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*Geoff Winkler of American Fiduciary Services*

11  
12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
19 BRENT CARSON KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**APPLICATION FOR ALLOWANCE  
AND PAYMENT OF FEES AND COSTS  
OF THE RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM OCTOBER 1, 2021, THROUGH  
DECEMBER 31, 2021**

**[HEARING REQUESTED]<sup>1</sup>**

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23 Geoff Winkler of American Fiduciary Services, LLC, the permanent receiver  
24 (the "Receiver") over Profit Connect Wealth Services, Inc., and any subsidiaries and  
25 affiliates (together, "Profit Connect") pursuant to an order entered on August 6, 2021  
26 (the "Receiver Order"), submits this application for allowance and payment of his fees

27 \_\_\_\_\_  
28 <sup>1</sup> The Receiver requests that the Court schedule a hearing on this application at the  
same date and time as the hearing on the Receiver's status report, which is being  
filed on or about November 1, 2021.

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1 and costs and the fees and costs of the professionals he employed to assist him in  
2 fulfilling his duties under the Receiver Order. The period of time covered by this  
3 Application is from October 1, 2021, through December 31, 2021 (the "Application  
4 Period"). The Receiver is informed that the Securities & Exchange Commission  
5 ("SEC") has no objection to the relief sought in this Application.

6 **MEMORANDUM OF POINTS AND AUTHORITIES**

7 Because the Receiver is not a licensed attorney, does not have in-house counsel,  
8 and is not a computer expert, he employed professionals to assist him in fulfilling his  
9 duties as the Receiver. Specifically, pursuant to Section X.G. of the Receiver Order,  
10 he retained Smiley Wang-Ekvall, LLP, and Ballard Spahr, LLP, as counsel and Stroz  
11 Friedberg as his computer forensic experts. The Court approved their employment  
12 by order entered on September 21, 2021. Pursuant to this Application, the Receiver  
13 and his professionals seek approval of the following fees and costs: (1) \$284,348.00  
14 in fees and \$12,085.57 in costs for the Receiver; (2) \$60,977.72 in fees and \$2,966.83  
15 in costs for Ballard Spahr, LLP; (3) \$17,967.30 in fees and \$941.41 in costs for Smiley  
16 Wang-Ekvall, LLP; and (4) \$10,758.75 in fees and \$15,064.69 in costs for Stroz  
17 Friedberg. The Receiver seeks authority to pay 80% of the fees on an interim basis  
18 and 100% of the costs.

19 This Application is based on the below written argument, the declarations of  
20 Geoff Winkler, Maria A. Gall, Esq., Kyra E. Andrassy, Esq., and Sergio Kopelev, all  
21 papers on file, and any argument the Court may call and consider.

22 **I. RELEVANT BACKGROUND AND PROCEDURAL HISTORY**

23 The SEC initiated this action against Profit Connect, Joy Kovar, and Brent  
24 Kovar on July 8, 2021, by the sealed, ex parte filing of a complaint and motion for  
25 temporary restraining order seeking, among other things, the freezing of defendants'  
26 assets and the appointment of a receiver over Profit Connect. The Court granted the  
27 ex parte temporary restraining order, in part, by allowing the asset freeze to proceed  
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1 but set the motion for a hearing in order to provide defendants an opportunity to be  
2 heard on the temporary receivership request.

3 On July 23, 2021, defendants stipulated to modify the temporary restraining  
4 order to appoint the Temporary Receiver. By order entered on August 6, 2021, the  
5 Court approved a stipulation of the parties appointing the Receiver as the permanent  
6 receiver.

7 During this Application Period, the Receiver's focus was on the auction of  
8 personal property assets that was conducted in December, generating net proceeds of  
9 approximately \$1,259,817 for the receivership estate. The Receiver continues to  
10 identify potential claims that belong to the receivership estate and to gather  
11 information, issue subpoenas, and review books and records and documents that are  
12 produced to identify additional sources of recovery. These efforts will pave the way  
13 for additional recoveries to be obtained by the estate. Counsel continue to coordinate  
14 their efforts to avoid duplication of services.

15 As of December 31, 2021, the Receiver is holding \$7,844,306.86, which does not  
16 include the net proceeds generated from the auction of personal property that was  
17 received after December 31. As set forth in the motion to approve the employment of  
18 the professionals and because receivership work is a form of public service, each of  
19 the professionals has agreed to discount their typical hourly rate by 15%, and this  
20 discount is reflected in the fees being sought in this Application.

## 21 II. LEGAL AUTHORITY

22 “The power of a district court to impose a receivership ... derives from the  
23 inherent power of a court of equity to fashion effective relief.”<sup>2</sup> “The primary purpose  
24 of equity receiverships is to promote orderly and efficient administration of the  
25 Receivership Estate by the district court for the benefit of creditors.”<sup>3</sup> “[T]he practice  
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27 <sup>2</sup> *SEC v. Wencke*, 622 F.2d 1363, 1369 (9th Cir. 1980).

28 <sup>3</sup> *SEC v. Hardy*, 803 F.2d 1034, 1038 (9th Cir. 1986.)

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1 in administering an estate by a receiver ... must accord with the historical practice  
2 in federal courts or with a local rule.”<sup>4</sup>

3 As the Ninth Circuit explained:

4 A district court’s power to supervise an equity receivership  
5 and to determine the appropriate action to be taken in the  
6 administration of the receivership is extremely broad. The  
7 district court has broad powers and wide discretion to  
8 determine the appropriate relief in an equity receivership.  
The basis for this broad deference to the district court's  
supervisory role in equity receiverships arises out of the  
fact that most receiverships involve multiple parties and  
complex transactions.<sup>5</sup>

9 Decisions regarding the timing and amount of an award of fees and expenses  
10 to the Receiver and his or her professionals are committed to the sound discretion of  
11 the Court.<sup>6</sup> In determining the reasonableness of fees and expenses requested in this  
12 context, the Court should consider the time records presented, the quality of the work  
13 performed, the complexity of the problems faced, and the benefit of the services  
14 rendered to the Estate, along with the Commission's position on the request, which  
15 is entitled to "great weight."<sup>7</sup>

16 **III. THE FEES AND COSTS BEING REQUESTED**

17 As set forth in prior applications, in evaluating the fees and costs of the  
18 Receiver and his professionals, the fact that Profit Connect had no books and records,  
19 including books of account, or any functioning accounting department must be taken  
20 into account. The absence of these records means that the Receiver and his team  
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22 <sup>4</sup> Fed. R. Civ. P. 66.

23 <sup>5</sup> *SEC v. Capital Consultants, LLC*, 397 F.3d 733, 738 (9th Cir. 2005) (citations  
24 omitted); *see also CFTC v. Topworth Int’l, Ltd.*, 205 F.3d 1107, 1115 (9th Cir. 1999)  
25 (“This court affords ‘broad deference’ to the court’s supervisory role, and ‘we generally  
26 uphold reasonable procedures instituted by the district court that serve th[e] purpose  
of orderly and efficient administration of the receivership for the benefit of  
creditors.”).

27 <sup>6</sup> *See SEC v. Elliot*, 953 F.2d 1560, 1577 (11th Cir. 1992) (rev'd in part on other  
grounds, 998 F.2d 922 (11th Cir. 1993)).

28 <sup>7</sup> *SEC v. Fifth Ave. Coach Lines, Inc.*, 364 F. Supp. 1220, 1222 (S.D.N.Y. 1973).

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1 must get the documents that they need to determine what happened with funds put  
2 in by investors from third parties. This requires the issuance of subpoenas, and often  
3 ensuing discussions with the subpoenaed parties about the scope or timing of the  
4 requested production. It also means that the Receiver has had to recreate, and is still  
5 in the process of recreating, accounting records by reconstructing the entity's  
6 financials using financial statements and offsetting financial records. The majority  
7 of these statements and records have had to be procured through subpoenas served  
8 on financial institutions.

9 Also, despite the Receivership Order and the principles underlying a federal  
10 equity receivership, some investors are seeking other methods of recovery to recoup  
11 their investments ahead of other investors. The Receiver is presently aware of a  
12 putative class action that is being formed by one set of investors and counsel. The  
13 Receiver is also aware of at least one action by an investor against a Profit Connect  
14 agent pending in another jurisdiction. The Receiver and his counsel are engaging  
15 with counsel in these anticipated and pending lawsuits in order to preserve the assets  
16 of the receivership estate.

17 The foregoing highlights some of the actions the Receiver and his legal  
18 professionals have been constrained to undertake early in this case and which drives  
19 their fees. That said, the Receiver's prompt action in reconstructing Profit Connect's  
20 financial records has assisted in his preservation and marshalling of Profit Connect  
21 property, including over \$4 million dollars in cryptocurrency and a recovery of \$\_\_\_  
22 for the personal property assets.

23 Below is a description of the services provided by each of the professionals  
24 during the Application Period. All of the fees and expenses incurred during the  
25 Application Period will benefit the receivership estate moving forward.

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**a. The Receiver**

[Fees of \$284,348.00 and costs of \$12,085.57]

Pursuant to paragraph X of the Receiver Order, the Receiver was empowered and tasked with a broad range of authority, including the authority to take possession and control of all assets, to assume full control of Profit Connect, to have control of and be added as the sole authorized signatory for all accounts, to conduct an investigation and discovery necessary to locate and account for assets, to assess the viability and profitability of Profit Connect, to take action necessary to preserve and prevent the disposition, concealment, or dissipation of assets, to employ professionals, to make an accounting, to make payments and disbursements, to investigate and prosecute claims, to engage in litigation to preserve or recover assets or to carry out the Receiver's mandate, and to have access to all mail and electronic mail.

Pursuant to the Receiver Order, the Receiver and his team performed the following duties:

- took possession of and liquidated cryptocurrency at a significant profit to the estate;
- subpoenaed records and performed forensic accounting to recreate missing accounting information and validate amounts owed to investors and others;
- prepared for the sale of real properties in North Las Vegas and Searchlight, Nevada;
- worked with the auctioneer, former employees, and temporary workers to prepare for the auction of personal property at the Speedway location, which netted the estate almost \$300,000 more than the auctioneer's top estimate;
- managed all utilities to ensure needed services through the auction and cancellation once they were no longer needed;
- worked with auctioneer and team to quickly prepare and turn over the warehouse to the landlord to save the estate funds;

- 1 • closed payroll accounts and made sure all payroll taxes were properly paid and
- 2 that all tax documents were prepared for employees;
- 3 • cancelled all business insurance after the auction to ensure coverage while
- 4 needed and to obtain a refund of unused premiums;
- 5 • investigated additional assets and worked to determine if they were properly
- 6 assets of the receivership estate;
- 7 • discussed and worked with counsel to pursue litigation against third parties;
- 8 • reviewed and proposed tax accountants to prepare unfiled tax returns; and
- 9 • continued to review documents and interview parties to determine potential
- 10 litigation against third parties.

11 The Receiver's fees for the Application Period are as follows:

<i>Name</i>	<i>Title</i>	<i>Hours</i>	<i>Rate/Hr.</i>	<i>Total</i>
Geoff Winkler	Receiver	226.3	\$340.00	76,942.00
John Hall	Accountant	228.1	\$310.00	70,711.00
Miliana Barkhancy	Director	55.3	\$255.00	14,101.50
Renee Dieffenderfer	Associate	143.2	\$255.00	36,516.00
Josh McGraw	Associate	275.5	\$255.00	70,252.50
Ysabel Willits	Analyst	105.5	\$150.00	15,825.00
<i>Total</i>		<i>1033.90</i>		<i>284,348.00</i>

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24 Due to its broad range of experience and expertise, AFS performs almost all  
25 required work in-house, saving both time and money, including tasks involving  
26 corporate accounting, forensic accounting, case administration, claims  
27 administration, asset valuation, investor communication and internet technology.  
28 AFS' billing philosophy is to leverage work down to the staff member with the lowest



1 bill rate that also has the skills and experience necessary to complete the task. This  
 2 allows AFS to minimize the cost to complete all work associated with the case,  
 3 ensuring a maximum return to stakeholders involved in the matter while also  
 4 preserving the quality of our work product. AFS does not bill for travel time in  
 5 regulatory cases.

6 AFS's rates include a 15 percent discount off its already discounted hourly  
 7 rates for government matters and will not increase for the pendency of the case. Both  
 8 the standard regulatory rate and the discounted regulatory rate reflect a significant  
 9 discount off our standard consulting rates.

10 All billing standards meet or exceed the SEC's Billing Instructions for  
 11 Receivers in Civil Actions Commenced by the U.S. Securities and Exchange  
 12 Commission (SFAR) and the U.S. Trustee's Guidelines for Reviewing Applications for  
 13 Compensation and Reimbursement of Expenses.

14 The Receiver anticipates a significant drop in the number of hours required to  
 15 manage this case with the sale of the personal property, closure of the business, and  
 16 the turn-over of the warehouse location to the landlord as many of the hours spent  
 17 this period were dedicated to tasks.

18 The Receiver's expenses for the Application Period are as follows:

<i>Category</i>	<i>Total Cost</i>
Airfare	\$5,004.37
Courier/Shipping/ Freight	\$497.58
Meals	\$1,006.50
Hotel	\$4,192.89
Technology	\$13.00
Miscellaneous	\$55.47
Parking	\$189.50



Taxi	\$607.76
<i>Total</i>	<i>\$12,085.57</i>

AFS will not seek reimbursement for routine copying, facsimile, postage, or other expenses. Any expenses which AFS seeks to have reimbursed will be done so in accordance with the SEC and U.S. Trustee guidelines above. Costs directly attributable to the administration of the estate will be paid directly by the estate in accordance with the order of appointment.

The Receiver also anticipates a significant drop in the expenses required to manage this case due to the sale of the personal property, closure of the business, and the turn-over of the warehouse location to the landlord as less travel will be necessary.

The Standardized Fund Accounting Report, AFS's invoice, and its billing entries are included with the Declaration of Geoff Winkler attached as Exhibit 1.

**b. Ballard Spahr**

[Fees of \$60,977.72, and costs of \$2,966.83]

During the Application Period, attorneys at Ballard Spahr LLP performed services on multiple projects. Their work included, among other things: (1) issuing and enforcing subpoenas to various entities in an effort to track, trace, and recover Profit Connect assets; (2) liaising, negotiating, and settling with third parties to ensure Profit Connect assets in their possession were properly transferred to the Receiver's control and ready for the personal property auction held in December 2021; (3) investigating, analyzing, and drafting necessary documents to ensure Profit Connect property was properly preserved for transfer to the Receiver; (4) advising the Receiver on his obligations in connection with preserving Profit Connect assets; (5) initiating the action against former Profit Connect employee William Roshak, et al. to recover over \$500,000 in fraudulently transferred Profit Connect funds, including the work associated with drafting and filing the complaint, negotiating extensions of

1 answers, and preliminary conversations regarding settlement with opposing counsel;  
2 (6) liaising and negotiating with counsel for investors on their putative class actions  
3 and other litigation to recoup monies outside the receivership, as well as filing  
4 injunction motions to stay their proceedings in violation of the receivership order; and  
5 (7) advising the Receiver on his obligations in relation to a subpoena received by the  
6 receivership estate.

7 During the Application Period, the Receiver's primary counsel from Ballard  
8 Spahr, Maria Gall, billed 110.1 hours at a standard rate of \$495.00/hour for a total of  
9 \$54,499.50. Where appropriate, she leveraged work to a third-year associate, Andrew  
10 Clark, who billed 39.8 hours at a standard rate of \$315.00/hour for a total of  
11 \$12,537.00, as well as paralegal Christine Snider who billed 0.6 hours at a standard  
12 rate of \$280.00/hour for a total of \$168.00 and paralegal Adam Crawford who billed  
13 0.9 hours at a standard rate of \$160.00/hour for a total of \$144.00. Ms. Gall will  
14 continue to leverage work where appropriate but notes that much of the work being  
15 performed is not only appropriate for a junior partner but also requires wide-ranging  
16 knowledge of the receivership and, for that reason, is more efficiently performed by  
17 her. Also where appropriate, Ms. Gall has sought the assistance of counsel with  
18 specialized knowledge. Senior partner Margie Peerce 1.2 hours at \$925.00/hour for a  
19 total of \$1,111.00 to advise on a confidential matter involving subpoenas, which is in  
20 Ms. Peerce's area of expertise. Finally, of counsel Diane Markert billed 8 hours at  
21 \$410.00/hour for a total of \$3,280.00 to advise on real estate matters, which is in Ms.  
22 Markert's area of expertise. Ballard Spahr has discounted all these standard fees by  
23 15%, and seeks \$60,977.72 in discounted fees and \$2,966.83 in costs for its  
24 professional services in Q4 2021.

25 Ballard Spahr's invoices are included with the Declaration of Maria Gall  
26 attached as Exhibit 2.

27 **c. Smiley Wang-Ekvall**

28 [Fees of \$17,967.30 and costs of \$941.41]

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1 During the Application Period, Smiley Wang-Ekvall performed a number of  
2 different services that benefited the estate, including: (1) filing the motion to employ  
3 an auctioneer to sell personal property of the receivership estate; (2) revising listing  
4 agreements with a real estate broker regarding the real property; (3) preparing a  
5 stipulation regarding the procedure for selling the residential real property owned by  
6 Profit Connect; (4) beginning preparation of a motion to retain a broker to list and  
7 sell the real property; (5) preparing and obtaining Court approval of a stipulation to  
8 clarify that Profit Connect, a Nevada corporation, was a receivership entity; (6)  
9 communicating with the Kovars regarding the deadline for them to vacate their  
10 residence; (7) preparing documentation to compensate the estate for a loan that Profit  
11 Connect made to an employee to purchase a home; (8) analyzing the issue of who has  
12 standing to bring various claims against third parties; and (9) issuing subpoenas and  
13 then meeting and conferring with the recipients regarding both timing and the scope  
14 of the requested productions.

15 The Receiver's primary counsel from Smiley Wang-Ekvall billed \$17,832.15 in  
16 fees and Janet Hogan, a paralegal, billed \$135.15 in fees. These fees reflect a 15%  
17 discount off of the standard hourly rates.

18 Smiley Wang-Ekvall's invoices are included with the Declaration of Kyra  
19 Andrassy attached as Exhibit 3.

20 **d. Stroz Friedberg**

21 [Fees of \$10,758.75 and costs of \$15,064.69]

22 During the Application Period, staff from Stroz Friedberg (an Aon company)  
23 continued to assist the receiver with understanding, quantifying and collecting data  
24 from various Profit Connect IT systems, although the amount of work required has  
25 significantly decreased as the Receiver expected it would. This work included, among  
26 other things: (1) continuing to inventory digital devices used by Profit Connect  
27 principals and employees; (2) continuing to gain access to and export financial data  
28 for Profit Connect; (3) continuing to perform forensic collection of data from various

1 digital devices; (4) continuing to export data from various digital devices; (5)  
2 continuing to process and host data exported from various digital devices; and (6)  
3 continuing to perform searches of hosted data. Stroz Friedberg billed \$10,758.75 on  
4 an hourly basis and \$15,064.69 in expenses for processing and hosting and for user  
5 licenses of data collected from Profit Connect in the Relativity Electronic Discovery  
6 application. These rates and accompanying invoices already include discounts from  
7 Stroz Friedberg standard rates.

8 Stroz Friedberg's invoices are attached to the Declaration of Sergio Kopelev  
9 attached as Exhibit 4.

10 **IV. CONCLUSION**

11 Based on the foregoing and pursuant to Section X.G. of the Receiver Order, the  
12 Receiver and his professionals request entry of an order:<sup>8</sup>

13 (1) Allowing the Receiver \$284,348.00 in fees and \$12,085.57 in costs for the  
14 Application Period;

15 (2) Allowing Ballard Spahr \$60,977.72 in fees and \$2,966.83 in costs for the  
16 Application Period;

17 (3) Allowing Smiley Wang-Ekvall, LLP \$17,967.30 in fees and \$941.41 in  
18 costs for the Application Period;

19 (4) Allowing Stroz Friedberg \$10,758.75 in fees and \$15,064.69 in costs for  
20 the Application Period;

21 (5) Authorizing the Receiver to pay 80% of the allowed fees and 100% of the  
22 allowed costs from funds on hand in the receivership estate; and

23 (6) Granting such other and further relief as the Court deems just and  
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28 <sup>8</sup> The form of the order is attached as Exhibit 5.

1 appropriate.

2 Dated: February 7, 2022

3 SMILEY WANG-EKVALL, LLP

4 By: /s/ Kyra E. Andrassy  
5 Kyra E. Andrassy, Esq.  
6 (Admitted *Pro Hac Vice*)  
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7 -and-

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10 *Attorneys for Receiver*  
*Geoff Winkler of American Fiduciary Services*

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12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
19 BRENT CARSON KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF GEOFF WINKLER  
IN SUPPORT OF SECOND INTERIM  
APPLICATION FOR ALLOWANCE  
AND PAYMENT OF FEES AND COSTS  
OF THE RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM OCTOBER 1, 2021, THROUGH  
DECEMBER 31, 2021**

21  
22 I, Geoff Winkler, declare as follows:

23 1. I am over 21 years old and am a founding member and the chief  
24 executive officer of American Fiduciary Services LLC (“AFS”), which is based in  
25 Portland, Oregon.

26 2. I am competent to testify to the matters presented in this declaration,  
27 and I submit this declaration in support of my application for approval of the fees and  
28 costs of my firm and my professionals in connection with Profit Connect Wealth



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1 Services, Inc. This declaration is based on my personal knowledge, except where  
2 made on information and belief, and as to those matters, I believe them to be true.

3 3. On August 6, 2021, on stipulation of the parties, the Court appointed me  
4 as the permanent receiver of Profit Connect Wealth Services, Inc. and any of its  
5 subsidiaries and affiliates (“Profit Connect”). This fee application covers the period  
6 from October 1, 2021, through December 31, 2021 (the “Application Period.”)

7 4. Attached to this declaration is a true and correct copy of the invoice for  
8 my services and the services of my team at AFS for the Application Period.

9 5. In the ordinary course of AFS business, we keep a record of all time  
10 expended by our professionals in the rendering of professional services on a  
11 computerized billing system.

12 6. At or near the time the professional services are rendered, professionals  
13 of the firm records (a) the description of the nature of the services performed, (b) the  
14 duration of the time expended, and (c) the client/matter name or number by either:  
15 (1) writing such information on a time sheet, or (2) inputting such information  
16 directly into the firm's computer billing system.

17 7. For the professionals who record their time using written time sheets,  
18 the information contained in the time sheets is transcribed into the firm’s computer  
19 billing system.

20 8. The firm’s computer billing system keeps a record of all time spent on a  
21 client/matter, the professional providing the services, and a description of the services  
22 rendered. The firm’s computer billing system automatically multiplies the time  
23 expended by each professional by the respective professional's billing rate to calculate  
24 the amount of the fee. The firm conducts its business in reliance on the accuracy of  
25 such business records.

26 9. As reflected in the attached invoice, pursuant to the Receivership Order,  
27 my team and I performed the following duties during the Application Period:  
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- took possession of and liquidated cryptocurrency at a significant profit to the estate;
- subpoenaed records and performed forensic accounting to recreate missing accounting information and validate amounts owed to investors and others;
- prepared for the sale of real properties in North Las Vegas and Searchlight, Nevada;
- worked with the auctioneer, former employees, and temporary workers to prepare for the auction of personal property at the Speedway location, which netted the estate almost \$300,000 more than the auctioneer's top estimate;
- managed all utilities to ensure needed services through the auction and cancellation once they were no longer needed;
- worked with auctioneer and team to quickly prepare and turn over the warehouse to the landlord to save the estate funds;
- closed payroll accounts and made sure all payroll taxes were properly paid and that all tax documents were prepared for employees;
- cancelled all business insurance after the auction to ensure coverage while needed and to obtain a refund of unused premiums;
- investigated additional assets and worked to determine if they were properly assets of the receivership estate;
- discussed and worked with counsel to pursue litigation against third parties;
- reviewed and proposed tax accountants to prepare unfiled tax returns; and
- continued to review documents and interview parties to determine potential litigation against third parties.

10. Due to its broad range of experience and expertise, AFS performs almost all required work in-house, saving both time and money, including tasks involving corporate accounting, forensic accounting, case administration, claims administration, asset valuation, investor communication and internet technology.

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

1           11.     AFS’s billing philosophy is to leverage work down to the staff member  
2 with the lowest bill rate that also has the skills and experience necessary to complete  
3 the task. This allows AFS to minimize the cost to complete all work associated with  
4 the case, ensuring a maximum return to stakeholders involved in the matter while  
5 also preserving the quality of our work product. AFS does not bill for travel time in  
6 regulatory cases.

7           12.     AFS’s rates include a 15 percent discount off its already discounted  
8 hourly rates for government matters and will not increase for the pendency of the  
9 case. Both the standard regulatory rate and the discounted regulatory rate reflect a  
10 significant discount off our standard consulting rates.

11           13.     All billing standards meet or exceed the Billing Instructions for  
12 Receivers in Civil Actions Commenced by the U.S. Securities and Exchange  
13 Commission (SFAR) and the U.S. Trustee’s Guidelines for Reviewing Applications for  
14 Compensation and Reimbursement of Expenses.

15           14.     AFS will not seek reimbursement for routine copying, facsimile, postage,  
16 or other expenses. Any expenses that we seek to have reimbursed will be done in  
17 accordance with the SEC and U.S. Trustee guidelines referenced above. Costs  
18 directly attributable to the administration of the receivership estate will be paid  
19 directly by the estate in accordance with the order of appointment.

20           15.     As of the date of this declaration, I am holding approximately  
21 \$7,844,306.86 in cash belonging to Profit Connect. This sum does not include  
22 \$1,259,817 received by the receivership estate after December 31 for the personal  
23 property auction.

24           I declare under penalty of perjury that the foregoing is true and correct.

25           Executed on February 7, 2022.

26           /s/ Geoff Winkler

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**ATTACHMENT**

**STANDARDIZED FUND ACCOUNTING REPORT for SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Receivership; Civil Docket No. 2:21-cv-01298-JAD-BNW**  
**Reporting Period from 10/1/2021 to 12/31/2021**

<b>FUND ACCOUNTING (See instructions)</b>				
		<b>Detail</b>	<b>Subtotal</b>	<b>Grand Total</b>
<b>Line 1</b>	<b>Beginning Balance (As of 10/01/2021):</b>	4,222,092.10		
	<b>Increases in Fund Balance:</b>			
<b>Line 2</b>	<b>Business Income</b>	-		
<b>Line 3</b>	<b>Cash and Securities (in transit)</b>	1,471.96		
<b>Line 4</b>	<b>Interest/Dividend Income</b>	-		
<b>Line 5</b>	<b>Business Asset Liquidation</b>	-		
<b>Line 6</b>	<b>Personal Asset Liquidation</b>	4,069,948.79		
<b>Line 7</b>	<b>Third-Party Litigation Income</b>	-		
<b>Line 8</b>	<b>Miscellaneous - Other</b>	-		
	<b>Total Funds Available (Lines 1 - 8):</b>		4,071,420.75	<b>8,293,512.85</b>
	<b>Decreases in Fund Balance:</b>			
<b>Line 9</b>	<b>Disbursements to Investors</b>			
<b>Line 10</b>	<b>Disbursements for Receivership Operations</b>			
Line 10a	Disbursements to Receiver or Other Professionals	(377,280.49)		
Line 10b	Business Asset Expenses	(63,465.94)		
Line 10c	Personal Asset Expenses	(8,459.56)		
Line 10d	Investment Expenses	-		
Line 10e	Third-Party Litigation Expenses	-		
	1. Attorney Fees	-		
	2. Litigation Expenses	-		
	<b>Total Disbursements for Receivership Operations</b>		<b>(449,205.99)</b>	
Line 10f	Tax Administrator Fees and Bonds		-	
Line 10g	Federal and State Tax Payments		-	
	<b>Total Disbursements for Receivership Operations</b>			<b>(449,205.99)</b>
<b>Line 11</b>	<b>Disbursements for Distribution Expenses Paid by the Fund:</b>			
Line 11a	Distribution Plan Development Expenses:			
	1. Fees:			
	Fund Administrator.....	-		
	Independent Distribution Consultant (IDC).....	-		
	Distribution Agent.....	-		
	Consultants.....	-		
	Legal Advisers.....	-		
	Tax Advisers.....	-		
	2. Administrative Expenses	-		
	3. Miscellaneous	-		
	<b>Total Plan Development Expenses</b>		-	
Line 11b	Distribution Plan Implementation Expenses:			
	1. Fees:			
	Fund Administrator.....	-		
	IDC.....	-		
	Distribution Agent.....	-		
	Consultants.....	-		
	Legal Advisers.....	-		
	Tax Advisers.....	-		
	2. Administrative Expenses	-		
	3. Investor Identification:			
	Notice/Publishing Approved Plan.....	-		
	Claimant Identification.....	-		
	Claims Processing.....	-		
	Web Site Maintenance/Call Center.....	-		
	4. Fund Administrator Bond	-		
	5. Miscellaneous	-		
	6. Federal Account for Investor Restitution (FAIR) Reporting Expenses	-		
	<b>Total Plan Implementation Expenses</b>		-	
	<b>Total Disbursements for Distribution Expenses Paid by the Fund</b>			-
<b>Line 12</b>	<b>Disbursements to Court/Other:</b>			
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-		
Line 12b	Federal Tax Payments	-		
	<b>Total Disbursements to Court/Other:</b>		-	
	<b>Total Funds Disbursed (Lines 9 - 11):</b>			<b>(449,205.99)</b>
<b>Line 13</b>	<b>Ending Balance (As of 12/31/2021):</b>			<b>7,844,306.86</b>
<b>Line 14</b>	<b>Ending Balance of Fund - Net Assets:</b>			
Line 14a	Cash & Cash Equivalents		7,844,306.86	
Line 14b	Investments		-	
Line 14c	Other Assets or Uncleared Funds		11,050,000.00	
	<b>Total Ending Balance of Fund - Net Assets</b>			<b>18,894,306.86</b>

**STANDARDIZED FUND ACCOUNTING REPORT for SEC v. Profit Connect Wealth Services, Inc. et al.**  
 Receivership; Civil Docket No. 2:21-cv-01298-JAD-BNW  
 Reporting Period from 08/06/2021 to 09/30/2021

OTHER SUPPLEMENTAL INFORMATION:		Detail	Subtotal	Grand Total
<b>Line 15</b>	<b>Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>			
<i>Line 15a</i>	<i>Plan Development Expenses Not Paid by the Fund</i>			
	1. Fees:			
	Fund Administrator	-		
	IDC	-		
	Distribution Agent	-		
	Consultants	-		
	Legal Advisers	-		
	Tax Advisers	-		
	2. Administrative Expenses	-		
	3. Miscellaneous	-		
	<b>Total Plan Development Expenses Not Paid by the Fund</b>	-		
<i>Line 15b</i>	<i>Plan Implementation Expenses Not Paid by the Fund:</i>			
	1. Fees:			
	Fund Administrator	-		
	IDC	-		
	Distribution Agent	-		
	Consultants	-		
	Legal Advisers	-		
	Tax Advisers	-		
	2. Administrative Expenses	-		
	3. Investor Identification:			
	Notice/Publishing Approved Plan	-		
	Claimant Identification	-		
	Claims Processing	-		
	Web Site Maintenance/Call Center	-		
	4. Fund Administrator Bond	-		
	5. Miscellaneous	-		
	6. FAIR Reporting Expenses	-		
	<b>Total Plan Implementation Expenses Not Paid by the Fund</b>	-		
<i>Line 15c</i>	<i>Tax Administrator Fees &amp; Bonds Not Paid by the Fund</i>			
	<b>Total Disbursements for Plan Administration Expenses Not Paid by the Fund</b>			-
<b>Line 16</b>	<b>Disbursements to Court/Other Not Paid by the Fund</b>			
<i>Line 16a</i>	<i>Investment Expenses/CRIS Fees</i>		-	
<i>Line 16b</i>	<i>Federal Tax Payments</i>		-	
	<b>Total Disbursements to Court/Other Not Paid by the Fund:</b>			-
<b>Line 17</b>	<b>DC &amp; State Tax Payments</b>			-
<b>Line 18</b>	<b>No. of Claims:</b>			
<i>Line 18a</i>	<i># of Claims Received This Reporting Period</i>	-		
<i>Line 18b</i>	<i># of Claims Received Since Inception of Fund</i>	-		
<b>Line 19</b>	<b>No. of Claimants/Investors:</b>			
<i>Line 19a</i>	<i># of Claimants/Investors Paid This Reporting Period</i>	-		
<i>Line 19b</i>	<i># of Claimants/Investors Paid Since Inception of Fund</i>	-		

Receiver: **Geoff Winkler**

By: 

Geoff Winkler  
 (printed name)

