEC forms	6/8/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Email to CPA re 1099-NEC forms	6/8/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Call with JPM re 2021 tax forms	6/8/2022	0.1	255.00	25.50	Financial - Tax Issues



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<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Milana Barkhanoy	Email from JBH re transaction selection for 1099-NEC reporting	6/8/2022	0.1	255.00	25.50	Financial - Tax Issues
Josh McGraw	Call with claimant requesting update on the case and next steps	6/8/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Review documentation from RR on wealth builder program	6/8/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Call with a claimant to discuss documentation being provided and email follow-up	6/8/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing produced summary for counsel requested information	6/8/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with MBB to discuss forensic accounting report	6/8/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call from vendor regarding balance prior to receivership	6/8/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with mine contractor regarding payment	6/8/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to claimant requesting information	6/8/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding executed documentation	6/8/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JMR regarding vehicular executed documentation	6/8/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with JPM re next steps	6/8/2022	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Updating tasks list	6/8/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Drafting accounting report, analysis of accounting file, analysis of agents and claimants.	6/9/2022	4.1	310.00	1,271.00	Financial - Forensic Accounting
Josh McGraw	Creating schedules for forensic accounting report	6/9/2022	1.4	255.00	357.00	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/9/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Review and propose schedules for the forensic accounting report and send email to JBH	6/9/2022	1.2	255.00	306.00	Legal - Case Administration
Josh McGraw	Updating counsel requested documentation and email to counsel.	6/9/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Review documentation for report	6/9/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing documentation provided by a claimant and email correspondence.	6/9/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding documentation for titles	6/9/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JMR regarding vehicle transfers	6/9/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call from vendor regarding outstanding balance	6/9/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Review accounting files, quality control and analysis of output.	6/10/2022	3.0	310.00	930.00	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/10/2022	0.7	255.00	178.50	Financial - Forensic Accounting
Amanda Deering	Call with AMD and JPM regarding forensic accounting report	6/10/2022	0.1	225.00	22.50	Legal - Case Administration
Josh McGraw	Call with AMD regarding forensic accounting report	6/10/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Creating schedules for forensic accounting report and reviewing accounting file	6/11/2022	3.5	255.00	892.50	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/11/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Reviewing forensic accounting and creating schedules for report	6/12/2022	0.7	255.00	178.50	Financial - Forensic Accounting
John Hall	Review staff work product for accounting analysis. Audit and edit accounting file. Analysis.	6/13/2022	-	310.00	-	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report and creating schedules for the report	6/13/2022	4.0	255.00	1,020.00	Financial - Forensic Accounting
Josh McGraw	Reviewing transaction with MBB for forensic accounting report	6/13/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Reviewing transaction with JPM for forensic accounting report	6/13/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Josh McGraw	Reviewing tax transaction with MBB	6/13/2022	0.3	255.00	76.50	Financial - Tax Issues
Milana Barkhanoy	Reconciling and updating 2021 amounts for 1099-NEC forms in accounting file	6/13/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Multiple emails to tax software provider re submitted forms and corrections	6/13/2022	0.3	255.00	76.50	Financial - Tax Issues
Milana Barkhanoy	Reviewing tax transaction with JPM	6/13/2022	0.3	255.00	76.50	Financial - Tax Issues
Milana Barkhanoy	Multiple emails with EC re 1099 forms	6/13/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Communication with EC re next steps with 1099-NEC updates	6/13/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Reviewing 1099-NEC submission status, updating notes in accounting file	6/13/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Multiple email communications from tax software provider re submitted forms and corrections	6/13/2022	0.2	255.00	51.00	Financial - Tax Issues
Milana Barkhanoy	Multiple email communications from tax software provider re submitted forms and corrections	6/13/2022	0.2	255.00	51.00	Financial - Tax Issues
Josh McGraw	Email summarization and communication of forensic accounting review with JBH	6/13/2022	0.6	255.00	153.00	Legal - Case Administration

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Josh McGraw	Communication with JM regarding received documents	6/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding payment to JM	6/13/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JPM regarding payment to JM	6/13/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Review accounting file and preparation of accounting report.	6/14/2022	3.4	310.00	1,054.00	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/14/2022	1.5	255.00	382.50	Financial - Forensic Accounting
Josh McGraw	Discussion with MBB re upcoming task in 1099 tax reporting	6/14/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Discussion with JPM re upcoming task in 1099 tax reporting	6/14/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Reviewing and reconciling transactions for tax purposes	6/14/2022	0.9	255.00	229.50	Financial - Tax Issues
Milana Barkhanoy	Tax reporting discussion with JBH	6/14/2022	0.4	255.00	102.00	Financial - Tax Issues
Milana Barkhanoy	Email communication to JPM re 1099 tax reporting	6/14/2022	0.1	255.00	25.50	Financial - Tax Issues
Josh McGraw	Email to GBW regarding property status update	6/14/2022	0.1	255.00	25.50	Legal - Asset Disposition
Geoff Winkler	Call with counsel to discuss outstanding tasks	6/14/2022	0.5	340.00	170.00	Legal - Case Administration
Geoff Winkler	Team meeting to discuss outstanding tasks	6/14/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Discuss Tesla information with counsel	6/14/2022	0.2	340.00	68.00	Legal - Case Administration
Geoff Winkler	Email with interested party re status of report	6/14/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team meeting with counsel to discuss ongoing activities	6/14/2022	0.5	310.00	155.00	Legal - Case Administration
John Hall	Team meeting to discuss tasks	6/14/2022	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Save and summarize documentation regarding DH	6/14/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with JBH, GBW and counsel regarding case	6/14/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Responding to claimant regarding questions and concerns about the case	6/14/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with claimant regarding status of the case and request for updates	6/14/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Preparing for counsel call and updating task list	6/14/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Team meeting discussing current and future tasks	6/14/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email communication with TW regarding property status	6/14/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Sending documentation to GBW regarding DH	6/14/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review vendor subpoena response	6/14/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing email communication from JM regarding requested information	6/14/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting to discuss outstanding tasks	6/14/2022	0.2	255.00	51.00	Legal - Case Administration
Sam Parker	Team meeting discussing current and future tasks	6/14/2022	0.2	165.00	33.00	Legal - Case Administration
	Review credit card and cryptocurrency transactions for integration into accounting reporting.	6/15/2022	2.2	310.00	682.00	Financial - Forensic Accounting
John Hall	Accounting report meeting with JPM and MBB	6/15/2022	0.5	310.00	155.00	Financial - Forensic Accounting
John Hall	Conversation with JPM regarding accounting issues	6/15/2022	0.4	310.00	124.00	Financial - Forensic Accounting
	Updating accounting file by making adjustments to the classification of transactions	6/15/2022	1.7	255.00	433.50	Financial - Forensic Accounting
Josh McGraw	Meeting with MBB and JBH regarding forensic accounting issues and next steps	6/15/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Updating schedules to account for adjustments in accounting file	6/15/2022	0.5	255.00	127.50	Financial - Forensic Accounting
	Reviewing transactions and discussing market adjustments for brokerage accounts with MBB	6/15/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Conversation with JBH regarding accounting issues	6/15/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/15/2022	0.3	255.00	76.50	Financial - Forensic Accounting
	Reviewing transactions and discussing market adjustments for brokerage accounts with JPM	6/15/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Milana Barkhanoy	Updating website with tax memo notice and notifying investors	6/15/2022	0.9	255.00	229.50	Legal - Case Administration
Josh McGraw	Email communication with claimant regarding update on case	6/15/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewing vendor invoice and email to GBW to remit payment	6/15/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Message to JM regarding payment	6/15/2022	0.1	255.00	25.50	Legal - Case Administration



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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Call from vendor regarding outstanding balance	6/15/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussing case progress and tasks with JBH and JPM	6/15/2022	0.5	255.00	127.50	Legal - Case Administration
John Hall	Conversation with JPM on structure of the financials	6/16/2022	0.2	310.00	62.00	Financial - Accounting/Auditing
Josh McGraw	Creating financial statements for report and schedules	6/16/2022	5.2	255.00	1,326.00	Financial - Accounting/Auditing
Josh McGraw	Conversation with JBH on structure of the financials	6/16/2022	0.2	255.00	51.00	Financial - Accounting/Auditing
John Hall	Forensic accounting report production.	6/16/2022	-	310.00	-	Financial - Forensic Accounting
Josh McGraw	Reviewing and auditing accounting	6/16/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Discussing next steps with tax reporting with MBB	6/16/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Email to EC re tax reporting	6/16/2022	0.1	255.00	25.50	Financial - Tax Issues
Milana Barkhanoy	Discussing next steps with tax reporting with JPM	6/16/2022	0.1	255.00	25.50	Financial - Tax Issues
Josh McGraw	Email to claimant requesting information	6/16/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Report production, audit and review of accounting categorization.	6/17/2022	-	310.00	-	Financial - Forensic Accounting
Josh McGraw	Creating financial schedules for forensic accounting report	6/17/2022	2.0	255.00	510.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by classifying entries post temporary receivership	6/17/2022	1.8	255.00	459.00	Financial - Forensic Accounting
Josh McGraw	Brokerage account analysis and creating gains/losses for cryptocurrency	6/17/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Conversation with JBH regarding schedules for forensic accounting	6/17/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Conversation with JPM regarding schedules for forensic accounting	6/17/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Analyzing data for update of 1099 forms	6/17/2022	2.8	255.00	714.00	Financial - Tax Issues
Josh McGraw	Emails to claimants regarding questions about the process or timelines for next steps.	6/17/2022	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Created quarterly unrealized gains/losses and emailed to JBH for review	6/18/2022	2.5	255.00	637.50	Financial - Forensic Accounting
Josh McGraw	Updating schedules for report	6/20/2022	1.1	255.00	280.50	Financial - Accounting/Auditing
John Hall	Analysis of combined accounting and defendant personal accounting, determine exclusions.	6/20/2022	3.9	310.00	1,209.00	Financial - Forensic Accounting
John Hall	Review accounting data and draft schedules.	6/20/2022	1.3	310.00	403.00	Financial - Forensic Accounting
John Hall	Meetings with JPM regarding forensic accounting issues and communication of changes	6/20/2022	1.0	310.00	310.00	Financial - Forensic Accounting
Josh McGraw	Review JBH email, implement unrealized gains/losses and updated financials	6/20/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Meetings with JBH regarding forensic accounting issues and communication of changes	6/20/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file to incorporate changes to DFS loan and cryptocurrency accounts.	6/20/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Milana Barkhanoy	Writing forensic report	6/20/2022	0.8	255.00	204.00	Financial - Status Reports
Milana Barkhanoy	Preparing summaries for forensic accounting report	6/20/2022	0.3	255.00	76.50	Financial - Status Reports
Josh McGraw	Call with MBB reviewing and analyzing amounts for 1099	6/20/2022	1.0	255.00	255.00	Financial - Tax Issues
Josh McGraw	Reviewing 1099-NEC analysis	6/20/2022	0.7	255.00	178.50	Financial - Tax Issues
Milana Barkhanoy	Call with JPM reviewing and analyzing amounts for 1099	6/20/2022	1.0	255.00	255.00	Financial - Tax Issues
John Hall	Review asset update and discuss with GBW.	6/20/2022	0.3	310.00	93.00	Legal - Asset Analysis and Recovery
Amanda Deering	Team meeting discussing current and future tasks	6/20/2022	0.1	225.00	22.50	Legal - Case Administration
Geoff Winkler	Discussion with JPM re testing, research, review notes	6/20/2022	0.6	340.00	204.00	Legal - Case Administration
Geoff Winkler	Call with BK to discuss account questions	6/20/2022	0.4	340.00	136.00	Legal - Case Administration
Geoff Winkler	Team meeting to discuss outstanding tasks	6/20/2022	0.1	340.00	34.00	Legal - Case Administration
John Hall	Staff meeting to discuss ongoing casework items.	6/20/2022	0.1	310.00	31.00	Legal - Case Administration
John Hall	Discussion with JPM regarding proving JK retirement analysis	6/20/2022	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Team meeting discussing current and future tasks	6/20/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Discussion with JBH regarding proving JK account	6/20/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting to discuss outstanding tasks	6/20/2022	0.1	255.00	25.50	Legal - Case Administration

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**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Milana Barkhanoy	Team meeting to discuss outstanding tasks	6/20/2022	0.1	255.00	25.50	Legal - Case Administration
Sam Parker	Team meeting discussing current and future tasks	6/20/2022	0.1	165.00	16.50	Legal - Case Administration
John Hall	Review JPM retirement income analysis and discuss with GBW.	6/21/2022	1.9	310.00	589.00	Financial - Accounting/Auditing
Amanda Deering	Discussing forensic accounting report	6/21/2022	0.2	225.00	45.00	Financial - Forensic Accounting
John Hall	Review accounting file and analyze categorization output.	6/21/2022	2.2	310.00	682.00	Financial - Forensic Accounting
John Hall	Call with JPM regarding clarification of third-party payments in accounting	6/21/2022	0.2	310.00	62.00	Financial - Forensic Accounting
Josh McGraw	Call with JBH regarding clarification of third-party payments in accounting	6/21/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Updating classification of third-party payment in accounting	6/21/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Writing forensic report	6/21/2022	1.6	255.00	408.00	Financial - Status Reports
Milana Barkhanoy	Preparing summaries for forensic accounting report	6/21/2022	0.4	255.00	102.00	Financial - Status Reports
Josh McGraw	Call with JBH and MBB regarding discussion on 1099s	6/21/2022	0.3	255.00	76.50	Financial - Tax Issues
Milana Barkhanoy	Analyzing transactions for 1099 for 2021	6/21/2022	1.0	255.00	255.00	Financial - Tax Issues
Amanda Deering	Case discussion around outstanding tasks, third party recovery and forensics accounting report	6/21/2022	0.7	225.00	157.50	Legal - Case Administration
Josh McGraw	Analysis to prove funding of JK account	6/21/2022	1.5	255.00	382.50	Legal - Case Administration
Josh McGraw	Case discussion with AMD around outstanding tasks, third party recovery and forensics accounting report	6/21/2022	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Call with JBH and counsel regarding case	6/21/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Summarize JK account analysis and email JBH	6/21/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Formatting schedules for forensic accounting report	6/21/2022	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Reviewing 1099 for 2021 with JBH	6/21/2022	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Reviewing current tasks with AMD, determining next steps	6/21/2022	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Reviewing 1099 for 2021 with JBH and JPM	6/21/2022	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Call with counsel discussing 1099 for 2021	6/21/2022	0.2	255.00	51.00	Legal - Case Administration
John Hall	Review data and work product, preparing accounting report.	6/22/2022	4.4	310.00	1,364.00	Financial - Forensic Accounting
Josh McGraw	Creating schedules for forensic accounting report	6/22/2022	2.3	255.00	586.50	Financial - Forensic Accounting
Amanda Deering	Call with JBH, JPM, MBB and AMD regarding forensic accounting report and issues	6/22/2022	1.0	225.00	225.00	Legal - Case Administration
Amanda Deering	Call with AMD, JPM regarding forensic accounting report	6/22/2022	0.4	225.00	90.00	Legal - Case Administration
Amanda Deering	Call with MBB discussing tasks and next steps for upcoming report	6/22/2022	0.4	225.00	90.00	Legal - Case Administration
Amanda Deering	Call with MBB discussing tasks and next steps for upcoming report	6/22/2022	0.1	225.00	22.50	Legal - Case Administration
Josh McGraw	Drafting forensic accounting report	6/22/2022	1.2	255.00	306.00	Legal - Case Administration
Josh McGraw	Call with JBH, MBB and AMD regarding forensic accounting report and issues	6/22/2022	1.0	255.00	255.00	Legal - Case Administration
Josh McGraw	Call with AMD regarding forensic accounting report	6/22/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Email to investor requesting an update on the case	6/22/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Discussion with MBB discussing tasks and next steps	6/22/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with JBH, JPM and AMD regarding forensic accounting report and issues	6/22/2022	1.0	255.00	255.00	Legal - Case Administration
Milana Barkhanoy	Call with AMD discussing tasks and next steps for upcoming report	6/22/2022	0.4	255.00	102.00	Legal - Case Administration
Milana Barkhanoy	Discussions with JPM discussing tasks and next steps	6/22/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with AMD discussing tasks and next steps for upcoming report	6/22/2022	0.1	255.00	25.50	Legal - Case Administration
John Hall	Review schedules and provide feedback to JPM.	6/23/2022	2.0	310.00	620.00	Financial - Data Analysis
Amanda Deering	Forensic accounting report writing	6/23/2022	4.4	225.00	990.00	Financial - Forensic Accounting
Amanda Deering	Discussion around forensic accounting report consolidating	6/23/2022	0.8	225.00	180.00	Financial - Forensic Accounting
Amanda Deering	Communication on report with MBB	6/23/2022	0.2	225.00	45.00	Financial - Forensic Accounting
John Hall	Review and drafting PC report	6/23/2022	4.5	310.00	1,395.00	Financial - Forensic Accounting
Josh McGraw	Reviewing and drafting forensic accounting report	6/23/2022	2.0	255.00	510.00	Financial - Forensic Accounting

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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**(Sorted in Chronological Order by Activity Category)**

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Call between JPM, MBB and AMD reviewing report draft, schedules, discussing next steps	6/23/2022	1.4	255.00	357.00	Financial - Forensic Accounting
Josh McGraw	Discussion around forensic accounting report consolidating	6/23/2022	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	Discussing items for upcoming report with MBB	6/23/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Discussing items for upcoming report with JPM	6/23/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Discussing items and next steps for upcoming report with AMD	6/23/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Amanda Deering	Call between JPM, MBB and AMD reviewing report draft, schedules, discussing next steps	6/23/2022	1.4	225.00	315.00	Financial - Status Reports
Milana Barkhanoy	Writing forensic report	6/23/2022	1.5	255.00	382.50	Financial - Status Reports
Milana Barkhanoy	Call between JPM, MBB and AMD reviewing report draft, schedules, discussing next steps	6/23/2022	1.4	255.00	357.00	Financial - Status Reports
Milana Barkhanoy	Reviewing report requirement notes from JBH	6/23/2022	0.1	255.00	25.50	Financial - Status Reports
Josh McGraw	Creating claims list and third-party recovery list	6/23/2022	1.5	255.00	382.50	Legal - Case Administration
Josh McGraw	Call with creditor claimant about next steps	6/23/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Email to investor regarding next steps on the case	6/23/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with SBP re: Payroll for PC	6/24/2022	0.4	255.00	102.00	Financial - Accounting/Auditing
Sam Parker	Summing ADP transactions by employee of PC	6/24/2022	1.3	165.00	214.50	Financial - Accounting/Auditing
Sam Parker	Communication with JPM re: Payroll for PC	6/24/2022	0.4	165.00	66.00	Financial - Accounting/Auditing
Josh McGraw	Reviewing documentation in relativity for the forensic accounting report	6/24/2022	1.5	255.00	382.50	Financial - Data Analysis
John Hall	Review accounting and schedules for report	6/24/2022	2.6	310.00	806.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file to restructure employee and agent transactions	6/24/2022	2.0	255.00	510.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file by identifying prior company transactions	6/24/2022	1.5	255.00	382.50	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/24/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Updating schedules and financial statements to incorporate accounting changes	6/24/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Writing forensic report	6/24/2022	1.8	255.00	459.00	Financial - Status Reports
Milana Barkhanoy	Preparing summaries for forensic accounting report	6/24/2022	0.5	255.00	127.50	Financial - Status Reports
Amanda Deering	Call with JPM regarding changes to make in forensic accounting reports	6/24/2022	0.5	225.00	112.50	Legal - Case Administration
Geoff Winkler	Call with JPM and JBH regarding forensic accounting report	6/24/2022	0.3	340.00	102.00	Legal - Case Administration
John Hall	Call with GBW and JPM regarding forensic accounting report	6/24/2022	0.3	310.00	93.00	Legal - Case Administration
Josh McGraw	Researching documentation to include in report	6/24/2022	0.9	255.00	229.50	Legal - Case Administration
Josh McGraw	Reviewing email from JBH regarding changes to forensic accounting report and structuring next steps	6/24/2022	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Call with JPM, AMD regarding changes to make in forensic accounting reports	6/24/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with MBB regarding changes to make in forensic accounting reports	6/24/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with GBW and JBH regarding forensic accounting report	6/24/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Create notifications for property tax due dates	6/24/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with MBB regarding accounting file restructuring	6/24/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding report updates	6/24/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with JPM regarding accounting file restructuring	6/24/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Drafting forensic accounting report	6/25/2022	3.0	255.00	765.00	Financial - Forensic Accounting
Josh McGraw	Reviewing documentation for forensic accounting report	6/26/2022	1.4	255.00	357.00	Financial - Data Analysis
Josh McGraw	Draft forensic accounting report, reviewing documentation for draft and creating employee schedule	6/26/2022	-	255.00	-	Financial - Forensic Accounting
Josh McGraw	Review employee payroll entries and update in accounting file	6/26/2022	0.7	255.00	178.50	Financial - Forensic Accounting
Amanda Deering	Reviewing forensic report edits and working on edits	6/27/2022	2.5	225.00	562.50	Financial - Forensic Accounting
Amanda Deering	Discussing forensic accounting report changes to schedule, revenue classifications, employee history, and timelines	6/27/2022	0.6	225.00	135.00	Financial - Forensic Accounting

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Amanda Deering	Reviewing edits with AMD for forensic accounting report and schedules, next steps	6/27/2022	0.3	225.00	67.50	Financial - Forensic Accounting
John Hall	Review schedules and data, analysis of accounting categorization, preparation of report.	6/27/2022	-	310.00	-	Financial - Forensic Accounting
John Hall	Conversation with JPM regarding schedules for forensic accounting	6/27/2022	0.5	310.00	155.00	Financial - Forensic Accounting
Josh McGraw	Draft forensic accounting report, adjusting financial schedules, building defendant use schedules	6/27/2022	-	255.00	-	Financial - Forensic Accounting
Josh McGraw	Adjust accounting file with structural accounting classifications	6/27/2022	0.7	255.00	178.50	Financial - Forensic Accounting
Josh McGraw	Discussing forensic accounting report changes to schedule, revenue classifications, employee history, and timelines	6/27/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Conversation with JBH regarding schedules for forensic accounting	6/27/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Reviewing edits with AMD for forensic accounting report and schedules, next steps	6/27/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Amanda Deering	Preparing fourth quarterly report	6/27/2022	0.5	225.00	112.50	Legal - Case Administration
Amanda Deering	Team meeting discussing current and future tasks	6/27/2022	0.1	225.00	22.50	Legal - Case Administration
Josh McGraw	Call the MW regarding investment, email follow-up and review provided documentation	6/27/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with EG regarding investment	6/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email and messages to JBH re: forensic accounting report	6/27/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Team meeting discussing current and future tasks	6/27/2022	0.1	255.00	25.50	Legal - Case Administration
Sam Parker	Team meeting discussing current and future tasks	6/27/2022	0.1	165.00	16.50	Legal - Case Administration
Josh McGraw	Review documentation regarding prior business and other services for forensic accounting report	6/28/2022	0.9	255.00	229.50	Financial - Data Analysis
Amanda Deering	Working through forensic accounting report with JPM	6/28/2022	0.5	225.00	112.50	Financial - Forensic Accounting
Amanda Deering	Editing forensic accounting report	6/28/2022	0.5	225.00	112.50	Financial - Forensic Accounting
Amanda Deering	Communication re: forensic accounting report	6/28/2022	0.1	225.00	22.50	Financial - Forensic Accounting
John Hall	Review of accounting data, write out notes to JPM (2) answer follow up questions from JPM (.8)	6/28/2022	2.8	310.00	868.00	Financial - Forensic Accounting
John Hall	Review and analysis of draft schedules and forensic accounting items	6/28/2022	2.5	310.00	775.00	Financial - Forensic Accounting
Josh McGraw	Drafting forensic accounting report	6/28/2022	1.6	255.00	408.00	Financial - Forensic Accounting
Josh McGraw	Updating financial schedule	6/28/2022	1.4	255.00	357.00	Financial - Forensic Accounting
Josh McGraw	Call with AMD regarding forensic accounting report and review process	6/28/2022	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Reviewing and auditing schedules for upcoming report	6/28/2022	1.0	255.00	255.00	Financial - Status Reports
Milana Barkhanoy	Reviewing schedules for upcoming report with JBH; discussing next steps	6/28/2022	0.6	255.00	153.00	Financial - Status Reports
Josh McGraw	Emails to investors requesting updates and asking questions about the case	6/28/2022	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Reviewing and responding to JBH's forensic accounting requests	6/28/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with investor requesting update on case	6/28/2022	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Communication with MBB regarding counsel meeting	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding meeting	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review bank transactions to respond to investor question	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with SBP regarding investor calls	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review investor transactions prior to call to discuss the case	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call from creditor claimant regarding PC outstanding balance	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding cryptocurrency mining activities	6/28/2022	0.1	255.00	25.50	Legal - Case Administration
Sam Parker	Communication with JPM regarding investor calls	6/28/2022	0.1	165.00	16.50	Legal - Case Administration
Sam Parker	Investor Call	6/28/2022	0.1	165.00	16.50	Legal - Case Administration
Josh McGraw	Reviewing relativity for guaranty	6/29/2022	1.1	255.00	280.50	Financial - Data Analysis

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<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Amanda Deering	Working through forensic accounting report employee section and updates to schedules	6/29/2022	2.6	225.00	585.00	Financial - Forensic Accounting
Amanda Deering	Meeting with JPM to discuss expenses and schedules for agent's commissions	6/29/2022	0.4	225.00	90.00	Financial - Forensic Accounting
Amanda Deering	Work on forensic accounting report - expenses and agents sections	6/29/2022	0.3	225.00	67.50	Financial - Forensic Accounting
Amanda Deering	Communication with MBB and reviewing sections for forensic accounting report	6/29/2022	0.2	225.00	45.00	Financial - Forensic Accounting
John Hall	Review of records, analysis of accounting categorization and correction of staff work	6/29/2022	3.1	310.00	961.00	Financial - Forensic Accounting
John Hall	Call with JPM regarding forensic accounting issues	6/29/2022	0.4	310.00	124.00	Financial - Forensic Accounting
Josh McGraw	Writing employee section of forensic accounting report	6/29/2022	3.1	255.00	790.50	Financial - Forensic Accounting
Josh McGraw	Updating schedules to incorporate JBH review and creating analysis for operating expenses	6/29/2022	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Working through forensic accounting report employee section and updates to schedules	6/29/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Meeting with AMD to discuss expenses and schedules for agent's commissions	6/29/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Call with JBH regarding forensic accounting issues	6/29/2022	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file to align timing of expenses with payments	6/29/2022	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Communication with MBB, AMD and Reviewing sections for forensic accounting report	6/29/2022	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Pulling supporting documentation and emailing to JBH	6/29/2022	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Meeting with interested party to discuss status	6/29/2022	2.0	340.00	680.00	Legal - Case Administration
John Hall	Discussion with interested party, MBB GBW and MAG	6/29/2022	2.0	310.00	620.00	Legal - Case Administration
Josh McGraw	Call with investor requesting update on the case	6/29/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Summarization of document review to JBH	6/29/2022	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Email to investor regarding case information and assisting with email notification issues	6/29/2022	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to investor regarding status report questions	6/29/2022	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Discussion with interested party, MBB GBW and MAG	6/29/2022	2.0	255.00	510.00	Legal - Case Administration
Amanda Deering	Reviewing forensic accounting report	6/30/2022	2.0	225.00	450.00	Financial - Forensic Accounting
Amanda Deering	Discussion with MBB on forensic accounting report	6/30/2022	0.1	225.00	22.50	Financial - Forensic Accounting
Amanda Deering	Discussion with JPM on forensic accounting report	6/30/2022	0.1	225.00	22.50	Financial - Forensic Accounting
John Hall	Review data and accounting, analysis of categorization and cash flows, asset search.	6/30/2022	2.7	310.00	837.00	Financial - Forensic Accounting
Josh McGraw	Writing the draft of forensic accounting report and analyzing use of funds	6/30/2022	3.0	255.00	765.00	Financial - Forensic Accounting
Josh McGraw	Reviewing forensic accounting report	6/30/2022	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Discussion with AMD on forensic accounting report	6/30/2022	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Discussions with AMD re forensic accounting report	6/30/2022	0.1	255.00	25.50	Financial - Status Reports
Milana Barkhanoy	call with AMD re forensic accounting report and next steps	6/30/2022	0.1	255.00	25.50	Financial - Status Reports
Amanda Deering	Writing forensic accounting report and review	6/30/2022	1.5	225.00	337.50	Legal - Case Administration
Josh McGraw	Gathering supporting documents to confirm company payment and email to JBH	6/30/2022	0.2	255.00	51.00	Legal - Case Administration
<b>Totals</b>			<b>799.8</b>		<b>214,884.50</b>	

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
<b>Summary by Activity Category and Personnel</b>						
<b>Financial - Accounting/Auditing</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Accounting/Auditing	Geoff Winkler	AFS	6.2	340.00	\$ 2,108.00	
Financial - Accounting/Auditing	John Hall	AFS	22.5	310.00	\$ 6,975.00	
Financial - Accounting/Auditing	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Accounting/Auditing	Josh McGraw	AFS	8.5	255.00	\$ 2,167.50	
Financial - Accounting/Auditing	Amanda Deering	AFS	0.0	225.00	\$ -	
Financial - Accounting/Auditing	Sam Parker	AFS	1.7	165.00	\$ 280.50	
Financial - Accounting/Auditing	Ysabel Willits	AFS	2.9	150.00	\$ 435.00	
			<u>41.8</u>		<u>\$ 11,966.00</u>	
<b>Financial - Business Analysis</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Business Analysis	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Business Analysis	John Hall	AFS	0.0	310.00	\$ -	
Financial - Business Analysis	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Business Analysis	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Business Analysis	Josh McGraw	AFS	0.0	255.00	\$ -	
Financial - Business Analysis	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>0.0</u>		<u>\$ -</u>	
<b>Financial - Corporate Finance</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Corporate Finance	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Corporate Finance	John Hall	AFS	0.0	310.00	\$ -	
Financial - Corporate Finance	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Corporate Finance	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Corporate Finance	Josh McGraw	AFS	0.0	255.00	\$ -	
Financial - Corporate Finance	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>0.0</u>		<u>\$ -</u>	
<b>Financial - Data Analysis</b>	<b>Personnel</b>					
Financial - Data Analysis	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Data Analysis	John Hall	AFS	2.0	310.00	\$ 620.00	
Financial - Data Analysis	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Data Analysis	Josh McGraw	AFS	23.0	255.00	\$ 5,865.00	
Financial - Data Analysis	Amanda Deering	AFS	0.0	225.00	\$ -	
Financial - Data Analysis	Sam Parker	AFS	0.0	165.00	\$ -	
Financial - Data Analysis	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>25.0</u>		<u>\$ 6,485.00</u>	
<b>Financial - Forensic Accounting</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Forensic Accounting	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Forensic Accounting	John Hall	AFS	92.1	310.00	\$ 28,551.00	
Financial - Forensic Accounting	Milana Barkhanoy	AFS	10.4	255.00	\$ 2,652.00	
Financial - Forensic Accounting	Josh McGraw	AFS	137.5	255.00	\$ 35,062.50	
Financial - Forensic Accounting	Amanda Deering	AFS	15.8	225.00	\$ 3,555.00	