**Chief Executive Officer**  
**American Fiduciary Services LLC**  
**Receiver, Profit Connect Wealth Services, Inc.**

**Date: January 31, 2022**

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
John Hall	Work on COA for accounting effort	10/1/2021	0.4	310.00	124.00	Financial - Accounting/Auditing
Ysabel Willits	Meeting with JPM regarding accounting tasks	10/1/2021	0.3	150.00	45.00	Financial - Accounting/Auditing
Josh McGraw	Call with YKW to discuss management system and accounting file	10/1/2021	0.3	255.00	76.50	Financial - Forensic Accounting
Josh McGraw	Call with JBH - accounting files edits	10/1/2021	0.8	255.00	204.00	Financial - Forensic Accounting
Ysabel Willits	Completing index of online commerce tool for financial analysis	10/1/2021	1.9	150.00	285.00	Financial - Forensic Accounting
Geoff Winkler	Discussion agent issue with RLD (.2), review real estate summary (.2), review court order, emails with counsel (.2)	10/1/2021	0.6	340.00	204.00	Legal - Case Administration
John Hall	Affected agent /investor FAQ	10/1/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review and respond to inquiry from utility provider	10/1/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Work through outstanding issues with JPM	10/1/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Catalogue and review 1099s and W-9s from word press	10/1/2021	1.0	310.00	310.00	Legal - Case Administration
John Hall	Working to transition digital accounting files to collaboration environment for auditing team	10/1/2021	1.1	310.00	341.00	Legal - Case Administration
Josh McGraw	Call with insurance broker	10/1/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Real estate summary write-up & Email to JBH/GBW	10/1/2021	1.1	255.00	280.50	Legal - Case Administration
Renee Diefenderfer	Updating case contact sheet	10/1/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Agent and GBW/JBH email communication	10/1/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Auction summary draft	10/1/2021	0.7	255.00	178.50	Legal - Case Administration
Ysabel Willits	Prepare and editing investor call transcript for receivership website	10/1/2021	2.0	150.00	300.00	Legal - Case Administration
John Hall	Work on COA with JPM and YKW	10/4/2021	0.8	310.00	248.00	Financial - Accounting/Auditing
John Hall	Categorization and quality control for accounting	10/4/2021	3.0	310.00	930.00	Financial - Accounting/Auditing
Josh McGraw	Chart of accounts creation and notification to team for review	10/4/2021	0.6	255.00	153.00	Financial - Accounting/Auditing
Josh McGraw	Chart of Accounts conversation - JBH and YKW	10/4/2021	0.8	255.00	204.00	Financial - Accounting/Auditing
Ysabel Willits	Meeting with JBH and JPM regarding chart of accounts on accounting file	10/4/2021	0.8	150.00	120.00	Financial - Accounting/Auditing
Josh McGraw	Research for GC value	10/4/2021	0.8	255.00	204.00	Financial - Valuation
Geoff Winkler	Meeting with GBW, JBH, JPM, YKW to review tasks and next steps (.4), call with RLD to discuss employee relative (.1), review agent paperwork (.2), emails with counsel, review order re lawsuits filed against agents (.3), review email from agent, email to counsel (.1), call with BK re turnover of PC house, email with team (.4), setup alarm contract (.2), emails with counsel re agent issues (.1)	10/4/2021	1.8	340.00	612.00	Legal - Case Administration
John Hall	Team Meeting to discuss ongoing tasks	10/4/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review and discuss agent analysis with JPM, YKW	10/4/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Review database for login and account information. Reset login to CB account	10/4/2021	1.2	310.00	372.00	Legal - Case Administration
Josh McGraw	Email to RLD regarding real estate	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding utility provider	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with investor	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Investor emails	10/4/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review emails from counsel/receiver	10/4/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Reviewed investor email and supporting information	10/4/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	10/4/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review and discuss agent analysis with JBH, YKW	10/4/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with utility provider	10/4/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW to discuss how to follow up with employee relative	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update assignments to call log	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review and follow up call for agent	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email with agent to schedule call	10/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review and communication of paperwork sent by agent forwarded to GBW	10/4/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review of updates from counsel, edits to meeting notes	10/4/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review outstanding tasks and update with parties	10/4/2021	0.3	255.00	76.50	Legal - Case Administration



**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Renee Diefenderfer	Call with agent and follow up communication	10/4/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Meeting with GBW, JBH, JPM, YKW to review tasks and next steps	10/4/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Calls with former employee relative	10/4/2021	0.5	255.00	127.50	Legal - Case Administration
Ysabel Willits	Meeting with GBW, RLD, JPM and JBH regarding case next steps	10/4/2021	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Review and discuss agent side analysis with JPM and JBH	10/4/2021	0.5	150.00	75.00	Legal - Case Administration
Ysabel Willits	Editing investor call transcript for receivership website	10/4/2021	1.5	150.00	225.00	Legal - Case Administration
John Hall	Forensic accounting on agents with JPM	10/5/2021	1.5	310.00	465.00	Financial - Accounting/Auditing
John Hall	Categorization and quality control	10/5/2021	1.9	310.00	589.00	Financial - Accounting/Auditing
Josh McGraw	Chart of accounts - discussion	10/5/2021	0.5	255.00	127.50	Financial - Accounting/Auditing
Josh McGraw	Adjusting accounting file	10/5/2021	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Call with JBH regarding agent files and forensic accounting	10/5/2021	1.5	255.00	382.50	Financial - Forensic Accounting
	Call with team and counsel (.8), call with agent and counsel to discuss steps (.4), call with RLD to discuss call (.1), review correspondence and reach out to counsel re crypto account (.3)	10/5/2021	1.6	340.00	544.00	Legal - Case Administration
Geoff Winkler	Final review and audit of agent payments list	10/5/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Call with counsel and team	10/5/2021	0.8	310.00	248.00	Legal - Case Administration
Josh McGraw	Meeting with RLD about investor forms	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding contact information	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Utility account information spreadsheet	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review emails from counsel	10/5/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with investor, attorney, GBW	10/5/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with counsel	10/5/2021	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Agent contact list	10/5/2021	2.0	255.00	510.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM about how to handle the forms/database	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW to review notes from a call today	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with interested party on behalf of employees relative	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with second interested party on behalf of employees relative	10/5/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to investor call log	10/5/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call and follow up with agent regarding rescheduled call and invite	10/5/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Emails to investors	10/5/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Call with interested party on behalf of employees relative	10/5/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Call and follow up with agent regarding lawsuit, with GBW	10/5/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Call with counsel to determine case next steps	10/5/2021	0.8	255.00	204.00	Legal - Case Administration
Renee Diefenderfer	Profit connect forms to database	10/5/2021	1.3	255.00	331.50	Legal - Case Administration
Josh McGraw	Call with YKW discussing forensic accounting and agent tracking	10/6/2021	1.2	255.00	306.00	Financial - Forensic Accounting
Josh McGraw	Forensic Accounting - Agent classification	10/6/2021	5.8	255.00	1,479.00	Financial - Forensic Accounting
Ysabel Willits	Meeting with JPM going over forensic accounting	10/6/2021	1.2	150.00	180.00	Financial - Forensic Accounting
Ysabel Willits	Creating accounting file for W-9s and 1099s	10/6/2021	1.8	150.00	270.00	Financial - Forensic Accounting
	Call with investor (.3), call with JBH (.2), review documents in preparation for auction, sale of real property (1.2)	10/6/2021	1.7	340.00	578.00	Legal - Asset Disposition
Geoff Winkler	Call with GBW regarding auction	10/6/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review COA issues with agent data, update accounting info and discuss with team	10/6/2021	0.6	310.00	186.00	Legal - Case Administration
John Hall	Analysis of files on hand and request of additional production needed from CO	10/6/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Scan and review mail retrieved from LVNV POB	10/6/2021	2.4	310.00	744.00	Legal - Case Administration
Renee Diefenderfer	Email response to employee website form	10/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up on transcript task	10/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up email communication regarding auction items	10/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communicating to team about timesheets	10/6/2021	0.1	255.00	25.50	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Renee Diefenderfer	Auction proposal review	10/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with former employee	10/6/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Email communication with agent	10/6/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Updating needed items to task list	10/6/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with agent and update to investor call tracker	10/6/2021	0.4	255.00	102.00	Legal - Case Administration
Ysabel Willits	Searching retail center inventory for asset	10/6/2021	0.3	150.00	45.00	Legal - Case Administration
Josh McGraw	Updating Accounting file	10/7/2021	2.8	255.00	714.00	Financial - Forensic Accounting
	Call with RLD to discuss former employee (.2), emails and call with alarm company (.2), emails with LM re building access (.2), call with potential broker (.3)	10/7/2021	0.9	340.00	306.00	Legal - Case Administration
Geoff Winkler						
John Hall	Discuss agents data with JPM	10/7/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review renewals documents from TM Insurance	10/7/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review request and provide contact info to counsel	10/7/2021	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Discuss agents data with JBH	10/7/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with RLD to discuss outstanding items	10/7/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with investor	10/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Investor research	10/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with investor	10/7/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM regarding task updates	10/7/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with former employee and communication with GBW	10/7/2021	0.5	255.00	127.50	Legal - Case Administration
John Hall	Standardize and correct accounting in file	10/8/2021	2.3	310.00	713.00	Financial - Accounting/Auditing
Josh McGraw	Call with RLD to validate support access on accounting file	10/8/2021	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Call with JBH discussing accounting findings	10/8/2021	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file	10/8/2021	5.2	255.00	1,326.00	Financial - Forensic Accounting
Ysabel Willits	Creating accounting file for W-9s and 1099s	10/8/2021	6.0	150.00	900.00	Financial - Forensic Accounting
Renee Diefenderfer	Auction proposal review and communication	10/8/2021	0.4	255.00	102.00	Legal - Asset Analysis and Recovery
	Review waiver and discussion with JBH (.2), investor discussion with RLD (.1), email with GC re contact (.1), review transcript, emails with RLD, counsel (.5), review stipulation and comments to counsel (.2)	10/8/2021	1.1	340.00	374.00	Legal - Case Administration
Geoff Winkler						
John Hall	Review PRS waiver and discuss with GBW	10/8/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review renewals and discuss needed items with AFS team	10/8/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Discuss accounting issues with JPM	10/8/2021	0.6	310.00	186.00	Legal - Case Administration
	Determine proper method for hyperlinking documentation to accounting file for forensic accounting	10/8/2021	1.0	310.00	310.00	Legal - Case Administration
John Hall						
Josh McGraw	Reviewing stipulation prepared by legal	10/8/2021	-	255.00	-	Legal - Case Administration
Josh McGraw	Communication with insurance broker and email to team regarding insurance policy	10/8/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review waiver, subpoena and stipulation drafts from counsel	10/8/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with utility provider to forward phone lines	10/8/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Email response from website regarding form	10/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review of additional website content	10/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up communication to GBW regarding investor question	10/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Text communication with agent to schedule a call	10/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email review and documentation storing, from investors	10/8/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call and testing with JPM regarding accounting file and hyperlinks	10/8/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with investor	10/8/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review and filing of investor emails	10/8/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with agent	10/8/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Mail pick up and processing	10/8/2021	-	255.00	-	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Renee Diefenderfer	Update call transcript to the PC website, re organize pages and text	10/8/2021	0.8	255.00	204.00	Legal - Case Administration
Renee Diefenderfer	Call transcript review, editing, and communication for website	10/8/2021	1.7	255.00	433.50	Legal - Case Administration
Josh McGraw	Updating accounting file with agent commissions	10/9/2021	1.5	255.00	382.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with agent commissions	10/10/2021	3.2	255.00	816.00	Financial - Forensic Accounting
Josh McGraw	Email to GBW regarding internet at property	10/10/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW to discuss updating accounting file with agent commissions	10/11/2021	0.9	255.00	229.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with agent commissions	10/11/2021	3.0	255.00	765.00	Financial - Forensic Accounting
Ysabel Willits	Creating accounting for transactions with splits for agents	10/11/2021	0.7	150.00	105.00	Financial - Forensic Accounting
Ysabel Willits	Meeting with JPM regarding agent transactions with splits	10/11/2021	0.9	150.00	135.00	Financial - Forensic Accounting
	Meeting with team to discuss case (.4), investor discussion with RLD (.2), review email re internet services (.1), emails with JPM re agents, historical BTC transfers (.2), review email from counsel, research, respond (.4), review email from vendor, review and respond (.2), login and create dual authentication in BC account, email with MC re account authentication (.4), review broker comparison and emails with counsel (.3), review letter to DH and respond to counsel (.2), review wire transfer, process, email to counsel (.3), email to BW re JS questions, email to counsel (.2), email with former employee (.1), emails with counsel re permission to discard personal items (.1), email with AC re call (.1), review email from DAZ and discuss with JBH (.2), review mining calculations (.2), emails with counsel, call with BR, respond to counsel (.3), review draft letter and emails with BR (.2)	10/11/2021	4.1	340.00	1,394.00	Legal - Case Administration
Geoff Winkler	Review draft and suggest edits to GBW and MG re DH letter	10/11/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Discuss insurance claims issues with JPM	10/11/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Team Meeting to discuss ongoing tasks	10/11/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Produce list of accounts for file and send to team	10/11/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review correspondence re: crypto xfers, discuss results with GBW	10/11/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Review and analysis of PC Mining Profit business plan	10/11/2021	2.1	310.00	651.00	Legal - Case Administration
Josh McGraw	Call with GBW to discuss Bitcoin payments	10/11/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding internet at property	10/11/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding agent commissions	10/11/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW and JBH regarding historical bitcoin transfers	10/11/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding banking information	10/11/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review letter created by counsel	10/11/2021	-	255.00	-	Legal - Case Administration
Josh McGraw	Review emails from counsel	10/11/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Discuss insurance claims issues with JBH	10/11/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with RLD regarding bank accounts	10/11/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	10/11/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Create bank schedule for counsel	10/11/2021	0.5	255.00	127.50	Legal - Case Administration
Milana Barkhanoy	Meeting with GBW, RLD, JPM, YKW, and JBH regarding case next steps	10/11/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	VM review for investor with request	10/11/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Text communication with former PC employee	10/11/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Follow up with investor on question per GBW guidance	10/11/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM regarding task updates	10/11/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Bank info reference for JPM	10/11/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Team meeting to discuss next steps and action items	10/11/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Calls with utility company	10/11/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Updates to agenda and review of new communication, for call with counsel	10/11/2021	1.2	255.00	306.00	Legal - Case Administration
Ysabel Willits	Meeting with GBW, RLD, JPM, MBB, and JBH regarding case next steps	10/11/2021	0.4	150.00	60.00	Legal - Case Administration
John Hall	Work through agent accounting and coal issues with JPM	10/12/2021	1.3	310.00	403.00	Financial - Accounting/Auditing
Josh McGraw	Call with JBH regarding agent accounting and COA issues	10/12/2021	1.3	255.00	331.50	Financial - Forensic Accounting

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Josh McGraw	Updating accounting file with agent commissions	10/12/2021	6.0	255.00	1,530.00	Financial - Forensic Accounting
Renee Diefenderfer	Call attempt with former PC employee to discuss next step regarding asset	10/12/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with former employee regarding asset turnover	10/12/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication with counsel regarding asset turnover	10/12/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Coordination for communication with auctioneer	10/12/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Meeting regarding credit cards with JPM	10/12/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
	Meeting to discuss upcoming report (.5), meeting with team and counsel to discuss tasks (1.0), discuss house and inventory with JBH and MG (.3), discuss lawsuits filed with RLD (.1), discuss tax questions from investors with RLD (.1), email re website access (.1), email with SK re RTV (.1), email with vendor re payment (.1), emails with counsel re TX attorney (.1), review and process funds transfer, emails with counsel (.3), process AP for warehouse lease (.2)	10/12/2021	2.9	340.00	986.00	Legal - Case Administration
Geoff Winkler	Request investor workbooks from SF team	10/12/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review renewals documents from TM Insurance	10/12/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review and discuss CC items with GBW and MG	10/12/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Provide needed account info to JPM for bank accounts	10/12/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review GBS deed and declaration of value and discuss with GBW	10/12/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Produce requested list of full accounts to counsel for bank subpoenas	10/12/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Team meeting and meeting with counsel to discuss case and ongoing activities	10/12/2021	1.0	310.00	310.00	Legal - Case Administration
Josh McGraw	Email to counsel regarding bank accounts	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting regarding credit cards with RLD	10/12/2021	0.2	255.00	51.00	Legal - Case Administration
	Call with utility provider account management to disconnect retail location and email follow-up with contact information	10/12/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with team	10/12/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Meeting with counsel	10/12/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Email communication with GBW regarding agent lawsuit	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination for follow up meeting regarding form registration task	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Agent request for information for CPA - communication with GBW	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with agent to discuss documentation needed for CPA	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication regarding excel file for accounts	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Investor name look up for task for JPM	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review of communication sent by counsel regarding asset	10/12/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	File review and storage	10/12/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with agent to discuss documentation sent	10/12/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with counsel to determine case next steps	10/12/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Team call to update tasks and discuss next steps	10/12/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Organize and update team and meeting tasks	10/12/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Accounting team review of the accounting file	10/13/2021	0.9	255.00	229.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with agent commissions	10/13/2021	5.5	255.00	1,402.50	Financial - Forensic Accounting
Milana Barkhanoy	Meeting with JPM, YKW, and JBH regarding accounting records	10/13/2021	0.9	255.00	229.50	Financial - Forensic Accounting
Ysabel Willits	Meeting with JPM, MBB, and JBH regarding accounting tasks	10/13/2021	0.9	150.00	135.00	Financial - Forensic Accounting
Renee Diefenderfer	Call with auctioneer	10/13/2021	0.4	255.00	102.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Meeting with JPM regarding insurance claim	10/13/2021	0.3	150.00	45.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with insurance adjuster for insurance claim	10/13/2021	1.2	150.00	180.00	Legal - Asset Analysis and Recovery
	Call with auctioneer (.4), review request for access, discussion with JPM (.3), setup account for crypto transfer, email with agent, update information on bank side (.5), emails with counsel re sale of crypto (.1)	10/13/2021	1.3	340.00	442.00	Legal - Asset Disposition
Geoff Winkler	Discuss SFR conveyance with counsel	10/13/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Review SOS and AOI docs, discuss with team	10/13/2021	0.1	310.00	31.00	Legal - Case Administration

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John Hall	Automating email data in ADB with RLD	10/13/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Discuss COA and agent accounting with YKW MB JPM	10/13/2021	0.9	310.00	279.00	Legal - Case Administration
John Hall	ADB development for contact info automation	10/13/2021	2.5	310.00	775.00	Legal - Case Administration
Josh McGraw	Email to IT consultant	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding website access	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RLD regarding registration form database	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to YKW for answers for insurance claim	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to team regarding Verizon account and equipment	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW to discuss insurance claim and email with policy information	10/13/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review documents provided by BK prior attorney	10/13/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Registration form email response	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with JPM regarding forms database	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Registration form email response	10/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication to coordinate asset drop off	10/13/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Organization and updates of registration forms to prepare for meeting with JBH	10/13/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JBH to finalize registration forms report	10/13/2021	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Updating accounting file with agent commissions	10/14/2021	6.0	255.00	1,530.00	Financial - Forensic Accounting
Renee Diefenderfer	Email communication regarding auction proposal and summary	10/14/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Process payments for utilities, emails with bank (.4), email with MW re account naming (.1), update language for auction (.2), call with auctioneer (.3)	10/14/2021	1.0	340.00	340.00	Legal - Case Administration
Renee Diefenderfer	Communication review regarding tasks	10/14/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Update to agenda for calls with counsel	10/14/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Review and filing of information sent from counsel	10/14/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	File review and storage	10/14/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Review of information and communication sent by counsel	10/14/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Auction proposal review and communication	10/14/2021	0.5	255.00	127.50	Legal - Case Administration
John Hall	Discuss and review accounting with JPM	10/15/2021	0.4	310.00	124.00	Financial - Accounting/Auditing
John Hall	Review agent account with JPM	10/15/2021	0.7	310.00	217.00	Financial - Accounting/Auditing
Josh McGraw	Meeting with JBH to discuss agent payments	10/15/2021	0.7	255.00	178.50	Financial - Forensic Accounting
Renee Diefenderfer	Call with former employee about asset recovery	10/15/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Email coordination to turn over asset	10/15/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with insurance adjuster for insurance claim	10/15/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Relativity review with SK and team (1.0), call with RLD re information needed (.1), email with JPM re payments (.1), review invoices (.2), review claim, emails with YKW re insurance claim (.2), call with interested third party, review information (.6), emails with counsel re call with interested party (.1), review documents re employee payments, emails with counsel (.3), email to SK re reporting requirements (.1), research outgoing payments question (.5)	10/15/2021	3.2	340.00	1,088.00	Legal - Case Administration
John Hall	Review WP data export	10/15/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Fill out EDQ questionnaire with YKW	10/15/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review PRS Materials	10/15/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review company device list accounts records and notes, discuss with JPM	10/15/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Read case background and discuss with GBW	10/15/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Call to discuss Relativity with SF	10/15/2021	1.0	310.00	310.00	Legal - Case Administration
John Hall	Review download of data from SK, form list of required documents and queries, discuss with JPM	10/15/2021	1.4	310.00	434.00	Legal - Case Administration
Josh McGraw	Email to GBW and JBH regarding payments	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting with RLD about database and request from counsel	10/15/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Meeting with RLD for document reviewal	10/15/2021	0.2	255.00	51.00	Legal - Case Administration



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Josh McGraw	Review device list accounts records and notes, discuss with JBH	10/15/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	JBH discussing counsel financial request	10/15/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with RLD about database	10/15/2021	1.0	255.00	255.00	Legal - Case Administration
Josh McGraw	Call with IT consultant regarding relativity	10/15/2021	1.0	255.00	255.00	Legal - Case Administration
Josh McGraw	Updating accounting file with agent commissions	10/15/2021	1.9	255.00	484.50	Legal - Case Administration
Milana Barkhanoy	Call with SK re documents	10/15/2021	1.0	255.00	255.00	Legal - Case Administration
Renee Diefenderfer	Meeting with GBW regarding request from counsel and next steps	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with Agent	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up email communication with agent	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	File review and storage	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with relative of former employee	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Account set up for Relativity	10/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Organize and clean up documents and folders	10/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM about database and request from counsel	10/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Article review	10/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Investigation for call prep for GBW	10/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call about information to review with JPM	10/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Database work for request from counsel	10/15/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM about registration forms task	10/15/2021	1.0	255.00	255.00	Legal - Case Administration
Renee Diefenderfer	Call for Relativity training	10/15/2021	1.0	255.00	255.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding upcoming report accounting section	10/18/2021	0.1	150.00	15.00	Financial - Accounting/Auditing
Renee Diefenderfer	Call with former employee to confirm schedule for asset turnover	10/18/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Email communication regarding asset recovery	10/18/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
	Team call to discuss status (.5), call with AC to discuss case issues (.9), work with JPM re utility issues (.3), emails with counsel re call (.1), emails with RLD re truck turnover (.2), review proposed settlement agreement and emails with counsel (.2), call with third party re potential litigation (.5)	10/18/2021	2.7	340.00	918.00	Legal - Case Administration
Geoff Winkler						
John Hall	Discuss auction proposal with team	10/18/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Team call to discuss ongoing casework	10/18/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Review and analysis of JM settlement; discuss with GBW and RLD	10/18/2021	0.7	310.00	217.00	Legal - Case Administration
John Hall	Drafting quarterly report	10/18/2021	2.8	310.00	868.00	Legal - Case Administration
Josh McGraw	Call with YKW to discuss next steps in forensic accounting	10/18/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call to utility provider account representative	10/18/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding internet at property	10/18/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with utility provider	10/18/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Reviewed news article provided by agent	10/18/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	10/18/2021	0.5	255.00	127.50	Legal - Case Administration
	Meeting with GBW, RLD, JPM, YW, and JBH regarding upcoming and long term tasks.	10/18/2021	0.5	255.00	127.50	Legal - Case Administration
Milana Barkhanoy						
Renee Diefenderfer	Meeting with AFS team regarding tasks and next steps	10/18/2021	0.5	255.00	127.50	Legal - Case Administration
	Meeting with GBW, RLD, JPM, MBB, and JBH regarding upcoming and long term tasks	10/18/2021	0.5	150.00	75.00	Legal - Case Administration
Ysabel Willits						
Josh McGraw	Information gathering relativity	10/19/2021	1.5	255.00	382.50	Financial - Data Analysis
Josh McGraw	Call with JBH regarding forensic accounting	10/19/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Meeting with YKW and RLD to fill out insurance claim	10/19/2021	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Email to counsel regarding auction proposal	10/19/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication with auctioneer regarding follow up	10/19/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Auction proposal review and communication	10/19/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Coordination preparation for asset recovery	10/19/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Renee Diefenderfer	Email communication with counsel about asset	10/19/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Auction proposal review and communication	10/19/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with MG regarding information on asset	10/19/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Meeting with RLD and MB to fill out insurance claim	10/19/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
	Team call to discuss updates, outstanding tasks (1.1), review title report, emails with counsel re address (.3), process sale of cryptocurrency (.3), review and prepare documents for reporting purposes (1.6), call with vendor re mail, discussion with counsel (.3), call with investor (.2)	10/19/2021	3.8	340.00	1,292.00	Legal - Asset Disposition
Geoff Winkler	Discuss investor inflows with JPM	10/19/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Meet with team to discuss ongoing issues, activities, and tasks	10/19/2021	1.1	310.00	341.00	Legal - Case Administration
John Hall	Drafting quarterly report	10/19/2021	4.6	310.00	1,426.00	Legal - Case Administration
Josh McGraw	Call with YKW regarding relativity searches	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Discuss information identified with GBW	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with RLD regarding company documents	10/19/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with YKW discussing documentation	10/19/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to IT consultant	10/19/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with counsel	10/19/2021	-	255.00	-	Legal - Case Administration
	Meeting with GBW, RLD, JPM, YKW, JBH, and counsel regarding upcoming and long term tasks	10/19/2021	-	255.00	-	Legal - Case Administration
Milana Barkhanoy	File review and storage	10/19/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Review of data sent by JPM regarding commissions	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review of questions to prepare for meeting with YKW	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with MB regarding task and next steps	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Drafted email response to agent	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up with counsel regarding auction proposal	10/19/2021	0.1	255.00	25.50	Legal - Case Administration
	Review of information sent by JPM in preparation of call with former employee relative	10/19/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with JPM regarding task	10/19/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review of information sent by counsel and AFS team	10/19/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Call with YKW and MB regarding questions for claim document	10/19/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Agenda prep and updates for call with counsel	10/19/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with AFS team and counsel to review tasks and next steps	10/19/2021	1.1	255.00	280.50	Legal - Case Administration
Renee Diefenderfer	Locate and send all information regarding asset to counsel	10/19/2021	1.5	255.00	382.50	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding upcoming report accounting section	10/19/2021	-	150.00	-	Legal - Case Administration
Ysabel Willits	Second meeting with JPM regarding upcoming report accounting section	10/19/2021	0.2	150.00	30.00	Legal - Case Administration
	Meeting with GBW, RLD, JPM, MB, JBH, and counsel regarding upcoming and long term tasks	10/19/2021	-	150.00	-	Legal - Case Administration
Ysabel Willits	Communication to coordinate asset drop off	10/20/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication to GBW and counsel regarding cash and cryptocurrency	10/20/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
	Review email from CB re sale, emails with RLD (.2), review settlement, emails with counsel (.2), emails with counsel re mail forwarding, issues, resolution (.2)	10/20/2021	0.6	340.00	204.00	Legal - Case Administration
Geoff Winkler	Review letter to JKS from counsel	10/20/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Call to vendor to discuss charges	10/20/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Work with RLD on insurance claim	10/20/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Review case history	10/20/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Follow up with counsel regarding auction proposal	10/20/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review and coordination for follow up	10/20/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Update accounting file and research relativity	10/21/2021	2.0	255.00	510.00	Financial - Forensic Accounting



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Geoff Winkler	Meeting with KW to discuss status, billing, sales (.3), meeting with MG to discuss outstanding issues (.5)	10/21/2021	0.8	340.00	272.00	Legal - Case Administration
Milana Barkhanoy	Return phone call (with RLD) from a vendor regarding case	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Email communication from RLD regarding which pleadings to prioritize	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with RLD regarding policy and next steps	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Task review and meeting with RLD regarding claim	10/21/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Review and discussion of case history with RLD	10/21/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with former employee about alarm installation	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with GBW regarding alarm and roof assets being removed	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Return phone call (including MB) from a vendor regarding case	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication regarding questionnaire update to insurance company	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with MB regarding which pleadings to prioritize	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Research on contact mentioned in voicemail from third party	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Drafted email response to agent sent to GBW for review	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with MB regarding policy and next steps	10/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Task review and meeting with MB regarding claim	10/21/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review and discussion of case history with MB	10/21/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Updates to task list	10/21/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Review and filing of documents	10/21/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Communication review from counsel regarding case updates	10/21/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Research and communication regarding business insurance	10/21/2021	0.6	255.00	153.00	Legal - Case Administration
Renee Diefenderfer	Report review drafting for GBW	10/21/2021	2.3	255.00	586.50	Legal - Case Administration
Josh McGraw	Asset review in accounting	10/22/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Geoff Winkler	Case discussion with team	10/22/2021	0.5	340.00	170.00	Legal - Case Administration
Josh McGraw	Email to RLD regarding internet provider	10/22/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review and email communication with JPM regarding creditor	10/22/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email responses to investor inquiries	10/22/2021	0.3	255.00	76.50	Legal - Case Administration
Geoff Winkler	Prepare SFAR and begin drafting report (2.7), email to SK re narrative needed (.1), call with JPM (.1)	10/25/2021	2.9	340.00	986.00	Financial - Accounting/Auditing
Josh McGraw	Updating accounting file	10/25/2021	1.5	255.00	382.50	Financial - Forensic Accounting
Josh McGraw	Update accounting file and research relativity	10/25/2021	3.0	255.00	765.00	Financial - Forensic Accounting
Josh McGraw	Call with GBW discussing information found	10/25/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to team regarding account information	10/25/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding names of interest	10/25/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Conversation with RLD updating counsel task list	10/25/2021	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Review emails from JBH and RLD on upcoming renewals	10/25/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Task updates and communication with JPM and RLD	10/25/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Email notes/agenda to counsel and AFS in preparation for call	10/25/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email to contact at casino to get more information	10/25/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email and information review sent by counsel	10/25/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Document processing	10/25/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with creditor and follow up email to AFS team	10/25/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Update outstanding tasks for counsel	10/25/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Task updates and communication with JPM and MB	10/25/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with MBB to discuss updating accounting file	10/26/2021	1.3	255.00	331.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file, classifying transactions	10/26/2021	2.3	255.00	586.50	Financial - Forensic Accounting
Josh McGraw	Call with MBB to update accounting file	10/26/2021	2.6	255.00	663.00	Financial - Forensic Accounting
Milana Barkhanoy	Updating accounting file with identified transitions	10/26/2021	0.8	255.00	204.00	Financial - Forensic Accounting

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Milana Barkhanoy	Call and work with JPM regarding accounting file	10/26/2021	1.3	255.00	331.50	Financial - Forensic Accounting
Milana Barkhanoy	Call and work with JPM regarding accounting file	10/26/2021	2.6	255.00	663.00	Financial - Forensic Accounting
	Team call to discuss updates, outstanding tasks (.6), create and compile data for SFAR, accounting (1.2), process AP and AR (.3), review insurance question, emails with RLD (.2), emails with counsel re report contents, draft sections (1.1), review professional invoices, forward to counsel (.5), email with realtor re listing agreements (.1), emails with realtor with information (.1), email to SK re check received (.1), review motion and respond with comments (.2), review property tax issues, emails with counsel (.2), call with postal vendor, email to counsel (.3), review email from RLD re report, respond (.1), email to counsel re interview (.1), call with JPM about investor information (.1)	10/26/2021	5.2	340.00	1,768.00	Financial - Status Reports
Geoff Winkler	Email communication with auctioneer	10/26/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with GBW to discuss investors	10/26/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with RLD to discuss tasks for counsel	10/26/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Property summary and email to broker	10/26/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with counsel	10/26/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Email review from JPM regarding landlord contacts	10/26/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with agent	10/26/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Task list update	10/26/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Scan and email of check copy for GBW	10/26/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email document for counsel	10/26/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Report communication with GBW	10/26/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM about tasks, priorities, and report	10/26/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review of insert sent by counsel for report	10/26/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Report outline review, compare to monitors report	10/26/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Meeting with counsel to determine next steps	10/26/2021	0.9	255.00	229.50	Legal - Case Administration
Renee Diefenderfer	Report content review and drafting	10/26/2021	1.8	255.00	459.00	Legal - Case Administration
Josh McGraw	Working through updates on accounting file with MBB	10/27/2021	0.6	255.00	153.00	Financial - Forensic Accounting
Milana Barkhanoy	Working through updates on accounting file with JPM	10/27/2021	0.6	255.00	153.00	Financial - Forensic Accounting
Milana Barkhanoy	Updating accounting file with identified transitions	10/27/2021	0.9	255.00	229.50	Financial - Forensic Accounting
Josh McGraw	Call with RLD about report update	10/27/2021	0.1	255.00	25.50	Financial - Status Reports
Josh McGraw	Discussing finance section for report with JBH	10/27/2021	0.3	255.00	76.50	Financial - Status Reports
	Discussion with JPM re investor payments (.1), emails with SK re check, invoices (.2), draft email to JD re auction timing, email with MG (.2), review legal invoices (.3), emails with RLD re calculations (.1), review and respond to questions from counsel, review proposed filing (.2), draft report (1.3)	10/27/2021	2.4	340.00	816.00	Legal - Case Administration
Geoff Winkler	Call with JPM finance section for report	10/27/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Drafting finance, accounting and HR sections of first report	10/27/2021	8.4	310.00	2,604.00	Legal - Case Administration
John Hall	Discussing report with GBW	10/27/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email communication with counsel regarding report	10/27/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with GBW regarding mail	10/27/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with JPM about report update	10/27/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with auctioneer	10/27/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up on task regarding insurance claim	10/27/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Updates to task list for counsel	10/27/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with GBW about tasks for report and fee application	10/27/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Mail pick up and processing	10/27/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Email communication with counsel regarding report	10/27/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Draft narrative for report	10/27/2021	2.0	255.00	510.00	Legal - Case Administration

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Renee Diefenderfer	Report review and content writing	10/27/2021	3.2	255.00	816.00	Legal - Case Administration
Josh McGraw	Email to JBH regarding accounting file updates	10/28/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file, email to counsel regarding agent schedule	10/28/2021	0.7	255.00	178.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file for assets	10/28/2021	1.5	255.00	382.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file	10/28/2021	2.3	255.00	586.50	Financial - Forensic Accounting
	Discussion with JPM re BR (.2), call with counsel to discuss report (.3), call with counsel (.2), meet and confer session (.3), process transfer of cryptocurrency funds (.2), prepare final draft of report, review, discussion with team and counsel (2.4), work with JBH and JPM re finance section (.3), further work on report draft (2.7)	10/28/2021	6.6	340.00	2,244.00	Financial - Status Reports
Geoff Winkler	Financial data information for report	10/28/2021	0.5	255.00	127.50	Financial - Status Reports
Josh McGraw	Email to JBH and GBW regarding earnest money reconciliation	10/28/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Josh McGraw	Tax search on parcels	10/28/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
John Hall	Request and coordinate needed items for quarterly report	10/28/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Reviewing and drafting quarterly report	10/28/2021	4.2	310.00	1,302.00	Legal - Case Administration
Josh McGraw	Call with GBW to discuss real estate	10/28/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Gather support for title company and email to counsel	10/28/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Gather supporting information from relativity	10/28/2021	0.6	255.00	153.00	Legal - Case Administration
Renee Diefenderfer	Review of emails sent by counsel	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review of worksheet sent by SF	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to report	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW to discuss folder organizing	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW to determine next steps with checks received	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with GBW and JBH regarding report updates	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Request for content for the fee application	10/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to report	10/28/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Email summary to the team about mail processed and filing	10/28/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Update to report	10/28/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Prepare list of tasks for report	10/28/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Updates to task list for fee application	10/28/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Update to report	10/28/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Organize list for GBW for fee application	10/28/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Email communication with investors via website forms/messages	10/28/2021	0.6	255.00	153.00	Legal - Case Administration
Renee Diefenderfer	Report content drafting	10/28/2021	1.1	255.00	280.50	Legal - Case Administration
Renee Diefenderfer	Mail review and processing	10/28/2021	1.4	255.00	357.00	Legal - Case Administration
Ysabel Willits	Reviewing accounting categories for report	10/29/2021	4.0	150.00	600.00	Financial - Accounting/Auditing
Milana Barkhanoy	Relativity Email - testing access (user initiated, logging in failed)	10/29/2021	0.1	255.00	25.50	Financial - Data Analysis
Josh McGraw	Email to JBH regarding specific accounting transactions	10/29/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Call With JBH to discuss forensic accounting for report	10/29/2021	0.8	255.00	204.00	Financial - Forensic Accounting
Josh McGraw	updating accounting file	10/29/2021	2.7	255.00	688.50	Financial - Forensic Accounting
Milana Barkhanoy	Work with SW to prepare template for accounting	10/29/2021	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy	Identify wealth services spreadsheets for JBH	10/29/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM regarding WS example of PDF	10/29/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM regarding identifying WS spreadsheets	10/29/2021	0.6	255.00	153.00	Financial - Forensic Accounting
	Review response from JD, email to counsel, respond to JD (.2), review proposed updates and comments (.2), draft final updates and changes to report in anticipation of filing (4.1), emails with counsel, parties re report draft (.1), call with JPM about report (.3)	10/29/2021	4.9	340.00	1,666.00	Financial - Status Reports
Geoff Winkler						
Josh McGraw	Email to JBH regarding information from status report	10/29/2021	0.1	255.00	25.50	Financial - Status Reports

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Emails to MBB regarding items needed for report	10/29/2021	0.2	255.00	51.00	Financial - Status Reports
Josh McGraw	Call with GBW to discuss assets for report	10/29/2021	0.3	255.00	76.50	Financial - Status Reports
Josh McGraw	Call with RLD to discuss report	10/29/2021	0.4	255.00	102.00	Financial - Status Reports
Josh McGraw	Call with YKW to review report items	10/29/2021	0.4	255.00	102.00	Financial - Status Reports
Josh McGraw	Call with YKW to task report items	10/29/2021	0.5	255.00	127.50	Financial - Status Reports
Josh McGraw	Call with MBB to review report and create additional items	10/29/2021	0.5	255.00	127.50	Financial - Status Reports
Josh McGraw	Call with MBB to review report and create additional items	10/29/2021	0.6	255.00	153.00	Financial - Status Reports
Ysabel Willits	Meeting with RLD regarding insurance claim	10/29/2021	0.2	150.00	30.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Filling out insurance claim questionnaire	10/29/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
John Hall	Discuss outstanding finance and accounting issues with JPM for report	10/29/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Proof read final report	10/29/2021	2.8	310.00	868.00	Legal - Case Administration
Josh McGraw	Call with YKW to discuss attachments in Wealth Services	10/29/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Reviewing emails from counsel	10/29/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Email from JBH, follow ups	10/29/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Follow up with YKW on claims task	10/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email to cancel business and workers comp policies	10/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Report needs review, from JBH	10/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email review regarding report and exhibits	10/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with YKW regarding claim task	10/29/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Onsite preparation for GBW	10/29/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Prepare mail for returning to sender	10/29/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM about tasks for report from JBH	10/29/2021	0.4	255.00	102.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding upcoming report accounting section	10/29/2021	0.1	150.00	15.00	Legal - Case Administration
Ysabel Willits	Meeting with JBH regarding QB updating	10/29/2021	0.2	150.00	30.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding upcoming report accounting section	10/29/2021	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding upcoming report accounting section	10/29/2021	0.5	150.00	75.00	Legal - Case Administration
Josh McGraw	Updating accounting file	11/1/2021	0.2	255.00	51.00	Financial - Forensic Accounting
Josh McGraw	Reviewing AZ payments in accounting file against order list	11/1/2021	1.2	255.00	306.00	Financial - Forensic Accounting
Milana Barkhanoy	Reading email communication	11/1/2021	0.1	255.00	25.50	Financial - Forensic Accounting
John Hall	Reviewing draft WR complaint	11/1/2021	0.4	310.00	124.00	Legal - Asset Analysis and Recovery
John Hall	Review employee dishonestly questionnaire for submission	11/1/2021	0.4	310.00	124.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Email and information review sent by counsel	11/1/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Filling out insurance claim questionnaire	11/1/2021	0.8	150.00	120.00	Legal - Asset Analysis and Recovery
	Team discussion about case tasks (.3), call with BK to discuss outstanding issues (.3), call with counsel to discuss BK issues (.2), review property listing agreements, discussion with JPM, discussion with counsel (.3), talk with JPM re real property sales (.1), review utility issues (.1), draft report edits, discussion with counsel re footnote edit to report (.4), emails with counsel re GD turnover issues (.2), emails to parties re report draft (.1), emails with counsel re listing agreements, emails with realtor, review drafts, respond (.3), email to counsel re report timing (.1), review insurance claim and email with counsel (.3), final updates to report and email to counsel for filing (.6), emails with counsel re notice list, posting, review records, respond (.2)	11/1/2021	3.5	340.00	1,190.00	Legal - Case Administration
Geoff Winkler	Review and add new items to agenda for team call on 11/2	11/1/2021	-	310.00	-	Legal - Case Administration
John Hall	Team Meeting with GBW MBB JPM GBW	11/1/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Reviewing PC report and discussing needed updates with GBW to request from counsel	11/1/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Final review of reports, work with alternative verbiage with GBW and discuss with staff re: posting timing	11/1/2021	1.2	310.00	372.00	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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**(Sorted in Chronological Order by Activity Category)**

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Email to GBW regarding internet at property	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with CLVD	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with car dealership to get quotes	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with GBW regarding AZ purchases	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding listing agreement	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding listing agreement	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	11/1/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review listing agreements and send email to broker	11/1/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with utility provider regarding cancellation	11/1/2021	0.5	255.00	127.50	Legal - Case Administration
Milana Barkhanoy	Reading email from YKW on EDQ for counsel	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team Meeting with GBW, RLD, JPM, YKW and JBH on tasks and next steps	11/1/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Text communication with former PC employee about alarm	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication regarding update to alarm	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Text communication with former employee regarding pay	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review and follow up call	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review from investor	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email review sent by JBH and SF	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination for training and tasks for MB	11/1/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with former PC employee regarding case updates and alarm	11/1/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Email and information review sent by counsel	11/1/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with AFS team regarding tasks and next steps	11/1/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Updates to task list and AFS team meeting agenda and email out to the team in preparation for call	11/1/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Meeting with GBW, RLD, JPM, MBB, and JBH regarding upcoming and long term tasks	11/1/2021	0.3	150.00	45.00	Legal - Case Administration
Ysabel Willits	Call with MBB regarding forensic accounting	11/2/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Reviewing AZ payments in accounting file against list	11/2/2021	1.1	255.00	280.50	Financial - Forensic Accounting
Milana Barkhanoy	Email from JBH - project on PC employees inflows and outflows	11/2/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM regarding forensic accounting	11/2/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/2/2021	1.5	255.00	382.50	Financial - Forensic Accounting
John Hall	Case approach discuss with BT on potential tax engagement	11/2/2021	0.5	310.00	155.00	Financial - Tax Issues
John Hall	Review RU settlement docs and discuss with GBW	11/2/2021	0.3	310.00	93.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call/email with MG regarding follow up on asset turned over from PC employee	11/2/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with JPM regarding next steps for assessing asset	11/2/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
	Call with counsel and team to discuss status and next steps (.9), call with JBH and RLD re tasks (.2), process AP (.2), work with counsel and broker re real property sales (.3), research account information and emails with GD legal (.2), work with JPM and vendor to change services (.2), emails with JPM re equipment pickup (.1), emails with RLD re EE devices (.1), review correspondence from investor and internal discussion re different accounts (.3), call with interested third party (.5), call with counsel for investor (.3), call with bank re accounts (.2)	11/2/2021	3.5	340.00	1,190.00	Legal - Case Administration
Geoff Winkler	Discuss task allocation with RLD and GBW	11/2/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Read DH subpoena response, discuss with GBW	11/2/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Read and discuss JP subpoena response	11/2/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Scope and structure task to identify profit connect employee transactions	11/2/2021	0.6	310.00	186.00	Legal - Case Administration
John Hall	Discuss outstanding tasks with counsel and team	11/2/2021	0.9	310.00	279.00	Legal - Case Administration
John Hall	Discuss AZ transactions processing with JPM (.4) and analysis of data to list out critical items needed to review BK monies received	11/2/2021	1.4	310.00	434.00	Legal - Case Administration
Josh McGraw	Email to JBH regarding AZ payments	11/2/2021	0.1	255.00	25.50	Legal - Case Administration



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Josh McGraw	Email to utility provider account manager	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding utility provider services	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with RLD regarding counsel tasks	11/2/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	KBB search regarding Lincoln navigator	11/2/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Discuss AZ transactions processing with JBH	11/2/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Follow up with GBW on notes from MP regarding offsite interviews	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Filings organization and storage on AFS drive	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with team on tasks	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with former PC employee	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review and follow up	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with GBW about itinerary for onsite	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Analysis of inventory for auction from MP	11/2/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW regarding uploading filings to PC website	11/2/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Huddle with GBW and JBH to assign and confirm tasks	11/2/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Return phone call with investor	11/2/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review and follow up via email to MG on drafted complaint	11/2/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Updates to task list and email out to AFS team	11/2/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Send email to website contacts	11/2/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Final Report Review	11/2/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with counsel to determine case next steps	11/2/2021	0.9	255.00	229.50	Legal - Case Administration
Renee Diefenderfer	Update PC website with filings	11/2/2021	1.1	255.00	280.50	Legal - Case Administration
John Hall	Review of received A/P, accounting tasks	11/3/2021	0.2	310.00	62.00	Financial - Accounting/Auditing
John Hall	Second level review of accounting file, analysis and development of reporting methodology	11/3/2021	3.8	310.00	1,178.00	Financial - Forensic Accounting
Milana Barkhanoy	Reading communication from RDL on Relativity Account Login	11/3/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary - Call with JBH	11/3/2021	0.3	255.00	76.50	Financial - Forensic Accounting
John Hall	Emails discussing possible retention of BT for taxes (.2) review resume and rates, discuss with GBW (.4)	11/3/2021	0.6	310.00	186.00	Financial - Tax Issues
Renee Diefenderfer	Email communication with counsel and follow up call with former PC employee	11/3/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Email with interested third party (.1), emails with RLD re SF check (.1), emails with JPM and RLD re utilities (.1), review complaint and notes to counsel (.3), review pleadings (.3), call with investor/agent (.3), call with state attorney (.4)	11/3/2021	1.6	340.00	544.00	Legal - Case Administration
Josh McGraw	Communication of task assignment with YKW	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding subpoena information	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with RLD for task of Investor emails	11/3/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Compile credit statements for RLD and YKW and send email for location	11/3/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review complaint created by counsel	11/3/2021	-	255.00	-	Legal - Case Administration
Josh McGraw	Emails to large number of investors (1 of 2)	11/3/2021	1.2	255.00	306.00	Legal - Case Administration
Josh McGraw	Emails to investors (2 of 2)	11/3/2021	1.3	255.00	331.50	Legal - Case Administration
Milana Barkhanoy	Reading communication from JPM to counsel on AZ transaction	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication regarding claims and case updates	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Return call to investor	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Analysis of documents sent by counsel	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Discovery of tasks and outline from JBH and MB	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Updates from GBW and communication moving forward	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Text message with former PC employee regarding case and needs from them	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up with casino regarding roster and registering on website for potential claim	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up with GBW regarding check received and next steps	11/3/2021	0.1	255.00	25.50	Legal - Case Administration

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<u>Personnel</u>	<u>Item / Description</u>	<u>Date</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	<u>Activity Category</u>
Renee Diefenderfer	Call with a contact from our website from when PC was a marketing company	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with JPM about task assignment for YKW	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Analysis of documentation sent by counsel	11/3/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Database verification and email response to investor per email from GBW	11/3/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with investor regarding update and registering on website	11/3/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Database update to website and email follow up to investor regarding registration form	11/3/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Touchbase with JPM on task for following up with emails	11/3/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Communication to investor inquiries	11/3/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Document processing and communication with team on next steps	11/3/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Call with YKW regarding accounting task assignment	11/3/2021	0.4	255.00	102.00	Legal - Case Administration
Ysabel Willits	Meeting with RLD regarding organizational task	11/3/2021	0.4	150.00	60.00	Legal - Case Administration
John Hall	Review investor files and email request to SK for correct files to pull for audit	11/4/2021	0.8	310.00	248.00	Financial - Accounting/Auditing
John Hall	Review of accounting file and checking work completed by analysts	11/4/2021	1.1	310.00	341.00	Financial - Forensic Accounting
Geoff Winkler	Discussion with counsel re posting documents (.2), emails with MG re message (.1), email to JD re proposed auction (.1), emails with KA re timing (.1), call with auctioneer (.4)	11/4/2021	0.9	340.00	306.00	Legal - Case Administration
John Hall	Review filings re: WR complaint	11/4/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review business and WC insurance documents received from TM, discuss with RLD	11/4/2021	0.3	310.00	93.00	Legal - Case Administration
Josh McGraw	Email to GBW regarding call with counsel	11/4/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with counsel asking for supporting documentation for motion	11/4/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Conversation with RLD regarding counsel request for documents	11/4/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Conversation with utility provider utility provide about downgraded service and expected timelines	11/4/2021	0.4	255.00	102.00	Legal - Case Administration
Milana Barkhanoy	Emails regarding investor worksheets	11/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email review sent by counsel to determine posting to website	11/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Preparation of documents for meeting with counsel	11/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	File analysis and communication to team on next steps	11/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW and JBH regarding assignment of tasks to team	11/4/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with JPM regarding task for counsel	11/4/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Document preparation for request from counsel	11/4/2021	1.6	255.00	408.00	Legal - Case Administration
John Hall	Review employee accounting; Vendor accounting; cryptocurrency purchases tracing; agent accounting; work with staff to correct issues and further stress testing of data reporting system	11/5/2021	4.8	310.00	1,488.00	Financial - Accounting/Auditing
Josh McGraw	Call with MBB to review accounting file updates and reasonings for tasks to be completed	11/5/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/5/2021	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM to review accounting file updates and reasonings for tasks to be completed	11/5/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Geoff Winkler	Call with BK (.4), emails with MG re RAM pickup arrangements (.1), emails with MG re landlord meeting (.1), emails with MG re vehicle storage (.1), review proposed motion and email with counsel (.2), research building improvement costs (.8)	11/5/2021	1.7	340.00	578.00	Legal - Case Administration
John Hall	Read motion to enjoin proceeding document from counsel	11/5/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	review appraisal reports and opinion of value	11/5/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review received investor sheets, analyze against Word Press and reply to JK with instructions on how to proceed	11/5/2021	0.7	310.00	217.00	Legal - Case Administration
Josh McGraw	Call with tax consultant to schedule meeting	11/5/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Emails to investors	11/5/2021	0.5	255.00	127.50	Legal - Case Administration



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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Call with utility provider to schedule equipment pickup and email showing service cancellation	11/5/2021	0.8	255.00	204.00	Legal - Case Administration
Milana Barkhanoy	Emails regarding Investor worksheets	11/5/2021	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Organizing bank statements	11/5/2021	7.9	150.00	1,185.00	Legal - Case Administration
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/6/2021	0.8	255.00	204.00	Financial - Forensic Accounting
John Hall	Review GBW dec ISO motion to enjoin lawsuit and discuss with GBW	11/7/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review and coding of bank statements and credit card statements in accounting file	11/8/2021	2.8	310.00	868.00	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/8/2021	2.6	255.00	663.00	Financial - Forensic Accounting
Geoff Winkler	Team meeting to discuss case updates (0.4), meeting onsite to prepare for auction, meet with interested parties, meeting with landlord (4.1), review accounting and emails with MG re agent details (.3), review declaration and email with counsel (.2), emails with counsel re deed (.1), review deed and suggested edits (.2), email to JPM re alarm issue (.1)	11/8/2021	5.4	340.00	1,836.00	Legal - Case Administration
John Hall	Review agent issues with JPM	11/8/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Team meeting to discuss ongoing tasks	11/8/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review draft demand letter and discuss with team	11/8/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Work with vendor for warehouse service	11/8/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review of JD transactions and calculation of accrued interest owed as of 10/31 per counsel request	11/8/2021	1.0	310.00	310.00	Legal - Case Administration
Josh McGraw	Call with RLD to discuss tasks from counsel	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding utility provider agreements	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding company loan	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with JBH to discuss Accounting tasks	11/8/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with utility provider account manager to discuss pickup and agreements	11/8/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review counsel's motion	11/8/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	11/8/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Relativity searching for loan documents	11/8/2021	1.5	255.00	382.50	Legal - Case Administration
Milana Barkhanoy	Reading communication from JPM to counsel on JD transactions	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Reading additional communication from counsel to JPM on JD transactions	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, RLD, JPM, YKW and JBH on tasks and next steps	11/8/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM regarding email communication task	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with former PC employee	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Updates to database for JPM to automate	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with GBW regarding calling an investor	11/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Meeting with the team about tasks and next steps	11/8/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Former PC employee email communication for scheduling with GBW	11/8/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Communication to investors	11/8/2021	0.6	255.00	153.00	Legal - Case Administration
Renee Diefenderfer	Review of information sent by counsel and updates to task list	11/8/2021	1.5	255.00	382.50	Legal - Case Administration
Ysabel Willits	Meeting with GBW, RLD, JPM, MBB, and JBH regarding upcoming and long term tasks	11/8/2021	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	11/8/2021	1.4	150.00	210.00	Legal - Case Administration
Josh McGraw	Creating website form database	11/9/2021	3.5	255.00	892.50	Financial - Data Analysis
Josh McGraw	Updating Accounting File for investor transactions	11/9/2021	1.1	255.00	280.50	Financial - Forensic Accounting
Josh McGraw	Creating investor list and identifying payments	11/9/2021	2.0	255.00	510.00	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/9/2021	2.1	255.00	535.50	Financial - Forensic Accounting
John Hall	Discussion with potential tax accountants at BT (1.1) and follow along discussion with GBW (.4)	11/9/2021	1.5	310.00	465.00	Financial - Tax Issues
Geoff Winkler	Team meeting to discuss case updates (0.4), meeting onsite to prepare for auction, meet with interested parties, meeting with landlord (9.2)	11/9/2021	9.6	340.00	3,264.00	Legal - Asset Disposition

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
John Hall	Review and update task list	11/9/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review consolidated subpoena from MAG	11/9/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	PP discussion with MG, pull needed data and provide for subpoena	11/9/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review and summarize chase payments for counsel	11/9/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Second level review of JPM data pull task	11/9/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review of found "Summary" FAQ document, forward to team for deep analysis	11/9/2021	0.6	310.00	186.00	Legal - Case Administration
John Hall	Call with counsel to discuss outstanding items with GBW and RLD	11/9/2021	0.7	310.00	217.00	Legal - Case Administration
John Hall	Review of received investor files, cleaning up files, and saving to accounting system for auditing input	11/9/2021	1.2	310.00	372.00	Legal - Case Administration
John Hall	Consolidation of investor files, summary document sent to team	11/9/2021	2.0	310.00	620.00	Legal - Case Administration
Josh McGraw	Call with RLD to discuss tasks for GBW	11/9/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to JBH, investor list task and accounting file updates	11/9/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Message communication with GBW regarding onsite 1:1's and schedule	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with investors via website forms/messages	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Database refresh post responding to website forms	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call and follow up email with former PC employee	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with investors	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication (call) with investor and GBW	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	File analysis	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with former PC employee about devices and buybacks	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Two call attempts and a voicemail to former PC employee	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with former PC employee to meet with GBW	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up call with agent	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email follow up to third party regarding request for information	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with GBW and agent	11/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Deposit verification for investor	11/9/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call and follow up research for contact info for former PC employee	11/9/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with JPM regarding website forms database	11/9/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with agent	11/9/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Database research and email communication with agent to set up a call with GBW	11/9/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Prepare documentation for meeting with counsel, GBW, and JBH	11/9/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Call with counsel to determine case next steps	11/9/2021	0.7	255.00	178.50	Legal - Case Administration
Renee Diefenderfer	Summary of items to investigate task per JBH	11/9/2021	0.7	255.00	178.50	Legal - Case Administration
Josh McGraw	Building a tracker for investor files	11/10/2021	1.5	255.00	382.50	Financial - Data Analysis
John Hall	Work through accounting file with JPM	11/10/2021	0.1	310.00	31.00	Financial - Forensic Accounting
John Hall	Review bundler activity and send memo to team on correct accounting treatment.	11/10/2021	0.5	310.00	155.00	Financial - Forensic Accounting
John Hall	Work through employee accounting issues with MBB	11/10/2021	0.6	310.00	186.00	Financial - Forensic Accounting
John Hall	Coding and accounting for bundler activity	11/10/2021	1.8	310.00	558.00	Financial - Forensic Accounting
Josh McGraw	Call with JBH about accounting file and classification of investor	11/10/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file for investor transactions	11/10/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Call with MBB to discuss employee classifications in accounting file	11/10/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Review taxes for properties, create summary for payment and meetings for future payments and email GBW for payment remittance	11/10/2021	1.1	255.00	280.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM to discuss employee classifications in accounting file	11/10/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Milana Barkhanoy	Reading multiple communication emails from RLD, JBH and JPM on PC	11/10/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Work through employee accounting issues with JBH	11/10/2021	0.6	255.00	153.00	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/10/2021	3.3	255.00	841.50	Financial - Forensic Accounting
Renee Diefenderfer	Follow up with former PC employee and GBW/JBH regarding turning in asset	11/10/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Renee Diefenderfer	Email communication for coordination call with auctioneer	11/10/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Follow up email regarding question about asset	11/10/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with former PC employee about devices and buybacks	11/10/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Asset quotes, vehicles for auction	11/10/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Auction preparation to YKW including temporary labor	11/10/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with CM and GBW to discuss auction	11/10/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Research on vehicle value, communication with GBW/JBH/JPM regarding truck quote	11/10/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Establishment of deadlines	11/10/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Create and update auction notice list	11/10/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Auction coordination communication	11/10/2021	0.4	255.00	102.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with investor re funds deposited (.3), meeting onsite to prepare for auction, meet with interested parties, meeting with landlord (8.7), emails with interest party re meeting (.1)	11/10/2021	8.7	340.00	2,958.00	Legal - Asset Disposition
John Hall	Discuss alarm system vendor needs with GBW and pull contact info	11/10/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	review cancellation request form documents and discuss with YKW	11/10/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Discuss and review palladium documents with RLD	11/10/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review property tax issues, and discuss with JPM and GBW	11/10/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review PC BA monthly accounts, send needed inquiry to YKW to process	11/10/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Work with NVE to restore power at CC property; coordinate with team	11/10/2021	1.2	310.00	372.00	Legal - Case Administration
Josh McGraw	Email to RLD regarding asset information	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Emails to RLD regarding removing email from distribution list	11/10/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Email to investor asking for case update	11/10/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with Verizon to disconnect company policy	11/10/2021	1.3	255.00	331.50	Legal - Case Administration
Renee Diefenderfer	Email communication regarding setting up JPM to make edits to website	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Analysis and meeting preparation including information on investors	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call to former PC employee about devices and buybacks	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with JBH to process mail	11/10/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Communication regarding removing contact from website per request from JPM	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Investigate contact for question from counsel	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Preparation of documents and communication with counsel	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Deadline management, updates, communication	11/10/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Data review via email from JPM	11/10/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with GBW to review call with investor and tasks while onsite in LV	11/10/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Mail from PM processing and email to counsel	11/10/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Project update call with GBW	11/10/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Document research for task for JPM	11/10/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with investor and GBW	11/10/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Summary of items to investigate per JBH	11/10/2021	0.5	255.00	127.50	Legal - Case Administration
Geoff Winkler	Meeting onsite to prepare for auction, meet with interested parties, meeting with landlord (4.8), discussion with auctioneer re timing and logistics (.3)	11/11/2021	5.1	340.00	1,734.00	Legal - Asset Disposition
John Hall	Work with JPM on CB overdraft issue reopening account	11/11/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Work with MBB on NFC bank demand for turnover	11/11/2021	0.4	310.00	124.00	Legal - Case Administration
Josh McGraw	Work with JBH on CB overdraft issue reopening account	11/11/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Documents/information analysis and communication	11/11/2021	1.7	255.00	433.50	Legal - Case Administration
John Hall	Work with JPM going through forensic accounting issues	11/12/2021	0.5	310.00	155.00	Financial - Forensic Accounting
Ysabel Willits	Filling out insurance claim questionnaire	11/12/2021	0.7	150.00	105.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Getting quotes for temporary staff for auction	11/12/2021	3.3	150.00	495.00	Legal - Asset Analysis and Recovery
Josh McGraw	Email to Investor asking for case update	11/12/2021	0.1	255.00	25.50	Legal - Case Administration

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Josh McGraw	Email to JBH regarding CB	11/12/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with utility provider regarding forwarding numbers	11/12/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with CB	11/12/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with JBH discussing accounting, banking and next steps	11/12/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Prepare tracking document for workbooks	11/15/2021	1.5	255.00	382.50	Financial - Data Analysis
Josh McGraw	Accounting file review - vendor needed information, create a tracker and supporting schedules	11/15/2021	2.7	255.00	688.50	Financial - Forensic Accounting
Renee Diefenderfer	Email communication to MB regarding task	11/15/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Stretto training for assets for MB	11/15/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with insurance adjuster for insurance claim	11/15/2021	0.1	150.00	15.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Pulling Relativity data to analyze with JPM	11/15/2021	0.2	150.00	30.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Testing usernames and passwords for asset recovery	11/15/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Review email from investor and respond to RLD (.2), email to counsel re update on RU settlement (.1), work with RLD, JBH re auction logistics, timing, steps (.8) Processing and scanning received documents and delegating needed vendor correspondence.	11/15/2021	1.1	340.00	374.00	Legal - Case Administration
John Hall	Save information from subpoena	11/15/2021	0.8	310.00	248.00	Legal - Case Administration
Josh McGraw	Call with RLD - GD editing website and database update	11/15/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW for relativity request of subpoena information	11/15/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with CB	11/15/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Searching relativity for documents before requesting subpoena	11/15/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with utility provider to disconnect company account and email of court order	11/15/2021	0.6	255.00	153.00	Legal - Case Administration
Josh McGraw	Call with RLD on requesting funds from NFCU	11/15/2021	1.3	255.00	331.50	Legal - Case Administration
Milana Barkhanoy	Call with NFCU requesting funds	11/15/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with NFCU requesting funds	11/15/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Drafting letter to NFCU	11/15/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Email review and follow up to GBW	11/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Mail processing and email communication to GBW	11/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Updates to tasks to MB from JBH	11/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to task list for call with counsel	11/15/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to website and call with JPM	11/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Communication updates of important dates/deadlines for tasks and report	11/15/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Insurance policy communication and cancellation	11/15/2021	0.3	255.00	76.50	Legal - Case Administration
Ysabel Willits	Installing Relativity software	11/15/2021	0.3	255.00	76.50	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	11/15/2021	-	150.00	-	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	11/15/2021	2.5	150.00	375.00	Legal - Case Administration
Josh McGraw	Review order statements found in relativity and cross reference accounting	11/16/2021	0.5	255.00	127.50	Financial - Data Analysis
Josh McGraw	Reviewing relativity for information before requesting	11/16/2021	1.5	255.00	382.50	Financial - Data Analysis
John Hall	Second level review of accounting file work of JPM, MBB< YKW	11/16/2021	1.9	310.00	589.00	Financial - Forensic Accounting
Josh McGraw	Update accounting file investor information and pulling additional investor workbooks off website	11/16/2021	1.7	255.00	433.50	Financial - Forensic Accounting
Renee Diefenderfer	Review and emailed feedback on letter drafted by MB for bank	11/16/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Team call to discuss case status (.2), process AP (.5), call with counsel and team (.8), emails with vendor re cancellation (.1), discussion with investor/agent, review documents, email with counsel (.4), review subpoena, analyze records, discuss with MG and KA (.6)	11/16/2021	2.6	340.00	884.00	Legal - Case Administration
John Hall	Team meeting to review outstanding issues and tasks.	11/16/2021	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Review request for information with RLD	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to the team regarding investment tracking	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RLD regarding information request for accounting	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor asking for case update	11/16/2021	0.1	255.00	25.50	Legal - Case Administration

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Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	11/16/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Identifying account information for NVE	11/16/2021	0.7	255.00	178.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, RLD, JPM, YKW and JBH on tasks and next steps	11/16/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Preparation for call with counsel	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to JBH regarding summary of investigation task	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with JBH regarding catalog of information to request task	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review of RFI from JPM	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email with contact regarding setting up a call	11/16/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Document preparation and email communication to AFS and counsel	11/16/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with the team regarding case next steps	11/16/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Review of information sent via email from GBW	11/16/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with counsel to determine case next steps	11/16/2021	0.8	255.00	204.00	Legal - Case Administration
Josh McGraw	Review agent website for business name	11/17/2021	0.2	255.00	51.00	Financial - Data Analysis
John Hall	Discuss outstanding accounting issues with JPM	11/17/2021	0.4	310.00	124.00	Financial - Forensic Accounting
Josh McGraw	Downloading PP information and reviewing	11/17/2021	1.3	255.00	331.50	Financial - Forensic Accounting
Josh McGraw	Forensic accounting - reviewing investors with liens	11/17/2021	2.2	255.00	561.00	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary - call with JBH	11/17/2021	0.2	255.00	51.00	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM discussing and reviewing PP transactions	11/17/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC employees inflows and outflows summary	11/17/2021	2.9	255.00	739.50	Financial - Forensic Accounting
	Call from agent, discussion with RLD (.5), email to VW re cancellation (.1), review lawsuit and discuss with counsel (.3), review extension request, discuss (.1), call with agent (.4), review correspondence, research and respond (.3), call with counsel for agent (.2)	11/17/2021	1.9	340.00	646.00	Legal - Asset Analysis and Recovery
Geoff Winkler						
John Hall	Analysis of service credit for BR	11/17/2021	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
John Hall	Review RLD analysis and discuss further asset tracing efforts	11/17/2021	2.0	310.00	620.00	Legal - Asset Analysis and Recovery
John Hall	Affected victim call FAQ	11/17/2021	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	RLD requested information regarding confirming payment types in accounting	11/17/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to utility provider regarding cancellation	11/17/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with utility provider	11/17/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with JBH regarding forensic accounting, liens, and bank accounts	11/17/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with MBB discussing PP transactions	11/17/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review vendor accounts	11/17/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Email communication with counsel regarding call and task list	11/17/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	VM review and email communication to GBW and counsel about returning a call	11/17/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Research support for MBB trying to determine if two contacts are one	11/17/2021	0.2	255.00	51.00	Legal - Case Administration
	Call with PC contact following up from email received and update to investor call tracker	11/17/2021	0.8	255.00	204.00	Legal - Case Administration
Renee Diefenderfer						
Renee Diefenderfer	Work on summary investigation doc task from JBH	11/17/2021	1.5	255.00	382.50	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	11/17/2021	3.5	150.00	525.00	Legal - Case Administration
Josh McGraw	Exporting missing investor workbooks off website	11/18/2021	0.4	255.00	102.00	Financial - Data Analysis
Josh McGraw	Crypto transaction identification on blockchain	11/18/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Call with MBB to talk through agent classification	11/18/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file for investor transactions	11/18/2021	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Updated accounting file with investor transactions	11/18/2021	1.3	255.00	331.50	Financial - Forensic Accounting
Josh McGraw	Lien analysis and investor fund identification in accounting file	11/18/2021	2.1	255.00	535.50	Financial - Forensic Accounting
	Project from JBH on PC EE inflows and outflows summary - call with JMP to discuss agent payments	11/18/2021	0.3	255.00	76.50	Financial - Forensic Accounting
Milana Barkhanoy						
Milana Barkhanoy	Project from JBH on PC EE inflows and outflows summary - second call with JMP to talk through agent classification	11/18/2021	0.5	255.00	127.50	Financial - Forensic Accounting



**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Attachment 1

<b>Personnel</b>	<b>Item / Description</b>	<b>Date</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	<b>Activity Category</b>
Milana Barkhanoy	Project from JBH on PC EE inflows and outflows summary	11/18/2021	1.8	255.00	459.00	Financial - Forensic Accounting
	Call with counsel re subpoena (.7), begin gathering documents, reviewing for privilege (1.6), call with SK to discuss document needs (.4), review list of needed documents for forensic accounting, discuss with team (.2), gather list of requested information for interested party (.3), review auction brochure, notes on suggested changes (.2), emails with KA, MG re auction, including specific items (.2), work with MG to swap items in auction, pictures (.1), call with state securities office (.4)	11/18/2021	4.3	340.00	1,462.00	Legal - Case Administration
Geoff Winkler	Call with GBW MG KA to discuss subpoena	11/18/2021	0.7	310.00	217.00	Legal - Case Administration
John Hall	Email to GBW to confirm utility accounts are cancelled	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RLD to adjust accounting request from counsel	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Returned missed call to auctioneer	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with investor	11/18/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Phone call and email correspondence with utility provider	11/18/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Investor emails	11/18/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with MBB to discuss agent payments	11/18/2021	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Ride and mailing letter to NFCU	11/18/2021	0.8	255.00	204.00	Legal - Case Administration
Renee Diefenderfer	Update to defendants contact information and communication	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination of email follow up in PC inbox	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication to counsel regarding subpoenas	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with JBH regarding information request for counsel	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Task assignment for JPM regarding investigating summary analysis	11/18/2021	0.1	255.00	25.50	Legal - Case Administration
	Data review (sent by JPM) and email communication regarding needing more information	11/18/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with MBB regarding splitting deposits in accounting file	11/19/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file for investor transactions	11/19/2021	3.5	255.00	892.50	Financial - Forensic Accounting
	Project from JBH on PC EE inflows and outflows summary - call with JMP regarding splitting deposits in accounting file	11/19/2021	0.5	255.00	127.50	Financial - Forensic Accounting
Milana Barkhanoy	Review final auction draft (.1), prepare for upcoming auction, marketing (1.2)	11/19/2021	1.3	340.00	442.00	Legal - Asset Disposition
Geoff Winkler	Review and audit of accounting file, compile list of vendors in cc transactions for probable request for additional information	11/19/2021	2.1	310.00	651.00	Legal - Case Administration
John Hall	Email to counsel regarding JP	11/19/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with JP	11/19/2021	1.0	255.00	255.00	Legal - Case Administration
John Hall	Review memo from counsel with evidence tracker and attached data set	11/20/2021	0.5	310.00	155.00	Legal - Case Administration
Josh McGraw	Call with MBB to create formula to automate analysis	11/22/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM to create formula to automate analysis	11/22/2021	0.1	255.00	25.50	Financial - Forensic Accounting
	Project from JBH on PC EE inflows and outflows summary - email to JBH, JPM and YKW	11/22/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Milana Barkhanoy	Project from JBH on PC EE inflows and outflows summary	11/22/2021	3.1	255.00	790.50	Financial - Forensic Accounting
Milana Barkhanoy	Review auction agreement and discuss issues with GBW	11/22/2021	0.3	310.00	93.00	Legal - Asset Analysis and Recovery
John Hall	Coordination of temporary labor for auction	11/22/2021	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Correspondence with insurance adjuster for insurance claim	11/22/2021	0.3	150.00	45.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Getting quotes for temporary staff for auction	11/22/2021	0.4	150.00	60.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Discuss auction agreement issues with JBH	11/22/2021	0.3	340.00	102.00	Legal - Case Administration
Geoff Winkler	Review asset list entries in system	11/22/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Team meeting with YKW, RLD, JPM, MBB to discuss and coordinate ongoing tasks	11/22/2021	0.6	310.00	186.00	Legal - Case Administration
John Hall	Discussion with MG, SK and JR re: subpoena	11/22/2021	1.0	310.00	310.00	Legal - Case Administration
Josh McGraw	Communication with MBB for login information	11/22/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding login information	11/22/2021	0.1	255.00	25.50	Legal - Case Administration