**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<b>Personnel</b>	<b>Item / Description</b>	<b>Date</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	<b>Activity Category</b>
Financial - Forensic Accounting	Sam Parker	AFS	0.0	165.00	\$ -	
Financial - Forensic Accounting	Ysabel Willits	AFS	1.0	150.00	\$ 150.00	
			<u>256.8</u>		<u>\$ 69,970.50</u>	
<b>Financial - Litigation Consulting</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Litigation Consulting	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Litigation Consulting	John Hall	AFS	0.0	310.00	\$ -	
Financial - Litigation Consulting	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Litigation Consulting	Josh McGraw	AFS	0.0	255.00	\$ -	
Financial - Litigation Consulting	Amanda Deering	AFS	0.0	225.00	\$ -	
Financial - Litigation Consulting	Sam Parker	AFS	0.0	165.00	\$ -	
Financial - Litigation Consulting	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>0.0</u>		<u>\$ -</u>	
<b>Financial - Status Reports</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Status Reports	Geoff Winkler	AFS	2.6	340.00	\$ 884.00	
Financial - Status Reports	John Hall	AFS	0.2	310.00	\$ 62.00	
Financial - Status Reports	Milana Barkhanoy	AFS	10.2	255.00	\$ 2,601.00	
Financial - Status Reports	Josh McGraw	AFS	15.0	255.00	\$ 3,825.00	
Financial - Status Reports	Amanda Deering	AFS	1.6	225.00	\$ 360.00	
Financial - Status Reports	Sam Parker	AFS	0.0	165.00	\$ -	
Financial - Status Reports	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>29.6</u>		<u>\$ 7,732.00</u>	
<b>Financial - Tax Issues</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Tax Issues	Geoff Winkler	AFS	0.4	340.00	\$ 136.00	
Financial - Tax Issues	John Hall	AFS	1.6	310.00	\$ 496.00	
Financial - Tax Issues	Milana Barkhanoy	AFS	86.6	255.00	\$ 22,083.00	
Financial - Tax Issues	Josh McGraw	AFS	9.2	255.00	\$ 2,346.00	
Financial - Tax Issues	Amanda Deering	AFS	0.0	225.00	\$ -	
Financial - Tax Issues	Sam Parker	AFS	0.0	165.00	\$ -	
Financial - Tax Issues	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>97.8</u>		<u>\$ 25,061.00</u>	
<b>Financial - Valuation</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Valuation	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Valuation	John Hall	AFS	0.0	310.00	\$ -	
Financial - Valuation	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Valuation	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Valuation	Josh McGraw	AFS	0.0	255.00	\$ -	
Financial - Valuation	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>0.0</u>		<u>\$ -</u>	
<b>Legal - Asset Analysis and Recovery</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Asset Analysis and Recovery	Geoff Winkler	AFS	5.7	340.00	\$ 1,938.00	
Legal - Asset Analysis and Recovery	John Hall	AFS	5.0	310.00	\$ 1,550.00	



**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**April 1, 2022 - June 30, 2022**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Legal - Asset Analysis and Recovery	Milana Barkhanoy	AFS	0.8	255.00	\$ 204.00	
Legal - Asset Analysis and Recovery	Josh McGraw	AFS	12.3	255.00	\$ 3,136.50	
Legal - Asset Analysis and Recovery	Amanda Deering	AFS	0.2	225.00	\$ 45.00	
Legal - Asset Analysis and Recovery	Sam Parker	AFS	0.0	165.00	\$ -	
Legal - Asset Analysis and Recovery	Ysabel Willits	AFS	1.1	150.00	\$ 165.00	
			<u>25.1</u>		<u>\$ 7,038.50</u>	
<b>Legal - Asset Disposition</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Asset Disposition	Geoff Winkler	AFS	4.7	340.00	\$ 1,598.00	
Legal - Asset Disposition	John Hall	AFS	0.0	310.00	\$ -	
Legal - Asset Disposition	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Legal - Asset Disposition	Josh McGraw	AFS	0.7	255.00	\$ 178.50	
Legal - Asset Disposition	Amanda Deering	AFS	0.0	225.00	\$ -	
Legal - Asset Disposition	Sam Parker	AFS	0.0	165.00	\$ -	
Legal - Asset Disposition	Ysabel Willits	AFS	0.8	150.00	\$ 120.00	
			<u>6.2</u>		<u>\$ 1,896.50</u>	
<b>Legal - Business Operations</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Business Operations	Geoff Winkler	AFS	0.0	340.00	\$ -	
Legal - Business Operations	John Hall	AFS	2.8	310.00	\$ 868.00	
Legal - Business Operations	Milana Barkhanoy	AFS	0.4	255.00	\$ 102.00	
Legal - Business Operations	Josh McGraw	AFS	0.0	255.00	\$ -	
Legal - Business Operations	Amanda Deering	AFS	0.5	225.00	\$ 112.50	
Legal - Business Operations	Sam Parker	AFS	0.0	165.00	\$ -	
Legal - Business Operations	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>3.7</u>		<u>\$ 1,082.50</u>	
<b>Legal - Case Administration</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Case Administration	Geoff Winkler	AFS	61.7	340.00	\$ 20,978.00	
Legal - Case Administration	John Hall	AFS	32.7	310.00	\$ 10,137.00	
Legal - Case Administration	Milana Barkhanoy	AFS	15.0	255.00	\$ 3,825.00	
Legal - Case Administration	Josh McGraw	AFS	150.6	255.00	\$ 38,403.00	
Legal - Case Administration	Amanda Deering	AFS	29.7	225.00	\$ 6,682.50	
Legal - Case Administration	Sam Parker	AFS	0.8	165.00	\$ 132.00	
Legal - Case Administration	Ysabel Willits	AFS	23.3	150.00	\$ 3,495.00	
			<u>313.8</u>		<u>\$ 83,652.50</u>	
<b>Legal - Claims Administration and Objections</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Claims Administration and Objections	Geoff Winkler	AFS	0.0	340.00	\$ -	
Legal - Claims Administration and Objections	John Hall	AFS	0.0	310.00	\$ -	
Legal - Claims Administration and Objections	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Legal - Claims Administration and Objections	Josh McGraw	AFS	0.0	255.00	\$ -	
Legal - Claims Administration and Objections	Amanda Deering	AFS	0.0	225.00	\$ -	
Legal - Claims Administration and Objections	Sam Parker	AFS	0.0	165.00	\$ -	
Legal - Claims Administration and Objections	Ysabel Willits	AFS	0.0	150.00	\$ -	

SEC v. Profit Connect Wealth Services, Inc. et al.  
 Summary of Fees of Receiver and Retained Personnel  
 April 1, 2022 - June 30, 2022  
 (Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
			0.0		\$ -	

Overall Summary						
Totals	Personnel	Firm	Hours	Rate	Amount	
	Geoff Winkler	AFS	81.3	340.00	\$ 27,642.00	
	John Hall	AFS	158.9	310.00	\$ 49,259.00	
	Milana Barkhanoy	AFS	123.4	255.00	\$ 31,467.00	
	Josh McGraw	AFS	356.8	255.00	\$ 90,984.00	
	Amanda Deering	AFS	47.8	225.00	\$ 10,755.00	
	Sam Parker	AFS	2.5	165.00	\$ 412.50	
	Ysabel Willits	AFS	29.1	150.00	\$ 4,365.00	
			<u>799.8</u>		<u>\$ 214,884.50</u>	
	<b>TOTAL FEES</b>		<b>799.8</b>		<b>\$ 214,884.50</b>	

SEC v. Profit Connect Wealth Services, Inc. et al.  
 Summary of Expenses of Receiver and Retained Personnel  
 April 1, 2022 - June 30, 2022

Attachment 2

Incurring By	Payee	Item / Description	Date Incurred	Amount	Category
Geoff Winkler	GoDaddy.com	Website renewal	04/04/12	21.99	Miscellaneous
Geoff Winkler	Uber	Taxi	04/04/12	41.40	Taxi
Geoff Winkler	Per diem	Meals	04/04/12	51.75	Individual Meals
John Hall	Per diem	Meals	04/04/12	51.75	Individual Meals
John Hall	Per diem	Meals	04/05/12	69.00	Individual Meals
Geoff Winkler	Per diem	Meals	04/05/12	69.00	Individual Meals
Geoff Winkler	FedEx	Shipping to counsel	04/05/12	18.95	Courier/Shipping/Freight
Geoff Winkler	Per diem	Meals	04/06/22	51.75	Individual Meals
Geoff Winkler	Port of Portland	Parking	04/06/22	69.00	Individual Meals
Geoff Winkler	Cosmopolitan LVNV	Hotel	04/06/22	793.66	Travel Hotel
John Hall	Per diem	Meals	04/06/22	51.75	Individual Meals
Geoff Winkler	FedEx	Shipping to counsel	04/11/22	104.04	Courier/Shipping/Freight
Josh McGraw	USPS	Mail to investors	04/20/22	6.77	Courier/Shipping/Freight
John Hall	BGC	Research	04/29/22	32.76	Internet/Online Fees
John Hall	Extra Space Storage	Storage	04/29/22	67.50	Miscellaneous
Geoff Winkler	FedEx	Shipping to counsel	05/02/22	38.13	Courier/Shipping/Freight
Milana Barkhanoy	Office Depot	Forms for tax schedules	05/19/22	65.64	Miscellaneous
Milana Barkhanoy	Office Depot	Forms for tax schedules	05/27/22	100.30	Miscellaneous
John Hall	Storage	Storage	05/31/22	67.50	Miscellaneous
John Hall	BGC	Research	05/31/22	45.94	Internet/Online Fees
Josh McGraw	USPS	Mail to investors	06/06/22	2.16	Courier/Shipping/Freight
Geoff Winkler	Amazon	Charging adaptor	06/14/22	59.60	Miscellaneous
Geoff Winkler	Amazon	Computer part for server	06/27/22	19.99	Miscellaneous
Geoff Winkler	PACER	Docket access	06/30/22	7.50	Internet/Online Fees
John Hall	Storage	Storage	06/30/22	67.50	Miscellaneous
<b>Total</b>				<b>\$</b>	<b>1,975.33</b>

	<i>Geoff Winkler</i>	<i>John Hall</i>	<i>Josh McGraw</i>	<i>Milana Barkhanoy</i>	<i>Total</i>
<b>Airfare</b>	-	-	-	-	-
<b>Car Rental</b>	-	-	-	-	-
<b>Courier/Shipping/Freight</b>	161.12	-	8.93	-	170.05
<b>Fuel</b>	-	-	-	-	-
<b>Hotel</b>	793.66	-	-	-	793.66
<b>Individual Meals</b>	241.50	172.50	-	-	414.00
<b>Internet/Online Fees</b>	7.50	78.70	-	-	86.20
<b>Miscellaneous</b>	101.58	202.50	-	165.94	470.02
<b>Office Supplies</b>	-	-	-	-	-
<b>Parking</b>	-	-	-	-	-
<b>Personal Car Mileage</b>	-	-	-	-	-
<b>Printing/Photocopying/Stationery</b>	-	-	-	-	-
<b>Taxi</b>	41.40	-	-	-	41.40
<b>Total</b>	<b>1,346.76</b>	<b>453.70</b>	<b>8.93</b>	<b>165.94</b>	<b>1,975.33</b>

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Receivership Estate Balance Sheet**  
**April 1, 2022 - June 30, 2022**

**Attachment 3**

<b>Assets:</b>		<b>Liabilities:</b>	
Cash	\$ 9,136,306	State/Federal Taxes <sup>4</sup>	\$ -
Loan Receivable	\$ -	Property Taxes <sup>4</sup>	\$ -
Marketable Securities	\$ -	Total Liabilities	\$ -
Real Property <sup>1</sup>	\$ 1,100,000		
Personal Property <sup>1</sup>	\$ -	<b>Claims:1</b>	
Private Equity Investments <sup>1</sup>	\$ -	Investors <sup>5</sup>	\$ -
Existing Litigation <sup>2,3</sup>	\$ -	Creditors <sup>5</sup>	\$ -
Third Party Litigation <sup>2,3</sup>	\$ 3,200,000	Total Claims	\$ -
Professional Liability Litigation <sup>2,3</sup>	\$ 5,000,000		
<b>Total Assets</b>	<b>\$ 18,436,306</b>	<b>Total Liabilities plus Claims</b>	<b>\$ -</b>

- 
1. Estimated value that is subject to further revision.
  2. Probability of successful recovery unknown.
  3. Value based on discounted present value and is under evaluation.
  4. There is no known tax liability, but the Receiver is reviewing.
  5. The total amount of investor and creditor claims is still under review.

**SEC v. Profit Connect Wealth Services, Inc. et al.  
Receiver's Cash Receipts and Disbursements  
April 1, 2022 - June 30, 2022**

**Attachment 4**

<b>Beginning Balance of Cash in Receivership Estate on April 1, 2022</b>	<b>\$ 8,906,812.05</b>
<b>Deposits:</b>	<b>\$ 515,982.01</b>
<b>Payments:</b>	<b>\$ (286,487.57)</b>
<b>Ending Balance of Cash in Receivership Estate on June 30, 2022</b>	<b><u>\$ 9,136,306.49</u></b>

# EXHIBIT "2"



1 Maria A. Gall, Esq.  
Nevada Bar No. 14200  
2 BALLARD SPAHR LLP  
1980 Festival Plaza Drive, Suite 900  
3 Las Vegas, Nevada 89135  
Telephone: (702) 471-7000  
4 Facsimile: (702) 471-7070  
gallm@ballardspahr.com

5  
6 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
SMILEY WANG-EKVALL, LLP  
7 3200 Park Center Drive, Suite 250  
Costa Mesa, California 92626  
8 Telephone: (714) 445-1000  
Facsimile: (714) 445-1002  
9 kandrassy@swelawfirm.com

10 *Attorneys for Receiver*  
*Geoff Winkler of American Fiduciary Services*

11  
12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
BRENT CARSON KOVAR,

19 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF MARIA A. GALL,  
ESQ. IN SUPPORT OF THE  
RECEIVER'S FOURTH INTERIM  
APPLICATION FOR ALLOWANCE  
AND PAYMENT OF FEES AND COSTS  
FOR THE PERIOD FROM APRIL 1,  
2022, THROUGH JUNE 30, 2022**

20  
21  
22 I, Maria A. Gall, Esq. declare as follows:

23 1. I am over 21 years old and an attorney with and partner of Ballard  
24 Spahr LLP, based in its Las Vegas, Nevada office. I am licensed Nevada attorney,  
25 with additional licenses in New York, Kentucky, and Tennessee.

26 2. By virtue of my position with Ballard Spahr, I am competent to testify  
27 to the matters presented in this declaration, and I submit this declaration in support  
28 of the Receiver's application for approval of his fees and costs of my firm and those of

BALLARD SPAHR LLP  
1980 FESTIVAL PLAZA DRIVE, SUITE 900  
LAS VEGAS, NEVADA 89135  
(702) 471-7000 OFFICE, (702) 471-7070 FACSIMILE

BALLARD SPAHR LLP  
1980 FESTIVAL PLAZA DRIVE, SUITE 900  
LAS VEGAS, NEVADA 89135  
(702) 471-7000 OFFICE, (702) 471-7070 FACSIMILE

1 his professionals in connection with Profit Connect Wealth Services, Inc. This  
2 declaration is based on my personal knowledge, except where made on information  
3 and belief, and as to those matters, I believe them to be true.

4 3. Attached to this declaration is a true and correct copy of the invoice for  
5 the services of my firm for the period from April 1, 2022, through June 31, 2022 (the  
6 “Application Period”).

7 4. In the ordinary course of Ballard Spahr’s business, we keep a record of  
8 all time expended by our professionals in the rendering of professional services on a  
9 computerized billing system.

10 5. At or near the time the professional services are rendered, professionals  
11 of the firm record (a) the description of the nature of the services performed, (b) the  
12 duration of the time expended, and (c) the client/matter name or number by either:  
13 (1) writing such information on a time sheet, or (2) inputting such information  
14 directly into the firm's computer billing system.

15 6. For the professionals who record their time using written time sheets,  
16 the information contained in the time sheets is transcribed into the firm’s computer  
17 billing system.

18 7. The firm’s computer billing system keeps a record of all time spent on a  
19 client/matter, the professional providing the services, and a description of the services  
20 rendered. The firm’s computer billing system automatically multiplies the time  
21 expended by each professional by the respective professional's billing rate to calculate  
22 the amount of the fee. The firm conducts its business in reliance on the accuracy of  
23 such business records.

24 8. As reflected in the attached invoice, and pursuant to the Receivership  
25 Order (ECF No. 26) and the Order Authorizing Employment of Counsel (ECF No. 46),  
26 professionals at Ballard Spahr assisted the Receiver as follows during the Application  
27 Period:  
28

BALLARD SPAHR LLP  
1980 FESTIVAL PLAZA DRIVE, SUITE 900  
LAS VEGAS, NEVADA 89135  
(702) 471-7000 OFFICE, (702) 471-7070 FACSIMILE

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- (1) issuing and enforcing subpoenas to various entities in an effort to track, trace, and recover Profit Connect assets;
- (2) advising the Receiver on his obligations in connection with preserving Profit Connect assets;
- (3) analyzing legal issues related to consummating the settlement with former Profit Connect employee Ramona Uriarte;
- (4) liaising with potential counsel re: claims against certain Profit Connect agents and affiliates; and
- (5) continuing and settling the action against former Profit Connect employee William Roshak, et al. to recover over \$500,000 in fraudulently transferred Profit Connect funds, including discovery and working on written settlement agreement.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on June 30, 2022.