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Josh McGraw	Review email from investor	11/22/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	11/22/2021	0.6	255.00	153.00	Legal - Case Administration
Milana Barkhanoy	Communication with JPM for login information	11/22/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Message from JPM regarding bank/credit card statements will need to be reorganized with ScanWriter	11/22/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Creating unadministered asset tracking in Stretto and emailing update to RDL and JBH	11/22/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with team regarding next steps	11/22/2021	0.6	255.00	153.00	Legal - Case Administration
Renee Diefenderfer	Email to team with agenda and tasks in preparation for call	11/22/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Updates to task list and review of information sent by counsel	11/22/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Updates and document preparation	11/22/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Temp labor coordination (calls and emails)	11/22/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Call with team regarding next steps	11/22/2021	0.6	255.00	153.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM, RLD, MBB, and JBH regarding our tasks for this upcoming week	11/22/2021	0.6	150.00	90.00	Legal - Case Administration
Josh McGraw	Search relativity for counsel request	11/23/2021	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Search relativity for counsel request	11/23/2021	1.0	255.00	255.00	Financial - Data Analysis
Josh McGraw	Pulling lien documents off relativity	11/23/2021	1.4	255.00	357.00	Financial - Data Analysis
Renee Diefenderfer	Email communication with auctioneer regarding update to site	11/23/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Email communication with auctioneer regarding asset title	11/23/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Temp labor coordination (calls and emails)	11/23/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Getting quotes for temporary staff for auction	11/23/2021	0.2	150.00	30.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Emails with CM re titles, prepare for processing (.3), email with JW re insurance claim (.1), call with LM re alarm issue, resolution (.2), call with interested third party (.4)	11/23/2021	1.0	340.00	340.00	Legal - Case Administration
John Hall	Review asset schedule from SK and discuss with JPM	11/23/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Review and discuss audit of EE transactions	11/23/2021	0.6	310.00	186.00	Legal - Case Administration
John Hall	Case discussion on outstanding issues with RLD, MG, KA and GBW	11/23/2021	1.1	310.00	341.00	Legal - Case Administration
Josh McGraw	email to RLD regarding counsel request	11/23/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to IT consultant to categorize information in system	11/23/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review asset schedule from SK and discuss with JBH	11/23/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review EE analysis, documents and sent email to MBB	11/23/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review lien documents, prelims, borrower documents etc.	11/23/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Review lien documents, prelims, borrower documents etc.	11/23/2021	0.7	255.00	178.50	Legal - Case Administration
Renee Diefenderfer	Call with temp agency regarding staffing for auction	11/23/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with temp agency regarding staffing for auction	11/23/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Task assignments and updates to tracker to JPM	11/23/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with staffing agency	11/23/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Form drafting, for m review, and email communication with GBW	11/23/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Call with counsel to determine case next steps	11/23/2021	0.7	255.00	178.50	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	11/23/2021	2.5	150.00	375.00	Legal - Case Administration
John Hall	Update accounting file, research vendor and legal payment issues	11/24/2021	2.1	310.00	651.00	Financial - Accounting/Auditing
Josh McGraw	Searching relativity for documents requested by counsel	11/24/2021	3.0	255.00	765.00	Financial - Data Analysis
Josh McGraw	Search accounting file for tesla payments denoted in response from defendant	11/24/2021	0.2	255.00	51.00	Financial - Forensic Accounting
Geoff Winkler	Work with RLD re alarm issues, repairs (.1), prepare for upcoming auction (1.1)	11/24/2021	1.2	340.00	408.00	Legal - Case Administration
John Hall	Research items in relativity- counsel and employee searches, vendor searches	11/24/2021	3.6	310.00	1,116.00	Legal - Case Administration
Josh McGraw	Emails to counsel regarding counsel requests	11/24/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with RLD regarding counsel request	11/24/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review BOP coverage and research for temp workers	11/24/2021	0.8	255.00	204.00	Legal - Case Administration

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Renee Diefenderfer	Call with counsel	11/24/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Help YKW with CB task regarding password	11/24/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Review of contracts from temp agency regarding question about insurance	11/24/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Information email with temp agency	11/24/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Preparation of temp information for auction for GBW	11/24/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Utility provider tech support and email to GBW	11/24/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM regarding task updates	11/24/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Follow up call to utility provider to try and get service scheduled	11/24/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Completing form, email with GBW and staffing agency, call with staffing agency, edits, filing	11/24/2021	1.2	255.00	306.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	11/24/2021	2.0	150.00	300.00	Legal - Case Administration
Geoff Winkler	Prepare for upcoming auction (3.1)	11/28/2021	3.1	340.00	1,054.00	Legal - Asset Disposition
Ysabel Willits	Meeting with JPM regarding tax documentation	11/29/2021	0.3	150.00	45.00	Financial - Accounting/Auditing
John Hall	Discuss investor transactions and review work with JPM	11/29/2021	0.6	310.00	186.00	Financial - Forensic Accounting
Josh McGraw	Call with JBH to review subpoena transactions	11/29/2021	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Update accounting file with investor transactions	11/29/2021	4.6	255.00	1,173.00	Financial - Forensic Accounting
Milana Barkhanoy	Email communication from JPM on adjustments in the accounting file	11/29/2021	0.1	255.00	25.50	Financial - Forensic Accounting
John Hall	Discuss tax issue needs with MBB	11/29/2021	0.5	310.00	155.00	Financial - Tax Issues
Milana Barkhanoy	Discuss 1099 project with JBH	11/29/2021	0.5	255.00	127.50	Financial - Tax Issues
Geoff Winkler	Call with team to discuss status (.2), prepare for upcoming auction (8.2), call with counsel (.2)	11/29/2021	8.6	340.00	2,924.00	Legal - Asset Disposition
John Hall	Review JD Letter	11/29/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Team meeting to discuss ongoing tasks	11/29/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review received updated evidence tracker from SK	11/29/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review BR transactions with team	11/29/2021	0.5	310.00	155.00	Legal - Case Administration
John Hall	Review set of employee recorded docs from counsel	11/29/2021	0.8	310.00	248.00	Legal - Case Administration
John Hall	Compiling reports on audited and unaudited data, reviewing findings, discuss needed items with JPM and MBB	11/29/2021	4.2	310.00	1,302.00	Legal - Case Administration
Josh McGraw	Email to GBW for subpoena transaction request	11/29/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	11/29/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call YKW for business 1099s & w9s and email with list of individuals	11/29/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Research on car lease, financials and email to RLD regarding findings	11/29/2021	0.4	255.00	102.00	Legal - Case Administration
Milana Barkhanoy	Meeting with GBW, RLD, JPM, YKW and JBH regarding upcoming and long term tasks.	11/29/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Email review from JPM regarding asset and update to task list	11/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Update to task document	11/29/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call/VM to temp agency regarding schedule	11/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Document analysis	11/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with temp agency and follow up with GBW	11/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Meeting with team on tasks and next steps	11/29/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with investor and messaging with GBW	11/29/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Updates on tasks, coordination with JBH/GBW, and email to counsel	11/29/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Analysis of information from counsel	11/29/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	File categorization on shared drive - Intake folder	11/29/2021	1.0	255.00	255.00	Legal - Case Administration
Renee Diefenderfer	Paperwork review, scanning, filing, and team communication	11/29/2021	1.5	255.00	382.50	Legal - Case Administration
Ysabel Willits	Meeting with GBW, JPM, RLD, MBB, and JBH regarding our tasks for the upcoming week	11/29/2021	0.2	150.00	30.00	Legal - Case Administration
Josh McGraw	Downloading investor spreadsheets from database	11/30/2021	0.5	255.00	127.50	Financial - Data Analysis
Milana Barkhanoy	Call with JPM on finding files in Relativity	11/30/2021	0.3	255.00	76.50	Financial - Data Analysis



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Josh McGraw	update accounting file with investor transactions for lien analysis	11/30/2021	2.6	255.00	663.00	Financial - Forensic Accounting
Renee Diefenderfer	Text communication with former employee regarding case update	11/30/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Review of accounting sent by JPM and email to GBW and JBH regarding next step	11/30/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Review of information on asset and messaging with JPM	11/30/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with counsel, JBH and RLD to discuss case status and tasks (1.0), court hearing to discuss motions and report (.8), prepare for upcoming auction (7.8)	11/30/2021	9.6	340.00	3,264.00	Legal - Asset Disposition
John Hall	Review AC transactions analysis	11/30/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Team meeting to discuss outstanding issues with counsel and GBW and RLD	11/30/2021	1.0	310.00	310.00	Legal - Case Administration
John Hall	Compilation and analysis of employee funds moved, memo to counsel re: same, discuss with GBW and RLD	11/30/2021	1.3	310.00	403.00	Legal - Case Administration
Josh McGraw	Call with RLD to discuss counsel request and vendor transactions	11/30/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review email correspondence with counsel	11/30/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Relativity overview for MBB and work through counsel tasks	11/30/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review vendor transactions and send email to RLD	11/30/2021	0.8	255.00	204.00	Legal - Case Administration
Renee Diefenderfer	Email communication with temp agency regarding hours	11/30/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Task assignment via email to JPM	11/30/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Meeting preparation including documents	11/30/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with JPM regarding accounting task	11/30/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with counsel to determine next steps	11/30/2021	1.0	255.00	255.00	Legal - Case Administration
Josh McGraw	Searching relativity for transaction details	12/1/2021	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Relativity search for lien documents and reviewing documents	12/1/2021	2.0	255.00	510.00	Financial - Data Analysis
Milana Barkhanoy	Auditing split transaction and adding formula for double checking and re-aligning notes vs split indications vs file paths	12/1/2021	0.5	255.00	127.50	Financial - Tax Issues
Geoff Winkler	Prepare for upcoming auction and work with counsel re litigation (8.8), process AP (.2)	12/1/2021	8.8	340.00	2,992.00	Legal - Asset Disposition
John Hall	Call with JPM to discuss investor liens and relativity search request	12/1/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Review PC MS account documents	12/1/2021	0.2	310.00	62.00	Legal - Case Administration
Josh McGraw	Call with JBH to discuss investor liens and relativity search request	12/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH and GBW regarding bank account	12/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding bank accounts	12/1/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Emails to investors	12/1/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Researching specialized inventory determining value and owned or leased	12/1/2021	0.6	255.00	153.00	Legal - Case Administration
Milana Barkhanoy	Reading communication from JPM to counsel on MS bank account	12/1/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Reading communications from and to counsel on MS account	12/1/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Search relativity for counsel request	12/2/2021	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Automating management database to save future time	12/2/2021	0.7	255.00	178.50	Financial - Data Analysis
Josh McGraw	Parts research and analysis on costs paid	12/2/2021	1.5	255.00	382.50	Financial - Forensic Accounting
Renee Diefenderfer	Communication with JBH regarding potential need to subpoena for more information	12/2/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Marketing for auction, website, brochures, etc.	12/2/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Marketing for auction, social media, conversation with GBW	12/2/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Discussion with counsel re engagement agreement (.1), email with MG re BR contact for asset (.1), work with LC re titles, asset documentation (.2), emails with RG re additional document needs (.1), call with RLD and JPM re hearing (.3), call with RLD re auction marketing (.3), reach out to media to discuss auction (1.4), discuss auction with JBH (.4)	12/2/2021	2.9	340.00	986.00	Legal - Case Administration
John Hall	Communication with JPM regarding utility provider, utility provider and bank accounts	12/2/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Discuss auction with GBW	12/2/2021	0.4	310.00	124.00	Legal - Case Administration

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
John Hall	Insurance compliance audit completion	12/2/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review and discuss analysis with JPM	12/2/2021	0.5	310.00	155.00	Legal - Case Administration
Josh McGraw	Email to JBH with findings	12/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding conversation with TMC	12/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with investor	12/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW regarding utility provider payment	12/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding requested information	12/2/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with TMC to discuss possible leased items	12/2/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to investors	12/2/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with investor	12/2/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with JBH regarding utility provider, utility provider and bank accounts	12/2/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with GBW & RLD to discuss court documents and hearing	12/2/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Research accounts for utility provider and emails with account manager	12/2/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review and discuss TMC analysis with JBH	12/2/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with RLD to discuss auction, inventory, website and additional tasks	12/2/2021	0.6	255.00	153.00	Legal - Case Administration
Milana Barkhanoy	Registering account at HI to allow maintenance	12/2/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with RLD regarding insurance audit task	12/2/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Call with GBW and JPM regarding update from hearing	12/2/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Call with MBB regarding insurance task	12/2/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Call with JPM to discuss auction, edit website, inventory, and additional tasks	12/2/2021	0.6	255.00	153.00	Legal - Case Administration
Ysabel Willits	Meeting with JPM regarding filing tax documents	12/3/2021	0.3	150.00	45.00	Financial - Accounting/Auditing
Ysabel Willits	Filing dates for W-9's and 1099's	12/3/2021	1.0	150.00	150.00	Financial - Accounting/Auditing
Josh McGraw	Search relativity for documentation from information provided by investor	12/3/2021	0.5	255.00	127.50	Financial - Data Analysis
John Hall	Review and process titles for auction	12/3/2021	0.5	310.00	155.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Searching for evidence of an asset sale for potential recovery	12/3/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
	Process AP (.2), prepare lost title application, notarize (.5), read TS petition from counsel and discuss with JBH (.4), email with MH re UTV details (.2), emails with counsel re BR, Ford truck (.1), email from counsel re hearing (.1), review details re PC CC and discuss with JBH (.2), work with LC re titles, filings (.2), emails with MG re meeting with BR, document needs (.2)	12/3/2021	2.1	340.00	714.00	Legal - Case Administration
Geoff Winkler	Communication with JPM regarding bank account	12/3/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Read TS petition from counsel and discuss with GBW	12/3/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review BA monthly statements, analysis of transactions due to BK, reach out to bank for additional information, memo to GBW re: same	12/3/2021	1.1	310.00	341.00	Legal - Case Administration
John Hall	Pull all Aug-Dec records from BOA and arrange for digitizing	12/3/2021	1.4	310.00	434.00	Legal - Case Administration
Josh McGraw	Email to investor counsel regarding registration for communication	12/3/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with JBH regarding bank account	12/3/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor requesting updates	12/3/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to YKW regarding investor information provided and requesting additional due diligence	12/3/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with MBB to discuss tasks to complete for forensic accounting	12/3/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review email from investor regarding case information	12/3/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review counsel email regarding vehicular titles for auction	12/3/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with YKW regarding 1099s and w9s	12/3/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review counsel documentation regarding lawsuit	12/3/2021	1.0	255.00	255.00	Legal - Case Administration
Milana Barkhanoy	Call with JPM regarding PayPal activity project	12/3/2021	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Organizing and digitally combining bank statements for accounting filing	12/3/2021	3.5	150.00	525.00	Legal - Case Administration
Josh McGraw	Database search for investors under specific agent	12/6/2021	1.3	255.00	331.50	Financial - Data Analysis

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Create investor summary for counsel	12/6/2021	0.3	255.00	76.50	Financial - Forensic Accounting
Josh McGraw	Create summary of lien analysis and structure documentation	12/6/2021	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with investor transactions	12/6/2021	2.1	255.00	535.50	Financial - Forensic Accounting
Geoff Winkler	Review auction labor timesheets and email RLD (.1)	12/6/2021	0.1	340.00	34.00	Legal - Case Administration
John Hall	Team meeting with YKW, MBB, RLD, JPM to discuss ongoing tasks	12/6/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review BS answer and response and discuss with GBW	12/6/2021	0.6	310.00	186.00	Legal - Case Administration
Josh McGraw	Email communication to Investor	12/6/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email with RLD regarding necessary banking communication	12/6/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication to RLD regarding agent letters written	12/6/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	12/6/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review documentation identified about agent	12/6/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Testing database to confirm link of forms into contact information spreadsheet	12/6/2021	0.5	255.00	127.50	Legal - Case Administration
Milana Barkhanoy	Team meeting with GBW, RLD, JPM, YKW and JBH on tasks and next steps	12/6/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Confirmation of hours for temp labor	12/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with GBW regarding hours approval for temp labor	12/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with business insurance contact regarding cancellation and timing	12/6/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with team and preparation for meeting with counsel	12/6/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Meeting with team on tasks and next steps	12/6/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Review of information sent by counsel and communication with team regarding tasks	12/6/2021	0.6	255.00	153.00	Legal - Case Administration
Renee Diefenderfer	Account set up, verification of hours, approval of hours for temps	12/6/2021	0.7	255.00	178.50	Legal - Case Administration
Ysabel Willits	Meeting with JPM, RLD, MBB, and JBH regarding tasks and projects	12/6/2021	0.4	150.00	60.00	Legal - Case Administration
John Hall	Review CB production	12/7/2021	1.1	310.00	341.00	Financial - Accounting/Auditing
Josh McGraw	Extracting investor workbooks off database	12/7/2021	0.8	255.00	204.00	Financial - Data Analysis
Josh McGraw	Updating accounting file with investor transactions	12/7/2021	4.3	255.00	1,096.50	Financial - Forensic Accounting
Renee Diefenderfer	Communication with YKW regarding task on assets	12/7/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Consultation on communication through website regarding auction	12/7/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Coordination with auctioneer on progress and marketing	12/7/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Email communication pushed through website regarding auction	12/7/2021	0.7	255.00	178.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Follow up correspondence with insurance adjuster for insurance claim	12/7/2021	0.1	150.00	15.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Emails with RLD re insurance needs (.1), review filing questions and respond (.1)	12/7/2021	0.1	340.00	34.00	Legal - Case Administration
John Hall	Review cancellation docs and file	12/7/2021	0.3	310.00	93.00	Legal - Case Administration
Josh McGraw	Email to investor regarding case update	12/7/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Follow up with MBB on task related to bank and funds	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Email communication with GBW and JBH regarding insurance	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Processing of insurance related task and communication to vendor	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination regarding details on subpoenas we need	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Follow up on court orders prior to following up with investor	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with counsel regarding signed documents for website	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Approval of timesheet for temp labor	12/7/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with investors	12/7/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Analysis of information sent by counsel, determination of next steps	12/7/2021	0.3	255.00	76.50	Legal - Case Administration
Ysabel Willits	Research and correspondence for information on HOA payments on assets	12/7/2021	1.1	150.00	165.00	Legal - Case Administration
Ysabel Willits	Consolidating bank account data into one spreadsheet	12/7/2021	1.8	150.00	270.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/7/2021	3.5	150.00	525.00	Legal - Case Administration
John Hall	Review 5730 account	12/8/2021	0.6	310.00	186.00	Financial - Accounting/Auditing
John Hall	Review of inventoried accounts with further instructions to YKW and JPM	12/8/2021	2.5	310.00	775.00	Financial - Accounting/Auditing
Josh McGraw	Relativity search for account information for vendor	12/8/2021	0.1	255.00	25.50	Financial - Data Analysis

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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Relativity search for emails for subpoena to provide to counsel and create a summary	12/8/2021	1.2	255.00	306.00	Financial - Data Analysis
Josh McGraw	Call with JBH regarding forensic accounting status and additional accounts needing to be integrated	12/8/2021	0.4	255.00	102.00	Financial - Forensic Accounting
Josh McGraw	Verify agent commissions and create summary	12/8/2021	2.5	255.00	637.50	Financial - Forensic Accounting
Milana Barkhanoy	Call with JPM on HI audit	12/8/2021	0.9	255.00	229.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Phone call from former employee inquiring about the auction	12/8/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Call with YKW regarding task on assets	12/8/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Follow up correspondence with insurance adjuster for insurance claim	12/8/2021	0.8	150.00	120.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Prepare for and begin auction, meeting with interested parties (10.1)	12/8/2021	10.1	340.00	3,434.00	Legal - Asset Disposition
John Hall	Call with JPM regarding agent commissions and classifications	12/8/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Communication with JPM regarding vendor information and commissions missing within accounting records	12/8/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review NV Energy invoices, tee up for GW to process and RLD to update contact information	12/8/2021	0.3	310.00	93.00	Legal - Case Administration
John Hall	Discussing forensic accounting process and updates with JPM, research and analysis subjects	12/8/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review PP response and discuss with JPM	12/8/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Research and call utility provider to arrange custom pickup schedule	12/8/2021	0.8	310.00	248.00	Legal - Case Administration
Josh McGraw	Email to JBH regarding counsel requested items	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW regarding bank account information and missing information	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding agent commission summary	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Send email to GBW regarding findings in account for agent commissions	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW and JBH regarding investor provided information	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to GBW and YKW regarding bank accounts that have been incorporated into accounting file	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with third party technology company about auction and email follow-up	12/8/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Message with RLD about updates needed to be completed on auction website before going live	12/8/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with JBH regarding agent commissions and classifications	12/8/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Communication with JBH regarding vendor information and commissions missing within accounting records	12/8/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Review information provided by investor	12/8/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with secondhand buyer about the auction	12/8/2021	0.5	255.00	127.50	Legal - Case Administration
Josh McGraw	Call with MBB regarding insurance audit	12/8/2021	0.9	255.00	229.50	Legal - Case Administration
Renee Diefenderfer	Text communication with former employee	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with GBW regarding temp agency and next steps	12/8/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Marketing for auction and communication with JPM	12/8/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Email communication with temp agency	12/8/2021	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Quick check in with JPM regarding bank data	12/8/2021	0.1	150.00	15.00	Legal - Case Administration
Ysabel Willits	Quick check in with RLD regarding HOA payments on assets	12/8/2021	0.3	150.00	45.00	Legal - Case Administration
Ysabel Willits	Research and email correspondence for information on HOA payments on assets	12/8/2021	0.5	150.00	75.00	Legal - Case Administration
Ysabel Willits	Consolidating bank account data and adding date ranges of bank statements	12/8/2021	2.4	150.00	360.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/8/2021	3.8	150.00	570.00	Legal - Case Administration
John Hall	Scanning statements and converting to digital	12/9/2021	1.5	310.00	465.00	Financial - Accounting/Auditing
Josh McGraw	Identified potential accounts and reviewed documentation in relativity	12/9/2021	0.5	255.00	127.50	Financial - Data Analysis
Josh McGraw	Relativity search for vendor information to provide for subpoena	12/9/2021	1.0	255.00	255.00	Financial - Data Analysis
Josh McGraw	Relativity search for information needed for subpoena	12/9/2021	1.0	255.00	255.00	Financial - Data Analysis
Josh McGraw	Review PP account to confirm transactions with financials	12/9/2021	0.5	255.00	127.50	Financial - Forensic Accounting

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Josh McGraw	Prep credit card statements for ScanWriter and begin conversion	12/9/2021	0.8	255.00	204.00	Financial - Forensic Accounting
Renee Diefenderfer	Call with JBH and GBW regarding onsite for auction	12/9/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Follow up text to former employee answering questions about the auction	12/9/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication with auctioneer regarding updates	12/9/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Follow up text communication regarding auction	12/9/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Asset research for value, communication and coordination with GBW and former employees	12/9/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Follow up correspondence with insurance adjuster for insurance claim	12/9/2021	0.2	150.00	30.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Prepare for and begin auction, meeting with interested parties (8.2)	12/9/2021	8.2	340.00	2,788.00	Legal - Case Administration
John Hall	Discuss 1099 issues with YKW	12/9/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Call to utility provider to obtain additional emergency service	12/9/2021	0.4	310.00	124.00	Legal - Case Administration
John Hall	Review PP transactions and cross reference against needed data list	12/9/2021	2.5	310.00	775.00	Legal - Case Administration
Josh McGraw	Email to utility provider for auction information	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding subpoena information	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel to provide subpoena requested documents	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor regarding information about the case	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RLD regarding bank account freeze	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Emails to JBH regarding vendor transaction findings and status of subpoena	12/9/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Emails to investors answering questions about the case or asking for updates	12/9/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with utility provider to close out the account, pick up equipment and answer questions about the auction	12/9/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call with RLD to discuss update on subpoenas, invest communication and counsel requests	12/9/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Call with BA	12/9/2021	1.5	255.00	382.50	Legal - Case Administration
Renee Diefenderfer	Touchbase with JBH and JPM regarding banking task	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Voicemail review and follow up regarding auction	12/9/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Meeting with JPM about information needed for subpoenas, investor communication, and needs for counsel	12/9/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Review docs sent by counsel, update website with court documents and follow up with team on next steps	12/9/2021	0.7	255.00	178.50	Legal - Case Administration
Ysabel Willits	Quick check in with JBH regarding correspondence with firms for 1099's for tax preparation	12/9/2021	0.1	150.00	15.00	Legal - Case Administration
Ysabel Willits	Sending consolidated bank account data to the team	12/9/2021	0.2	150.00	30.00	Legal - Case Administration
Ysabel Willits	Consolidating bank account data and adding ScanWriter information	12/9/2021	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/9/2021	5.7	150.00	855.00	Legal - Case Administration
Josh McGraw	Pulling down additional investor workbooks from website database	12/10/2021	0.5	255.00	127.50	Financial - Data Analysis
Josh McGraw	Updating accounting file with investor transactions	12/10/2021	4.0	255.00	1,020.00	Financial - Forensic Accounting
Renee Diefenderfer	Call with former employee regarding asset	12/10/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Meeting with JPM to discuss auction process and communication to outside parties	12/10/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Research on value of assets	12/10/2021	0.5	255.00	127.50	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with team to discuss (.2), email to counsel re meeting (.1), discussion with auctioneer re status (.2)	12/10/2021	0.5	340.00	170.00	Legal - Case Administration
John Hall	Case discussion with full team	12/10/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Compilation of all digital credit card accounts and standardization of COA entries for all, tie out back end for reporting and reconcile all manual one offs	12/10/2021	7.8	310.00	2,418.00	Legal - Case Administration
Josh McGraw	Email to second hand buyer regarding auction and items that are available	12/10/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Message to MBB regarding bank/credit card statements will need to be reorganized with ScanWriter	12/10/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	12/10/2021	0.2	255.00	51.00	Legal - Case Administration



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Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
Josh McGraw	Call with RLD to discuss auction process and communication to outside parties	12/10/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Meeting with team on tasks and next steps	12/10/2021	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Meeting with GBW, MBB, JPM, RLD, and JBH regarding ongoing and upcoming tasks	12/10/2021	0.2	150.00	30.00	Legal - Case Administration
Milana Barkhanoy	Reconciling 1099s between Relativity and existing files, uncovering additional 1099s	12/12/2021	4.2	255.00	1,071.00	Financial - Forensic Accounting
Josh McGraw	Extracting investor workbooks off database	12/13/2021	0.6	255.00	153.00	Financial - Data Analysis
Josh McGraw	Investor summary for agent requested by counsel	12/13/2021	0.7	255.00	178.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file for investor transactions and workbook tracker	12/13/2021	3.8	255.00	969.00	Financial - Forensic Accounting
Milana Barkhanoy	Communication with JPM on bank statements	12/13/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Renee Diefenderfer	Coordination to resell devices back to former employee	12/13/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Coordination with former PC employee for payment for devices	12/13/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication of auction details	12/13/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Marketing for auction	12/13/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Review of auction details in preparation for preview	12/13/2021	1.0	255.00	255.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Walk through and meeting with auctioneer company and team	12/13/2021	2.0	255.00	510.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Prepare for auction preview day, meeting with agent for landlord, meeting with other interested parties (8.6)	12/13/2021	8.6	340.00	2,924.00	Legal - Asset Disposition
John Hall	Work onsite at NV warehouse in preparation for auction; vendor management	12/13/2021	8.6	310.00	2,666.00	Legal - Case Administration
Josh McGraw	Communication with RLD regarding court documents being filed and investor updates	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to RLD regarding timelines for communication from bank for follow-up on confirmation that credit cards are frozen	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email investor summary for agent to counsel	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with Investor requesting an update	12/13/2021	0.3	255.00	76.50	Legal - Case Administration
Renee Diefenderfer	Coordination with JPM on needed information for investor follow up	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Approval of timesheet for temp labor	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with YKW on task related to bank information	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication coordination with counsel on needs and tasks	12/13/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Coordination with PS and GBW on paying invoices for temp labor	12/13/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Research on companies from folders found onsite	12/13/2021	0.3	255.00	76.50	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/13/2021	0.5	150.00	75.00	Legal - Case Administration
Josh McGraw	ScanWriter conversion of credit card statements	12/14/2021	6.1	255.00	1,555.50	Financial - Forensic Accounting
Renee Diefenderfer	Text messages with former employee regarding which lot number was for one of the auction items	12/14/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Work at the auction during preview day	12/14/2021	9.0	255.00	2,295.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Filling out insurance claim documentation	12/14/2021	0.2	150.00	30.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Organizing information needed for insurance claim	12/14/2021	0.3	150.00	45.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Attend and manage auction preview day, answer questions, prepare for auction closing the next day (9.0)	12/14/2021	9.0	340.00	3,060.00	Legal - Asset Disposition
John Hall	Memo to team on auction results in progress	12/14/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Work onsite in field at preview auction day	12/14/2021	9.0	310.00	2,790.00	Legal - Case Administration
Josh McGraw	Email to potential buyer at auction to assist with auctioneers website	12/14/2021	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Updating consolidated bank statement data	12/14/2021	0.4	150.00	60.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/14/2021	6.5	150.00	975.00	Legal - Case Administration
Josh McGraw	Relativity search for vehicular information to gain access to TMC account	12/15/2021	0.7	255.00	178.50	Financial - Data Analysis
Josh McGraw	Relativity search for crypto information to verify transactions	12/15/2021	1.5	255.00	382.50	Financial - Data Analysis
Josh McGraw	ScanWriter conversion of credit card statements	12/15/2021	1.5	255.00	382.50	Financial - Forensic Accounting
Renee Diefenderfer	Visit to Tesla regarding information on asset	12/15/2021	0.7	255.00	178.50	Legal - Asset Analysis and Recovery

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<b>Personnel</b>	<b>Item / Description</b>	<b>Date</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	<b>Activity Category</b>
Renee Diefenderfer	Auction operations, labor planning, bidding results, visit to tesla for information	12/15/2021	9.7	255.00	2,473.50	Legal - Asset Analysis and Recovery
	Review auction as each lot closed, answer questions, prepare for auction move out (9.7)	12/15/2021	9.7	340.00	3,298.00	Legal - Asset Disposition
Geoff Winkler						
John Hall	Review PP response and discuss with JPM	12/15/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Review and discuss PP analysis by JPM	12/15/2021	1.2	310.00	372.00	Legal - Case Administration
John Hall	Vendor service to take control over administration of vehicle	12/15/2021	1.2	310.00	372.00	Legal - Case Administration
John Hall	Work onsite at NV warehouse for auction; site visit to Tesla dealership where vehicle leased to assert interest in return; work with disposal and electricity vendors	12/15/2021	9.7	310.00	3,007.00	Legal - Case Administration
Josh McGraw	Call to investor regarding hearing determination	12/15/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor follow-up about the hearing determination	12/15/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH regarding PP subpoena	12/15/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review mail and reach out to vendor regarding outstanding balance	12/15/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Review PP subpoena response	12/15/2021	1.8	255.00	459.00	Legal - Case Administration
Renee Diefenderfer	Review of court order, update to website, and communication with team	12/15/2021	0.4	255.00	102.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/15/2021	4.2	150.00	630.00	Legal - Case Administration
	Conversation with third party IT regarding information identified and best ways to extract	12/16/2021	0.2	255.00	51.00	Financial - Data Analysis
Josh McGraw	Pulling down additional investor workbooks from website database	12/16/2021	0.2	255.00	51.00	Financial - Data Analysis
Josh McGraw	Access financial account and review transactions	12/16/2021	0.8	255.00	204.00	Financial - Data Analysis
Josh McGraw	Review information identified in relativity	12/16/2021	1.0	255.00	255.00	Financial - Data Analysis
	Conversation with JPM regarding extraction of credit card statements and transactions to be identified	12/16/2021	0.6	310.00	186.00	Financial - Forensic Accounting
John Hall						
Josh McGraw	Update accounting file with investor transaction	12/16/2021	0.5	255.00	127.50	Financial - Forensic Accounting
	Conversation with JBH regarding extraction of credit card statements and forensic accounting transactions that need to be identified	12/16/2021	0.6	255.00	153.00	Financial - Forensic Accounting
Josh McGraw	Update accounting file with investor transaction	12/16/2021	1.3	255.00	331.50	Financial - Forensic Accounting
	Coordination with GBW and former employee on asset at auction and transfer of tags and registration. Calls and texts	12/16/2021	0.3	255.00	76.50	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Assist with auction move out, answer questions, work on title issues, consult on any questions (8.8)	12/16/2021	8.8	340.00	2,992.00	Legal - Asset Disposition
Geoff Winkler						
Josh McGraw	Email to investor regarding the auction of the personal property	12/16/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to IT consultant regarding opening encrypted files	12/16/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with RLD to discuss auction, crypto wallets and PP	12/16/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Obtain physical bills and review documents	12/16/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with JPM regarding update on summary/subpoena task and next steps	12/16/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Searched Relativity for information about transactions with no support	12/17/2021	0.3	255.00	76.50	Financial - Data Analysis
Josh McGraw	Updating claimant information database and testing functionality	12/17/2021	1.2	255.00	306.00	Financial - Data Analysis
Josh McGraw	Update accounting file with investor transaction	12/17/2021	4.5	255.00	1,147.50	Financial - Forensic Accounting
	Assist with auction move out, answer questions, work on title issues, consult on any questions (8.2)	12/17/2021	8.2	340.00	2,788.00	Legal - Asset Disposition
Geoff Winkler						
Josh McGraw	Call to investor regarding registration issues	12/17/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with vendor regarding cancellation of services	12/17/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to investor regarding update on distribution status	12/17/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Update accounting file with investor transaction	12/18/2021	1.1	255.00	280.50	Financial - Forensic Accounting
John Hall	Conversation with JPM regarding credit card and bank statement extraction	12/20/2021	0.1	310.00	31.00	Financial - Accounting/Auditing
Josh McGraw	Conversation with JBH regarding credit card and bank statement extraction	12/20/2021	0.1	255.00	25.50	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with investor transactions	12/20/2021	1.0	255.00	255.00	Financial - Forensic Accounting
Josh McGraw	Updating accounting file with investor transactions	12/20/2021	1.9	255.00	484.50	Financial - Forensic Accounting
Renee Diefenderfer	Call with GBW regarding asset title and next steps, communication with counsel	12/20/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery

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Renee Diefenderfer	Communication with former employee about steps to take regarding title for asset	12/20/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication with former employee regarding device sales	12/20/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/20/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with team to discuss (.1), emails with KA re turnover of warehouse (.1), emails with MG re title documents for BR signature, email to JMR (.2), process AP (.2), emails with RLD re JM documents (.2)	12/20/2021	0.8	340.00	272.00	Legal - Case Administration
John Hall	Case discussion of ongoing tasks with team	12/20/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Discuss lease with vendor, forward additional administrative needs to JPM and RLD	12/20/2021	0.5	310.00	155.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	12/20/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW to discuss bank accounts that still need to be converted	12/20/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Team Meeting with GBW, RLD, JPM, YKW and JBH on tasks and next steps	12/20/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Meeting with team on tasks and next steps	12/20/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Timesheet approval for temp labor for auction	12/20/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Preparation for call with counsel, email items to discuss and resolve	12/20/2021	0.1	255.00	25.50	Legal - Case Administration
Ysabel Willits	Meeting with GBW, RLD, MBB, JPM, and JBH regarding tasks to complete before the end of the year	12/20/2021	0.1	150.00	15.00	Legal - Case Administration
Ysabel Willits	Quick check in with JPM regarding updated bank account sheet	12/20/2021	0.2	150.00	30.00	Legal - Case Administration
John Hall	Credit card analysis and categorization	12/21/2021	5.5	310.00	1,705.00	Financial - Forensic Accounting
Josh McGraw	Preparing bank statements for ScanWriter	12/21/2021	1.5	255.00	382.50	Financial - Forensic Accounting
Josh McGraw	ScanWriter conversion of credit card statements	12/21/2021	6.0	255.00	1,530.00	Financial - Forensic Accounting
Renee Diefenderfer	Communication with YKW regarding vehicle lease and obtaining more information	12/21/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/21/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
Geoff Winkler	Call with counsel, GBW, and JBH regarding case and next steps (1.1), email with KA re warehouse (.1), make arrangements for warehouse turnover (.6), email with JN re meeting (.1)	12/21/2021	1.9	340.00	646.00	Legal - Case Administration
John Hall	Conversation with JPM regarding subpoena information requested by counsel	12/21/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Vendor call, discuss lease	12/21/2021	0.2	310.00	62.00	Legal - Case Administration
John Hall	Call with counsel	12/21/2021	1.1	310.00	341.00	Legal - Case Administration
Josh McGraw	Conversation with JBH regarding subpoena information requested by counsel	12/21/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to counsel regarding missing credit cards statements provided during subpoena	12/21/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with Personable to assist with fixing issues with ScanWriter	12/21/2021	0.5	255.00	127.50	Legal - Case Administration
Renee Diefenderfer	Communication regarding invoice for temps at auction	12/21/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with counsel, GBW, and JBH regarding case and next steps	12/21/2021	1.1	255.00	280.50	Legal - Case Administration
Ysabel Willits	Quick check in with JPM regarding vehicle asset recovery	12/22/2021	0.1	150.00	15.00	Legal - Asset Analysis and Recovery
John Hall	Discuss vendor issues with JPM	12/22/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Review and analyze auction results, discuss with JPM	12/22/2021	1.5	310.00	465.00	Legal - Case Administration
Josh McGraw	Call with JBH regarding auction and pick up of equipment	12/22/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Communication with GBW regarding canceling the last of utility provider services	12/22/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with YKW to discuss TMC follow-up and obtaining account number	12/22/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Calls with utility provider for pick up of equipment	12/22/2021	0.3	255.00	76.50	Legal - Case Administration
Josh McGraw	Call to account manager at utility provider to cancel services	12/22/2021	0.4	255.00	102.00	Legal - Case Administration
Renee Diefenderfer	Review and analysis of files and communication to team on next steps	12/22/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Processing of funds from device sales to GBW	12/22/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Preparing bank statements for ScanWriter	12/23/2021	0.5	255.00	127.50	Financial - Forensic Accounting
John Hall	Pull and review subject reports	12/23/2021	2.4	310.00	744.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Communication with auctioneer related to follow up from auction	12/23/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/23/2021	0.8	150.00	120.00	Legal - Asset Analysis and Recovery



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John Hall	Conversation with JPM regarding PC vendors and claim process	12/23/2021	0.1	310.00	31.00	Legal - Case Administration
Josh McGraw	Conversation with JBH regarding PC vendors and claim process	12/23/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with TMC to obtain lease information	12/23/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review background documents	12/23/2021	0.7	255.00	178.50	Legal - Case Administration
	Communication with temp agency regarding schedule for auction, feedback, and invoices	12/23/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Analysis of information sent by JBH and communication with team on findings	12/23/2021	0.4	255.00	102.00	Legal - Case Administration
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/24/2021	-	150.00	-	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/24/2021	-	150.00	-	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/26/2021	-	150.00	-	Legal - Asset Analysis and Recovery
John Hall	Review vendor issue with YKW	12/27/2021	0.2	310.00	62.00	Legal - Case Administration
Ysabel Willits	Filling out insurance claim documentation	12/27/2021	1.0	150.00	150.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/27/2021	1.4	150.00	210.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Review vendor issue with JBH	12/27/2021	1.4	150.00	210.00	Legal - Asset Analysis and Recovery
Milana Barkhanoy	Review background reports	12/27/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Preparing summary on HI audit and communicating update to RLD and JBH	12/27/2021	0.2	255.00	51.00	Legal - Case Administration
Milana Barkhanoy	Call with HI on insurance audit and to close account	12/27/2021	1.1	255.00	280.50	Legal - Case Administration
Ysabel Willits	Review background reports	12/27/2021	0.3	150.00	45.00	Legal - Case Administration
Milana Barkhanoy	Call with SF representative on technical issues with Relativity	12/28/2021	-	255.00	-	Financial - Data Analysis
Milana Barkhanoy	Searching Relativity for 1099 documents	12/28/2021	1.2	255.00	306.00	Financial - Data Analysis
Josh McGraw	Call with YKW regarding employee dishonesty claim	12/28/2021	0.9	255.00	229.50	Financial - Forensic Accounting
Renee Diefenderfer	Call with YKW to strategize about asset and recovery of information needed	12/28/2021	0.2	255.00	51.00	Legal - Asset Analysis and Recovery
Renee Diefenderfer	Serving of order with letter to company regarding asset information	12/28/2021	0.4	255.00	102.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Meeting with RLD to strategize about asset information recovery	12/28/2021	0.2	150.00	30.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Correspondence with vehicle seller regarding asset information	12/28/2021	0.3	150.00	45.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Writing letter for legal processing order to recover asset information	12/28/2021	0.7	150.00	105.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Meeting with JPM regarding accounting transactions for insurance claim	12/28/2021	0.9	150.00	135.00	Legal - Asset Analysis and Recovery
	Team call to discuss case status (.1), review email from MR, discuss with counsel (.1), work on warehouse staffing (.1), review email from SK, research, respond (.2), prepare for final warehouse turnover (.6)	12/28/2021	1.1	340.00	374.00	Legal - Case Administration
Geoff Winkler	Weekly team meeting to discuss coordination of ongoing tasks	12/28/2021	0.1	310.00	31.00	Legal - Case Administration
John Hall	Review and discuss needed updates with YKW on demand letter for vendor	12/28/2021	0.4	310.00	124.00	Legal - Case Administration
Josh McGraw	Meeting with GBW, JBH, RLD, YKW to review tasks and next steps	12/28/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Emails to investors answering questions about the case or asking for updates	12/28/2021	0.3	255.00	76.50	Legal - Case Administration
Milana Barkhanoy	Team Meeting with GBW, RLD, JPM, YKW and JBH on tasks and next steps	12/28/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Call with SF representative on technical issues with Relativity	12/28/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Meeting with team on tasks and next steps	12/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Call with temp agency regarding staffing assignments	12/28/2021	0.1	255.00	25.50	Legal - Case Administration
	Call with temp agency and communication with auction team regarding staffing for last day	12/28/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with Auctioneer and temp agency regarding staffing and timesheets	12/28/2021	0.2	255.00	51.00	Legal - Case Administration
	Meeting with GBW, RLD, MBB, JPM, and JBH regarding tasks to complete before the end of the year	12/28/2021	0.1	150.00	15.00	Legal - Case Administration
Ysabel Willits	Searching Relativity for 1099 documents	12/29/2021	2.9	255.00	739.50	Financial - Data Analysis
Milana Barkhanoy	Correspondence with insurance adjuster for insurance claim	12/29/2021	0.5	150.00	75.00	Legal - Asset Analysis and Recovery
Ysabel Willits	Trip onsite to wrap up the auction, prepare the warehouse to turnover to the landlord, and review real property (5.9), work on case and discussion with JBH (2.8), process AP (.2), call with RLD for temporary staff (.1)	12/29/2021	8.8	340.00	2,992.00	Legal - Case Administration

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John Hall	Discuss case and work on outstanding items with GBW	12/29/2021	2.8	310.00	868.00	Legal - Case Administration
John Hall	Onsite work in LVNV, wind down warehouse operations; vendor wind down operations; work to distribute final lots from auction, clean warehouse for turnover	12/29/2021	5.9	310.00	1,829.00	Legal - Case Administration
Josh McGraw	Message to RLD regarding returning voicemail from insurance	12/29/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Email to JBH and GBW regarding locations of the searchlight land	12/29/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Call with creditor about outstanding balance	12/29/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Call with RLD regarding updates on tasks related to assets and background information	12/29/2021	0.2	255.00	51.00	Legal - Case Administration
Josh McGraw	Mapping searchlight properties to identify location of the land	12/29/2021	0.4	255.00	102.00	Legal - Case Administration
Josh McGraw	Review background checks	12/29/2021	-	255.00	-	Legal - Case Administration
Renee Diefenderfer	Call with GBW regarding staff arrangements from temp agency and next steps	12/29/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Communication with labor agency (VM, emails) and GBW regarding staffing and next steps	12/29/2021	0.2	255.00	51.00	Legal - Case Administration
Renee Diefenderfer	Call with JPM regarding updates on tasks related to assets and background information	12/29/2021	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Reading reports for information	12/29/2021	-	150.00	-	Legal - Case Administration
Geoff Winkler	Trip onsite to wrap up the auction, prepare the warehouse to turnover to the landlord, and review real property (11.0)	12/30/2021	11.0	340.00	3,740.00	Legal - Case Administration
John Hall	Onsite work in LVNV, and Searchlight NV. Drive to Searchlight to document and assess property; clean out warehouse and supervise final lots being removed; clean warehouse and move final items to CC property	12/30/2021	11.0	310.00	3,410.00	Legal - Case Administration
Josh McGraw	Communication with RLD on forensic accounting tasks	12/30/2021	0.1	255.00	25.50	Legal - Case Administration
Josh McGraw	Review background checks	12/30/2021	1.1	255.00	280.50	Legal - Case Administration
Milana Barkhanoy	Reading communication from RLD on CB production statements for credit cards	12/30/2021	0.1	255.00	25.50	Legal - Case Administration
Milana Barkhanoy	Searching Relativity for 1099 documents	12/30/2021	0.7	255.00	178.50	Legal - Case Administration
Renee Diefenderfer	Review of production from bank sent by counsel, determination of next steps	12/30/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Updates and preparation for team meeting regarding tasks and next steps to move forward	12/30/2021	0.1	255.00	25.50	Legal - Case Administration
Renee Diefenderfer	Management of invoices that need paid for temp labor at auction	12/30/2021	0.2	255.00	51.00	Legal - Case Administration
Ysabel Willits	Organizing bank statements for accounting filing	12/30/2021	0.2	150.00	30.00	Legal - Case Administration
Renee Diefenderfer	Communication with former employee regarding duplicate title documents for asset	12/31/2021	0.1	255.00	25.50	Legal - Asset Analysis and Recovery
<b>Totals</b>				<b>1,033.9</b>	<b>\$ 284,348.00</b>	

SEC v. Profit Connect Wealth Services, Inc. et al.  
 Summary of Fees of Receiver and Retained Personnel  
 October 1, 2021 - December 31, 2021  
 (Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
<b>Summary by Activity Category and Personnel</b>						
<b>Financial - Accounting/Auditing</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Accounting/Auditing	Geoff Winkler	AFS	2.9	340.00	\$ 986.00	
Financial - Accounting/Auditing	John Hall	AFS	26.0	310.00	\$ 8,060.00	
Financial - Accounting/Auditing	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Accounting/Auditing	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Accounting/Auditing	Josh McGraw	AFS	1.9	255.00	\$ 484.50	
Financial - Accounting/Auditing	Ysabel Willits	AFS	6.8	150.00	\$ 1,020.00	
			<u>37.6</u>		<u>\$ 10,550.50</u>	
<b>Financial - Business Analysis</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Business Analysis	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Business Analysis	John Hall	AFS	0.0	310.00	\$ -	
Financial - Business Analysis	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Business Analysis	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Business Analysis	Josh McGraw	AFS	0.0	255.00	\$ -	
Financial - Business Analysis	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>0.0</u>		<u>\$ -</u>	
<b>Financial - Data Analysis</b>	<b>Personnel</b>					
Financial - Data Analysis	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Data Analysis	John Hall	AFS	0.0	310.00	\$ -	
Financial - Data Analysis	Milana Barkhanoy	AFS	4.5	255.00	\$ 1,147.50	
Financial - Data Analysis	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Data Analysis	Josh McGraw	AFS	34.4	255.00	\$ 8,772.00	
Financial - Data Analysis	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>38.9</u>		<u>\$ 9,919.50</u>	
<b>Financial - Forensic Accounting</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Forensic Accounting	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Forensic Accounting	John Hall	AFS	20.2	310.00	\$ 6,262.00	
Financial - Forensic Accounting	Milana Barkhanoy	AFS	36.8	255.00	\$ 9,384.00	
Financial - Forensic Accounting	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Forensic Accounting	Josh McGraw	AFS	153.4	255.00	\$ 39,117.00	
Financial - Forensic Accounting	Ysabel Willits	AFS	13.4	150.00	\$ 2,010.00	
			<u>223.8</u>		<u>\$ 56,773.00</u>	
<b>Financial - Status Reports</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Status Reports	Geoff Winkler	AFS	16.7	340.00	\$ 5,678.00	
Financial - Status Reports	John Hall	AFS	0.0	310.00	\$ -	
Financial - Status Reports	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Status Reports	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Status Reports	Josh McGraw	AFS	3.9	255.00	\$ 994.50	
Financial - Status Reports	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>20.6</u>		<u>\$ 6,672.50</u>	

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Fees of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**  
**(Sorted in Chronological Order by Activity Category)**

Attachment 1

<b>Personnel</b>	<b>Item / Description</b>	<b>Date</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	<b>Activity Category</b>
<b>Financial - Tax Issues</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Tax Issues	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Tax Issues	John Hall	AFS	3.1	310.00	\$ 961.00	
Financial - Tax Issues	Milana Barkhanoy	AFS	1.0	255.00	\$ 255.00	
Financial - Tax Issues	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Tax Issues	Josh McGraw	AFS	0.0	255.00	\$ -	
Financial - Tax Issues	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>4.1</u>		<u>\$ 1,216.00</u>	
<b>Financial - Valuation</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Financial - Valuation	Geoff Winkler	AFS	0.0	340.00	\$ -	
Financial - Valuation	John Hall	AFS	0.0	310.00	\$ -	
Financial - Valuation	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Financial - Valuation	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Financial - Valuation	Josh McGraw	AFS	0.8	255.00	\$ 204.00	
Financial - Valuation	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>0.8</u>		<u>\$ 204.00</u>	
<b>Legal - Asset Analysis and Recovery</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Asset Analysis and Recovery	Geoff Winkler	AFS	1.9	340.00	\$ 646.00	
Legal - Asset Analysis and Recovery	John Hall	AFS	7.0	310.00	\$ 2,170.00	
Legal - Asset Analysis and Recovery	Milana Barkhanoy	AFS	1.4	255.00	\$ 357.00	
Legal - Asset Analysis and Recovery	Renee Diefenderfer	AFS	36.6	255.00	\$ 9,333.00	
Legal - Asset Analysis and Recovery	Josh McGraw	AFS	0.4	255.00	\$ 102.00	
Legal - Asset Analysis and Recovery	Ysabel Willits	AFS	20.1	150.00	\$ 3,015.00	
			<u>67.4</u>		<u>\$ 15,623.00</u>	
<b>Legal - Asset Disposition</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Asset Disposition	Geoff Winkler	AFS	116.0	340.00	\$ 39,440.00	
Legal - Asset Disposition	John Hall	AFS	0.0	310.00	\$ -	
Legal - Asset Disposition	Milana Barkhanoy	AFS	0.0	255.00	\$ -	
Legal - Asset Disposition	Renee Diefenderfer	AFS	0.0	255.00	\$ -	
Legal - Asset Disposition	Josh McGraw	AFS	0.0	255.00	\$ -	
Legal - Asset Disposition	Ysabel Willits	AFS	0.0	150.00	\$ -	
			<u>116.0</u>		<u>\$ 39,440.00</u>	
<b>Legal - Case Administration</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
Legal - Case Administration	Geoff Winkler	AFS	88.8	340.00	\$ 30,192.00	
Legal - Case Administration	John Hall	AFS	171.8	310.00	\$ 53,258.00	
Legal - Case Administration	Milana Barkhanoy	AFS	11.6	255.00	\$ 2,958.00	
Legal - Case Administration	Renee Diefenderfer	AFS	106.6	255.00	\$ 27,183.00	
Legal - Case Administration	Josh McGraw	AFS	80.7	255.00	\$ 20,578.50	
Legal - Case Administration	Ysabel Willits	AFS	65.2	150.00	\$ 9,780.00	
			<u>524.7</u>		<u>\$ 143,949.50</u>	

SEC v. Profit Connect Wealth Services, Inc. et al.  
 Summary of Fees of Receiver and Retained Personnel  
 October 1, 2021 - December 31, 2021  
 (Sorted in Chronological Order by Activity Category)

Attachment 1

Personnel	Item / Description	Date	Hours	Rate	Amount	Activity Category
<b>Overall Summary</b>						
<b>Totals</b>	<b>Personnel</b>	<b>Firm</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>	
	Geoff Winkler	AFS	226.3	340.00	\$ 76,942.00	
	John Hall	AFS	228.1	310.00	\$ 70,711.00	
	Milana Barkhanoy	AFS	55.3	255.00	\$ 14,101.50	
	Renee Diefenderfer	AFS	143.2	255.00	\$ 36,516.00	
	Josh McGraw	AFS	275.5	255.00	\$ 70,252.50	
	Ysabel Willits	AFS	105.5	150.00	\$ 15,825.00	
			<u>1,033.9</u>		<u>\$ 284,348.00</u>	
	<b>TOTAL FEES</b>		<b>1,033.9</b>		<b>\$ 284,348.00</b>	

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Expenses of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**

Attachment 2

Incurring By	Payee	Item / Description	Date Incurred	Amount	Category
Geoff Winkler	PACER	Docket review	10/18/21	13.00	Internet/Online Fees
Geoff Winkler	Alaska Airlines	Flight to LV	11/02/21	508.81	Airfare
Geoff Winkler	Per Diem	First & Last Travel Day	11/08/21	45.75	Individual Meals
Geoff Winkler	Per Diem	Full Day	11/09/21	61.00	Individual Meals
Geoff Winkler	Plaza Parking	Parking in LV	11/09/21	8.00	Parking
Geoff Winkler	Uber	Travel in LV	11/09/21	56.87	Taxi
Geoff Winkler	Per Diem	Full Day	11/10/21	61.00	Individual Meals
Geoff Winkler	Alaska Airlines	Flight adjustment	11/10/21	83.98	Airfare
John Hall	Alaska Airlines	Flight adjustment	11/10/21	83.98	Airfare
Renee Diefenderfer	Alaska Airlines	Flight adjustment	11/10/21	83.98	Airfare
Geoff Winkler	Alaska Airlines	Flight to LV	11/10/21	118.40	Airfare
Geoff Winkler	Alaska Airlines	Flight to LV	11/10/21	226.79	Airfare
John Hall	Alaska Airlines	Flight to LV	11/10/21	226.79	Airfare
Renee Diefenderfer	Alaska Airlines	Flight to LV	11/10/21	226.79	Airfare
Geoff Winkler	Alaska Airlines	Flight to LV	11/10/21	333.80	Airfare
Geoff Winkler	Per Diem	First & Last Travel Day	11/11/21	45.75	Individual Meals
Geoff Winkler	Uber	Travel in LV	11/11/21	15.96	Taxi
Geoff Winkler	Uber	Travel in LV	11/11/21	39.81	Taxi
Geoff Winkler	Marriott	Hotel in LV	11/13/21	596.21	Hotel
Milana Barkhanoy	USPS	Certified mail	11/18/21	5.51	Courier/Shipping/Freight
Geoff Winkler	Ace Parking	Parking in LV	11/23/21	13.50	Parking
Geoff Winkler	Uber	Travel in LV	11/28/21	87.92	Taxi
Geoff Winkler	Per Diem	First & Last Travel Day	11/28/21	45.75	Individual Meals
Geoff Winkler	Home Depot	Supplies for auction	11/29/21	55.47	Miscellaneous
Geoff Winkler	Per Diem	Full Day	11/29/21	61.00	Individual Meals
Geoff Winkler	Per Diem	Full Day	11/30/21	61.00	Individual Meals
Geoff Winkler	Uber	Travel in LV	12/01/21	41.50	Taxi
Geoff Winkler	Per Diem	First & Last Travel Day	12/01/21	45.75	Individual Meals
Geoff Winkler	Marriott	Hotel in LV	12/02/21	639.24	Hotel
Geoff Winkler	Uber	Travel in LV	12/06/21	91.33	Taxi
Geoff Winkler	FedEx	Mailing files/hardware	12/07/21	360.15	Courier/Shipping/Freight
Geoff Winkler	Per Diem	First & Last Travel Day	12/07/21	45.75	Individual Meals
Geoff Winkler	Uber	Travel in LV	12/08/21	20.05	Taxi
Geoff Winkler	Uber	Travel in LV	12/08/21	35.06	Taxi
Geoff Winkler	Per Diem	Full Day	12/08/21	61.00	Individual Meals
Geoff Winkler	Port of Portland	Airport parking	12/09/21	96.00	Parking
John Hall	Alaska Airlines	Flight to LV	12/09/21	60.01	Airfare
Renee Diefenderfer	Alaska Airlines	Flight to LV	12/09/21	60.01	Airfare
Geoff Winkler	Alaska Airlines	Flight to LV	12/09/21	60.01	Airfare
Geoff Winkler	Marriott	Hotel in LV	12/09/21	515.85	Hotel
Geoff Winkler	Per Diem	First & Last Travel Day	12/09/21	45.75	Individual Meals
Geoff Winkler	FedEx	Mailing files/hardware	12/11/21	32.21	Courier/Shipping/Freight
Geoff Winkler	McCarran Airport	Airport parking	12/13/21	72.00	Parking
Geoff Winkler	Per Diem	First & Last Travel Day	12/13/21	45.75	Individual Meals
John Hall	Per Diem	First & Last Travel Day	12/13/21	45.75	Individual Meals
Renee Diefenderfer	Per Diem	First & Last Travel Day	12/13/21	45.75	Individual Meals
Geoff Winkler	Per Diem	Full Day	12/14/21	61.00	Individual Meals
John Hall	Per Diem	Full Day	12/14/21	61.00	Individual Meals
Renee Diefenderfer	Per Diem	Full Day	12/14/21	61.00	Individual Meals
Geoff Winkler	Alaska Airlines	Flight adjustment	12/15/21	135.00	Airfare
Geoff Winkler	Per Diem	Full Day	12/15/21	61.00	Individual Meals
John Hall	Per Diem	Full Day	12/15/21	61.00	Individual Meals
Renee Diefenderfer	Per Diem	Full Day	12/15/21	61.00	Individual Meals

**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Summary of Expenses of Receiver and Retained Personnel**  
**October 1, 2021 - December 31, 2021**

Attachment 2

Incurring By	Payee	Item / Description	Date Incurred	Amount	Category
Renee Diefenderfer	Uber	Travel in LV	12/16/21	37.39	Taxi
Renee Diefenderfer	Uber	Travel in LV	12/16/21	39.81	Taxi
Renee Diefenderfer	Marriott	Hotel in LV	12/16/21	564.63	Hotel
John Hall	Marriott	Hotel in LV	12/16/21	564.63	Hotel
Geoff Winkler	Per Diem	Full Day	12/16/21	61.00	Individual Meals
John Hall	Per Diem	First & Last Travel Day	12/16/21	45.75	Individual Meals
Renee Diefenderfer	Per Diem	First & Last Travel Day	12/16/21	45.75	Individual Meals
Geoff Winkler	Uber	Travel in LV	12/17/21	9.94	Taxi
Geoff Winkler	Uber	Travel in LV	12/17/21	10.54	Taxi
Geoff Winkler	Uber	Travel in LV	12/17/21	12.94	Taxi
Geoff Winkler	Uber	Travel in LV	12/17/21	13.54	Taxi
Geoff Winkler	Uber	Travel in LV	12/17/21	43.45	Taxi
Geoff Winkler	Per Diem	Full Day	12/17/21	61.00	Individual Meals
Geoff Winkler	Per Diem	First & Last Travel Day	12/18/21	45.75	Individual Meals
Geoff Winkler	Marriott	Hotel in LV	12/18/21	1,312.33	Hotel
Geoff Winkler	Uber	Travel in LV	12/18/21	13.86	Taxi
Geoff Winkler	FedEx	Mailing files/hardware	12/18/21	86.95	Courier/Shipping/Freight
Geoff Winkler	Alaska Airlines	Flight to LV	12/21/21	1,398.01	Airfare
John Hall	Alaska Airlines	Flight to LV	12/21/21	1,398.01	Airfare
Renee Diefenderfer	USPS	Certified mail	12/23/21	1.36	Courier/Shipping/Freight
Renee Diefenderfer	USPS	Certified mail	12/28/21	11.40	Courier/Shipping/Freight
Geoff Winkler	Uber	Travel in LV	12/28/21	37.79	Taxi
Geoff Winkler	Per Diem	First & Last Travel Day	12/29/21	45.75	Individual Meals
John Hall	Per Diem	First & Last Travel Day	12/29/21	45.75	Individual Meals
Geoff Winkler	Per Diem	First & Last Travel Day	12/30/21	45.75	Individual Meals
John Hall	Per Diem	First & Last Travel Day	12/30/21	45.75	Individual Meals

**Total**      **\$ 12,085.57**

	<b>GBW</b>	<b>JBH</b>	<b>RLD</b>	<b>MBB</b>	<b>Total</b>
<b>Airfare</b>	2,864.80	1,768.79	370.78	-	5,004.37
<b>Car Rental</b>	-	-	-	-	-
<b>Courier/Shipping/Freight</b>	479.31	-	12.76	5.51	497.58
<b>Hotel</b>	3,063.63	564.63	564.63	-	4,192.89
<b>Individual Meals</b>	1,006.50	305.00	213.50	-	1,525.00
<b>Internet/Online Fees</b>	13.00	-	-	-	13.00
<b>Miscellaneous</b>	55.47	-	-	-	55.47
<b>Parking</b>	189.50	-	-	-	189.50
<b>Taxi</b>	530.56	-	77.20	-	607.76
<b>Total</b>	<b>8,202.77</b>	<b>2,638.42</b>	<b>1,238.87</b>	<b>5.51</b>	<b>12,085.57</b>



**SEC v. Profit Connect Wealth Services, Inc. et al.**  
**Receivership Estate Balance Sheet**  
**October 1, 2021 - December 31, 2021**

**Attachment 3**

<b>Assets:</b>		<b>Liabilities:</b>	
Cash	\$ 7,844,307	State/Federal Taxes <sup>4</sup>	\$ -
Loan Receivable	\$ -	Property Taxes <sup>4</sup>	\$ -
Marketable Securities	\$ -	Total Liabilities	\$ -
Real Property <sup>1</sup>	\$ 1,600,000		
Personal Property <sup>1</sup>	\$ 1,250,000	<b>Claims:1</b>	
Private Equity Investments <sup>1</sup>	\$ -	Investors <sup>5</sup>	\$ -
Existing Litigation <sup>2,3</sup>	\$ -	Creditors <sup>5</sup>	\$ -
Third Party Litigation <sup>2,3</sup>	\$ 3,200,000	Total Claims	\$ -
Professional Liability Litigation <sup>2,3</sup>	\$ 5,000,000		
<b>Total Assets</b>	<b>\$ 18,894,307</b>	<b>Total Liabilities plus Claims</b>	<b>\$ -</b>

- 
1. Estimated value that is subject to further revision.
  2. Probability of successful recovery unknown.
  3. Value based on discounted present value and is under evaluation.
  4. There is no known tax liability, but the Receiver is reviewing.
  5. The total amount of investor and creditor claims is still under review.

**SEC v. Profit Connect Wealth Services, Inc. et al.  
Receiver's Cash Receipts and Disbursements  
October 1, 2021 - December 31, 2021**

**Attachment 4**

<b>Beginning Balance of Cash in Receivership Estate on October 1, 2021</b>	<b>\$ 4,222,092.10</b>
<b>Deposits:</b>	<b>\$ 4,071,420.75</b>
<b>Payments:</b>	<b>\$ (449,205.99)</b>
<b>Ending Balance of Cash in Receivership Estate on December 31, 2021</b>	<b><u>\$ 7,844,306.86</u></b>

# EXHIBIT "2"

1 Maria A. Gall, Esq.  
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5  
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10 *Attorneys for Receiver*  
*Geoff Winkler of American Fiduciary Services*

11  
12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
BRENT CARSON KOVAR,

19 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF MARIA A. GALL,  
ESQ. IN SUPPORT OF SECOND  
INTERIM APPLICATION FOR  
ALLOWANCE AND PAYMENT OF  
FEES AND COSTS OF THE  
RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM OCTOBER 1, 2021, THROUGH  
DECEMBER 31, 2021**

20  
21  
22 I, Maria A. Gall, Esq. declare as follows:

23 1. I am over 21 years old and an attorney with and partner of Ballard  
24 Spahr LLP, based in its Las Vegas, Nevada office. I am licensed Nevada attorney,  
25 with additional licenses in New York, Kentucky, and Tennessee.

26 2. By virtue of my position with Ballard Spahr, I am competent to testify  
27 to the matters presented in this declaration, and I submit this declaration in support  
28 of the Receiver's application for approval of his fees and costs of my firm and those of

1 his professionals in connection with Profit Connect Wealth Services, Inc. This  
2 declaration is based on my personal knowledge, except where made on information  
3 and belief, and as to those matters, I believe them to be true.

4 3. Attached to this declaration is a true and correct copy of the invoice for  
5 the services of my firm for the period from October 1, 2021, through December 31,  
6 2021 (the "Application Period").

7 4. In the ordinary course of Ballard Spahr's business, we keep a record of  
8 all time expended by our professionals in the rendering of professional services on a  
9 computerized billing system.

10 5. At or near the time the professional services are rendered, professionals  
11 of the firm record (a) the description of the nature of the services performed, (b) the  
12 duration of the time expended, and (c) the client/matter name or number by either:  
13 (1) writing such information on a time sheet, or (2) inputting such information  
14 directly into the firm's computer billing system.

15 6. For the professionals who record their time using written time sheets,  
16 the information contained in the time sheets is transcribed into the firm's computer  
17 billing system.

18 7. The firm's computer billing system keeps a record of all time spent on a  
19 client/matter, the professional providing the services, and a description of the services  
20 rendered. The firm's computer billing system automatically multiplies the time  
21 expended by each professional by the respective professional's billing rate to calculate  
22 the amount of the fee. The firm conducts its business in reliance on the accuracy of  
23 such business records.

24 8. As reflected in the attached invoice, and pursuant to the Receivership  
25 Order (ECF No. 26) and the Order Authorizing Employment of Counsel (ECF No. 46),  
26 professionals at Ballard Spahr assisted the Receiver as follows during the Application  
27 Period:  
28

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

- 1 (a) issuing and enforcing subpoenas to various entities in an effort to track,
- 2 trace, and recover Profit Connect assets;
- 3 (b) liaising, negotiating, and settling with third parties to ensure Profit
- 4 Connect assets in their possession were properly transferred to the Receiver's
- 5 control and ready for the personal property auction held in December 2021;
- 6 (c) investigating, analyzing, and drafting necessary documents to ensure Profit
- 7 Connect property was properly preserved for transfer to the Receiver;
- 8 (d) advising the Receiver on his obligations in connection with preserving Profit
- 9 Connect assets;
- 10 (e) initiating the action against former Profit Connect employee William
- 11 Roshak, et al. to recover over \$500,000 in fraudulently transferred Profit
- 12 Connect funds, including the work associated with drafting and filing the
- 13 complaint, negotiating extensions of answers, and preliminary conversations
- 14 regarding settlement with opposing counsel;
- 15 (f) liaising and negotiating with counsel for investors on their putative class
- 16 actions and other litigation to recoup monies outside the receivership, as well
- 17 as filing injunction motions to stay their proceedings in violation of the
- 18 receivership order; and
- 19 (g) advising the Receiver on his obligations in relation to a subpoena received
- 20 by the receivership estate.