/s/ Maria A. Gall



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

May 12, 2022  
Invoice No. 20220502243

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through April 30, 2022

**INVOICE SUMMARY**

Professional Fees	\$16,221.00
Less 15.0% Discount	(\$2,433.15)
Net Fees	\$13,787.85
Disbursements	\$298.15
<b>Total Invoice Amount</b>	<b>\$14,086.00</b>
Previous Balance Due	\$45,622.67
<b>Total Amount Due</b>	<b>\$59,708.67</b>

082085.00 - 00373080  
Maria A. GallMay 12, 2022  
Invoice No. 20220502243

### Professional Services

Attorney	Date	Description	Rate	Hours	Amount
<b>AAR - Asset Analysis and Recovery</b>					
Markert,D.	04/04/22	Follow-up correspondence with First American Title re: title commitment status	450.00	0.30	135.00
Gall,M.A.	04/05/22	Telephone conference with receiver team re: outstanding matters and next steps	570.00	1.00	570.00
Clark,A.	04/05/22	Draft first requests for production of documents to defendant Tetiana Luzhanska	420.00	1.60	672.00
Clark,A.	04/06/22	Draft first interrogatories to Tetiana Luzhanska	420.00	0.80	336.00
Clark,A.	04/06/22	Begin draft of Receiver's First Requests for Admissions to T. Luzhanska	420.00	0.70	294.00
Gall,M.A.	04/08/22	Briefly review Wells Fargo subpoena production and circulate to receiver team and Stroz Friedberg with comments and direction	570.00	0.20	114.00
Gall,M.A.	04/11/22	Conference with receiver team and J. Paul Manning and R. Paddock re: litigation	570.00	0.50	285.00
Clark,A.	04/11/22	Begin drafting responses to T. Luzhanska discovery requests	420.00	1.40	588.00
Gall,M.A.	04/12/22	Weekly meeting with receiver team re: outstanding matters and next steps	570.00	0.70	399.00
Gall,M.A.	04/12/22	Revise Bank of America subpoena and coordinate with assistants on service of same	570.00	0.30	171.00
Clark,A.	04/12/22	Research the Ponzi-scheme production re: discovery responses	420.00	0.40	168.00
Clark,A.	04/12/22	Continue drafting discovery responses to T. Luzhanska and W. Roshak requests for production of documents	420.00	3.90	1,638.00
Clark,A.	04/12/22	Draft responses to T. Luzhanska's First Set of Interrogatories	420.00	0.40	168.00

082085.00 - 00373080  
 Maria A. Gall

May 12, 2022  
 Invoice No. 20220502243

Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	04/12/22	Draft responses to defendant W. Roshak's First Set of Interrogatories	420.00	2.10	882.00
Markert,D.	04/13/22	Review title commitments for Searchlight properties, including overview of title exception documents for same; draft correspondence memo re: review of and follow-up issues per same	450.00	2.20	990.00
Gall,M.A.	04/13/22	Email correspondence with D. Markert re: status of title commitments for Searchlight property	570.00	0.10	57.00
Gall,M.A.	04/13/22	Email correspondence to receiver team and T. Wohl forwarding title commitments with comments	570.00	0.10	57.00
Clark,A.	04/13/22	Complete draft of responses to T. Luzhanska and W. Roshak requests for production of documents	420.00	0.80	336.00
Markert,D.	04/18/22	Continue review of title commitments for subject properties in Searchlight, Nevada, and review of title exception documents; draft summary correspondence re: review of same	450.00	2.00	900.00
Gall,M.A.	04/18/22	Review and provide comments on responses and objections to Roshak's discovery of receiver and of draft receiver's requests for discovery from Tetiana	570.00	1.50	855.00
Gall,M.A.	04/18/22	Email correspondence to receiver team and T. Wohl re: remaining Searchlight title commitments	570.00	0.10	57.00
Gall,M.A.	04/19/22	Review email correspondence from G. Winkler forwarding auction house correspondence re: Sea Doos title; email correspondence to R. Ryan as counsel for W. Roshak re: same	570.00	0.20	114.00
Gall,M.A.	04/19/22	Email correspondence with K. Andrassy re: certified orders needed for property sale	570.00	0.10	57.00
Clark,A.	04/19/22	Email correspondence with counsel for W. Roshak re: discovery extension	420.00	0.20	84.00

082085.00 - 00373080  
 Maria A. Gall

May 12, 2022  
 Invoice No. 20220502243

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	04/20/22	Email correspondence with R. Ryan re: execution of duplicate titles by W. Roshak for Sea Doos; follow-up email correspondence with G. Winkler re: same	570.00	0.20	114.00
Gall,M.A.	04/20/22	Email correspondence with G. Winkler re: contingency agreement	570.00	0.10	57.00
Clark,A.	04/20/22	Begin implementing edits to receiver's discovery request to T. Luzhanska	420.00	0.70	294.00
Gall,M.A.	04/21/22	Email correspondence with R. Ryan (counsel for W. Roshak) re: discovery extension	570.00	0.10	57.00
Gall,M.A.	04/21/22	Email correspondence with S. Kopelev re: application	570.00	0.10	57.00
Gall,M.A.	04/21/22	Conference with A. Clark re: discovery	570.00	0.20	114.00
Clark,A.	04/21/22	Revise receiver's responses to W. Roshak and T. Luzhanska's first request for production of documents and interrogatories	420.00	2.10	882.00
Gall,M.A.	04/22/22	Telephone conference with J. McGraw re: Bank of America activity	570.00	0.30	171.00
Clark,A.	04/22/22	Review documents for production	420.00	1.50	630.00
Gall,M.A.	04/25/22	Attention to fee application	570.00	1.50	855.00
Gall,M.A.	04/25/22	Multiple email correspondence with J. McGraw re: documents for W. Roshak to sign	570.00	0.20	114.00
Gall,M.A.	04/26/22	Attention to fee application	570.00	1.00	570.00
Clark,A.	04/26/22	Revise responses to W. Roshak and T. Luzhanska's first requests for production	420.00	0.90	378.00
Gall,M.A.	04/28/22	Draft second Newegg subpoena and notice of subpoena	570.00	0.30	171.00
Clark,A.	04/28/22	Email correspondence with J. McGraw re: production of documents	420.00	0.10	42.00
Clark,A.	04/28/22	Research scope of Rule 34's inspection requirement re: requests for documents	420.00	2.20	924.00



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 Maria A. Gall

May 12, 2022  
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Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	04/28/22	Review documents to be produced in response to W. Roshak and T. Luzhanska's first set of requests for production	420.00	0.70	294.00
Gall,M.A.	04/29/22	Review and edit report	570.00	1.00	570.00
<b>Total AAR</b>				<b>34.80</b>	<b>16,221.00</b>
<b>Total Fees</b>				<b>34.80</b>	<b>\$16,221.00</b>
Less 15.0% Discount					(\$2,433.15)

### Summary of Professional Fees

Attorney	Billed Hours	Billed Rate	Billed Amount
Gall,M.A.	9.80	570.00	5,586.00
Markert,D.	4.50	450.00	2,025.00
Clark,A.	20.50	420.00	8,610.00
<b>Total Fees</b>	<b>34.80</b>		<b>\$16,221.00</b>
Less 15.0% Discount			(\$2,433.15)

082085.00 - 00373080  
Maria A. Gall

May 12, 2022  
Invoice No. 20220502243

### Disbursements

<b>Date</b>	<b>Description</b>	<b>Amount</b>
04/22/22	Service of Subpoena American Legal Investigation Services Nevada, Inc. - Invoice #37043427 - Dated 04-15-22 - Service of Process and Investigation Fees and/or Filing Fee	225.65
04/22/22	Messenger Service Nationwide Legal Nevada, LLC - Invoice #00000041842 - Dated 04-15-22 - Las Vegas - Nationwide Legal Messenger Fee	30.00
04/22/22	Messenger Service Nationwide Legal Nevada, LLC - Invoice #00000041842 - Dated 04-15-22 - Las Vegas - Nationwide Legal Messenger Fee	42.50
<b>Total Disbursements</b>		<b>\$298.15</b>

082085.00 - 00373080  
 Maria A. Gall

May 12, 2022  
 Invoice No. 20220502243

### Open Invoices as of May 12, 2022

Invoice Date	Invoice Number	Invoice Amount	Payments	Balance Due
09/12/21	20210901990	\$15,476.85	\$12,420.08	\$3,056.77
10/15/21	20211004945	\$12,954.81	\$10,607.03	\$2,347.78
11/05/21	20211101274	\$24,611.28	\$19,757.53	\$4,853.75
12/06/21	20211201298	\$25,452.35	\$20,498.38	\$4,953.97
01/06/22	20220100274	\$13,880.92	\$11,493.10	\$2,387.82
02/03/22	20220200187	\$11,275.04	\$0.00	\$11,275.04
03/23/22	20220304815	\$9,365.60	\$0.00	\$9,365.60
04/19/22	20220404259	\$7,381.94	\$0.00	\$7,381.94
<b>Total Outstanding AR:</b>				<b>\$45,622.67</b>



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
 1980 Festival Plaza Drive, Suite 900  
 Las Vegas, NV 89135-2958  
 Tel 702.471.7000  
 Fax 702.471.7070

Geoff Winkler  
 American Fiduciary Services LLC  
 75 NW Hoyst St #4364  
 Portland, OR 97208

May 12, 2022  
 Invoice No. 20220502243

Client: Winkler, Geoff (082085.00)  
 Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through April 30, 2022

**REMITTANCE ADVICE**

Professional Fees	\$16,221.00
Less 15.0% Discount	(\$2,433.15)
Net Fees	\$13,787.85
Disbursements	\$298.15
<b>Total Invoice Amount</b>	<b>\$14,086.00</b>
Previous Balance Due	\$45,622.67
<b>Total Amount Due</b>	<b>\$59,708.67</b>

For billing inquiries please send an email to [CB@ballardspahr.com](mailto:CB@ballardspahr.com)

Our Preferred Method for payment is ACH or Wire Transfer  
<https://ww2.payerexpress.com/ebp/BallardSpahr/Login/Index>

<p><b>For Payment by US mail:</b></p> <p>Ballard Spahr LLP                  P O Box 825470                  Philadelphia, PA 19182 5470                  Tel 702.471.7000</p>	<p><b><u>ACH and Wire Payment Instructions</u></b></p> <p>Bank: PNC Bank, NA                  1600 Market Street, Philadelphia, PA 19103                  ABA No.: 031 0000 53                  Account No.: 85-3131-7345                  Account Name: Ballard Spahr LLP</p>
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Due and Payable Within 30 Days



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

June 21, 2022  
Invoice No. 20220600693

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through May 31, 2022

**INVOICE SUMMARY**

Professional Fees	\$34,844.00
Less 15.0% Discount	(\$5,226.60)
Net Fees	\$29,617.40
Disbursements	\$109.56
<b>Total Invoice Amount</b>	<b>\$29,726.96</b>
Previous Balance Due	\$36,959.15
<b>Total Amount Due</b>	<b>\$66,686.11</b>

082085.00 - 00373080  
Maria A. GallJune 21, 2022  
Invoice No. 20220600693

### Professional Services

Attorney	Date	Description	Rate	Hours	Amount
<b>AAR - Asset Analysis and Recovery</b>					
Gall,M.A.	05/01/22	Draft motion to employ Field Manning and Buck Keenan	570.00	1.00	570.00
Gall,M.A.	05/01/22	Provide comments on Roshak discovery responses	570.00	1.00	570.00
Gall,M.A.	05/02/22	Review revised drafts of discovery to Tetiana in Roshak Action and provide comments	570.00	0.20	114.00
Gall,M.A.	05/02/22	Conference with A. Clark re: Roshak discovery responses	570.00	0.30	171.00
Gall,M.A.	05/02/22	Telephone conference with G. Winkler re: TESLA	570.00	0.10	57.00
Gall,M.A.	05/02/22	Communications with A. Clark re: securing of TESLA	570.00	0.10	57.00
Clark,A.	05/02/22	Continue revisions to W. Roshak and T. Luzhanska's first request for production of documents	420.00	3.30	1,386.00
Clark,A.	05/02/22	Continue revisions of T. Luzhanska's first set of interrogatories	420.00	0.40	168.00
Clark,A.	05/02/22	Continue revisions of responses to W. Roshak's first set of interrogatories	420.00	1.20	504.00
Clark,A.	05/02/22	Finalize and serve Receiver's first interrogatories on T. Luzhanska	420.00	0.20	84.00
Gall,M.A.	05/03/22	Briefly review Bank of America production and forward to J. McGraw	570.00	0.10	57.00
Clark,A.	05/03/22	Recover car from 7043 Calvert Cliffs address	420.00	1.60	672.00
Clark,A.	05/04/22	Email correspondence with J. McGraw re: updates to exhibit of transfers	420.00	0.10	42.00
Gall,M.A.	05/05/22	Email correspondence with J. McGraw re: Roshak signature	570.00	0.10	57.00
Gall,M.A.	05/05/22	Email correspondence with R. Ryan re: Roshak signature	570.00	0.10	57.00

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 Maria A. Gall

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	05/09/22	Review and revise document and interrogatory responses in Roshak action	570.00	2.00	1,140.00
Clark,A.	05/09/22	Gather documents to be produced with responses to W. Roshak and T. Luzhanska discovery requests	420.00	0.20	84.00
Clark,A.	05/09/22	Review and finalize Receiver's responses to W. Roshak and T. Luzhanska's first sets of interrogatories	420.00	0.40	168.00
Clark,A.	05/09/22	Serve responses to requests for production and interrogatories of W. Roshak and T. Luzhanska	420.00	0.30	126.00
Gall,M.A.	05/10/22	Weekly meeting with receiver team re: outstanding items and next steps	570.00	0.50	285.00
Gall,M.A.	05/10/22	Email correspondence to A. Clark re: discovery and confidential mediation statement in Roshak action	570.00	0.30	171.00
Gall,M.A.	05/10/22	Attention to interrogatory verifications	570.00	0.10	57.00
Clark,A.	05/10/22	Email correspondence with Stroz Friedberg re: production documents upload	420.00	0.10	42.00
Clark,A.	05/11/22	Review documents produced by W. Roshak and T. Luzhanska 5/10/2022; forward same to be uploaded to Relativity	420.00	0.20	84.00
Gall,M.A.	05/12/22	Email correspondence to GC at Newegg re: status of their subpoena response	570.00	0.10	57.00
Gall,M.A.	05/12/22	Email correspondence with J. McGraw re: information needed for Bank of America subpoenas	570.00	0.10	57.00
Gall,M.A.	05/12/22	Attention to motion to employ counsel for Nicholas action, including finalizing same for review by G. Winkler and communications with litigation counsel on further information needed	570.00	0.50	285.00
Clark,A.	05/12/22	Review order setting settlement conference and requirements for drafting settlement conference brief; begin drafting same	420.00	1.80	756.00



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 Maria A. Gall

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Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	05/12/22	Research Ponzi scheme presumption and "reasonably equivalent value"	420.00	0.30	126.00
Gall,M.A.	05/13/22	Draft discovery deficiency letter to R. Ryan (Roshak)	570.00	1.00	570.00
Gall,M.A.	05/13/22	Review documents for Roshak litigation	570.00	2.00	1,140.00
Gall,M.A.	05/13/22	Revise and finalize motion to employ counsel and supporting exhibits; coordinate with assistants on filing of same	570.00	0.30	171.00
Gall,M.A.	05/13/22	Email correspondence with J. McGraw re: Bank of America subpoena information	570.00	0.10	57.00
Clark,A.	05/13/22	Review origin of Exhibit 1 re: Roshak document production	420.00	0.10	42.00
Clark,A.	05/13/22	Phone correspondence with J. McGraw re: documents supporting transfers, pass-through transfers on Exhibit 1	420.00	0.70	294.00
Clark,A.	05/13/22	Continue research re: reasonably equivalent value fraudulent transfer and the Ponzi Scheme Presumption	420.00	2.10	882.00
Clark,A.	05/13/22	Continue drafting confidential settlement brief	420.00	1.20	504.00
Clark,A.	05/14/22	Continue drafting confidential settlement brief	420.00	6.10	2,562.00
Gall,M.A.	05/15/22	Review and revise confidential settlement brief drafted by A. Clark	570.00	2.00	1,140.00
Gall,M.A.	05/15/22	Email correspondence to Stroz Friedberg re: best place to host to-be-produced documents	570.00	0.10	57.00
Gall,M.A.	05/15/22	Revise and finalize discovery deficiency letter to R. Ryan re: Roshak discovery responses	570.00	0.50	285.00
Gall,M.A.	05/16/22	Attention to Bank of America (Project Lone Star) subpoena	570.00	1.00	570.00
Gall,M.A.	05/16/22	Email correspondence with A. Clark re: settlement brief	570.00	0.10	57.00