21 Ballard Spahr has agreed to discount its standard billing rates in connect with  
22 this matter by 15 percent, and the fees being requested in this Application reflect this  
23 reduction.

24 I declare under penalty of perjury that the foregoing is true and correct.

25 Executed on February 7, 2022.

26 /s/ Maria A. Gall

27  
28

**ATTACHMENT**





TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

November 5, 2021  
Invoice No. 20211101274

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through October 31, 2021

**INVOICE SUMMARY**

Professional Fees	\$28,551.50
Less 15.0% Discount	(\$4,282.73)
Net Fees	\$24,268.77
Disbursements	\$342.51
<b>Total Invoice Amount</b>	<b>\$24,611.28</b>
Previous Balance Due	\$28,431.66
<b>Total Amount Due</b>	<b>\$53,042.94</b>

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### Professional Services

Attorney	Date	Description	Rate	Hours	Amount
<b>AAR - Asset Analysis and Recovery</b>					
Gall,M.A.	10/01/21	Telephone conference with K. Andrassy re: next steps re: J. Sonn and proposed class action	495.00	0.30	148.50
Gall,M.A.	10/01/21	Email correspondence to J. Sonn re: his proposed class action	495.00	0.10	49.50
Gall,M.A.	10/01/21	Review court order granting D. Hayes withdrawal as counsel for B. Kovar and J. Kovar and email same to team with status on B. Kovar vacating the Profit Connect house prior to sale	495.00	0.10	49.50
Gall,M.A.	10/01/21	Brief review of response to subpoena from Holley Driggs and forward same to Stroz Friedberg for processing	495.00	0.10	49.50
Clark,A.	10/01/21	Review and respond to email from R. Thompson inquiring re: clarification on Receiver's subpoena for records at Holley Driggs Law Firm	315.00	0.20	63.00
Gall,M.A.	10/04/21	Attention to B. Kovar and J. Kovar occupancy of Calvert Cliff property, including telephone conference with D. Hales re: same, follow-up telephone conference with K. Andrassy re: same given D. Hales accusations, email correspondence with receiver re: same and re: need for stipulation and order to memorialize move-out agreement	495.00	0.50	247.50
Gall,M.A.	10/04/21	Attention to progress of subpoenas to Citibank and JPMorgan Chase Bank	495.00	0.10	49.50
Clark,A.	10/04/21	Review documents provided by Holley Driggs Law Firm in response to receiver, G. Winkler's request for production of documents	315.00	0.80	252.00
Gall,M.A.	10/05/21	Conference with receiver and legal team re: outstanding tasks and next steps	495.00	0.80	396.00
Gall,M.A.	10/05/21	Preliminary attention to anticipated subpoenas to be issued to Amazon and Paypal to determine items purchased with Profit Connect monies	495.00	0.10	49.50

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/06/21	Email correspondence with J. Rossi re: documents for production	495.00	0.10	49.50
Gall,M.A.	10/06/21	Multiple email correspondence with D. Hayes re: his status as counsel for the Kovars	495.00	0.30	148.50
Gall,M.A.	10/06/21	Email correspondence with M. Cianfrani at Coinbase re: status of transfer of funds to receiver's account	495.00	0.10	49.50
Gall,M.A.	10/06/21	Email correspondence with J. Hall re: information needed from Bank of America	495.00	0.10	49.50
Clark,A.	10/06/21	Draft form release for W. Roshak to request records from Nevada Public Employees' Retirement System	315.00	0.10	31.50
Gall,M.A.	10/07/21	Email correspondence with T. Hall (counsel at Capital One) re: status of Capital One's subpoena response	495.00	0.10	49.50
Clark,A.	10/07/21	Complete draft form release for Nevada Public Employees' Retirement System	315.00	1.40	441.00
Clark,A.	10/07/21	Draft email to M. Gall containing proposed waiver to Nevada Public Employees' Retirement System on behalf of W. Roshak	315.00	0.10	31.50
Gall,M.A.	10/08/21	Email correspondence with A. Clark re: preparation of Bank of America subpoena	495.00	0.10	49.50
Gall,M.A.	10/08/21	Brief review of transcript of receiver/investor meeting prepared by receiver's team and respond with advice re: posting of transcription publicly	495.00	0.20	99.00
Gall,M.A.	10/08/21	Draft stipulation and order re: Calvert Cliffs property	495.00	1.00	495.00
Gall,M.A.	10/08/21	Multiple email correspondence with J. Rossi at Stroz Friedberg re: status of subpoenaed documents for production to parties	495.00	0.20	99.00
Gall,M.A.	10/08/21	Email correspondence to B. Kovar and J. Kovar providing direction on stipulation and order re: Calvert Cliffs property; separate email correspondence to SEC re: same	495.00	0.20	99.00

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/08/21	Telephone conference with J. Caminero re: Joe Brown; follow-up email to receiver team re: same	495.00	0.30	148.50
Gall,M.A.	10/08/21	Review Citibank and JP Morgan subpoenas drafted by A. Clark; provide comments to same and direction to serve same	495.00	0.50	247.50
Gall,M.A.	10/08/21	Review and revise PERS waiver for B. Roshak drafted by A. Clark; forward same to G. Winkler for his input	495.00	0.50	247.50
Gall,M.A.	10/08/21	Email correspondence to G. Winkler and K. Andrassy re: J. Sonn inquiry	495.00	0.10	49.50
Clark,A.	10/08/21	Prepare subpoena to Citibank	315.00	0.50	157.50
Clark,A.	10/08/21	Draft subpoena to Bank of America for documents related to bank's freeze of Profit Connect accounts	315.00	0.70	220.50
Clark,A.	10/08/21	Prepare subpoena to JP Morgan	315.00	1.20	378.00
Clark,A.	10/08/21	Incorporate edits from M. Gall to subpoena to J.P. Morgan Chase Bank, N.A.	315.00	0.30	94.50
Clark,A.	10/08/21	Coordinate with assistant to serve notice of subpoena to Chase Bank	315.00	0.20	63.00
Clark,A.	10/08/21	Retrieve non-redacted account numbers from Profit Connect's Bank of America relationship in connection with drafting subpoena for records from Bank of America	315.00	0.20	63.00
Clark,A.	10/08/21	Email J. Hall to obtain full Bank of Account number re: subpoena to Bank of America	315.00	0.10	31.50
Gall,M.A.	10/11/21	Review real estate proposals forwarded by G. Winkler for sale of Calvert Cliffs and Searchlight properties and provide comments from legal perspective on both	495.00	0.50	247.50
Gall,M.A.	10/11/21	Email correspondence with T. Hall (in house counsel at Capital One) re: status of subpoena response	495.00	0.10	49.50

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/11/21	Attention to Coinbase transfer of funds, including emails with M. Cianfrani at Coinbase re: same, emails with G. Winkler re: same, and draft permission to transfer letter on behalf of B. Roshak to expedite transfer of funds by Profit Connect to Roshak during freeze period	495.00	1.70	841.50
Gall,M.A.	10/11/21	Telephone call to Jocelyn Morris re: vehicle purchased with Profit Connect funds	495.00	0.10	49.50
Gall,M.A.	10/11/21	Email correspondence to D. Markert re: obtaining title report to Profit Connect properties	495.00	0.10	49.50
Gall,M.A.	10/11/21	Attention to stipulation and order on Calvert Cliffs property, including email correspondence with B. Kovar and J. Kovar re: same, email correspondence with SEC re: same, finalize same and coordinate with assistants on filing of same	495.00	0.30	148.50
Gall,M.A.	10/11/21	Email correspondence with G. Winkler re: disposal of B. Kovar and J. Kovar personal items at data center	495.00	0.10	49.50
Gall,M.A.	10/11/21	Email correspondence to A. Clark and J. Hall re: Bank of America subpoena for Billpay information	495.00	0.10	49.50
Gall,M.A.	10/11/21	Draft letter to D. Hayes re: his continued representation of B. Kovar and J. Kovar and Profit Connect's objection to the same	495.00	0.60	297.00
Gall,M.A.	10/11/21	Telephone conference with B. Slaughter re: potential lawsuits in connection with Profit Connect scheme	495.00	0.30	148.50
Gall,M.A.	10/11/21	Review and analyze agenda for tomorrow's legal and receiver team meeting, in anticipation of same	495.00	0.20	99.00
Clark,A.	10/11/21	Coordinate with assistant to serve subpoena duces tecum on Chase Bank	315.00	0.20	63.00

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Attorney	Date	Description	Rate	Hours	Amount
Markert,D.	10/12/21	Research Clark County Assessor and Treasurer records re: 7138 Calvert Cliffs; draft conveyance deed; draft summary correspondence re: considerations re: finalizing deed and re: property tax status; correspond with First American Title re: obtaining title commitments of subject properties	410.00	1.10	451.00
Gall,M.A.	10/12/21	Conference with receiver and legal team on outstanding tasks	495.00	0.50	247.50
Gall,M.A.	10/12/21	Attention to J. Morris truck purchased with Profit Connect funds, including review and response to email from receiver team re: same and begin review of DMV transfer requirements	495.00	0.30	148.50
Gall,M.A.	10/12/21	Review settlement agreement re: 7138 Calvert Cliffs property drafted by K. Andrassy to ensure conformity with Nevada law and forward comments to K. Andrassy	495.00	0.50	247.50
Gall,M.A.	10/12/21	Email correspondence with G. Winkler re: Coinbase transfer of the BTC previously transferred to W. Roshak	495.00	0.10	49.50
Gall,M.A.	10/12/21	Review email correspondence from D. Markert re: 7138 Calvert Cliffs property and forward same to G. Winkler, et al. with further comments re: proposed settlement with R. Uriarte re: the property and the unpaid taxes on the same	495.00	0.30	148.50
Gall,M.A.	10/12/21	Amend Capital One subpoena per Capital One's request to effect production; draft notice of subpoena for same; coordinate with assistants on service of notice; and forward amended subpoena to Capital One with covering email	495.00	0.50	247.50
Gall,M.A.	10/13/21	Email response to J. Sonn	495.00	0.10	49.50
Gall,M.A.	10/13/21	Email correspondence with R. Dieffenderfer re: J. Morris and truck asset	495.00	0.10	49.50
Gall,M.A.	10/13/21	Review Bank of America subpoena drafted by A. Clark and approve same	495.00	0.10	49.50

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/13/21	Email correspondence with receiver team and K. Andrassy re: next steps on Uriarte settlement of 7138 Calvert Cliffs Property given taxes owed	495.00	0.10	49.50
Clark,A.	10/13/21	Finish draft subpoena to Bank of America re: bill pay registers for Profit Connect business accounts	315.00	0.20	63.00
Clark,A.	10/13/21	Finish draft attachment to subpoena duces tecum to Bank of America	315.00	0.70	220.50
Clark,A.	10/13/21	Input edits to subpoena from M. Gall in preparation to serve subpoena	315.00	0.40	126.00
Markert,D.	10/14/21	Follow-up correspondence with First American Title re: title commitment order	410.00	0.20	82.00
Gall,M.A.	10/14/21	Finalize letter to D. Hayes on his client conflicts; coordinate with assistants on forwarding of same to D. Hayes, et al.	495.00	0.20	99.00
Gall,M.A.	10/14/21	Email to Jocelyn Morris re: car she purchased with Profit Connect funds	495.00	0.10	49.50
Gall,M.A.	10/14/21	Briefly review Capital One subpoena response, including documents produced, circulate to team including Stroz Friedberg for uploading to Relativity	495.00	0.60	297.00
Gall,M.A.	10/14/21	Multiple email correspondence with D. Hayes re: his conflicts in representing Profit Connect and the Kovars	495.00	0.50	247.50
Clark,A.	10/14/21	Draft email to J. Rossi at Stroz Friedberg to set up search for second-level privilege review	315.00	0.10	31.50
Gall,M.A.	10/15/21	Telephone conference with N. Goldberger re: potential claims against lawyer	495.00	0.50	247.50
Gall,M.A.	10/15/21	Email correspondence with receiver team re: Profit Connect payments to W. Roshak and PERS	495.00	0.10	49.50
Gall,M.A.	10/15/21	Being review of D. Hayes documents in anticipation of formulating claims	495.00	3.70	1,831.50



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Attorney	Date	Description	Rate	Hours	Amount
Markert,D.	10/18/21	Review title commitment for 7043 Calvert Cliffs; follow-up correspondence with First American Title per review of same, and per receipt of title exception documents; overview of HOA declarations; review title commitment for 7138 Calvert Cliffs; follow-up correspondence with First American Title per review of same; draft summary correspondence per 7043 Calvert Cliffs title commitment	410.00	2.00	820.00
Gall,M.A.	10/18/21	Email correspondence with receiver team re: status of communications with J. Morris re: truck	495.00	0.10	49.50
Gall,M.A.	10/18/21	Attention to recovery of J. Morris truck, including e-mail correspondence with receiver team re: same; draft settlement agreement re: same; forward settlement agreement to K. Andrassy to ensure consistency with form of other settlement agreements; circulate to receiver	495.00	1.50	742.50
Gall,M.A.	10/18/21	Email correspondence with receiver on real property takes due on Calvert Cliffs property (7043)	495.00	0.10	49.50
Markert,D.	10/19/21	Review revised title commitment for 7138 Calvert Cliffs, including overview of HOA declarations; follow-up correspondence with First American Title per same; review comments to draft deed; draft correspondence response per same	410.00	1.10	451.00
Gall,M.A.	10/19/21	Weekly meeting with receiver and legal teams to discuss outstanding items related to asset recovery	495.00	1.10	544.50
Gall,M.A.	10/19/21	Multiple email correspondence with J. Sonn re: his anticipated lawsuit	495.00	0.20	99.00
Gall,M.A.	10/19/21	Attention to Tesla subpoena, including email correspondence with R. Dieffenderfer for information needed for same and provide direction to A. Clark on preparation of same	495.00	0.50	247.50

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/19/21	Draft letter to UPS to release contents of Profit Connect mailbox; circulate to receivership team; finalize and coordinate with assistants on sending the same	495.00	1.00	495.00
Gall,M.A.	10/19/21	Attention to supplemental subpoena to Hayes law firm and coordinate with A. Clark on his drafting of the same	495.00	0.30	148.50
Gall,M.A.	10/19/21	Email correspondence with receiver team re: proper name of Profit Connect and need to clarify with SEC	495.00	0.10	49.50
Gall,M.A.	10/19/21	Attention to lawsuit against agent Troy Sutton, including email correspondence to his attorney and telephone conference with his attorney about steps moving forward	495.00	1.00	495.00
Gall,M.A.	10/19/21	Email correspondence with G. Winkler re: title commitment for 7043 Calvert Cliffs property	495.00	0.10	49.50
Gall,M.A.	10/19/21	Multiple email correspondence with R. Dieffenderfer re: receiver contract with auctioneer and auction proposal	495.00	0.30	148.50
Clark,A.	10/19/21	Draft subpoena to TLT Leasing Corp.	315.00	0.30	94.50
Clark,A.	10/19/21	Draft notice of subpoena of TLT Leasing Corp.	315.00	0.10	31.50
Clark,A.	10/19/21	Draft attachment to subpoena to TLT Leasing Corp.	315.00	0.30	94.50
Gall,M.A.	10/20/21	Attention to recovery of J. Morris truck, including taking delivery of same and attendant documents and settlement agreement; memorializing email to receiver team re: next steps	495.00	1.00	495.00
Gall,M.A.	10/20/21	Email correspondence with K. Andrassy re: form and propriety of proposed orders in Nevada under our rules	495.00	0.10	49.50
Gall,M.A.	10/20/21	Telephone conferences with UPS store re: prior sent letter and next steps to release mails	495.00	0.40	198.00
Clark,A.	10/20/21	Draft Notice of Subpoena to Hayes Wakayama	315.00	0.30	94.50

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Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	10/20/21	Draft attachment to subpoena duces tecum to Hayes Wakayama	315.00	0.40	126.00
Clark,A.	10/20/21	Draft subpoena to Hayes Wakayama	315.00	0.20	63.00
Clark,A.	10/20/21	Correspond with M. Gall re: service of subpoena to TLT Leasing	315.00	0.10	31.50
Gall,M.A.	10/21/21	Multiple emails with J. Nudelman re: landlord access to warehouse	495.00	0.20	99.00
Clark,A.	10/21/21	Review production from JP Morgan Chase	315.00	0.20	63.00
Markert,D.	10/22/21	Correspond with First American re: title, especially HOA matters, re: 7138 Calvert Cliffs	410.00	0.40	164.00
Gall,M.A.	10/22/21	Attention to B. Roshak funding of PERs account with Profit Connect funds, including search and review of communications re: same and email correspondence with receiver team re: same	495.00	1.00	495.00
Gall,M.A.	10/26/21	Conference with A. Clark re: all writs motion to enjoin proceeding against Profit Connect agent	495.00	0.30	148.50
Gall,M.A.	10/26/21	Video conference with receiver team and legal team re: outstanding tasks and steps moving forward	495.00	0.90	445.50
Gall,M.A.	10/26/21	Final review and approval of Citibank subpoena drafted by A. Clark	495.00	0.10	49.50
Gall,M.A.	10/26/21	Email correspondence with G. Winkler re: real estate taxes on 7043 Calvert Cliffs property	495.00	0.10	49.50
Gall,M.A.	10/26/21	Review letter from Bank of America in response to subpoena for billpay information; e-mail correspondence with A. Clark providing direction on next steps in light of response	495.00	0.20	99.00
Gall,M.A.	10/26/21	Email correspondence with G. Winkler re: payment of real estate taxes due on 7138 Calvert Cliffs property	495.00	0.10	49.50

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/26/21	Begin drafting complaint against W. Roshak, et al., including review of background information needed for complaint	495.00	2.00	990.00
Clark,A.	10/26/21	Email M. Gall re: third-party subpoena process due to Bank of America's inability to produce requested documents	315.00	0.10	31.50
Clark,A.	10/26/21	Receive and review Bank of America response to subpoena,	315.00	0.10	31.50
Clark,A.	10/26/21	Revise attachment to Citibank subpoena following receipt of conflicts waiver	315.00	0.10	31.50
Clark,A.	10/26/21	Revise notice of subpoena to Citibank following receipt of conflicts waiver	315.00	0.20	63.00
Clark,A.	10/26/21	Revise subpoena to Citibank following receipt of conflicts waiver	315.00	0.20	63.00
Clark,A.	10/26/21	Discuss filing motion under All Writs Act to enjoin Texas proceedings	315.00	0.40	126.00
Gall,M.A.	10/27/21	Draft portions of receiver's report re: anticipated litigations; email same to R. Dieffenderfer	495.00	0.30	148.50
Gall,M.A.	10/27/21	Review and analyze Profit Connect lease for subleasing potential; email correspondence to D. Markert re: same to obtain her expertise	495.00	0.50	247.50
Gall,M.A.	10/27/21	Multiple email correspondence and telephone conference with J. Nudelman (landlord's attorney) re: landlord's demand for plenary access to Profit Connect warehouse	495.00	0.50	247.50
Clark,A.	10/27/21	Research scope of order appointing receiver to enjoin outside actions	315.00	0.50	157.50
Gall,M.A.	10/28/21	Multiple email correspondence with J. Nudelman (landlord's attorney) re: landlord's continuing request for access to the roof	495.00	0.50	247.50
Gall,M.A.	10/28/21	Continue drafting complaint against W. Roshak, et al.	495.00	2.00	990.00

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/28/21	Email correspondence with J. McGraw re: additional searchlight properties and ability to cancel contracts and obtain earnest money refund	495.00	0.20	99.00
Gall,M.A.	10/28/21	Meet and confer with attorneys litigating the Nicholas v. Sutton lawsuit and follow-up email correspondence re: anticipate motion to enjoin suit; follow-up telephone conference with Sutton's attorney re: same and re: receiver's anticipated request that Sutton disgorge funds	495.00	1.20	594.00
Markert,D.	10/29/21	Overview of draft lease, especially re: assignment, termination, and tenant alteration issues; draft summary correspondence per same	410.00	1.60	656.00
Gall,M.A.	10/29/21	Email correspondence with G. Winkler, et al. re: J. Sonn email to investors	495.00	0.10	49.50
Gall,M.A.	10/29/21	Attention to Hayes subpoena, including coordinate with A. Clark on obtaining response to same	495.00	0.10	49.50
Gall,M.A.	10/29/21	Continue working on W. Roshak, et al. complaint	495.00	1.00	495.00
Gall,M.A.	10/29/21	Review email memorandum from D. Markert re: warehouse lease, respond to same with questions, and forward same to G. Winkler, et al. with comments re: steps moving forward on lease	495.00	0.50	247.50
Clark,A.	10/29/21	Respond to email from D. Hayes re: extension to subpoena	315.00	0.10	31.50
Clark,A.	10/29/21	Email L. Wakayama re: status of past-due subpoena	315.00	0.10	31.50
<b>Total AAR</b>				<b>55.40</b>	<b>24,881.00</b>
<b>BusinessOP - Business Operations</b>					
Gall,M.A.	10/12/21	Email correspondence with G. Winkler re: payment of rent for Profit Connect data center	495.00	0.10	49.50
<b>Total BusinessOP</b>				<b>0.10</b>	<b>49.50</b>
<b>CaseAdm - Case Administration</b>					
Clark,A.	10/01/21	Continue document review and privilege designation on documents 300-700	315.00	3.80	1,197.00

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 Invoice No. 20211101274

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	10/15/21	Video conference with receiver team and Stroz Friedberg re: documents and evidence collected thus far	495.00	1.30	643.50
Gall,M.A.	10/27/21	Email correspondence with G. Winkler re: communications with court	495.00	0.10	49.50
Gall,M.A.	10/29/21	Attention to receiver's report, including review, comments, and edit of same	495.00	2.00	990.00
Gall,M.A.	10/29/21	Attention to fee application	495.00	1.00	495.00
<b>Total CaseAdm</b>				<b>8.20</b>	<b>3,375.00</b>
<b>DataAnalys - Data Analysis</b>					
Markert,D.	10/26/21	Further correspondence with First American re: 7138 Calvert Cliffs title, especially re: HOAs; further review per same; draft follow-up correspondence per same	410.00	0.60	246.00
<b>Total DataAnalys</b>				<b>0.60</b>	<b>246.00</b>
<b>Total Fees</b>				<b>64.30</b>	<b>\$28,551.50</b>
Less 15.0% Discount					(\$4,282.73)

### Summary of Professional Fees

Attorney	Billed Hours	Billed Rate	Billed Amount
Gall,M.A.	42.40	495.00	20,988.00
Markert,D.	7.00	410.00	2,870.00
Clark,A.	14.90	315.00	4,693.50
<b>Total Fees</b>	<b>64.30</b>		<b>\$28,551.50</b>
Less 15.0% Discount			(\$4,282.73)

082085.00 - 00373080  
Maria A. GallNovember 5, 2021  
Invoice No. 20211101274**Disbursements**

<b>Date</b>	<b>Description</b>	<b>Amount</b>
10/06/21	Business Meals VISA_1021_2144_03 - EARL OF SANDWICH-SUMMERL: 09/30/21; Lunch for meeting with Maria Gall, John Hall & Geoff Winkler	51.67
10/14/21	Delivery Service FedEx Invoice #: 754165841, 10/22/2021, Tracking #: 284908075377, Bank of America, N A, Bank of America, N A, 800 Samoset Drive, NEWARK, DE 19713	19.71
10/20/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37039778-Dated 10/15/21-Process Service - JP Morgan Chase Bank, N.A. 10/11/21	225.65
10/20/21	Delivery Service FedEx Invoice #: 754948752, 10/29/2021, Tracking #: 285169488308, Jasbir Kaur Swatch Sekhon, GRD, Inc (UPS Sore 5933), 4262 Blue Diamond Rd , Ste 10, LAS VEGAS, NV 89139	22.74
10/20/21	Delivery Service FedEx Invoice #: 754948752, 10/29/2021, Tracking #: 285169744053, Eshaan Singh, UPS Store 5933, 4262 Blue Diamond Rd , Ste 10, LAS VEGAS, NV 89139	22.74
<b>Total Disbursements</b>		<b>\$342.51</b>



082085.00 - 00373080  
Maria A. Gall

November 5, 2021  
Invoice No. 20211101274

**Open Invoices as of November 5, 2021**

<b>Invoice Date</b>	<b>Invoice Number</b>	<b>Invoice Amount</b>	<b>Payments</b>	<b>Balance Due</b>
09/12/21	20210901990	\$15,476.85	\$0.00	\$15,476.85
10/15/21	20211004945	\$12,954.81	\$0.00	\$12,954.81
<b>Total Outstanding AR:</b>				<b>\$28,431.66</b>



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

November 5, 2021  
Invoice No. 20211101274

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through October 31, 2021

**REMITTANCE ADVICE**

Professional Fees	\$28,551.50
Less 15.0% Discount	(\$4,282.73)
Net Fees	\$24,268.77
Disbursements	\$342.51
<b>Total Invoice Amount</b>	<b>\$24,611.28</b>
Previous Balance Due	\$28,431.66
<b>Total Amount Due</b>	<b>\$53,042.94</b>

For billing inquiries please send an email to [CB@ballardspahr.com](mailto:CB@ballardspahr.com)

Our Preferred Method for payment is ACH or Wire Transfer  
<https://ww2.payerexpress.com/ebp/BallardSpahr/Login/Index>

**For Payment by US mail:**

Ballard Spahr LLP  
P O Box 825470  
Philadelphia, PA 19182 5470  
Tel 702.471.7000

**ACH and Wire Payment Instructions**

Bank: PNC Bank, NA  
1600 Market Street, Philadelphia, PA 19103  
ABA No.: 031 0000 53  
Account No.: 85-3131-7345  
Account Name: Ballard Spahr LLP

Due and Payable Within 30 Days



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

December 6, 2021  
Invoice No. 20211201298

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through November 30, 2021

**INVOICE SUMMARY**

Professional Fees	\$29,141.00
Less 15.0% Discount	(\$4,371.15)
Net Fees	\$24,769.85
Disbursements	\$682.50
<b>Total Invoice Amount</b>	<b>\$25,452.35</b>
Previous Balance Due	\$53,042.94
<b>Total Amount Due</b>	<b>\$78,495.29</b>

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Maria A. GallDecember 6, 2021  
Invoice No. 20211201298

### Professional Services

Attorney	Date	Description	Rate	Hours	Amount
<b>AAR - Asset Analysis and Recovery</b>					
Gall,M.A.	11/01/21	Telephone conference with G. Winkler re: B. Kovar's request for a landlord reference	495.00	0.10	49.50
Gall,M.A.	11/01/21	Email correspondence with D. Hayes re: his request for another extension of time to respond to the subpoena	495.00	0.10	49.50
Gall,M.A.	11/01/21	Finalize first draft of Roshak complaint and forward to receiver team for review	495.00	0.30	148.50
Gall,M.A.	11/01/21	Attention to GoDaddy and transfer of control of Profit Connect domains to Receiver, including review of prior correspondence with GoDaddy, email correspondence with G. Winkler re: same, and email correspondence with GoDaddy re: status	495.00	0.30	148.50
Clark,A.	11/01/21	Research D. NV authority under the All Writs Act to enjoin out-of-state action against Profit Connect Agent	315.00	1.90	598.50
Clark,A.	11/01/21	Confirm availability with J. Tasca re: Blue Ridge deposition reschedule	315.00	0.10	31.50
Gall,M.A.	11/02/21	Weekly call with receiver team re: next steps in receivership	495.00	0.80	396.00
Gall,M.A.	11/02/21	Review JPMorgan's subpoena response, provide direction to A. Clark on missing subpoenaed documents, email same to receiver team, and coordinate with Stroz Friedberg to upload documents for production to other parties	495.00	0.30	148.50
Gall,M.A.	11/02/21	Begin drafting motion to intervene in Roshak divorce action	495.00	2.00	990.00
Gall,M.A.	11/02/21	Review D. Hayes response to subpoena, forward to receiver team, and coordinate with Stroz Friedberg on loading of same to Relatiivty for production to other parties	495.00	0.30	148.50

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 Maria A. Gall

December 6, 2021  
 Invoice No. 20211201298

Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	11/02/21	Continue research re: all writs act to enjoin ancillary proceedings against Profit Connect or its agents	315.00	1.00	315.00
Clark,A.	11/02/21	Begin draft of motion to enjoin ancillary proceeding	315.00	0.40	126.00
Gall,M.A.	11/03/21	Finalize Roshak complaint; circulate to receiver team for final comments	495.00	1.00	495.00
Gall,M.A.	11/03/21	Email correspondence with A. Clark re: revised JPM subpoena	495.00	0.10	49.50
Gall,M.A.	11/03/21	Telephone conference with S. Kaplan, GM of Mercedes dealership, re: purchase of Jocelyn truck; follow-up email correspondence with receiver team	495.00	0.30	148.50
Gall,M.A.	11/03/21	Multiple email correspondence with Citibank re: subpoena	495.00	0.30	148.50
Clark,A.	11/03/21	Draft second attachment to subpoena to J.P. Morgan Chase Bank N.A.	315.00	0.40	126.00
Gall,M.A.	11/04/21	Email correspondence with G. Winkler re: communications with Judge Dorsey re: hearing of personal property motion	495.00	0.20	99.00
Gall,M.A.	11/04/21	Review and edit summonses and civil cover sheet accompanying Roshak complaint; final edits to same based on group comments; coordinate with assistants on filing the same	495.00	0.50	247.50
Gall,M.A.	11/04/21	Draft motion to intervene in Roshak divorce action to protect receivership assets at issue in that action	495.00	3.00	1,485.00
Gall,M.A.	11/04/21	Telephone conference with J. McGraw re: backup data for Roshak transfers	495.00	0.30	148.50
Gall,M.A.	11/04/21	Telephone conference with J. Kelleher (M. Roshak divorce attorney) re: Roshak complaint and current status of divorce proceedings; follow-up email correspondence to J. Kelleher	495.00	0.50	247.50
Clark,A.	11/04/21	Review productions and client documents for credit card statements for J.P. Morgan card ending 6299	315.00	0.40	126.00

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 Maria A. Gall

December 6, 2021  
 Invoice No. 20211201298

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	11/05/21	Revise injunction motion against Sutton action drafted by A. Clark, including to bolster case law and factual arguments; review relevant emails from J. Nicholas and T. Sutton to support facts; add arguments re: contempt and sanctions; and circulate to Receiver	495.00	7.00	3,465.00
Gall,M.A.	11/05/21	Multiple email correspondence with J. Nudelman (landlord's attorney) re: landlord access to warehouse for purposes of showing it to a prospective tenant	495.00	0.20	99.00
Gall,M.A.	11/05/21	Telephone conference with D. Isaak (T. Sutton attorney) re: injunction motion	495.00	0.20	99.00
Gall,M.A.	11/05/21	Attention to appraisal of Jocelyn truck and forward appraisal to G. Winkler (did not charge .5 for the appraisal time)	495.00	0.20	99.00
Gall,M.A.	11/07/21	Draft declarations in support of injunction motion and gather evidentiary exhibits in support of same	495.00	2.00	990.00
Gall,M.A.	11/08/21	Review email correspondence from J. Sonn and annotate response for G. Winkler, et al.	495.00	0.20	99.00
Gall,M.A.	11/08/21	Attention to filing of all writs motion including final review and approval of motion, revised declarations, and compiled exhibits	495.00	0.30	148.50
Gall,M.A.	11/08/21	Draft certificate of service for attorneys in Texas action to ensure they are notified of the all writs motion; forward courtesy copies of the same; and separate correspondence with D. Isaak re: his motion to dismiss in the Texas action	495.00	0.30	148.50
Gall,M.A.	11/08/21	Attention to Profit Connect loan to J. Dapat in anticipation of demand letter for payment of same, including email correspondence with receiver's team re: information about the loan and review of loan documents and draft demand letter to J. Dapat	495.00	1.50	742.50
Clark,A.	11/08/21	Revise motion to enjoin Texas action, including citation check and edit	315.00	2.40	756.00

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 Maria A. Gall

December 6, 2021  
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Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	11/08/21	Gather exhibits to draft index for motion to enjoin Texas proceeding	315.00	0.90	283.50
Gall,M.A.	11/09/21	Work on various subpoenas to third-parties	495.00	1.50	742.50
Gall,M.A.	11/09/21	Prepare correspondence to TLT Leasing on their failure to answer the subpoena	495.00	0.20	99.00
Gall,M.A.	11/09/21	Telephone conference with PERS attorney re: voluntary dismissal	495.00	0.30	148.50
Gall,M.A.	11/09/21	Weekly meeting with receiver team about outstanding issues, tasks, and next steps	495.00	0.60	297.00
Gall,M.A.	11/10/21	Email correspondence with G. Winkler re: hiring of help for auction	495.00	0.10	49.50
Gall,M.A.	11/10/21	Review second JPMorgan subpoena drafted by A. Clark; approve for service	495.00	0.10	49.50
Gall,M.A.	11/10/21	Attention to acceptance of service for M. Roshak in Roshak action, including email correspondence with J. Kelleher re: same and draft same	495.00	0.50	247.50
Gall,M.A.	11/10/21	Draft Paypal subpoena and notice of subpoena; coordinate with assistants on service of both	495.00	1.00	495.00
Clark,A.	11/10/21	Finalize (second) subpoena to JP Morgan Chase	315.00	0.20	63.00
Clark,A.	11/10/21	Revise attachment to (second) subpoena to JP Morgan Chase	315.00	0.20	63.00
Clark,A.	11/10/21	Finalize notice of (second) subpoena to JP Morgan Chase	315.00	0.10	31.50
Snider,C.T.	11/11/21	Prepare subpoena tracking spreadsheet	280.00	0.60	168.00
Gall,M.A.	11/11/21	Coordinate with paralegal C. Snider on subpoena tracking	495.00	0.20	99.00
Gall,M.A.	11/11/21	Attention to documents received from Citibank in response to subpoena, including decrypt and download same, brief review of same, and circulate same, including to J. Rossi, for loading into Relativity for further production	495.00	0.50	247.50



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 Maria A. Gall

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	11/12/21	Review, revise and comment upon insurance claim drafted by receiver staff	495.00	1.00	495.00
Gall,M.A.	11/15/21	Email correspondence with J. Kelleher (attorney for M. Roshak) re: extension of time to respond in Roshak case	495.00	0.10	49.50
Gall,M.A.	11/15/21	Revise extension of time to respond for M. Roshak and forward to her counsel; coordinate filing of same with assistants	495.00	0.10	49.50
Gall,M.A.	11/16/21	Extended email response to J. Sonn re: proposed litigation against bank	495.00	0.50	247.50
Gall,M.A.	11/16/21	Weekly team meeting with receiver re: outstanding tasks and next steps	495.00	0.80	396.00
Gall,M.A.	11/16/21	Attention to issues surrounding M. Roshak PERS allocation	495.00	1.00	495.00
Gall,M.A.	11/17/21	Telephone conference with Marvin Cartwright (father of agent) and follow-up email correspondence with his and Bret Cartwright's attorneys	495.00	0.50	247.50
Gall,M.A.	11/17/21	Attention to [REDACTED] subpoena	495.00	0.20	99.00
Gall,M.A.	11/17/21	Attention to request from J. Nicholas counsel for an extension of time to respond to all writs motion, including email correspondence with receiver re: same and email correspondence with counsel re: same	495.00	0.20	99.00
Gall,M.A.	11/18/21	Telephone conference with G. Winkler, et al. re: subpoena	495.00	0.50	247.50
Gall,M.A.	11/18/21	Attention to Roshak litigation, including calculations re: implications of purchase of service credit on PERS proceeds and extended settlement email to M. Roshak attorney	495.00	1.00	495.00
Gall,M.A.	11/18/21	Telephone conference with [REDACTED] re: subpoena; follow-up email re: same	495.00	0.20	99.00
Gall,M.A.	11/18/21	Telephone conference with M. Zimmerman (counsel for J. Nicholas) re: all writs motion	495.00	0.10	49.50
Gall,M.A.	11/18/21	Telephone conference with S. Kopelev re: subpoena	495.00	0.50	247.50

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 Maria A. Gall

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	11/19/21	Review and analyze subpoena response from TLT Leasing Corp; plan next steps	495.00	0.10	49.50
Gall,M.A.	11/19/21	Draft notice of withdrawal of all writs motion and coordinate with assistants on filing same; circulate same to counsel in Texas action	495.00	0.50	247.50
Clark,A.	11/19/21	Call with J.P. Morgan Chase Bank re: outstanding subpoena	315.00	0.30	94.50
Gall,M.A.	11/20/21	Email correspondence with S. Kopelev re: subpoena response	495.00	0.10	49.50
Gall,M.A.	11/22/21	Attention to Tesla subpoena and alternatives to same	495.00	0.30	148.50
Gall,M.A.	11/23/21	Conference with receiver and his team re: outstanding tasks and next steps	495.00	0.80	396.00
Gall,M.A.	11/23/21	Coordinate with assistants on filing of returns of service for W. Roshak, et al.	495.00	0.10	49.50
Gall,M.A.	11/23/21	Draft notice of voluntary dismissal of PERS from Roshak action and coordinate with assistants for filing; forward same to PERS counsel	495.00	0.20	99.00
Clark,A.	11/23/21	Phone conversation with D. Carson at JP Morgan Chase re: outstanding subpoena for records	315.00	0.20	63.00
Gall,M.A.	11/24/21	Telephone conference with M. Peerce re: subpoena	495.00	0.60	297.00
Gall,M.A.	11/24/21	Review staffing agreement for auction and provide comments to same	495.00	0.30	148.50
Gall,M.A.	11/29/21	Telephone conference with G. Winkler re: R. Uriarte refinance and subpoena	495.00	0.20	99.00
Gall,M.A.	11/29/21	Telephone conference with █████ re: subpoena	495.00	0.40	198.00
Gall,M.A.	11/29/21	Draft and send follow-up letter to J. Dapat re: settlement	495.00	1.00	495.00
Gall,M.A.	11/29/21	Review recorded documents on W. Roshak house in connection with assessing settlement viabilities	495.00	0.20	99.00

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Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	11/29/21	Email correspondence with D. Markert re: HOAs on Calvert Cliffs properties and SIDS and LIDS owed	495.00	0.10	49.50
Gall,M.A.	11/29/21	Telephone conference with R. Ryan (counsel for W. Roshak) re: case against W. Rosak and potential settlement; email correspondence to receiver re: same; follow-up email correspondence to R. Ryan re: same	495.00	1.00	495.00
Gall,M.A.	11/30/21	Weekly meeting with receiver team re: outstanding tasks and next steps	495.00	1.00	495.00
Gall,M.A.	11/30/21	Email correspondence with C. Jones re: tax advice for Profit connect tax filings; loop in J. Hall to discuss same with C. Jones	495.00	0.10	49.50
Gall,M.A.	11/30/21	Draft notice of related cases and request to reassign for Roshak action	495.00	1.50	742.50
Gall,M.A.	11/30/21	Hearing before the Court re: status report and pending motions	495.00	0.90	445.50
Gall,M.A.	11/30/21	Telephone conference with G. Winkler and K. Andrassy re: next steps in light of court hearing	495.00	0.20	99.00
Gall,M.A.	11/30/21	Multiple email correspondence with [REDACTED] re: subpoena and next steps	495.00	0.50	247.50
Clark,A.	11/30/21	Receive and review research assignment from M. Gall re: duties related to subpoena production	315.00	0.10	31.50
<b>Total AAR</b>				<b>53.30</b>	<b>24,706.50</b>
<b>CaseAdm - Case Administration</b>					
Clark,A.	11/03/21	Continue drafting motion to enjoin ancillary proceeding	315.00	5.90	1,858.50
Clark,A.	11/03/21	Research inherent authority line of cases to support injunction request	315.00	0.90	283.50
Clark,A.	11/03/21	Review production of documents from Chase	315.00	0.10	31.50
Clark,A.	11/03/21	Draft second notice of subpoena to J.P. Morgan Chase Bank, N.A.	315.00	0.10	31.50

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Maria A. GallDecember 6, 2021  
Invoice No. 20211201298

Attorney	Date	Description	Rate	Hours	Amount
Clark,A.	11/03/21	Follow up with Chase legal re: lack of account statements in subpoena production	315.00	0.40	126.00
Clark,A.	11/03/21	Draft second subpoena to JP Morgan Chase seeking deposit-account records	315.00	0.20	63.00
Clark,A.	11/03/21	Being edit of draft motion to enjoin ancillary proceeding	315.00	1.10	346.50
Clark,A.	11/03/21	Complete edits on draft motion to enjoin Texas action and sent to M. Gall for comments	315.00	0.40	126.00
<b>Total CaseAdm</b>				<b>9.10</b>	<b>2,866.50</b>
<b>DataAnalys - Data Analysis</b>					
Markert,D.	11/30/21	Review title commitments for subject properties especially per declarations and HOA entities, and municipal liens; draft summary correspondence per review of same	410.00	1.00	410.00
<b>Total DataAnalys</b>				<b>1.00</b>	<b>410.00</b>
<b>LitConsult - Litigation Consulting</b>					
Peerce,M.J.	11/16/21	Review emails, subpoena Re matter	925.00	0.20	185.00
Peerce,M.J.	11/17/21	Telephone call with M. Gall, research	925.00	0.40	370.00
Peerce,M.J.	11/24/21	Telephone call with M. Gall re: subpoena, privilege, [REDACTED], review file, order, reseach	925.00	0.60	555.00
<b>Total LitConsult</b>				<b>1.20</b>	<b>1,110.00</b>
<b>Other - Other</b>					
Crawford,A.	11/15/21	Draft Stipulation for Extension of Time for Melissa Roshak to Respond to Complaint	160.00	0.30	48.00
<b>Total Other</b>				<b>0.30</b>	<b>48.00</b>
<b>Total Fees</b>				<b>64.90</b>	<b>\$29,141.00</b>
Less 15.0% Discount					(\$4,371.15)

082085.00 - 00373080  
Maria A. Gall

December 6, 2021  
Invoice No. 20211201298

### Summary of Professional Fees

<b>Attorney</b>	<b>Billed Hours</b>	<b>Billed Rate</b>	<b>Billed Amount</b>
Gall,M.A.	44.10	495.00	21,829.50
Peerce,M.J.	1.20	925.00	1,110.00
Markert,D.	1.00	410.00	410.00
Clark,A.	17.70	315.00	5,575.50
Snider,C.T.	0.60	280.00	168.00
Crawford,A.	0.30	160.00	48.00
<b>Total Fees</b>	<b>64.90</b>		<b>\$29,141.00</b>
Less 15.0% Discount			(\$4,371.15)

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Maria A. GallDecember 6, 2021  
Invoice No. 20211201298

### Disbursements

Date	Description	Amount
09/23/21	Las Vegas Efile Deposit Acct Las Vegas -EFile(Simplifile) Invoice # 9/30/21-certified Order-Rev	52.00
11/08/21	Postage 4 flats to Brent & Joy Kovar x2, Fernando Bustos, David Isaak	10.44
11/09/21	Delivery Service FedEx Invoice #: 756385359, 11/12/2021, Tracking #: 285914605004, Jessica Dapat, Jessica Dapat, 1592 SILVER KNOLL AVE, LAS VEGAS, NV 89123	22.42
11/10/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040042-Dated 10/31/21-Process Service-Citibank, N.A. c/o Legal Services 10/26/21	225.65
11/10/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040042-Dated 10/31/21-Process Service-Hayes Wakayama 10/21/21	73.17
11/10/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040042-Dated 10/31/21-Process Service-Hayes Wakayama 10/22/21	73.17
11/10/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040042-Dated 10/31/21-Process Service-TLT Leasing Corp. 10/21/21	225.65
<b>Total Disbursements</b>		<b>\$682.50</b>

082085.00 - 00373080  
Maria A. Gall

December 6, 2021  
Invoice No. 20211201298

**Open Invoices as of December 6, 2021**

<b>Invoice Date</b>	<b>Invoice Number</b>	<b>Invoice Amount</b>	<b>Payments</b>	<b>Balance Due</b>
09/12/21	20210901990	\$15,476.85	\$0.00	\$15,476.85
10/15/21	20211004945	\$12,954.81	\$0.00	\$12,954.81
11/05/21	20211101274	\$24,611.28	\$0.00	\$24,611.28
<b>Total Outstanding AR:</b>				<b>\$53,042.94</b>





TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

December 6, 2021  
Invoice No. 20211201298

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through November 30, 2021

**REMITTANCE ADVICE**

Professional Fees	\$29,141.00
Less 15.0% Discount	(\$4,371.15)
Net Fees	\$24,769.85
Disbursements	\$682.50
<b>Total Invoice Amount</b>	<b>\$25,452.35</b>
Previous Balance Due	\$53,042.94
<b>Total Amount Due</b>	<b>\$78,495.29</b>

For billing inquiries please send an email to [CB@ballardspahr.com](mailto:CB@ballardspahr.com)

Our Preferred Method for payment is ACH or Wire Transfer  
<https://ww2.payerexpress.com/ebp/BallardSpahr/Login/Index>

**For Payment by US mail:**

Ballard Spahr LLP  
P O Box 825470  
Philadelphia, PA 19182 5470  
Tel 702.471.7000

**ACH and Wire Payment Instructions**

Bank: PNC Bank, NA  
1600 Market Street, Philadelphia, PA 19103  
ABA No.: 031 0000 53  
Account No.: 85-3131-7345  
Account Name: Ballard Spahr LLP

Due and Payable Within 30 Days



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

January 6, 2022  
Invoice No. 20220100274

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through December 31, 2021

**INVOICE SUMMARY**

Professional Fees	\$14,046.00
Less 15.0% Discount	(\$2,106.90)
Net Fees	\$11,939.10
Disbursements	\$1,941.82
<b>Total Invoice Amount</b>	<b>\$13,880.92</b>
Previous Balance Due	\$55,468.18
<b>Total Amount Due</b>	<b>\$69,349.10</b>

082085.00 - 00373080  
Maria A. GallJanuary 6, 2022  
Invoice No. 20220100274

### Professional Services

Attorney	Date	Description	Rate	Hours	Amount
<b>AAR - Asset Analysis and Recovery</b>					
Gall,M.A.	12/01/21	Email correspondence with G. Winkler re: response to insurer on employee dishonesty claim	495.00	0.10	49.50
Gall,M.A.	12/01/21	Revise notice of related cases for Roshak Action and draft corollary notice and request to reassign for SEC Action; coordinate with assistants on filing of both	495.00	1.00	495.00
Gall,M.A.	12/01/21	Coordinate with paralegal A. Crawford on letter to Paypal re: unanswered subpoena and approve draft of same	495.00	0.20	99.00
Crawford,A.	12/01/21	Draft letter to CTC re overdue subpoena to PayPal Holdings, Inc.	160.00	0.30	48.00
Gall,M.A.	12/02/21	Multiple email correspondence with [REDACTED] re: meeting concerning subpoena	495.00	0.20	99.00
Gall,M.A.	12/02/21	Email correspondence with R. Ryan re: W. Roshak litigation	495.00	0.10	49.50
Gall,M.A.	12/02/21	Email correspondence re: J. Dapat bankruptcy	495.00	0.10	49.50
Gall,M.A.	12/03/21	Review complaint filed by investor Kim McConal against Profit Connect agents and affiliates; communications with agents and affiliates' counsel; and email correspondence with K. McConal's counsel re: withdrawal of complaint	495.00	1.00	495.00
Gall,M.A.	12/03/21	Attention to vehicle titles in anticipation of auction, including multiple email correspondence with R. Ryan (counsel for W. Roshak) re: titles, review purchase and sale documents re: titles, and email correspondence with G. Winkler re: same	495.00	1.00	495.00
Gall,M.A.	12/06/21	Telephone conference with M. Roshak attorney re: claims against M. Roshak	495.00	0.60	297.00

082085.00 - 00373080  
 Maria A. Gall

January 6, 2022  
 Invoice No. 20220100274

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	12/06/21	Telephone conference with J. Manning re: K. McConal case against J. Nicholas and T. Sutton; follow-up email correspondence re: receiver status report	495.00	0.70	346.50
Gall,M.A.	12/06/21	Email correspondence to G. Winkler, et al. re: K. McConal claims	495.00	0.10	49.50
Gall,M.A.	12/06/21	Coordinate with assistants on ensuring vehicle titles are appropriately provided to auctioneer	495.00	0.10	49.50
Gall,M.A.	12/06/21	Review information on service of Bank of America (Microstamp) subpoena	495.00	0.10	49.50
Gall,M.A.	12/07/21	Review production of documents from JPMorgan Chase to ensure completeness; forward same to receiver team with questions; and coordinate with Stroz Friedberg on uploading of same to Relativity	495.00	0.50	247.50
Gall,M.A.	12/07/21	Coordinate with Stroz Friedberg on review panel for Hayes review	495.00	0.20	99.00
Gall,M.A.	12/07/21	Email correspondence with receiver's team answering question about insurance cancellation and impact on insurance claims	495.00	0.10	49.50
Gall,M.A.	12/07/21	Email correspondence with R. Dieffenderfer re: updating of receiver website with required documents	495.00	0.10	49.50
Gall,M.A.	12/07/21	Begin reviewing Hayes documents in anticipation of assessing claims	495.00	2.00	990.00
Gall,M.A.	12/07/21	Extended email correspondence to paralegal A. Crawford re: assessing subpoena requests and productions to ensure productions are made to other parties	495.00	0.30	148.50
Gall,M.A.	12/08/21	Review responses to insurer questions on employee dishonesty claim drafted by receiver team and provide edits to the same	495.00	0.20	99.00
Gall,M.A.	12/08/21	Review and compare Paypal subpoena responses with subpoena requests; e-mail correspondence to receiver team re: need for further information for Paypal	495.00	0.30	148.50

082085.00 - 00373080  
 Maria A. Gall

January 6, 2022  
 Invoice No. 20220100274

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	12/08/21	Attention to issues surrounding outstanding subpoenas, including documents produced, documents outstanding, and next steps	495.00	1.00	495.00
Crawford,A.	12/08/21	Download and serve documents produced by JP Morgan Chase in response to Receiver's subpoena; update subpoena tracking spreadsheet	160.00	0.30	48.00
Gall,M.A.	12/09/21	Email correspondence with J. Kelleher (attorney for M. Roshak) re: information concerning transfers to W. Roshak	495.00	0.20	99.00
Gall,M.A.	12/09/21	Respond to Paypal with additional information required to process subpoena	495.00	0.30	148.50
Gall,M.A.	12/10/21	Email correspondence with defendants' counsel re: status of new Texas investor action	495.00	0.10	49.50
Gall,M.A.	12/12/21	Draft reply in support of request to resassign Roshak action to Judge Dorsey	495.00	2.00	990.00
Gall,M.A.	12/13/21	Revise reply in support of reassignment of Roshak Action and coordinate with assistants on filing and service of same	495.00	1.00	495.00
Gall,M.A.	12/13/21	Telephone conference with S. Fitts, attorney for M. Roshak, re: extension of time and other issues related to Roshak Action	495.00	0.20	99.00
Gall,M.A.	12/14/21	Conference with G. Winkler at PC warehouse re: outstanding issues, including as related to auction, lease, and litigations	495.00	1.00	495.00
Gall,M.A.	12/14/21	Email correspondence with Bank of America re: subpoena for PC Microstamp account information	495.00	0.20	99.00
Gall,M.A.	12/14/21	Email correspondence with opposing counsel in Roshak Action to set up Rule 26(f) conference required by court	495.00	0.10	49.50
Gall,M.A.	12/14/21	Telephone conference with P. Manning re: his investor action	495.00	0.50	247.50

082085.00 - 00373080  
 Maria A. Gall

January 6, 2022  
 Invoice No. 20220100274

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	12/14/21	Attention to Paypal subpoena response, including e-mail correspondence with Paypal re: status of same, review response to email and documents produced in response, and forward to receiver team with comments	495.00	0.40	198.00
Gall,M.A.	12/15/21	Email correspondence with S. Fitts (counsel for M. Roshak) re: stipulation and order to extend time for M. Roshak to answer	495.00	0.10	49.50
Gall,M.A.	12/15/21	Email correspondence with landlord's counsel re: status of payment	495.00	0.10	49.50
Gall,M.A.	12/16/21	Email correspondence with defendants' counsel in the McConal investor action re: status	495.00	0.10	49.50
Gall,M.A.	12/16/21	Telephone conference with G. Winkler re: vehicle titles	495.00	0.10	49.50
Gall,M.A.	12/20/21	Email correspondence with R. Dieffenderfer re: notarized documents needed from J. Morris re: truck	495.00	0.10	49.50
Gall,M.A.	12/20/21	Email correspondence with G. Winkler re: notarized documents needed from W. Roshak re: side by sides, etc.	495.00	0.10	49.50
Gall,M.A.	12/20/21	Email correspondence to Bank of America re: status of subpoena re: PC Microstamp	495.00	0.10	49.50
Gall,M.A.	12/21/21	Multiple email correspondence with R. Ryan (counsel for W. Roshak) re: need for duplicate titles to side-by-sides and watercraft	495.00	0.20	99.00
Gall,M.A.	12/21/21	Email correspondence with all opposing counsel on Roshak Action re: Rule 26(f) conference	495.00	0.10	49.50
Gall,M.A.	12/21/21	Conference with receivership team re: outstanding items and next steps; action follow-up items post-call	495.00	1.00	495.00
Gall,M.A.	12/22/21	Multiple email correspondence with staff to coordinate W. Roshak notarization and signing of side-by-side and watercraft duplicate titles	495.00	0.30	148.50

082085.00 - 00373080  
Maria A. GallJanuary 6, 2022  
Invoice No. 20220100274

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	12/28/21	Plan and prepare for Rule 26(f) conference with opposing counsel in the Roshak Action, including draft proposed discovery plan and scheduling order to help guide the conference	495.00	1.20	594.00
Gall,M.A.	12/28/21	Rule 26(f) conference with opposing counsel in Roshak Action	495.00	0.70	346.50
Gall,M.A.	12/29/21	Review email from Bank of America re: PC Microstamp subpoena; forward to G. Winkler, et al. with comments on next steps	495.00	0.10	49.50
Gall,M.A.	12/30/21	Preliminary review of production from JPMorgan Chase for 9032 and 8820 accounts; forward to receiver team and Stroz Friedberg team for processing	495.00	0.20	99.00
<b>Total AAR</b>				<b>21.10</b>	<b>10,243.50</b>
<b>CaseAdm - Case Administration</b>					
Gall,M.A.	12/01/21	Review order on status report drafted by K. Andrassy to ensure conformity to local rules and practice and provide comments on same	495.00	0.10	49.50
Clark,A.	12/02/21	Research re: obligations concerning subpoena	315.00	0.60	189.00
Gall,M.A.	12/03/21	Review and analyze research by associate A. Clark re: obligations toward subpoena; e-mail to A. Clark re: further research needed on certain types of communications	495.00	0.30	148.50
Clark,A.	12/03/21	Draft email memo to M. Gall re: obligations concerning subpoena	315.00	1.60	504.00
Clark,A.	12/03/21	Continue research re: obligations concerning subpoena	315.00	4.90	1,543.50
Gall,M.A.	12/06/21	Email correspondence with S. Kopelev and J. Rossi re: searching documents in response to subpoena	495.00	0.10	49.50
Gall,M.A.	12/08/21	Review the Kovars' consent judgments and forward to receiver team	495.00	0.10	49.50
Gall,M.A.	12/09/21	Plan and prepare with G. Winkler and K. Andrassy for meeting with [REDACTED] re: subpoena	495.00	0.50	247.50



082085.00 - 00373080  
Maria A. GallJanuary 6, 2022  
Invoice No. 20220100274

Attorney	Date	Description	Rate	Hours	Amount
Gall,M.A.	12/09/21	Meeting with [REDACTED] re: subpoena	495.00	1.30	643.50
Gall,M.A.	12/09/21	Email to [REDACTED] following up on call re: subpoena	495.00	0.10	49.50
Clark,A.	12/13/21	Follow up with M. Gall re: response to subpoena	315.00	0.10	31.50
Gall,M.A.	12/14/21	Email correspondence with S. Kopelev re: exclusionary searches for potentially privileged communications in connection with subpoena response	495.00	0.10	49.50
Gall,M.A.	12/15/21	Telephone conference with [REDACTED] re: subpoena and follow-up email correspondence re: same	495.00	0.30	148.50
Gall,M.A.	12/15/21	Email correspondence with Stroz Friedberg re: privilege exclusionary search for response to subpoena	495.00	0.20	99.00
<b>Total CaseAdm</b>				<b>10.30</b>	<b>3,802.50</b>
<b>Total Fees</b>				<b>31.40</b>	<b>\$14,046.00</b>
Less 15.0% Discount					(\$2,106.90)

### Summary of Professional Fees

Attorney	Billed Hours	Billed Rate	Billed Amount
Gall,M.A.	23.60	495.00	11,682.00
Clark,A.	7.20	315.00	2,268.00
Crawford,A.	0.60	160.00	96.00
<b>Total Fees</b>	<b>31.40</b>		<b>\$14,046.00</b>
Less 15.0% Discount			(\$2,106.90)

082085.00 - 00373080  
Maria A. GallJanuary 6, 2022  
Invoice No. 20220100274**Disbursements**

<b>Date</b>	<b>Description</b>	<b>Amount</b>
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/10/21	202.20
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/10/21	202.20
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/5/21	86.30
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/5/21	182.29
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/5/21	38.00
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/5/21	192.80
12/01/21	Service of Subpoena American Legal Investigation Services Nevada, Inc.-Invoice#37040378-Dated 11/15/21-Las Vegas process runner 11/5/21	158.20
12/06/21	Delivery Service FedEx Invoice #: 759341757, 12/10/2021, Tracking #: 287154909333, Leanne Cutler, James G Murphy Co, 18226 68TH AVE NE, KENMORE, WA 98028	16.93
12/07/21	Filing Fees VISA_1221_2144_06 - CLARK COUNTY RECORDER: 11/30/21; Fee for recorded copy	42.05
12/07/21	Filing Fees VISA_1221_2144_10 - COURTS/USDC-NV: 11/05/21; Filing Fee for Complaint	402.00
12/27/21	Service of Subpoena American Legal Investigation Services Nevada, Inc. - Inv #37040906 12/15/21 - Las Vegas process, runner and efilng services	202.20
12/27/21	Service of Subpoena American Legal Investigation Services Nevada, Inc. - Inv #37040906 12/15/21 - Las Vegas process, runner and efilng services	181.65
12/28/21	Delivery Service Nationwide Legal Services, LLC-Invoice#00000037147-Dated 12/15/21-Delivery Services from Bill Roshak to Ballard Spahr 12/3/21	35.00
<b>Total Disbursements</b>		<b>\$1,941.82</b>

082085.00 - 00373080  
Maria A. Gall

January 6, 2022  
Invoice No. 20220100274

**Open Invoices as of January 6, 2022**

<b>Invoice Date</b>	<b>Invoice Number</b>	<b>Invoice Amount</b>	<b>Payments</b>	<b>Balance Due</b>
09/12/21	20210901990	\$15,476.85	\$12,420.08	\$3,056.77
10/15/21	20211004945	\$12,954.81	\$10,607.03	\$2,347.78
11/05/21	20211101274	\$24,611.28	\$0.00	\$24,611.28
12/06/21	20211201298	\$25,452.35	\$0.00	\$25,452.35
<b>Total Outstanding AR:</b>				<b>\$55,468.18</b>



TAX IDENTIFICATION NO: 23-0382195

One Summerlin  
1980 Festival Plaza Drive, Suite 900  
Las Vegas, NV 89135-2958  
Tel 702.471.7000  
Fax 702.471.7070

Geoff Winkler  
American Fiduciary Services LLC  
75 NW Hoyst St #4364  
Portland, OR 97208

January 6, 2022  
Invoice No. 20220100274

Client: Winkler, Geoff (082085.00)  
Matter: Confidential (00373080)

FOR PROFESSIONAL SERVICES RENDERED through December 31, 2021

**REMITTANCE ADVICE**

Professional Fees	\$14,046.00
Less 15.0% Discount	(\$2,106.90)
Net Fees	\$11,939.10
Disbursements	\$1,941.82
<b>Total Invoice Amount</b>	<b>\$13,880.92</b>
Previous Balance Due	\$55,468.18
<b>Total Amount Due</b>	<b>\$69,349.10</b>

For billing inquiries please send an email to [CB@ballardspahr.com](mailto:CB@ballardspahr.com)

Our Preferred Method for payment is ACH or Wire Transfer  
<https://ww2.payerexpress.com/ebp/BallardSpahr/Login/Index>

**For Payment by US mail:**

Ballard Spahr LLP  
P O Box 825470  
Philadelphia, PA 19182 5470  
Tel 702.471.7000

**ACH and Wire Payment Instructions**

Bank: PNC Bank, NA  
1600 Market Street, Philadelphia, PA 19103  
ABA No.: 031 0000 53  
Account No.: 85-3131-7345  
Account Name: Ballard Spahr LLP

Due and Payable Within 30 Days

# EXHIBIT "3"

1 Maria A. Gall, Esq.  
Nevada Bar No. 14200  
2 BALLARD SPAHR LLP  
1980 Festival Plaza Drive, Suite 900  
3 Las Vegas, Nevada 89135  
Telephone: (702) 471-7000  
4 Facsimile: (702) 471-7070  
[gallm@ballardspahr.com](mailto:gallm@ballardspahr.com)

5  
6 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
SMILEY WANG-EKVALL, LLP  
7 3200 Park Center Drive, Suite 250  
Costa Mesa, California 92626  
8 Telephone: (714) 445-1000  
Facsimile: (714) 445-1002  
9 [kandrassy@swelawfirm.com](mailto:kandrassy@swelawfirm.com)

10 *Attorneys for Receiver*  
*Geoff Winkler of American Fiduciary Services*

11  
12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
19 BRENT CARSON KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF KYRA E.  
ANDRASSY, ESQ. IN SUPPORT OF  
SECOND INTERIM APPLICATION  
FOR ALLOWANCE AND PAYMENT  
OF FEES AND COSTS OF THE  
RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM OCTOBER 1, 2021, THROUGH  
DECEMBER 31, 2021**

21  
22 I, Kyra E. Andrassy, Esq. declare as follows:

23 1. I am over 21 years old and an attorney with and partner of Smiley Wang-  
24 Ekvall, LLP. I am licensed to practice in California and have been admitted pro hac  
25 vice for purposes of this case.

26 2. By virtue of my position with Smiley Wang-Ekvall, I am competent to  
27 testify to the matters presented in this declaration, and I submit this declaration in  
28 support of the Receiver's application for approval of his fees and costs of my firm and

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

1 those of his professionals in connection with Profit Connect Wealth Services, Inc.  
2 This declaration is based on my personal knowledge, except where made on  
3 information and belief, and as to those matters, I believe them to be true.

4 3. Attached to this declaration is a true and correct copy of the invoice for  
5 the services of my firm for the period from October 1, 2021, through December 31,  
6 2021 (the "Application Period").

7 4. In the ordinary course of Smiley Wang-Ekvall's business, we keep a  
8 record of all time expended by our professionals in the rendering of professional  
9 services on a computerized billing system.

10 5. At or near the time the professional services are rendered, professionals  
11 of the firm record (a) the description of the nature of the services performed, (b) the  
12 duration of the time expended, and (c) the client/matter name or number by either:  
13 (1) writing such information on a time sheet, or (2) inputting such information  
14 directly into the firm's computer billing system.

15 6. For the professionals who record their time using written time sheets,  
16 the information contained in the time sheets is transcribed into the firm's computer  
17 billing system.

18 7. The firm's computer billing system keeps a record of all time spent on a  
19 client/matter, the professional providing the services, and a description of the services  
20 rendered. The firm's computer billing system automatically multiplies the time  
21 expended by each professional by the respective professional's billing rate to calculate  
22 the amount of the fee. The firm conducts its business in reliance on the accuracy of  
23 such business records.

24 8. As reflected in the attached invoice, and pursuant to the Receivership  
25 Order (ECF No. 26) and the Order Authorizing Employment of Counsel (ECF No. 46),  
26 professionals at Smiley-Wang Ekvall assisted the Receiver as follows during the  
27 Application Period:  
28



SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

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- a. filing the motion to employ an auctioneer to sell personal property of the receivership estate;
- b. revising listing agreements with a real estate broker regarding the real property;
- c. preparing a stipulation regarding the procedure for selling the residential real property owned by Profit Connect;
- d. beginning preparation of a motion to retain a broker to list and sell the real property;
- e. preparing and obtaining Court approval of a stipulation to clarify that Profit Connect, a Nevada corporation, was a receivership entity;
- f. communicating with the Kovars regarding the deadline for them to vacate their residence;
- g. preparing documentation to compensate the estate for a loan that Profit Connect made to an employee to purchase a home;
- h. analyzing the issue of who has standing to bring various claims against third parties; and
- i. issuing subpoenas and then meeting and conferring with the recipients regarding both timing and the scope of the requested productions.

9. Smiley Wang-Ekvall has agreed to discount its standard billing rates in connect with this matter by 15 percent, and the fees being requested in this Application and the hourly rates on the invoice reflect this reduction.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on February 7, 2022.