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 Maria A. Gall

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	05/16/22	Email correspondence to S. Hall re: informal conversation	570.00	0.10	57.00
Gall,M.A.	05/16/22	Email correspondence to GC of Newegg re: status of subpoena	570.00	0.10	57.00
Clark,A.	05/16/22	Review and revise confidential settlement brief per M. Gall edits	420.00	2.00	840.00
Clark,A.	05/16/22	Phone conference with J. McGraw re: alteration of exhibit 1 to settlement brief to reflect the subcategories of transfers identified in the brief	420.00	0.70	294.00
Clark,A.	05/16/22	Review W. Roshak text messages, memoranda, and emails re: citations in confidential settlement conference brief	420.00	0.70	294.00
Gall,M.A.	05/17/22	Conference with receiver team re: outstanding tasks and next steps	570.00	0.80	456.00
Gall,M.A.	05/17/22	Attention to settlement statement, including multiple email correspondence with J. McGraw and A. Clark re: same	570.00	0.60	342.00
Clark,A.	05/17/22	Draft second request for production of documents	420.00	0.30	126.00
Clark,A.	05/17/22	Phone call with J. McGraw re: updating Exhibit 1 to settlement brief to include additional online transactions	420.00	0.50	210.00
Clark,A.	05/17/22	Review/draft proposed stipulated protective order	420.00	1.40	588.00
Clark,A.	05/17/22	Revise confidential settlement brief to account for monies transferred between transfer categories	420.00	0.40	168.00
Gall,M.A.	05/18/22	Review and revise drafts of stipulated protective order, second requests for production to Bill Roshak, first requests for production to Melissa Roshak, and second set of interrogatories to Bill Roshak, all drafted by A. Clark	570.00	1.00	570.00
Gall,M.A.	05/18/22	Revise and finalize settlement statement in Roshak action	570.00	0.60	342.00
Gall,M.A.	05/18/22	Email correspondence with GC of Newegg re: status of subpoena	570.00	0.10	57.00

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	05/18/22	Telephone conference with G. Winkler re: Roshak settlement statement	570.00	0.40	228.00
Gall,M.A.	05/18/22	Conference with A. Clark re: form of production in Roshak action	570.00	0.20	114.00
Gall,M.A.	05/18/22	Telephone conference with M. Dobi re: documents	570.00	0.50	285.00
Gall,M.A.	05/18/22	Brief research re: good faith standard in 9th Circuit for fraudulent transfers (for purposes of Roshak action)	570.00	0.30	171.00
Clark,A.	05/18/22	Complete drafting second set of requests for production of documents to W. Roshak	420.00	0.10	42.00
Clark,A.	05/18/22	Review list of relevant documents re: Receiver's responses to W. Roshak's first request for production of documents	420.00	0.40	168.00
Clark,A.	05/18/22	Coordinate with J. McGraw re: non-redacted production to W. Roshak and T. Luzhanska	420.00	0.10	42.00
Clark,A.	05/18/22	Revise stipulated protective order per M. Gall comments	420.00	2.20	924.00
Clark,A.	05/18/22	Revise and finalize confidential settlement brief to reflect updated initial settlement offer from G. Winkler	420.00	0.40	168.00
Clark,A.	05/18/22	Draft Receiver's first set of interrogatories to M. Roshak	420.00	0.10	42.00
Clark,A.	05/18/22	Draft Receiver's second set of interrogatories to W. Roshak	420.00	0.30	126.00
Clark,A.	05/19/22	Coordinate with e-discovery services to identify and mark document production	420.00	1.30	546.00
Clark,A.	05/19/22	Finalize second set of requests for production on W. Roshak	420.00	0.30	126.00
Clark,A.	05/19/22	Revise stipulated protective order per M. Gall edits	420.00	0.20	84.00
Clark,A.	05/19/22	Review documents to be produced in response to W. Roshak's request for production	420.00	0.40	168.00

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	05/20/22	Review and revise supplemental and amended discovery responses in Roshak action drafted by A. Clark	570.00	0.50	285.00
Gall,M.A.	05/20/22	Review and revise stipulated protective order in Roshak action drafted by A. Clark	570.00	0.50	285.00
Clark,A.	05/20/22	Complete amended responses to first set of interrogatories by W. Roshak	420.00	0.20	84.00
Clark,A.	05/20/22	Finalize and serve first amended responses to defendants' first set of request for production and responses to interrogatories	420.00	0.40	168.00
Clark,A.	05/20/22	Draft Receiver's first amended and supplemental responses to W. Roshak's first set of production of documents	420.00	2.50	1,050.00
Clark,A.	05/20/22	Coordinate with relativity on 5-20-2022 production	420.00	0.50	210.00
Gall,M.A.	05/23/22	Email correspondence with G. Winkler re: Roshak re-signed documents	570.00	0.10	57.00
Gall,M.A.	05/23/22	Email correspondence to R. Ryan re: meet and confer over Roshak discovery	570.00	0.10	57.00
Gall,M.A.	05/24/22	Email correspondence with R. Ryan re: meet and confer on discovery	570.00	0.10	57.00
Gall,M.A.	05/24/22	Pre-settlement conference with court on Roshak action	570.00	0.30	171.00
Gall,M.A.	05/24/22	Email correspondence to G. Winkler re: results of pre-settlement call with court on Roshak action	570.00	0.10	57.00
Gall,M.A.	05/24/22	Weekly telephone conference with receiver team re: outstanding matters and next steps	570.00	0.40	228.00
Gall,M.A.	05/24/22	Multiple email correspondence with R. Ryan re: meet and confer re: discovery	570.00	0.20	114.00
Gall,M.A.	05/25/22	Settlement conference for Roshak action	570.00	6.00	3,420.00
Gall,M.A.	05/25/22	Attention to errata to motion to employ litigation counsel	570.00	0.20	114.00

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Maria A. GallJune 21, 2022  
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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	05/26/22	Hearing with court on status report, motion for fees, and motion to employ counsel	570.00	0.50	285.00
Gall,M.A.	05/26/22	Plan and prepare for today's motions hearing	570.00	1.00	570.00
Gall,M.A.	05/26/22	Multiple email correspondence with R. Ryan and S. Fitts re: settlement	570.00	0.50	285.00
Gall,M.A.	05/26/22	Multiple email correspondence with Bank of America re: subpoena for account information	570.00	0.20	114.00
Gall,M.A.	05/26/22	Email correspondence with J. McGraw re: information needed for Bank of America	570.00	0.10	57.00
Gall,M.A.	05/26/22	Attention to stipulation and order staying discovery in Roshak action	570.00	0.50	285.00
Gall,M.A.	05/26/22	Email correspondence with R. Ryan re: backup from Mr. Roshak for Amazon purchases	570.00	0.10	57.00
Gall,M.A.	05/27/22	Identify documents for V.A. re: representations concerning Musk and Buffet	570.00	1.00	570.00
Gall,M.A.	05/27/22	Attention to preliminary information required for Jeff Nicholas litigation; forward to J. Paul Manning	570.00	2.00	1,140.00
Gall,M.A.	05/27/22	Email correspondence with Bank of America re: subpoena	570.00	0.20	114.00
Gall,M.A.	05/27/22	Create Bank of America dossier	570.00	1.00	570.00
Gall,M.A.	05/31/22	Weekly meeting with receiver team re: outstanding issues and next steps	570.00	0.50	285.00
Gall,M.A.	05/31/22	Email correspondence with opposing counsel in Roshak action re: settlement agreement	570.00	0.10	57.00
<b>Total AAR</b>				<b>70.30</b>	<b>34,716.00</b>
<b>CaseAdm - Case Administration</b>					
Perri,J.L.	05/16/22	Other: Downloading unitized Defendants' Second Supplemental Disclosures from Valora FTP to network location for legal team distribution to third party vendor.	320.00	0.40	128.00

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June 21, 2022  
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Attorney	Date	Description	Rate	Hours	Amount
<b>Total CaseAdm</b>				<b>0.40</b>	<b>128.00</b>
<b>Total Fees</b>				<b>70.70</b>	<b>\$34,844.00</b>
Less 15.0% Discount					(\$5,226.60)

### Summary of Professional Fees

Attorney	Billed Hours	Billed Rate	Billed Amount
Gall,M.A.	34.60	570.00	19,722.00
Clark,A.	35.70	420.00	14,994.00
Perri,J.L.	0.40	320.00	128.00
<b>Total Fees</b>	<b>70.70</b>		<b>\$34,844.00</b>
Less 15.0% Discount			(\$5,226.60)

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Maria A. Gall

June 21, 2022  
Invoice No. 20220600693

### Disbursements

<b>Date</b>	<b>Description</b>	<b>Amount</b>
05/04/22	Travel Expenses Andrew Clark - Inv #595D26FC40BF40FCA820 - 05/03/22 -Asset recovery - Tesla	35.66
05/09/22	Messenger Service Nationwide Legal Nevada, LLC - Inv #00000042319 4/30/22 - Las Vegas - Nationwide Legal Messenger Fee	31.50
05/09/22	Messenger Service Nationwide Legal Nevada, LLC - Inv #00000042319 4/30/22 - Las Vegas - Nationwide Legal Messenger Fee	42.40
<b>Total Disbursements</b>		<b>\$109.56</b>



082085.00 - 00373080  
 Maria A. Gall

June 21, 2022  
 Invoice No. 20220600693

### Open Invoices as of June 21, 2022

Invoice Date	Invoice Number	Invoice Amount	Payments	Balance Due
09/12/21	20210901990	\$15,476.85	\$12,420.08	\$3,056.77
10/15/21	20211004945	\$12,954.81	\$10,607.03	\$2,347.78
11/05/21	20211101274	\$24,611.28	\$19,757.53	\$4,853.75
12/06/21	20211201298	\$25,452.35	\$20,498.38	\$4,953.97
01/06/22	20220100274	\$13,880.92	\$11,493.10	\$2,387.82
02/03/22	20220200187	\$11,275.04	\$9,160.07	\$2,114.97
03/23/22	20220304815	\$9,365.60	\$7,672.40	\$1,693.20
04/19/22	20220404259	\$7,381.94	\$5,917.05	\$1,464.89
05/12/22	20220502243	\$14,086.00	\$0.00	\$14,086.00
<b>Total Outstanding AR:</b>				<b>\$36,959.15</b>



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
 1980 Festival Plaza Drive, Suite 900  
 Las Vegas, NV 89135-2958  
 Tel 702.471.7000  
 Fax 702.471.7070

Geoff Winkler  
 American Fiduciary Services LLC  
 75 NW Hoyst St #4364  
 Portland, OR 97208

June 21, 2022  
 Invoice No. 20220600693

Client: Winkler, Geoff (082085.00)  
 Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through May 31, 2022

**REMITTANCE ADVICE**

Professional Fees	\$34,844.00
Less 15.0% Discount	(\$5,226.60)
Net Fees	\$29,617.40
Disbursements	\$109.56
<b>Total Invoice Amount</b>	<b>\$29,726.96</b>
Previous Balance Due	\$36,959.15
<b>Total Amount Due</b>	<b>\$66,686.11</b>

For billing inquiries please send an email to [CB@ballardspahr.com](mailto:CB@ballardspahr.com)

Our Preferred Method for payment is ACH or Wire Transfer  
<https://ww2.payerexpress.com/ebp/BallardSpahr/Login/Index>

**For Payment by US mail:**

Ballard Spahr LLP  
 P O Box 825470  
 Philadelphia, PA 19182 5470  
 Tel 702.471.7000

**ACH and Wire Payment Instructions**

Bank: PNC Bank, NA  
 1600 Market Street, Philadelphia, PA 19103  
 ABA No.: 031 0000 53  
 Account No.: 85-3131-7345  
 Account Name: Ballard Spahr LLP

Due and Payable Within 30 Days



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

June 30, 2022  
Invoice No. 20220608117

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through July 5, 2022

**INVOICE SUMMARY**

Professional Fees	\$5,975.50
Less 15.0% Discount	(\$896.33)
Net Fees	\$5,079.17
Disbursements	\$485.26
<b>Total Invoice Amount</b>	<b>\$5,564.43</b>
Previous Balance Due	\$66,686.11
<b>Total Amount Due</b>	<b>\$72,250.54</b>

082085.00 - 00373080  
 Maria A. Gall

June 30, 2022  
 Invoice No. 20220608117

### Professional Services

Attorney	Date	Description	Rate	Hours	Amount
<b>AAR - Asset Analysis and Recovery</b>					
Gall,M.A.	06/01/22	Attention to recording of Musk and Buffet representations, including facilitating transfer of same to V.A.	570.00	0.20	114.00
Gall,M.A.	06/01/22	Draft Roshak settlement agreement; forward to G. Winkler, et al.	570.00	1.20	684.00
Gall,M.A.	06/01/22	Multiple email correspondence with R. Ryan re: Roshak amazon purchases and mobile notary; forward information to G. Winkler	570.00	0.20	114.00
Gall,M.A.	06/01/22	Multiple email correspondence with V.A. re: investors	570.00	0.20	114.00
Gall,M.A.	06/02/22	Draft second subpoena to Bank of America re: Project Lone Star, notice of subpoena, and coordinate service of same	570.00	0.70	399.00
Gall,M.A.	06/02/22	Email correspondence with K. Andrassy re: bankruptcy implications on Roshak settlement	570.00	0.30	171.00
Gall,M.A.	06/02/22	Email correspondence with Bank of America re: their production to subpoena; briefly review produced documents and coordinate transmission to the receiver team	570.00	0.50	285.00
Gall,M.A.	06/02/22	Telephone conference with V.A. re: produced sound file	570.00	0.10	57.00
Gall,M.A.	06/07/22	Coordinate with assistant on finalizing and forwarding Roshak wet-ink documents to auction company to transfer titles to assets	570.00	0.20	114.00
Gall,M.A.	06/07/22	Review and analyze letter from R. Ryan to PERS counsel re: withdrawal from Roshak PERS account; forward to G. Winkler, et al.	570.00	0.20	114.00
Gall,M.A.	06/07/22	Email correspondence to R. Ryan re: mobile notary for Mr. Winkler and requirements to sign	570.00	0.10	57.00

082085.00 - 00373080  
Maria A. GallJune 30, 2022  
Invoice No. 20220608117

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	06/07/22	Attention to further revisions to Roshak settlement agreement; forward to defense counsel for their review and input	570.00	1.00	570.00
Gall,M.A.	06/07/22	Attend weekly receiver team meeting re: outstanding tasks and next steps	570.00	0.50	285.00
Gall,M.A.	06/14/22	Telephone conference with G. Winkler re: loan officer's proposed transaction re: R. Uriarte refinancing	570.00	0.10	57.00
Gall,M.A.	06/14/22	Extended email correspondence to D. Markert and B. Pinheiro re: proposed R. Uriarte transaction in connection with her refinancing and analyze D. Markert response	570.00	0.30	171.00
Gall,M.A.	06/15/22	Multiple email correspondence with R. Uriarte loan officer re: proposed transaction and settlement	570.00	0.20	114.00
Gall,M.A.	06/15/22	Review and analyze response from B. Pinheiro re: proposed purchase/sale-back transaction from R. Uriarte loan officer	570.00	0.20	114.00
Gall,M.A.	06/15/22	Review R. Ryan edits to Roshak settlement agreement; circulate to receiver team; and respond to R. Ryan with approval	570.00	0.30	171.00
Gall,M.A.	06/16/22	Telephone conference with J.P. Manning re: status of case against Nicholas	570.00	0.30	171.00
Gall,M.A.	06/17/22	Email correspondence with defense counsel re: status of written settlement agreement in Roshak action	570.00	0.10	57.00
Gall,M.A.	06/21/22	Telephone conference with receiver team re: status of outstanding matters and next steps	570.00	0.40	228.00
Gall,M.A.	06/29/22	Meeting with V.A., et al. re: subpoenaed information	570.00	2.00	1,140.00
<b>Total AAR</b>				<b>9.30</b>	<b>5,301.00</b>
<b>CaseAdm - Case Administration</b>					
Crawford,A.	06/02/22	Upload and FTP files to Josh McGraw	160.00	0.20	32.00
<b>Total CaseAdm</b>				<b>0.20</b>	<b>32.00</b>

082085.00 - 00373080  
Maria A. GallJune 30, 2022  
Invoice No. 20220608117

Attorney	Date	Description	Rate	Hours	Amount
<b>DataAnalys - Data Analysis</b>					
Markert,D.	06/14/22	Attention to proposed two-part conveyance of Uriarte residence and possible issues per same	450.00	0.50	225.00
<b>Total DataAnalys</b>				<b>0.50</b>	<b>225.00</b>
<b>Tax Issues - Tax Issues</b>					
Pinheiro,B.M.	06/15/22	Advise on application of IRA early distribution excise tax rules	835.00	0.50	417.50
<b>Total Tax Issues</b>				<b>0.50</b>	<b>417.50</b>
<b>Total Fees</b>				<b>10.50</b>	<b>\$5,975.50</b>
Less 15.0% Discount					(\$896.33)

### Summary of Professional Fees

Attorney	Billed Hours	Billed Rate	Billed Amount
Pinheiro,B.M.	0.50	835.00	417.50
Gall,M.A.	9.30	570.00	5,301.00
Markert,D.	0.50	450.00	225.00
Crawford,A.	0.20	160.00	32.00
<b>Total Fees</b>	<b>10.50</b>		<b>\$5,975.50</b>
Less 15.0% Discount			(\$896.33)

082085.00 - 00373080  
 Maria A. Gall

June 30, 2022  
 Invoice No. 20220608117

### Disbursements

Date	Description	Amount
06/02/22	Delivery Service FedEx Invoice #:778357355, 06/10/2022, Tracking #: 273816494316, S A Vikas Arora, TFO WCC 2, 11000 WILSHIRE BLVD FL 17, LOS ANGELES, CA 90024	15.15
06/08/22	Service of Subpoena American Legal Investigation Services Nevada, Inc. - Inv #37044245 05-31-22 - Service of Process and Investigation Fees and/or Filing Fee	225.65
06/08/22	Delivery Service FedEx Invoice #:779157837, 06/17/2022, Tracking #: 274109549583, James G Murphy Co, INFORMATION NOT SUPPLIED, 18226 68TH AVE NE, KENMORE, WA 98028	18.81
06/15/22	Service of Subpoena American Legal Investigation Services Nevada, Inc. - Inv #37044506 06-15-22 - Las Vegas - Service of Process and Investigation Fees and/or Filing Fee	225.65
<b>Total Disbursements</b>		<b>\$485.26</b>



082085.00 - 00373080  
 Maria A. Gall

June 30, 2022  
 Invoice No. 20220608117

### Open Invoices as of June 30, 2022

Invoice Date	Invoice Number	Invoice Amount	Payments	Balance Due
09/12/21	20210901990	\$15,476.85	\$12,420.08	\$3,056.77
10/15/21	20211004945	\$12,954.81	\$10,607.03	\$2,347.78
11/05/21	20211101274	\$24,611.28	\$19,757.53	\$4,853.75
12/06/21	20211201298	\$25,452.35	\$20,498.38	\$4,953.97
01/06/22	20220100274	\$13,880.92	\$11,493.10	\$2,387.82
02/03/22	20220200187	\$11,275.04	\$9,160.07	\$2,114.97
03/23/22	20220304815	\$9,365.60	\$7,672.40	\$1,693.20
04/19/22	20220404259	\$7,381.94	\$5,917.05	\$1,464.89
05/12/22	20220502243	\$14,086.00	\$0.00	\$14,086.00
06/21/22	20220600693	\$29,726.96	\$0.00	\$29,726.96
<b>Total Outstanding AR:</b>				<b>\$66,686.11</b>



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
 1980 Festival Plaza Drive, Suite 900  
 Las Vegas, NV 89135-2958  
 Tel 702.471.7000  
 Fax 702.471.7070

Geoff Winkler  
 American Fiduciary Services LLC  
 75 NW Hoyst St #4364  
 Portland, OR 97208

June 30, 2022  
 Invoice No. 20220608117

Client: Winkler, Geoff (082085.00)  
 Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through July 5, 2022

**REMITTANCE ADVICE**

Professional Fees	\$5,975.50
Less 15.0% Discount	(\$896.33)
Net Fees	\$5,079.17
Disbursements	\$485.26
<b>Total Invoice Amount</b>	<b>\$5,564.43</b>
Previous Balance Due	\$66,686.11
<b>Total Amount Due</b>	<b>\$72,250.54</b>

For billing inquiries please send an email to [CB@ballardspahr.com](mailto:CB@ballardspahr.com)

Our Preferred Method for payment is ACH or Wire Transfer  
<https://ww2.payerexpress.com/ebp/BallardSpahr/Login/Index>

**For Payment by US mail:**

Ballard Spahr LLP  
 P O Box 825470  
 Philadelphia, PA 19182 5470  
 Tel 702.471.7000

**ACH and Wire Payment Instructions**

Bank: PNC Bank, NA  
 1600 Market Street, Philadelphia, PA 19103  
 ABA No.: 031 0000 53  
 Account No.: 85-3131-7345  
 Account Name: Ballard Spahr LLP

Due and Payable Within 30 Days

# EXHIBIT "3"

1 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
2 SMILEY WANG-EKVALL, LLP  
3200 Park Center Drive, Suite 250  
3 Costa Mesa, California 92626  
Telephone: (714) 445-1000  
4 Facsimile: (714) 445-1002  
kandrassy@swelawfirm.com

5 Kara B. Hendricks, Esq.  
6 Nevada Bar No. 07743  
GREENBERG TRAUIG, LLP  
7 10845 Griffith Peak Drive, Suite 600  
Las Vegas, NV 89135  
8 Telephone: (702) 792-3773  
9 Facsimile: (702) 792-9002  
hendricksk@gtlaw.com

10 *Attorneys and Proposed Attorneys for Receiver*  
11 *Geoff Winkler of American Fiduciary Services*

12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE  
15 COMMISSION,

16 Plaintiff,

17 v.

18 PROFIT CONNECT WEALTH SERVICES,  
19 INC., JOY I. KOVAR, and BRENT CARSON  
20 KOVAR,

21 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF KYRA E.  
ANDRASSY IN SUPPORT OF  
APPLICATION FOR ALLOWANCE AND  
PAYMENT OF FEES AND COSTS OF  
THE RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM APRIL 1, 2022, THROUGH JUNE  
30, 2022**

22  
23 I, Kyra E. Andrassy, Esq. declare as follows:

24 1. I am over 21 years old and an attorney with and partner of Smiley Wang-Ekvall,  
25 LLP. I am licensed to practice in California and have been admitted pro hac vice for purposes of  
26 this case.

27 2. By virtue of my position with Smiley Wang-Ekvall, I am competent to testify to  
28 the matters presented in this declaration, and I submit this declaration in support of the

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

1 Receiver’s application for approval of his fees and costs of my firm and those of his  
2 professionals in connection with Profit Connect Wealth Services, Inc. This declaration is based  
3 on my personal knowledge, except where made on information and belief, and as to those  
4 matters, I believe them to be true.

5 3. Attached to this declaration is a true and correct copy of the invoice for the  
6 services of my firm for the period from April 1, 2022, through June 30, 2022 (the “Application  
7 Period”).

8 4. In the ordinary course of Smiley Wang-Ekval’s business, we keep a record of all  
9 time expended by our professionals in the rendering of professional services on a computerized  
10 billing system.

11 5. At or near the time the professional services are rendered, professionals of the  
12 firm record (a) the description of the nature of the services performed, (b) the duration of the  
13 time expended, and (c) the client/matter name or number by either: (1) writing such information  
14 on a time sheet, or (2) inputting such information directly into the firm's computer billing system.

15 6. For the professionals who record their time using written time sheets, the  
16 information contained in the time sheets is transcribed into the firm’s computer billing system.

17 7. The firm’s computer billing system keeps a record of all time spent on a  
18 client/matter, the professional providing the services, and a description of the services rendered.  
19 The firm’s computer billing system automatically multiplies the time expended by each  
20 professional by the respective professional's billing rate to calculate the amount of the fee. The  
21 firm conducts its business in reliance on the accuracy of such business records.

22 8. As reflected in the attached invoice, and pursuant to the Receivership Order (ECF  
23 No. 26) and the Order Authorizing Employment of Counsel (ECF No. 46), professionals at  
24 Smiley-Wang Ekval assisted the Receiver as follows during the Application Period:

25 (a) finalizing the sale of the Calvert Cliffs property, including preparing a stipulation  
26 authorizing the Receiver to execute the deed on behalf of Profit Connect Nevada C Corp, as  
27 requested by the title company;

28 (b) meeting and conferring with counsel for Amazon regarding a subpoena for records;

- 1 (c) analyzing potential clawback actions;
- 2 (d) communicating with an insurance company regarding its request that the Receiver
- 3 execute a subrogation agreement;
- 4 (e) revising the settlement agreement with Mr. Roshak to avoid any negative impact
- 5 that may be caused by a bankruptcy filing; and
- 6 (f) communicating with outside counsel to Bank of America regarding Mr. Kovar's
- 7 continued use of a credit card in Profit Connect's name.

8 9. Smiley Wang-Ekval has agreed to discount its standard billing rates in connect  
9 with this matter by 15 percent, and the fees being requested in this Application and the hourly  
10 rates on the invoice reflect this reduction.

11 I declare under penalty of perjury that the foregoing is true and correct.

12 Executed on August 17, 2022.

13 /s/ Kyra E. Andrassy

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

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**SMILEY | WANG-EKVALL**

Insolvency. Real Estate. Business Litigation.

Smiley Wang-Ekvall, LLP  
 3200 Park Center Drive, Suite 250  
 Costa Mesa, CA 92626  
 Phone: 714-445-1000

Geoff Winkler  
 Geoff Winkler  
 715 NW Hoyt St. #4364  
 Portland, OR 97208

July 07, 2022  
 Our File: WIN01.0001  
 Invoice # 26796

RE: Profit Connect

**Statement of account for services rendered through June 30, 2022**

**Previous Balance** **\$ 17,828.88**

**Professional Services**

<u>Date</u>	<u>Staff</u>	<u>Description</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
04/04/2022	KEA	01 - Asset Analysis and Recovery Prepare correspondence to Deana Ahn, counsel for Amazon, re discovery response and objections	0.10	\$ 535.50/hr	\$ 53.55
04/05/2022	KEA	01 - Asset Analysis and Recovery Prepare correspondence to landlord's counsel re status of accounting re security deposit	0.10	\$ 535.50/hr	\$ 53.55
04/05/2022	KEA	01 - Asset Analysis and Recovery Meeting to discuss status of various asset recovery items	0.90	\$ 535.50/hr	\$ 481.95
04/05/2022	KEA	01 - Asset Analysis and Recovery Review accounting from warehouse landlord re security deposit	0.10	\$ 535.50/hr	\$ 53.55
04/06/2022	KEA	01 - Asset Analysis and Recovery Review potential clawback action documentation re payoff of employee mortgage	0.10	\$ 535.50/hr	\$ 53.55
04/06/2022	KEA	01 - Asset Analysis and Recovery Review potential clawback action documentation re payoff of employee mortgage	0.10	\$ 535.50/hr	\$ 53.55
04/11/2022	KEA	01 - Asset Analysis and Recovery Exchange of correspondence with	0.20	\$ 535.50/hr	\$ 107.10

Deanna Ahn re Amazon subpoena					
04/11/2022	KEA	01 - Asset Analysis and Recovery Attend meeting with proposed Texas counsel	0.40	\$ 535.50/hr	\$ 214.20
04/11/2022	KEA	01 - Asset Analysis and Recovery Prepare correspondence to client re Amazon subpoena	0.10	\$ 535.50/hr	\$ 53.55
04/12/2022	KEA	01 - Asset Analysis and Recovery Meeting re potential litigation claims and re bringing new entity into receivership estate	0.60	\$ 535.50/hr	\$ 321.30
04/12/2022	KEA	01 - Asset Analysis and Recovery Review info re Sales Leads 4 Me	0.10	\$ 535.50/hr	\$ 53.55
04/13/2022	KEA	01 - Asset Analysis and Recovery Preparation of correspondence to Receiver's office re Sales Leads 4 Me info needed	0.10	\$ 535.50/hr	\$ 53.55
04/25/2022	KEA	01 - Asset Analysis and Recovery Review correspondence from Amazon counsel re production related to Brent Kovar and forward same to client for input	0.10	\$ 535.50/hr	\$ 53.55
04/25/2022	KEA	01 - Asset Analysis and Recovery Review correspondence re Amazon purchases and prepare correspondence to D. Ahn re same for Amazon production	0.10	\$ 535.50/hr	\$ 53.55
04/27/2022	KEA	01 - Asset Analysis and Recovery Meeting re status of various action items, mostly related to forensic accounting and potential litigation claims	0.50	\$ 535.50/hr	\$ 267.75
04/28/2022	KEA	01 - Asset Analysis and Recovery Review initial Amazon production and forward to client	0.20	\$ 535.50/hr	\$ 107.10
05/03/2022	KEA	01 - Asset Analysis and Recovery Review Amazon production of additional documents	0.10	\$ 535.50/hr	\$ 53.55
05/10/2022	KEA	01 - Asset Analysis and Recovery Weekly meeting re status of various claims and information needed for background	0.50	\$ 535.50/hr	\$ 267.75
05/13/2022	KEA	01 - Asset Analysis and Recovery Review correspondence from Receiver re returned BofA check that was stale before we received it	0.10	\$ 535.50/hr	\$ 53.55
05/16/2022	KEA	01 - Asset Analysis and Recovery Telephone call with BofA re	0.10	\$ 535.50/hr	\$ 53.55



		replacement check for stale check to Profit Connect			
05/16/2022	KEA	01 - Asset Analysis and Recovery Prepare correspondence to BofA re info needed to reissue stale check	0.10	\$ 535.50/hr	\$ 53.55
05/16/2022	KEA	01 - Asset Analysis and Recovery Prepare correspondence to Deanna Ahn, counsel for Amazon, re missing production and additional request	0.20	\$ 535.50/hr	\$ 107.10
05/17/2022	KEA	01 - Asset Analysis and Recovery Strategy meeting re clawback actions, Roshak, and forensic accounting status	0.70	\$ 535.50/hr	\$ 374.85
05/24/2022	KEA	01 - Asset Analysis and Recovery Exchange of correspondence with counsel for Amazon re production status	0.10	\$ 535.50/hr	\$ 53.55
05/31/2022	KEA	01 - Asset Analysis and Recovery Review Amazon response to subpoena and prepare correspondence to client re same	0.10	\$ 535.50/hr	\$ 53.55
05/31/2022	KEA	01 - Asset Analysis and Recovery Review and analysis of info re Bank of America	0.10	\$ 535.50/hr	\$ 53.55
05/31/2022	KEA	01 - Asset Analysis and Recovery Weekly meeting re status of various issues, including forensic accounting, claims against third parties, and liens to be released	0.50	\$ 535.50/hr	\$ 267.75
06/07/2022	KEA	01 - Asset Analysis and Recovery Weekly meeting re status of action items, including Uriarte agreement	0.30	\$ 535.50/hr	\$ 160.65
06/14/2022	KEA	01 - Asset Analysis and Recovery Meeting re status of forensic accounting and various issues such as liens	0.40	\$ 535.50/hr	\$ 214.20
06/14/2022	KEA	01 - Asset Analysis and Recovery Review and analysis of info re evidence of potential professional claim	0.10	\$ 535.50/hr	\$ 53.55
06/29/2022	KEA	01 - Asset Analysis and Recovery Review information from John Hall re BofA account we need info for; research Nevada SOS re business entity	0.10	\$ 535.50/hr	\$ 53.55
		<b>01 - Asset Analysis and Recovery Totals</b>	<b>7.30</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 3,909.15</b>

**7.30****\$ 3,909.15**

04/01/2022	KEA	02 - Asset Disposition Review correspondence form escrow re Calvert Cliffs title and exchange of correspondence with G. Winkler re same	0.10	\$ 535.50/hr	\$ 53.55
04/12/2022	KEA	02 - Asset Disposition Prepare correspondence to escrow officer re entity named as holder of title for 7043 Calvert Cliffs	0.20	\$ 535.50/hr	\$ 107.10
04/12/2022	KEA	02 - Asset Disposition Exchange of correspondence with escrow officer re Calvert Cliffs re title issue	0.10	\$ 535.50/hr	\$ 53.55
04/12/2022	KEA	02 - Asset Disposition Prepare stipulation re Profit Connect Nevada C Corp for sale of Calvert Cliffs property and circulate same to title for approval	0.40	\$ 535.50/hr	\$ 214.20
04/13/2022	KEA	02 - Asset Disposition Review title info re Searchlight parcels	0.10	\$ 535.50/hr	\$ 53.55
04/13/2022	KEA	02 - Asset Disposition Review correspondence from escrow officer re stipulation re Receiver signing on behalf of grantee	0.10	\$ 535.50/hr	\$ 53.55
04/13/2022	KEA	02 - Asset Disposition Prepare correspondence to SEC and Kovars re stipulation authorizing Geoff to sign on behalf of Profit Connect Nevada C Corp.	0.10	\$ 535.50/hr	\$ 53.55
04/19/2022	KEA	02 - Asset Disposition Exchange of correspondence with escrow officer re orders she is waiting for and prepare correspondence to SEC and Kovars re stipulation status	0.10	\$ 535.50/hr	\$ 53.55
04/19/2022	KEA	02 - Asset Disposition Exchange of correspondence with escrow officer re orders needed; prepare follow up correspondence to Kovars and SEC re stipulation and order re Profit Connect Nevada C Corporation	0.10	\$ 535.50/hr	\$ 53.55
04/25/2022	KEA	02 - Asset Disposition Prepare correspondence to Kovars	0.10	\$ 535.50/hr	\$ 53.55

		following up on stipulation re grantor entity			
04/27/2022	KEA	02 - Asset Disposition Telephone call to Brent Kovar re stipulation I need signature son; left detailed message	0.10	\$ 535.50/hr	\$ 53.55
05/01/2022	KEA	02 - Asset Disposition Review correspondence from B. Kovar re approval re electronic signatures re Calvert Cliffs property and revise stipulation accordingly	0.10	\$ 535.50/hr	\$ 53.55
05/02/2022	KEA	02 - Asset Disposition Exchange of correspondence with escrow officer re status of stipulation re Receiver signature authority re grantor	0.10	\$ 535.50/hr	\$ 53.55
05/05/2022	KEA	02 - Asset Disposition Telephone call to chambers re need for entry of order approving stipulation; left message	0.10	\$ 535.50/hr	\$ 53.55
05/05/2022	KEA	02 - Asset Disposition Review order approving stipulation and forward to title	0.10	\$ 535.50/hr	\$ 53.55
		<b>02 - Asset Disposition Totals</b>	<b>1.90</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 1,017.45</b>
			<b>1.90</b>		<b>\$ 1,017.45</b>
04/04/2022	KEA	04 - Case Administration Telephone call to Bank of America legal order processing re credit card and confirmation same is now frozen	0.20	\$ 535.50/hr	\$ 107.10
04/04/2022	KEA	04 - Case Administration Prepare correspondence to BofA outside counsel re credit card and confirmation same is now frozen	0.10	\$ 535.50/hr	\$ 53.55
04/07/2022	KEA	04 - Case Administration Review and revise revised tax information letter and circulate	0.10	\$ 535.50/hr	\$ 53.55
04/13/2022	KEA	04 - Case Administration Analysis of response from insurance company re subrogation claim and exchange of correspondence with Maria Gall re same	0.80	\$ 535.50/hr	\$ 428.40
04/13/2022	KEA	04 - Case Administration Prepare response to Hartford re subrogation claim being stayed or subject to being enjoined	0.30	\$ 535.50/hr	\$ 160.65

04/27/2022	KEA	04 - Case Administration Review revised information sheet re theft losses from Baker Tilly and prepare correspondence to John Hall re same	0.20	\$ 535.50/hr	\$ 107.10
05/01/2022	KEA	04 - Case Administration Revise status report and circulate to SEC for its review	0.50	\$ 535.50/hr	\$ 267.75
05/02/2022	KEA	04 - Case Administration Exchange of correspondence with Receiver re filing of status report and SEC review (no charge)	0.10	\$ 0.00/hr	No Charge
05/06/2022	KEA	04 - Case Administration Prepare correspondence to SEC re filing status report	0.10	\$ 535.50/hr	\$ 53.55
05/06/2022	KEA	04 - Case Administration Finalize third status report and prepare service and filing instructions for same	0.20	\$ 535.50/hr	\$ 107.10
05/16/2022	KEA	04 - Case Administration Exchange of correspondence with J. Hall re Bank of America credit card usage	0.10	\$ 535.50/hr	\$ 53.55
05/17/2022	KEA	04 - Case Administration Telephone call to chambers re entry of order on second status report; left detailed message	0.10	\$ 535.50/hr	\$ 53.55
05/17/2022	KEA	04 - Case Administration Review revised Baker Tilly generic information letter for investors and authorize posting of same	0.10	\$ 535.50/hr	\$ 53.55
05/24/2022	KEA	04 - Case Administration Meeting re strategy re various claims and assets	0.50	\$ 535.50/hr	\$ 267.75
06/21/2022	KEA	04 - Case Administration Telephone call re status of Texas litigation and Searchlight marketing	0.10	\$ 535.50/hr	\$ 53.55
		<b>04 - Case Administration Totals</b>	<b>3.40</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 1,820.70</b>
			<b>0.10</b>	<b>@ \$ 0.00/hr</b>	<b>\$ 0.00</b>
			<b>3.50</b>		<b>\$ 1,820.70</b>
05/16/2022	KEA	10 - Litigation Review and revise Roshak mediation brief	0.30	\$ 535.50/hr	\$ 160.65
06/02/2022	KEA	10 - Litigation Review and revise Roshak settlement agreement re	0.40	\$ 535.50/hr	\$ 214.20

		bankruptcy considerations and exchange of correspondence with M. Gall re same			
06/07/2022	KEA	10 - Litigation Review revised Roshak settlement re bankruptcy issues and exchange of correspondence with M. Gall re same	0.10	\$ 535.50/hr	\$ 53.55
		<b>10 - Litigation Totals</b>	<b>0.80</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 428.40</b>
			<b>0.80</b>		<b>\$ 428.40</b>
04/18/2022	KEA	13 - Fee Applications Prepare firm's portion of third interim fee application and declaration in support of same (no charge)	0.50	\$ 0.00/hr	No Charge
04/19/2022	KEA	13 - Fee Applications Prepare correspondence to Stacy Chiang at Baker Tilly re invoice for fee application and declarant information (no charge)	0.10	\$ 0.00/hr	No Charge
		<b>13 - Fee Applications Totals</b>	<b>0.60</b>	<b>@ \$ 0.00/hr</b>	<b>\$ 0.00</b>
			<b>0.60</b>		<b>\$ 0.00</b>
<b>Total Professional Services</b>			<b>14.10</b>		<b>\$ 7,175.70</b>

**Costs And Disbursements**

<u>Date</u>	<u>Description</u>	<u>Amount</u>
05/05/2022	Attorney Service: First Legal Obtain and Deliver Certified Copy of Sale Motion to Kelsey Faris, Escrow Officer (Las Vegas)	\$ 203.55
	<b>Attorney Service Totals</b>	<b>\$ 203.55</b>
05/06/2022	Copies: Third Status Report of the Receiver and Petition for Instructions	\$ 4.00
	<b>Copies Totals</b>	<b>\$ 4.00</b>
05/06/2022	Postage: Third Status Report of the Receiver and Petition for Instructions	\$ 0.73
	<b>Mailing/Postage Totals</b>	<b>\$ 0.73</b>
04/13/2022	West Law Online Research	\$ 80.13
	<b>West Law Totals</b>	<b>\$ 80.13</b>
<b>Total Costs and Disbursements</b>		<b>\$ 288.41</b>

<b>Total Current Charges</b>	<b>\$ 7,464.11</b>
<hr/>	
<b>Summary Of Account</b>	
Balance Forward	\$ 17,828.88
Total Current Charges	\$ 7,464.11
Less Payments And Credits	
	6/6/2022 Payment - Check (\$10,220.87)
<b>Balance Due</b>	<b>\$ 15,072.12</b>
<hr/>	

**Notes:**

Wire Transfer Instructions:

City National Bank

555 South Flower Street

Los Angeles, CA 90071

Routing Number: 122016066

Account Number: 023904985

**Trust Account Summary**

**Billing Period: 04/01/2022 - 07/07/2022**

**Client: Geoff Winkler | General Matter Trust**

<b>Total Deposits</b>	<b>Total Disbursements</b>	<b>Current Balance</b>
\$0.00	\$0.00	\$0.00

<b>Date</b>	<b>Transaction</b>	<b>Deposit</b>	<b>Disbursement</b>	<b>Balance</b>
No activity for this billing period.				

**User Hours Summary**

**Billing Period: 04/01/2022 - 06/30/2022**

**User Hour Totals**

<b>User Initials</b>	<b>User</b>	<b>Hours Billed</b>	<b>Rate/Hour</b>	<b>Amount Billed</b>
KEA	Kyra E Andrassy	0.70	\$ 0.00	\$ 0.00
KEA	Kyra E Andrassy	13.40	\$ 535.50	\$ 7,175.70
Totals		14.10		\$ 7,175.70



# EXHIBIT "4"

1 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
2 SMILEY WANG-EKVALL, LLP  
3200 Park Center Drive, Suite 250  
3 Costa Mesa, California 92626  
Telephone: (714) 445-1000  
4 Facsimile: (714) 445-1002  
kandrassy@swelawfirm.com

5 Kara B. Hendricks, Esq.  
6 Nevada Bar No. 07743  
GREENBERG TRAUIG, LLP  
7 10845 Griffith Peak Drive, Suite 600  
Las Vegas, NV 89135  
8 Telephone: (702) 792-3773  
Facsimile: (702) 792-9002  
9 hendricksk@gtlaw.com

10 *Attorneys and Proposed Attorneys for Receiver*  
11 *Geoff Winkler of American Fiduciary Services*

12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH SERVICES,  
18 INC., JOY I. KOVAR, and BRENT CARSON  
19 KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF SERGIO KOPELEV  
IN SUPPORT OF APPLICATION FOR  
ALLOWANCE AND PAYMENT OF FEES  
AND COSTS OF THE RECEIVER AND  
HIS PROFESSIONALS FOR THE  
PERIOD FROM APRIL 1, 2022,  
THROUGH JUNE 30, 2022**

23 I, Sergio Kopelev. declare as follows:

24 1. I am over 21 years old and Vice President of Engagement Management with Stroz  
25 Friedberg, where I am responsible for directing engagements involving cybercrime and data breach  
26 response, digital forensic, intellectual property protection, and electronic discovery.

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COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

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COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

1           2.       By virtue of my position with Stroz Friedberg, I am competent to testify to the  
2 matters presented in this declaration, and I submit this declaration in support of the Receiver’s  
3 application for approval of his fees and costs of my firm and those of his professionals in  
4 connection with Profit Connect Wealth Services, Inc. This declaration is based on my personal  
5 knowledge, except where made on information and belief, and as to those matters, I believe them  
6 to be true.

7           3.       Attached to this declaration is a true and correct copy of the invoice for the  
8 services of my firm for the period from April 1, 2022, through June 30, 2022 (the “Application  
9 Period”).

10          4.       In the ordinary course of Stroz Friedberg’s business, we keep a record of all time  
11 expended by our professionals in the rendering of professional services on a computerized billing  
12 system.

13          5.       At or near the time the professional services are rendered, professionals of the  
14 firm record (a) the description of the nature of the services performed, (b) the duration of the  
15 time expended, and (c) the client/matter name or number by either: (1) writing such information  
16 on a time sheet, or (2) inputting such information directly into the firm's computer billing system.

17          6.       For the professionals who record their time using written time sheets, the  
18 information contained in the time sheets is transcribed into the firm’s computer billing system.

19          7.       The firm’s computer billing system keeps a record of all time spent on a  
20 client/matter, the professional providing the services, and a description of the services rendered.  
21 The firm’s computer billing system automatically multiplies the time expended by each  
22 professional by the respective professional's billing rate to calculate the amount of the fee. The  
23 firm conducts its business in reliance on the accuracy of such business records.

24          8.       As reflected in the attached invoice, and pursuant to the Receivership Order (ECF  
25 No. 26) and the Order Authorizing Employment of Computer Forensic Experts (ECF No. 46),  
26 professionals at Stroz Friedberg assisted the Receiver by (1) continuing to inventory digital  
27 devices used by Profit Connect principals and employees; (2) continuing to gain access to and  
28 export financial data for Profit Connect; (3) continuing to perform forensic collection of data

1 from various digital devices; (4) continuing to export data from various digital devices; (5)  
2 continuing to process and host data exported from various digital devices; and (6) continuing to  
3 perform searches of hosted data.

4 9. Stroz Friedberg has agreed to discount its standard billing rates by providing a  
5 blended rate of \$525.00 per hour for forensic professionals, which takes into account its current  
6 hourly rates ranging from \$110.00-\$275.00 per hour for administrative professionals; \$150 -  
7 \$360 for Specialists, Analysts, and Associates; \$275 - \$565 for Consultants; \$385 - \$660 for  
8 Managers and Directors; \$385 - \$660; \$550 to \$935 for Vice Presidents and Managing Directors;  
9 and \$935.00-\$1,250.00 per hour for its c-suite executives. Electronic Discovery Project  
10 managers are billed at \$195 per hour and engineers are billed at \$400 per hour, which are less  
11 than the forensic blended hourly rate.

12 I declare under penalty of perjury that the foregoing is true and correct.

13 Executed on August 17, 2022.

14 /s/ Sergio Kopelev  
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SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

**PRO FORMA INVOICE**



**Invoice Number:** K0301-0014149  
**Customer Number:** 104460608

Geoff Winkler  
 American Fiduciary Services, LLC  
 715 NW Hoyt Street, #4364  
 Portland, OR 97208

**Invoice Date:** 26 Jul 2022  
**Due Date:** 25 Aug 2022  
**Email:** geoff@americanfiduciaryservices.com  
**Project ID:** PRJ-0000030416  
**Project Name:** Project Valeria - EDD  
**Project Manager:** Sergio Kopelev

For services provided from 01 Apr 2022 to 30 Apr 2022	
Other Charges/Services	8,885.90
<b>Sub-total:</b>	8,885.90
<b>Total Payable in USD:</b>	8,885.90

Payment is due within 30 days of the invoice date.  
**Please indicate Customer # 104460608, Invoice # K0301-0014149 and the amount paid on the Electronic Payment or Check to ensure funds are applied to your account.**

Electronic Payment	Check	Tax IDs	Informational copies sent to:
Stroz Friedberg, LLC JPMorgan Chase Bank, N.A. 1 Chase Manhattan Plaza New York, NY 10005 United States of America <b>SWIFT Code:</b> CHASUS33 <b>ABA Routing Number:</b> 021000021 <b>Account Number:</b> 441613064165	Stroz Friedberg, LLC PO Box 975348 Dallas, TX 75397-5348		

If you have questions regarding the charges on this invoice, please contact your Aon Representative. If you need assistance with paying this invoice, please email SF.AR@strozfriedberg.com.

**PRO FORMA INVOICE**

**Invoice Number:** K0301-0014149  
**Customer Number:** 104460608



<b>Other Charges/Services Summary</b>		
<b>Service</b>	<b>Quantity</b>	<b>Amount</b>
Hosting (per GB)	791.09	7,910.90
Full Processing (per GB)	1	125.00
User License(s)	10	850.00
	<b>Sub-total:</b>	<b>8,885.90</b>

**INVOICE**



**Invoice Number:** K0301-0013643  
**Customer Number:** 104460608

**Invoice Date:** 29 Jun 2022  
**Due Date:** 29 Jul 2022  
**Email:** geoff@americanfiduciaryservices.com  
**Project ID:** PRJ-0000030416  
**Project Name:** Project Valeria - EDD  
**Project Manager:** Sergio Kopelev

Geoff Winkler  
American Fiduciary Services, LLC  
715 NW Hoyt Street, #4364  
Portland, OR 97208

For services provided through May 2022	
Other Charges/Services	8,969.30
Hourly Fees	2,171.25
<b>Sub-total:</b>	11,140.55
<b>Total Payable in USD:</b>	11,140.55

Payment is due within 30 days of the invoice date.

**Please indicate Customer # 104460608, Invoice # K0301-0013643 and the amount paid on the Electronic Payment or Check to ensure funds are applied to your account.**

Electronic Payment	Check	Tax IDs	Informational copies sent to:
Stroz Friedberg, LLC JPMorgan Chase Bank, N.A. 1 Chase Manhattan Plaza New York, NY 10005 United States of America <b>SWIFT Code:</b> CHASUS33 <b>ABA Routing Number:</b> 021000021 <b>Account Number:</b> 441613064165	Stroz Friedberg, LLC PO Box 975348 Dallas, TX 75397-5348		

If you have questions regarding the charges on this invoice, please contact your Aon Representative. If you need assistance with paying this invoice, please email SF.AR@strozfriedberg.com.

**INVOICE**

**Invoice Number:** K0301-0013643  
**Customer Number:** 104460608

**Other Charges/Services Summary**

<b>Service</b>	<b>Quantity</b>	<b>Amount</b>
Hosting (per GB)	790.93	7,909.30
Full Processing (per GB)	1	125.00
User License(s)	11	935.00
	<b>Sub-total:</b>	<b>8,969.30</b>

**Hourly Fees Summary**

<b>Consultant</b>	<b>Hours</b>	<b>Amount</b>
Allan Vogel	1.50	787.50
Ericka Browne	0.25	48.75
Mitchell Dobi	5.00	975.00
Sergio Kopelev	0.50	262.50
Todd Kirmayer	0.50	97.50
	<b>Sub-total:</b>	<b>2,171.25</b>



**INVOICE**

**Invoice Number:** K0301-0013643  
**Customer Number:** 104460608

**Hourly Fees Details**

<b>Date</b>	<b>Consultant</b>	<b>Narrative</b>	<b>Hours</b>	<b>Amount</b>
03 May 2022	Allan Vogel	John requested that passwords that we may have for the wordpress sites.	1.50	787.50
09 May 2022	Mitchell Dobi	Coordinate user license creation for A. Deering.	0.25	48.75
09 May 2022	Sergio Kopelev	Confer with receiver, confer with and direct staff.	0.25	131.25
10 May 2022	Mitchell Dobi	Download and ingest document sets into Relativity per A. Clark.	0.25	48.75
11 May 2022	Mitchell Dobi	Download and ingest supplemental document production per A. Clark.	0.25	48.75
13 May 2022	Todd Kirmayer	Work on new layout and field creation per MGall.	0.50	97.50
16 May 2022	Mitchell Dobi	Communicate internally with S. Kopelev regarding case status. Review exclusionary search history in search for Anita Webster communication documents. Download and ingest 2nd Supp Disclosure production set per A. Clark. Export request per A. Clark.	1.50	292.50
16 May 2022	Sergio Kopelev	Confer with and direct staff.	0.25	131.25
18 May 2022	Erica Browne	Confirm government contact information for M. Dobi.	0.25	48.75
18 May 2022	Mitchell Dobi	Communicate with M. Gall regarding exclusionary searches and gov dataset transfer.	0.50	97.50
19 May 2022	Mitchell Dobi	Call with A. Clark regarding potential production set for tomorrow. Create lockbox folder for dataset deliveries. Download and coordinate Receiver data processing.	1.25	243.75
20 May 2022	Mitchell Dobi	Call with A. Clark regarding data promotion proposed workflow. Coordinate document download for processing. Communicate with A. Clark regarding data delivery search location.	1.00	195.00
<b>Sub-total Hourly Fees Details</b>			<b>7.75</b>	<b>2,171.25</b>

**INVOICE**



**Invoice Number:** K0301-0013857  
**Customer Number:** 104460608

**Invoice Date:** 18 Jul 2022  
**Due Date:** 17 Aug 2022  
**Email:** geoff@americanfiduciaryservices.com  
**Project ID:** PRJ-0000030416  
**Project Name:** Project Valeria - EDD  
**Project Manager:** Sergio Kopelev

Geoff Winkler  
American Fiduciary Services, LLC  
715 NW Hoyt Street, #4364  
Portland, OR 97208

For services provided from 01 Jun 2022 to 30 Jun 2022	
Other Charges/Services	9,014.30
Hourly Fees	622.50
<b>Sub-total:</b>	9,636.80
<b>Total Payable in USD:</b>	9,636.80

Payment is due within 30 days of the invoice date.

**Please indicate Customer # 104460608, Invoice # K0301-0013857 and the amount paid on the Electronic Payment or Check to ensure funds are applied to your account.**

Electronic Payment	Check	Tax IDs	Informational copies sent to:
Stroz Friedberg, LLC JPMorgan Chase Bank, N.A. 1 Chase Manhattan Plaza New York, NY 10005 United States of America <b>SWIFT Code:</b> CHASUS33 <b>ABA Routing Number:</b> 021000021 <b>Account Number:</b> 441613064165	Stroz Friedberg, LLC PO Box 975348 Dallas, TX 75397-5348		

If you have questions regarding the charges on this invoice, please contact your Aon Representative. If you need assistance with paying this invoice, please email SF.AR@strozfriedberg.com.

**INVOICE**

Invoice Number: K0301-0013857  
Customer Number: 104460608



<b>Other Charges/Services Summary</b>		
<b>Service</b>	<b>Quantity</b>	<b>Amount</b>
Hosting (per GB)	790.93	7,909.30
User License(s)	13	1,105.00
	<b>Sub-total:</b>	<b>9,014.30</b>
<b>Hourly Fees Summary</b>		
<b>Consultant</b>	<b>Hours</b>	<b>Amount</b>
Allan Vogel	1.00	525.00
Mitchell Dobi	0.50	97.50
	<b>Sub-total:</b>	<b>622.50</b>

**INVOICE**

**Invoice Number:** K0301-0013857  
**Customer Number:** 104460608



**Hourly Fees Details**

<b>Date</b>	<b>Consultant</b>	<b>Narrative</b>	<b>Hours</b>	<b>Amount</b>
01 Jun 2022	Mitchell Dobi	Coordinate user credential creation.	0.25	48.75
02 Jun 2022	Mitchell Dobi	Communicate with M. Gall regarding native downloading.	0.25	48.75
23 Jun 2022	Allan Vogel	Project administrations. Request for Roshaks Consent form.	1.00	525.00
<b>Sub-total Hourly Fees Details</b>			<b>1.5</b>	<b>622.50</b>

# EXHIBIT "5"

1 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
2 SMILEY WANG-EKVALL, LLP  
3200 Park Center Drive, Suite 250  
3 Costa Mesa, California 92626  
Telephone: (714) 445-1000  
4 Facsimile: (714) 445-1002  
kandrassy@swelawfirm.com

5 Kara B. Hendricks, Esq.  
6 Nevada Bar No. 07743  
GREENBERG TRAUIG, LLP  
7 10845 Griffith Peak Drive, Suite 600  
Las Vegas, NV 89135  
8 Telephone: (702) 792-3773  
Facsimile: (702) 792-9002  
9 hendricksk@gtlaw.com

10 *Attorneys and Proposed Attorneys for Receiver*  
11 *Geoff Winkler of American Fiduciary Services*

12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH SERVICES,  
18 INC., JOY I. KOVAR, and BRENT CARSON  
19 KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF STACY CHIANG IN  
SUPPORT OF APPLICATION FOR  
ALLOWANCE AND PAYMENT OF FEES  
AND COSTS OF THE RECEIVER AND  
HIS PROFESSIONALS FOR THE  
PERIOD FROM APRIL 1, 2022,  
THROUGH JUNE 30, 2022**

21  
22  
23 I, Stacy Chiang. declare as follows:

24 1. I am over 21 years old and a director with Baker Tilly US, LLP, it its global  
25 forensics and litigation services practice.

26 2. By virtue of my position with Baker Tilly, I am competent to testify to the matters  
27 presented in this declaration, and I submit this declaration in support of the Receiver’s  
28 application for approval of his fees and costs of my firm and those of his professionals in

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3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

1 connection with Profit Connect Wealth Services, Inc. This declaration is based on my personal  
2 knowledge, except where made on information and belief, and as to those matters, I believe them  
3 to be true.

4 3. Attached to this declaration is a true and correct copy of the invoice for the  
5 services of my firm for the period from April 1, 2022, through June 30, 2022 (the “Application  
6 Period”).

7 4. In the ordinary course of Baker Tilly's business, we keep a record of all time  
8 expended by our professionals in the rendering of professional services on a computerized billing  
9 system.

10 5. At or near the time the professional services are rendered, professionals of the  
11 firm record (a) the description of the nature of the services performed, (b) the duration of the  
12 time expended, and (c) the client/matter name or number by either: (1) writing such information  
13 on a time sheet, or (2) inputting such information directly into the firm's computer billing system.

14 6. For the professionals who record their time using written time sheets, the  
15 information contained in the time sheets is transcribed into the firm’s computer billing system.

16 7. The firm’s computer billing system keeps a record of all time spent on a  
17 client/matter, the professional providing the services, and a description of the services rendered.  
18 The firm’s computer billing system automatically multiplies the time expended by each  
19 professional by the respective professional's billing rate to calculate the amount of the fee. The  
20 firm conducts its business in reliance on the accuracy of such business records.

21 8. As reflected in the attached invoice, and pursuant to the Receivership Order (ECF  
22 No. 26) and the Order Authorizing Employment of Baker Tilly (ECF No. 76), professionals at  
23 Baker Tilly assisted the Receiver by responding to inquiries from the Receiver's team regarding  
24 tax treatment of certain transactions, reporting methods and options, and updates to the tax  
25 research summary to be posted on the receivership website about the potential tax ramifications  
26 of investing in Profit Connect.

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9. Baker Tilly has discounted its invoiced rates from its standard rates.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 17, 2022.

/s/ Stacy Chiang

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002



Baker Tilly US, LLP  
3655 Nobel Drive Suite 300  
San Diego, CA 92122 • 858 597 4100

**Geoff Winkler**

American Fiduciary Services  
715 NW Hoyt Street #4364  
Portland, OR 97208

**Invoice Date:** July 19, 2022

**Invoice Number:** BT2140056

**Client Number:** 242674

**INVOICE****AMOUNT**

<b>INVOICE</b>	<b>AMOUNT</b>
<b>Fees</b> Current INVOICE - BT2140056  <b>SEC v. Profit Connect, et al.</b>  Professional services rendered from April 1, 2022 through June 30, 2022, including review and response to inquiries from the Receiver's team regarding tax treatment of certain transactions, reporting methods and options, potential updates to tax research summary regarding investor transactions/impact, monitoring and attention to tax deadlines, communications with counsel regarding case administration and assistance with first interim fee application (see attached details).	\$1,294.00
Expenses (PACER charges):	\$5.80
<b>Fees Total:</b>	<b>\$1,299.80</b>
<b>Invoice Total:</b>	<b>\$1,299.80</b>

For questions, comments or suggestions, please contact Suzy Ramirez at 858 597 4100.

**Balance is payable upon receipt or previously agreed upon terms.**

**To pay by Credit Card, Debit Card, or EFT using your Checking Account visit [www.bakertilly.com/payment](http://www.bakertilly.com/payment).  
There is 3.5% surcharge on all Credit Card payments. There is no fee for Debit Card or EFT Payments.**

<b>Please ACH or wire payment to:</b>  US Bank, Milwaukee, WI Routing No: 075000022 Account No: 312220280 Reference #: BT2140056	<b>Or send payment to:</b>  Baker Tilly US, LLP PO Box 511563 Los Angeles, CA 90051-8118	<b>Reference:</b>  Client Number: 242674 Invoice Number: BT2140056  Amount Enclosed: \$ _____
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SEC v. Profit Connect, et al.  
 Services rendered from April 1, 2022 through June 30, 2022



Date	Professional	Category	Detail	Hours	Rate	Amount
04/19/22	Chiang, Stacy	G&A Matters	Review/respond to inquiry from counsel re upcoming deadlines, potential fee hearing, standard practices in this case, etc.	0.20	\$ 395.00	\$ 79.00
04/21/22	Chiang, Stacy	G&A Matters	Review correspondence and respond to counsel re fee application and tax deadlines and attend to same.	0.20	\$ 395.00	\$ 79.00
04/25/22	Chiang, Stacy	G&A Matters	Review/approve/sign declaration for fee application along with exhibits.	0.20	\$ 395.00	\$ 79.00
04/27/22	Castle, Eileen	Tax Analysis	Review and respond to client's request for additional updates to tax research summary, make updates and forward to client.	0.60	\$ 360.00	\$ 216.00
04/27/22	Chiang, Stacy	Tax Analysis	Review comments from counsel re tax research summary and work with E Castle re updates.	0.30	\$ 395.00	\$ 118.50
05/11/22	Chiang, Stacy	Tax Analysis	Review inquiry from Receiver's team re "commissions" treatment and work re development of research/response.	0.30	\$ 395.00	\$ 118.50
05/12/22	Castle, Eileen	Tax Analysis	Draft response to client regarding questions posed on filing Forms 1099 for 2021 tax year, addressing penalties, paper vs. electronic and attachments.	0.50	\$ 360.00	\$ 180.00
05/27/22	Chiang, Stacy	Tax Analysis	Review correspondence with questions from Receiver's team re 1099s with attachments. Follow up on case monitoring for tax reporting including 1099s and other returns/forms.	0.20	\$ 395.00	\$ 79.00
06/02/22	Chiang, Stacy	Tax Analysis	Update tax summary and send to Receiver's office with explanation of changes, etc.	0.30	\$ 395.00	\$ 118.50
06/03/22	Chiang, Stacy	Tax Analysis	Review/respond to email from Receiver's office re tax research summary follow up.	0.10	\$ 395.00	\$ 39.50
06/08/22	Chiang, Stacy	Tax Analysis	Review inquiry from Receiver's office re 1099 corrections and discuss	0.20	\$ 395.00	\$ 79.00
06/14/22	Castle, Eileen	Tax Analysis	Review/research inquiries from client and communicate with Receiver's team regarding 1099s.	0.30	\$ 360.00	\$ 108.00
<b>Total Professional Fees</b>				<b>3.40</b>		<b>\$ 1,294.00</b>

Expenses	Description	Amount
04/26/22	PACER charges for quarter ended 3/31/22	\$ 5.80
<b>Total Expenses</b>		<b>\$ 5.80</b>
<b>Total Professional Fees and Expenses</b>		<b>\$ 1,299.80</b>

**Professional Fees by Professional**

Professional	Title	Hours	Rate	Amount
Eileen Castle, CPA, CFE, CIRA	Senior Manager	1.40	\$ 360.00	\$ 504.00
Stacy Elledge Chiang, CPA/CFF, CIRA	Director	2.00	\$ 395.00	\$ 790.00
		<b>3.40</b>	<b>\$ 380.59</b>	<b>\$ 1,294.00</b>

**Professional Fees by Task Code**

Category	Description	Hours	Rate	Amount
Tax Analysis	Tax Research, Analysis and Planning	2.80	\$ 377.50	\$ 1,057.00
G&A Matters	Attention to planning, deadlines. Correspondence with counsel re general and administrative matters.	0.60	\$ 395.00	\$ 237.00
		<b>3.40</b>	<b>\$ 380.59</b>	<b>\$ 1,294.00</b>

# EXHIBIT "6"

1 Kyra E. Andrassy, Esq.  
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3 Costa Mesa, California 92626  
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7 10845 Griffith Peak Drive, Suite 600  
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9 hendricksk@gtlaw.com

10 *Attorneys and Proposed Attorneys for Receiver*  
11 *Geoff Winkler of American Fiduciary Services*

12 **UNITED STATES DISTRICT COURT**

13 **DISTRICT OF NEVADA**

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH SERVICES,  
18 INC., JOY I. KOVAR, and BRENT CARSON  
19 KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**APPLICATION FOR ALLOWANCE AND  
PAYMENT OF FEES AND COSTS OF  
THE RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM APRIL 1, 2022, THROUGH JUNE  
30, 2022**

23 The Court having reviewed the *Application for Allowance and Payment of Fees and Costs*  
24 *of the Receiver and His Professionals for the Period from April 1, 2022, Through June 30, 2022*  
25 (the "Application") and the Court having found that notice was proper and that cause exists to  
26 approve the Application

27 **IT IS ORDERED AS FOLLOWS:**

1 (1) Geoff Winkler (the "Receiver") and American Fiduciary Services are allowed  
2 \$214,884.50 in fees and \$1,975.33 in costs;

3 (2) Ballard Spahr is allowed \$48,484.42 in fees and \$892.97 in costs;

4 (3) Smiley Wang-Ekval, LLP, is allowed \$7,175.80 in fees and costs of \$288.41;

5 (4) Stroz Friedberg is allowed \$2,793.75 in fees and \$26,869.50 in costs;

6 (5) Baker Tilly is allowed \$1,294.00 in fees;

7 (6) The Receiver is authorized to pay 80% of the allowed fees and 100% of the allowed  
8 costs from funds on hand in the receivership estate.

9 **IT IS SO ORDERED.**

10 \_\_\_\_\_  
11 DATED: \_\_\_\_\_

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

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