/s/ Kyra E. Andrassy

**ATTACHMENT**

Smiley Wang-Ekvall, LLP  
3200 Park Center Drive, Suite 250  
Costa Mesa, CA 92626  
Phone: 714-445-1000

Geoff Winkler  
Geoff Winkler  
715 NW Hoyt St. #4364  
Portland, OR 97208

January 25, 2022  
Our File: WIN01.0001  
Invoice # 26479

RE: Profit Connect

**Statement of account for services rendered through December 31, 2021**

**Previous Balance** **\$ 8,962.32**

**Professional Services**

<u>Date</u>	<u>Staff</u>	<u>Description</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
10/01/2021	KEA	01 - Asset Analysis and Recovery Prepare correspondence to the Kovars confirming that they are vacating the residence on or before 10-6	0.10	\$ 535.50/hr	\$ 53.55
10/01/2021	KEA	01 - Asset Analysis and Recovery Analysis of standing issue re financial institution claim	0.40	\$ 535.50/hr	\$ 214.20
10/04/2021	KEA	01 - Asset Analysis and Recovery Telephone call with Maria Gall re Dale Hayes and position re house and continued representation of the Kovars	0.10	\$ 535.50/hr	\$ 53.55
10/04/2021	KEA	01 - Asset Analysis and Recovery Exchange of correspondence with G. Winkler re claims against agents being pursued by individual clients	0.10	\$ 535.50/hr	\$ 53.55
10/04/2021	KEA	01 - Asset Analysis and Recovery Exchange of correspondence with Receiver re deadline for Kovars to vacate the house and re authority to	0.10	\$ 535.50/hr	\$ 53.55

		dispose of personal property at the warehouse			
10/05/2021	KEA	01 - Asset Analysis and Recovery Telephone call with team re action items and litigation strategy	0.70	\$ 535.50/hr	\$ 374.85
10/05/2021	KEA	01 - Asset Analysis and Recovery Review info re potential professional liability claims	0.20	\$ 535.50/hr	\$ 107.10
10/08/2021	KEA	01 - Asset Analysis and Recovery Prepare correspondence to client re email from Jeff Sonn re retention by 25 investors	0.10	\$ 535.50/hr	\$ 53.55
10/11/2021	KEA	01 - Asset Analysis and Recovery Prepare settlement agreement with Ramona Uriarte	1.20	\$ 535.50/hr	\$ 642.60
10/12/2021	KEA	01 - Asset Analysis and Recovery Finalize Uriarte agreement and forward same to G. Winkler	0.20	\$ 535.50/hr	\$ 107.10
10/13/2021	KEA	01 - Asset Analysis and Recovery Exchange of correspondence with Maria Gall re HOA dues and property taxes re Uriarte property	0.10	\$ 535.50/hr	\$ 53.55
10/15/2021	KEA	01 - Asset Analysis and Recovery Review info re Roshak receipts	0.10	\$ 535.50/hr	\$ 53.55
10/17/2021	KEA	01 - Asset Analysis and Recovery Review spreadsheet of agent commissions identified so far	0.10	\$ 535.50/hr	\$ 53.55
10/18/2021	KEA	01 - Asset Analysis and Recovery Analysis of issue re Ford truck and potential contribution from employee to same	0.10	\$ 535.50/hr	\$ 53.55
10/18/2021	KEA	01 - Asset Analysis and Recovery Revise settlement agreement with Jocelyn Morris	0.10	\$ 535.50/hr	\$ 53.55
10/19/2021	KEA	01 - Asset Analysis and Recovery Meeting with team re status of various action items and assignment of outstanding tasks	1.10	\$ 535.50/hr	\$ 589.05
10/19/2021	KEA	01 - Asset Analysis and Recovery Prepare correspondence to Receiver re authority to convert cryptocurrency to US dollars without additional court order	0.10	\$ 535.50/hr	\$ 53.55
10/19/2021	KEA	01 - Asset Analysis and Recovery Revise Uriarte grant deed and review Nevada SOS records to	0.30	\$ 535.50/hr	\$ 160.65

		ensure grantee is proper entity			
10/19/2021	KEA	01 - Asset Analysis and Recovery Prepare correspondence to client re revised grant deed and question re correct entity name	0.10	\$ 535.50/hr	\$ 53.55
10/19/2021	KEA	01 - Asset Analysis and Recovery Revise Uriarte agreement re assumption of HOA dues and property taxes	0.10	\$ 535.50/hr	\$ 53.55
10/26/2021	KEA	01 - Asset Analysis and Recovery Weekly meeting re task assignment and strategy	0.90	\$ 535.50/hr	\$ 481.95
10/28/2021	KEA	01 - Asset Analysis and Recovery Meet and confer with counsel for investor re stay issue	0.20	\$ 535.50/hr	\$ 107.10
11/02/2021	KEA	01 - Asset Analysis and Recovery Meeting re strategy re open issues and timing of resolution and information still needed	0.80	\$ 535.50/hr	\$ 428.40
11/02/2021	KEA	01 - Asset Analysis and Recovery Review insurance claim form for theft insurance and exchange of correspondence with G. Winkler re same	0.10	\$ 535.50/hr	\$ 53.55
11/08/2021	KEA	01 - Asset Analysis and Recovery Exchange of correspondence with Receiver re Uriarte documents	0.10	\$ 535.50/hr	\$ 53.55
11/09/2021	KEA	01 - Asset Analysis and Recovery Analysis of topics to cover re meeting with former employee	0.10	\$ 535.50/hr	\$ 53.55
11/09/2021	KEA	01 - Asset Analysis and Recovery Meeting re status of identification and recovery of assets	0.60	\$ 535.50/hr	\$ 321.30
11/09/2021	KEA	01 - Asset Analysis and Recovery Review and execute Fistserv subpoena and prepare instructions re notice of subpoena and necessary redactions	0.10	\$ 535.50/hr	\$ 53.55
11/09/2021	KEA	01 - Asset Analysis and Recovery Review Amazon subpoena and approve for service	0.10	\$ 535.50/hr	\$ 53.55
11/22/2021	KEA	01 - Asset Analysis and Recovery Review objections to subpoena from Amazon	0.20	\$ 535.50/hr	\$ 107.10
11/23/2021	KEA	01 - Asset Analysis and Recovery Attendance at meeting re strategy re	0.70	\$ 535.50/hr	\$ 374.85

Geoff Winkler

		various assets			
11/30/2021	KEA	01 - Asset Analysis and Recovery Attention to deficient Chase production and preparation of supplemental document request not limited to one account	0.20	\$ 535.50/hr	\$ 107.10
11/30/2021	JH	01 - Asset Analysis and Recovery Preparation of subpoena - JPMorgan Chase	0.60	\$ 225.25/hr	\$ 135.15
11/30/2021	KEA	01 - Asset Analysis and Recovery Prepare correspondence to counsel for Amazon re meet and confer re subpoena objections	0.10	\$ 535.50/hr	\$ 53.55
11/30/2021	KEA	01 - Asset Analysis and Recovery Meeting re status of various action items and litigation claims	1.00	\$ 535.50/hr	\$ 535.50
11/30/2021	KEA	01 - Asset Analysis and Recovery Analysis of summary of employee transactions to identify potential claims	0.10	\$ 535.50/hr	\$ 53.55
12/05/2021	KEA	01 - Asset Analysis and Recovery Prepare meet and confer letter to Chase re deficient production	0.40	\$ 535.50/hr	\$ 214.20
12/07/2021	KEA	01 - Asset Analysis and Recovery Review Chase supplemental production re credit cards to verify ability to bring clawback action for payments made on individual account	0.10	\$ 535.50/hr	\$ 53.55
12/07/2021	KEA	01 - Asset Analysis and Recovery Telephone call with Deanna Ahn, counsel for Amazon, re subpoena and Amazon objections to same	0.20	\$ 535.50/hr	\$ 107.10
12/07/2021	KEA	01 - Asset Analysis and Recovery Prepare correspondence to client re Amazon subpoena and additional information we might provide	0.10	\$ 535.50/hr	\$ 53.55
12/09/2021	KEA	01 - Asset Analysis and Recovery Review info re info requested by Amazon for subpoena and forward same to counsel for Amazon	0.10	\$ 535.50/hr	\$ 53.55
12/17/2021	KEA	01 - Asset Analysis and Recovery Telephone call with Cindy Spalding from Chase re request for extension on subpoena	0.10	\$ 535.50/hr	\$ 53.55
12/21/2021	KEA	01 - Asset Analysis and Recovery Review status of production re	0.10	\$ 535.50/hr	\$ 53.55

		Fiserv to prepare demand letter			
12/21/2021	KEA	01 - Asset Analysis and Recovery Prepare letter to Fiserv re subpoena and failure to respond to same	0.30	\$ 535.50/hr	\$ 160.65
12/28/2021	KEA	01 - Asset Analysis and Recovery Review correspondence from Fiserv re acknowledgment of receipt of subpoena and timing of production	0.10	\$ 535.50/hr	\$ 53.55
12/30/2021	KEA	01 - Asset Analysis and Recovery Review and circulate Chase production re Kovar credit card statements	0.30	\$ 535.50/hr	\$ 160.65
		<b>01 - Asset Analysis and Recovery Totals</b>	<b>12.50</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 6,693.75</b>
			<b>0.60</b>	<b>@ \$ 225.25/hr</b>	<b>\$ 135.15</b>
			<b>13.10</b>		<b>\$ 6,828.90</b>
10/18/2021	KEA	02 - Asset Disposition Exchange of correspondence with client re revised auctioneer proposal	0.10	\$ 535.50/hr	\$ 53.55
10/19/2021	KEA	02 - Asset Disposition Finalize auctioneer motion and declaration ISO of same	1.60	\$ 535.50/hr	\$ 856.80
10/20/2021	KEA	02 - Asset Disposition Finalize personal property motion	0.30	\$ 535.50/hr	\$ 160.65
10/20/2021	KEA	02 - Asset Disposition Prepare order	0.10	\$ 535.50/hr	\$ 53.55
10/26/2021	KEA	02 - Asset Disposition Revise personal property motion to address truck disposition	0.40	\$ 535.50/hr	\$ 214.20
10/26/2021	KEA	02 - Asset Disposition Prepare correspondence to SEC re auctioneer motion	0.10	\$ 535.50/hr	\$ 53.55
10/29/2021	KEA	02 - Asset Disposition Telephone call with Katy Wanner re fee application and re auction motion	0.10	\$ 535.50/hr	\$ 53.55
11/01/2021	KEA	02 - Asset Disposition Review Braun proposal re listing properties	0.20	\$ 535.50/hr	\$ 107.10
11/01/2021	KEA	02 - Asset Disposition Review listing agreements and prepare correspondence to Receiver re same	0.30	\$ 535.50/hr	\$ 160.65

Geoff Winkler

11/01/2021	KEA	02 - Asset Disposition Finalize auctioneer motion for filing	0.30	\$ 535.50/hr	\$ 160.65
11/02/2021	KEA	02 - Asset Disposition Exchange of correspondence with Receiver re Searchlight listing agreement	0.10	\$ 535.50/hr	\$ 53.55
11/02/2021	KEA	02 - Asset Disposition Add legal description to Uriarte grant deed	0.20	\$ 535.50/hr	\$ 107.10
11/02/2021	KEA	02 - Asset Disposition Revise Uriarte settlement agreement re date to vacate	0.20	\$ 535.50/hr	\$ 107.10
11/09/2021	KEA	02 - Asset Disposition Prepare addendums to listing agreement with receivership specific provisions	0.40	\$ 535.50/hr	\$ 214.20
11/11/2021	KEA	02 - Asset Disposition Preparation of motion re broker	0.40	\$ 535.50/hr	\$ 214.20
11/11/2021	KEA	02 - Asset Disposition Preparation of correspondence to G. Winkler re Uriarte agreement and sale structure of Searchlight properties	0.10	\$ 535.50/hr	\$ 53.55
11/15/2021	KEA	02 - Asset Disposition Review correspondence from G. Winkler re broker retention and revise motion re same	0.30	\$ 535.50/hr	\$ 160.65
11/16/2021	KEA	02 - Asset Disposition Complete preparation of motion to employ broker	0.90	\$ 535.50/hr	\$ 481.95
11/18/2021	KEA	02 - Asset Disposition Review auction brochure and exchange of correspondence with G. Winkler re not including Tesla	0.10	\$ 535.50/hr	\$ 53.55
11/22/2021	KEA	02 - Asset Disposition Prepare addendum to auction agreement re Searchlight properties	0.20	\$ 535.50/hr	\$ 107.10
11/22/2021	KEA	02 - Asset Disposition Telephone call with Todd Wohl re auction agreement and method of sale for residence	0.30	\$ 535.50/hr	\$ 160.65
11/22/2021	KEA	02 - Asset Disposition Prepare correspondence to G. Winkler re broker's recommendation re sale process re Calvert Cliff residence	0.10	\$ 535.50/hr	\$ 53.55



Geoff Winkler

11/22/2021	KEA	02 - Asset Disposition Revise broker retention motion	0.30	\$ 535.50/hr	\$ 160.65
11/29/2021	KEA	02 - Asset Disposition Prepare correspondence to SEC re proposed stipulation to permit sale of residential property to proceed via private sale without three appraisals	0.10	\$ 535.50/hr	\$ 53.55
11/30/2021	KEA	02 - Asset Disposition Review correspondence from SEC re stipulation to permit sale of residence to proceed without overbid procedure	0.10	\$ 535.50/hr	\$ 53.55
11/30/2021	KEA	02 - Asset Disposition Prepare for hearing re personal property disposition	0.30	\$ 535.50/hr	\$ 160.65
11/30/2021	KEA	02 - Asset Disposition Attendance at hearing on motion to sell personal property and re status report	0.90	\$ 535.50/hr	\$ 481.95
12/14/2021	KEA	02 - Asset Disposition Prepare stipulation re waiver of statutory requirements re sale of residential real estate	0.40	\$ 535.50/hr	\$ 214.20
12/20/2021	KEA	02 - Asset Disposition Prepare correspondence to landlord's counsel re return of warehouse premises and coordination of same	0.10	\$ 535.50/hr	\$ 53.55
12/21/2021	KEA	02 - Asset Disposition Exchange of correspondence with landlord re warehouse following up on date and time for return of premises	0.10	\$ 535.50/hr	\$ 53.55
12/28/2021	KEA	02 - Asset Disposition Exchange of correspondence with Receiver re landlord request re removal of signage	0.10	\$ 535.50/hr	\$ 53.55
		<b>02 - Asset Disposition Totals</b>	<b>9.20</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 4,926.60</b>
			<b>9.20</b>		<b>\$ 4,926.60</b>
10/12/2021	KEA	04 - Case Administration Call re status of various open action items	0.50	\$ 535.50/hr	\$ 267.75
10/19/2021	KEA	04 - Case Administration Review correspondence re grantee	0.10	\$ 535.50/hr	\$ 53.55

		in grant deed and legal name of Profit Connect entity			
10/26/2021	KEA	04 - Case Administration Prepare status report inserts re sales agent commissions and wire transfer issue	0.40	\$ 535.50/hr	\$ 214.20
10/27/2021	KEA	04 - Case Administration Preparation of insert in status report re notice to investors	0.30	\$ 535.50/hr	\$ 160.65
10/28/2021	KEA	04 - Case Administration Strategize re resolution of issue re warehouse lease	0.30	\$ 535.50/hr	\$ 160.65
10/28/2021	KEA	04 - Case Administration Telephone call with Geoff Winkler re status report	0.10	\$ 535.50/hr	\$ 53.55
10/28/2021	KEA	04 - Case Administration Preparation of insert to status report re liens	0.10	\$ 535.50/hr	\$ 53.55
10/29/2021	KEA	04 - Case Administration Revise status report	0.40	\$ 535.50/hr	\$ 214.20
11/01/2021	KEA	04 - Case Administration Finalize status report for filing	0.20	\$ 535.50/hr	\$ 107.10
11/01/2021	KEA	04 - Case Administration Telephone call with G. Winkler re status report	0.10	\$ 535.50/hr	\$ 53.55
11/05/2021	KEA	04 - Case Administration Prepare correspondence to SEC re Profit Connect, a Nevada corporation, and stipulation re same	0.10	\$ 535.50/hr	\$ 53.55
11/08/2021	KEA	04 - Case Administration Prepare stipulation to clarify that Profit Connect, a Nevada corporation, is a receivership entity	0.40	\$ 535.50/hr	\$ 214.20
11/08/2021	KEA	04 - Case Administration Prepare correspondence to SEC re draft of stipulation to clarify that Profit Connect, a Nevada corporation, is a receivership entity	0.10	\$ 535.50/hr	\$ 53.55
11/16/2021	KEA	04 - Case Administration Meeting re current action items	0.70	\$ 535.50/hr	\$ 374.85
11/16/2021	KEA	04 - Case Administration Review subpoena and exchange of correspondence re same	0.10	\$ 535.50/hr	\$ 53.55
11/18/2021	KEA	04 - Case Administration Meeting with Receiver re subpoena	0.20	\$ 535.50/hr	\$ 107.10

Geoff Winkler

11/23/2021	KEA	04 - Case Administration Exchange of correspondence with SEC re stipulation to add Profit Connect, a Nevada corporation, as one of the receivership entities	0.10	\$ 535.50/hr	\$ 53.55
11/29/2021	KEA	04 - Case Administration Finalize stipulation re Profit Connect, a Nevada corporation	0.20	\$ 535.50/hr	\$ 107.10
11/29/2021	KEA	04 - Case Administration Prepare correspondence to Kovars and SEC re authority to affix electronic signatures to stipulation re Profit Connect, a Nevada corporation	0.10	\$ 535.50/hr	\$ 53.55
11/30/2021	KEA	04 - Case Administration Review status report in advance of hearings today	0.30	\$ 535.50/hr	\$ 160.65
12/01/2021	KEA	04 - Case Administration Prepare order re status report and petition for instructions	0.40	\$ 535.50/hr	\$ 214.20
12/01/2021	KEA	04 - Case Administration Revise order and prepare correspondence to K. Wanner re same	0.10	\$ 535.50/hr	\$ 53.55
12/07/2021	KEA	04 - Case Administration Prepare correspondence to the Kovars re status of stipulation re Profit Connect, a Nevada corporation	0.10	\$ 535.50/hr	\$ 53.55
12/09/2021	KEA	04 - Case Administration Finalize stipulation clarifying receivership order re Profit Connect, a Nevada corporation	0.10	\$ 535.50/hr	\$ 53.55
12/09/2021	KEA	04 - Case Administration Telephone call with G. Winkler and M. Gall re privilege issues and subpoena	0.50	\$ 535.50/hr	\$ 267.75
12/09/2021	KEA	04 - Case Administration Telephone meeting re document production and info in Relativity database	1.20	\$ 535.50/hr	\$ 642.60
12/14/2021	KEA	04 - Case Administration Review order approving stipulation re Profit Connect, a Nevada corporation, and forward same to Receiver	0.10	\$ 535.50/hr	\$ 53.55
12/16/2021	KEA	04 - Case Administration	0.10	\$ 535.50/hr	\$ 53.55

		Prepare correspondence to G. Winkler re proposed landlord resolution re warehouse			
12/21/2021	KEA	04 - Case Administration Meeting re litigation strategy and status of various open items	1.00	\$ 535.50/hr	\$ 535.50
		<b>04 - Case Administration Totals</b>	<b>8.40</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 4,498.20</b>
			<b>8.40</b>		<b>\$ 4,498.20</b>
12/02/2021	KEA	07 - Employment Applications Review Baker Tilly engagement agreement and prepare correspondence to client re payment methodology	0.10	\$ 535.50/hr	\$ 53.55
12/21/2021	KEA	07 - Employment Applications Review revised engagement letter from BakerTilly	0.10	\$ 535.50/hr	\$ 53.55
12/21/2021	KEA	07 - Employment Applications Prepare correspondence to Stacy Chiang re approval of engagement letter from BakerTilly and info needed for application to employ	0.10	\$ 535.50/hr	\$ 53.55
12/21/2021	KEA	07 - Employment Applications Prepare correspondence to Stacy Chiang re one change to engagement letter	0.10	\$ 535.50/hr	\$ 53.55
12/21/2021	KEA	07 - Employment Applications Prepare Baker Tilly employment application and Winkler declaration in support of same	1.20	\$ 535.50/hr	\$ 642.60
12/22/2021	KEA	07 - Employment Applications Review revised BakerTilly engagement letter and exchange of correspondence with Stacy Elledge Chiang re same	0.20	\$ 535.50/hr	\$ 107.10
12/22/2021	KEA	07 - Employment Applications Revise Winkler declaration and prepare declaration of risk manager at Baker Tilly re absence of conflicts	0.60	\$ 535.50/hr	\$ 321.30
		<b>07 - Employment Applications Totals</b>	<b>2.40</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 1,285.20</b>
			<b>2.40</b>		<b>\$ 1,285.20</b>
10/01/2021	KEA	10 - Litigation	0.30	\$ 535.50/hr	\$ 160.65

		Telephone call with Maria Gall re Jeff Sonn and potential claims of investors/estate			
10/04/2021	KEA	10 - Litigation Analysis of claims re agents for fraudulent transfers and potential need for a stay of certain proceedings in favor of the receivership estate	0.10	\$ 535.50/hr	\$ 53.55
11/08/2021	KEA	10 - Litigation Review draft motion re injunction re agent lawsuits and exchange of correspondence with M. Gall and G. Winkler re same	0.30	\$ 535.50/hr	\$ 160.65
11/17/2021	KEA	10 - Litigation Analysis of request for extension of time to respond to motion re agent litigation	0.10	\$ 535.50/hr	\$ 53.55
		<b>10 - Litigation Totals</b>	<b>0.80</b>	<b>@ \$ 535.50/hr</b>	<b>\$ 428.40</b>
			<b>0.80</b>		<b>\$ 428.40</b>
10/26/2021	KEA	13 - Fee Applications Prepare first interim fee application shell	1.20	\$ 0.00/hr	No Charge
10/28/2021	KEA	13 - Fee Applications Finalize fee application and prepare correspondence to SEC for its review prior to filing	0.50	\$ 0.00/hr	No Charge
10/29/2021	KEA	13 - Fee Applications Revise fee application and recirculate	0.20	\$ 0.00/hr	No Charge
11/01/2021	KEA	13 - Fee Applications Finalize declarations ISO fee app	0.40	\$ 0.00/hr	No Charge
11/30/2021	KEA	13 - Fee Applications Review fee application in advance of hearing today	0.20	\$ 0.00/hr	No Charge
		<b>13 - Fee Applications Totals</b>	<b>2.50</b>	<b>@ \$ 0.00/hr</b>	<b>\$ 0.00</b>
			<b>2.50</b>		<b>\$ 0.00</b>
<b>Total Professional Services</b>			<b>36.40</b>		<b>\$ 17,967.30</b>

**Costs And Disbursements**

<u>Date</u>	<u>Description</u>	<u>Amount</u>
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Geoff Winkler

11/02/2021	Attorney Service: First Legal Delivery of Judge's Courtesy Copies - First Interim Fee App of Receiver; First Status Report of Receiver	\$ 219.25
11/09/2021	Attorney Service: First Legal Service of Subpoena on Fiserv. Inc.	\$ 309.25
11/10/2021	Attorney Service: First Legal Service of Subpoena on Amazon.com	\$ 329.41
	<b>Attorney Service Totals</b>	<b>\$ 857.91</b>
11/01/2021	Copies: Status Report and Fee App	\$ 34.40
12/06/2021	Copies: Correspondence to JP Morgan Chase re: Subpoena	\$ 3.60
12/21/2021	Copies: Letter Correspondence to Fiserv re: Subpoena	\$ 1.20
	<b>Copies Totals</b>	<b>\$ 39.20</b>
11/01/2021	Postage: Status Report and Fee App	\$ 4.09
12/06/2021	Postage: Correspondence to JP Morgan Chase re: Subpoena	\$ 1.46
12/21/2021	Postage: Letter Correspondence to Fiserv re: Subpoena	\$ 0.73
	<b>Mailing/Postage Totals</b>	<b>\$ 6.28</b>
10/01/2021	Pacer Online Research	\$ 13.50
10/20/2021	Pacer Online Research	\$ 1.50
	<b>Pacer Fee Totals</b>	<b>\$ 15.00</b>
11/16/2021	West Law Online Research	\$ 23.02
	<b>West Law Totals</b>	<b>\$ 23.02</b>
	<b>Total Costs and Disbursements</b>	<b>\$ 941.41</b>

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<b>Total Current Charges</b>	<b>\$ 18,908.71</b>
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**Summary Of Account**

Balance Forward	\$ 8,962.32
Total Current Charges	\$ 18,908.71
Less Payments And Credits	
	12/3/2021 Payment - Check (\$7,475.33)
<b>Balance Due</b>	<b>\$ 20,395.70</b>

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**Notes:**

Wire Transfer Instructions:  
City National Bank  
555 South Flower Street  
Los Angeles, CA 90071  
Routing Number: 122016066  
Account Number: 023904985

**Trust Account Summary**

**Billing Period: 10/01/2021 - 01/25/2022**

**Client: Geoff Winkler | General Matter Trust**

<b>Total Deposits</b>	<b>Total Disbursements</b>	<b>Current Balance</b>
\$0.00	\$0.00	\$0.00

<b>Date</b>	<b>Transaction</b>	<b>Deposit</b>	<b>Disbursement</b>	<b>Balance</b>
No activity for this billing period.				

**User Hours Summary**

**Billing Period: 10/01/2021 - 12/31/2021**

**User Hour Totals**

<b>User Initials</b>	<b>User</b>	<b>Hours Billed</b>	<b>Rate/Hour</b>	<b>Amount Billed</b>
KEA	Kyra E Andrassy	2.50	\$ 0.00	\$ 0.00
KEA	Kyra E Andrassy	33.30	\$ 535.50	\$ 17,832.15
JH	Janet Hogan	0.60	\$ 225.25	\$ 135.15
Totals		36.40		\$ 17,967.30



# EXHIBIT "4"

1 Maria A. Gall, Esq.  
Nevada Bar No. 14200  
2 BALLARD SPAHR LLP  
1980 Festival Plaza Drive, Suite 900  
3 Las Vegas, Nevada 89135  
Telephone: (702) 471-7000  
4 Facsimile: (702) 471-7070  
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5  
6 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
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8 Telephone: (714) 445-1000  
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9 [kandrassy@swelawfirm.com](mailto:kandrassy@swelawfirm.com)

10 *Attorneys for Receiver*  
*Geoff Winkler of American Fiduciary Services*

11  
12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
19 BRENT CARSON KOVAR,

20 Defendants.

Case No. 2:21-cv-01298-JAD-BNW

**DECLARATION OF SERGIO  
KOPELEV IN SUPPORT OF SECOND  
INTERIM APPLICATION FOR  
ALLOWANCE AND PAYMENT OF  
FEES AND COSTS OF THE  
RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM OCTOBER 1, 2021, THROUGH  
DECEMBER 31, 2021**

21  
22 I, Sergio Kopelev, declare as follows:

23 1. I am over 21 years old and Vice President of Engagement Management  
24 with Stroz Friedberg, where I am responsible for directing engagements involving  
25 cybercrime and data breach response, digital forensic, intellectual property  
26 protection, and electronic discovery.

27 2. By virtue of my position with Stroz Friedberg, I am competent to testify  
28 to the matters presented in this declaration, and I submit this declaration in support

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

1 of the Receiver’s application for approval of his fees and costs of my firm and those of  
2 his professionals in connection with Profit Connect Wealth Services, Inc. This  
3 declaration is based on my personal knowledge, except where made on information  
4 and belief, and as to those matters, I believe them to be true.

5 3. Attached to this declaration is a true and correct copy of the invoices for  
6 the services of my firm for the period from October 1, 2021, through December 31,  
7 2021 (the “Application Period”).

8 4. In the ordinary course of Stroz Friedberg’s business, we keep a record of  
9 all time expended by our professionals in the rendering of professional services on a  
10 computerized billing system.

11 5. At or near the time the professional services are rendered, professionals  
12 of the firm record (a) the description of the nature of the services performed, (b) the  
13 duration of the time expended, and (c) the client/matter name or number by either:  
14 (1) writing such information on a time sheet, or (2) inputting such information  
15 directly into the firm's computer billing system.

16 6. For the professionals who record their time using written time sheets,  
17 the information contained in the time sheets is transcribed into the firm’s computer  
18 billing system.

19 7. The firm’s computer billing system keeps a record of all time spent on a  
20 client/matter, the professional providing the services, and a description of the services  
21 rendered. The firm’s computer billing system automatically multiplies the time  
22 expended by each professional by the respective professional's billing rate to calculate  
23 the amount of the fee. The firm conducts its business in reliance on the accuracy of  
24 such business records.

25 8. As reflected in the attached invoice, and pursuant to the Receivership  
26 Order (ECF No. 26) and the Order Authorizing Employment of Computer Forensic  
27 Experts (ECF No. 46), professionals at Stroz Friedberg assisted the Receiver as  
28 follows during the Application Period: (1) continuing to inventory digital devices used

1 by Profit Connect principals and employees; (2) continuing to gain access to and  
2 export financial data for Profit Connect; (3) continuing to perform forensic collection  
3 of data from various digital devices; (4) continuing to export data from various digital  
4 devices; (5) continuing to process and host data exported from various digital devices;  
5 and (6) continuing to perform searches of hosted data. Stroz Friedberg has agreed to  
6 discount its standard billing rates by providing a blended rate of \$525.00 per hour for  
7 forensic professionals, which takes into account its current hourly rates ranging from  
8 \$110.00-\$275.00 per hour for administrative professionals; \$150 - \$360 for  
9 Specialists, Analysts, and Associates; \$275 - \$565 for Consultants; \$385 - \$660 for  
10 Managers and Directors: \$385 - \$660; \$550 to \$935 for Vice Presidents and Managing  
11 Directors; and \$935.00-\$1,250.00 per hour for its c-suite executives. Electronic  
12 Discovery Project managers are billed at \$195 per hour and engineers are billed at  
13 \$400 per hour, which are less than the forensic blended hourly rate.

14 I declare under penalty of perjury that the foregoing is true and correct.

15 Executed on February 7, 2022.

16 /s/ Sergio Kopelev

SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
(714) 445-1000 FAX (714) 445-1002

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**ATTACHMENT**

**INVOICE**

**Invoice Number:** K0301-0009031  
**Customer Number:** 104460608

**Invoice Date:** 01 Dec 2021**Due Date:** 31 Dec 2021**Email:** geoff@americanfiduciaryservices.com**Project ID:** PRJ-0000030416**Project Name:** Project Valeria - EDD**Project Manager:** Sergio Kopelev

Geoff Winkler  
 American Fiduciary Services, LLC  
 715 NW Hoyt Street, #4364  
 Portland, OR 97208

For services provided from 01 Oct 2021 to 31 Oct 2021	
Other Charges/Services	3,454.06
Hourly Fees	3,052.50
<b>Sub-total:</b>	6,506.56
<b>Total Payable in USD:</b>	6,506.56

Payment is due within 30 days of the invoice date.  
**Please indicate Customer # 104460608, Invoice # K0301-0009031 and the amount paid on the Electronic Payment or Check to ensure funds are applied to your account.**

Electronic Payment	Check	Tax IDs	Informational copies sent to:
Stroz Friedberg, LLC JPMorgan Chase Bank, N.A. 1 Chase Manhattan Plaza New York, NY 10005 United States of America <b>SWIFT Code:</b> CHASUS33 <b>ABA Routing Number:</b> 021000021 <b>Account Number:</b> 441613064165	Stroz Friedberg, LLC PO Box 975348 Dallas, TX 75397-5348		

If you have questions regarding the charges on this invoice, please contact your Aon Representative. If you need assistance with paying this invoice, please email [Financial.Accounts.Receivable@aon.com](mailto:Financial.Accounts.Receivable@aon.com).

**INVOICE**

**Invoice Number:** K0301-0009031  
**Customer Number:** 104460608

**Other Charges/Services Summary**

<b>Service</b>	<b>Quantity</b>	<b>Amount</b>
IN Hosting (per GB)	441.51	2,649.06
Full Processing (per GB)	1	125.00
User License(s)	8	680.00
	<b>Sub-total:</b>	<b>3,454.06</b>

**Hourly Fees Summary**

<b>Consultant</b>	<b>Hours</b>	<b>Amount</b>
Joe Rossi	6.75	1,316.25
Sam Bastawros	1.50	292.50
Sergio Kopelev	2.75	1,443.75
	<b>Sub-total:</b>	<b>3,052.50</b>

**INVOICE**

Invoice Number: K0301-0009031  
Customer Number: 104460608

**Hourly Fees Details**

<b>Date</b>	<b>Consultant</b>	<b>Narrative</b>	<b>Hours</b>	<b>Amount</b>
04 Oct 2021	Joe Rossi	Processing and custom searches per M. Gall requests.	1.75	341.25
07 Oct 2021	Sergio Kopelev	Follow-up with counsel.	0.25	131.25
08 Oct 2021	Joe Rossi	Processing and custom searches per M. Gall requests.	1.75	341.25
13 Oct 2021	Joe Rossi	Custom processing request per M. Gall.	1.25	243.75
13 Oct 2021	Sergio Kopelev	Confer with and direct staff; review and consider requests from Receiver Staff.	0.50	262.50
14 Oct 2021	Sergio Kopelev	Confer with and direct staff.	0.25	131.25
15 Oct 2021	Sam Bastawros	Attend a kick-off Relativity training session to support with any technical Relativity questions. Prepare a new receiver panel layout.	1.50	292.50
15 Oct 2021	Sergio Kopelev	Confer with and direct staff; locate documents; provide update to Receiver Staff.	1.00	525.00
18 Oct 2021	Sergio Kopelev	Follow-up with counsel.	0.25	131.25
20 Oct 2021	Joe Rossi	Custom processing request per M. Gall.	2.00	390.00
25 Oct 2021	Sergio Kopelev	Follow-up with counsel.	0.25	131.25
29 Oct 2021	Sergio Kopelev	Follow-up with counsel; follow-up with receiver staff.	0.25	131.25
<b>Sub-total Hourly Fees Details</b>			<b>11</b>	<b>3,052.50</b>



**INVOICE**

**Invoice Number:** K0301-0009532  
**Customer Number:** 104460608

**Invoice Date:** 23 Dec 2021**Due Date:** 22 Jan 2022**Email:** geoff@americanfiduciaryservices.com**Project ID:** PRJ-0000030415**Project Name:** Project Valeria**Project Manager:** Sergio Kopelev

Geoff Winkler  
 American Fiduciary Services, LLC  
 715 NW Hoyt Street, #4364  
 Portland, OR 97208

For services provided from 01 Nov 2021 to 30 Nov 2021	
Other Charges/Services	3,454.24
Hourly Fees	3,791.25
<b>Sub-total:</b>	7,245.49
<b>Total Payable in USD:</b>	7,245.49

Payment is due within 30 days of the invoice date.  
**Please indicate Customer # 104460608, Invoice # K0301-0009532 and the amount paid on the Electronic Payment or Check to ensure funds are applied to your account.**

Electronic Payment	Check	Tax IDs	Informational copies sent to:
Stroz Friedberg, LLC JPMorgan Chase Bank, N.A. 1 Chase Manhattan Plaza New York, NY 10005 United States of America <b>SWIFT Code:</b> CHASUS33 <b>ABA Routing Number:</b> 021000021 <b>Account Number:</b> 441613064165	Stroz Friedberg, LLC PO Box 975348 Dallas, TX 75397-5348		

If you have questions regarding the charges on this invoice, please contact your Aon Representative. If you need assistance with paying this invoice, please email [Financial.Accounts.Receivable@aon.com](mailto:Financial.Accounts.Receivable@aon.com).

**INVOICE**

**Invoice Number:** K0301-0009532  
**Customer Number:** 104460608

**Other Charges/Services Summary**

<b>Service</b>	<b>Quantity</b>	<b>Amount</b>
IN Hosting (per GB)	441.54	2,649.24
Full Processing (per GB)	1	125.00
User License(s)	8	680.00
	<b>Sub-total:</b>	<b>3,454.24</b>

**Hourly Fees Summary**

<b>Consultant</b>	<b>Hours</b>	<b>Amount</b>
Allan Vogel	2.00	1,050.00
Joe Rossi	8.00	1,560.00
Sergio Kopelev	2.25	1,181.25
	<b>Sub-total:</b>	<b>3,791.25</b>

**INVOICE**

**Invoice Number:** K0301-0009532  
**Customer Number:** 104460608

**Hourly Fees Details**

<b>Date</b>	<b>Consultant</b>	<b>Narrative</b>	<b>Hours</b>	<b>Amount</b>
02 Nov 2021	Joe Rossi	Custom processing and searches per M. Gall requests.	2.00	390.00
08 Nov 2021	Joe Rossi	Custom search and PDF export per M. Gall requests.	1.50	292.50
09 Nov 2021	Joe Rossi	Custom native export per J. Hall request.	1.50	292.50
12 Nov 2021	Joe Rossi	Custom data load and PDF export per M. Gall requests.	1.00	195.00
12 Nov 2021	Sergio Kopelev	Follow-up with counsel.	0.25	131.25
15 Nov 2021	Joe Rossi	Custom searches and export per M. Gall requests.	2.00	390.00
18 Nov 2021	Sergio Kopelev	Confer with receiver; review subpoena; confer with counsel; confer with and direct staff.	0.75	393.75
19 Nov 2021	Allan Vogel	Discussion regarding evidence and EDD	1.00	525.00
19 Nov 2021	Sergio Kopelev	Confer with and direct staff.	0.25	131.25
22 Nov 2021	Sergio Kopelev	Confer with and direct staff; call with counsel and receiver staff.	0.50	262.50
23 Nov 2021	Sergio Kopelev	Follow-up with receiver.	0.25	131.25
24 Nov 2021	Sergio Kopelev	Respond to questions from counsel.	0.25	131.25
30 Nov 2021	Allan Vogel	Worked on resetting door codes for interior doors	1.00	525.00
<b>Sub-total Hourly Fees Details</b>			<b>12.25</b>	<b>3,791.25</b>

**INVOICE**

**Invoice Number:** K0301-0010078  
**Customer Number:** 104460608

**Invoice Date:** 20 Jan 2022**Due Date:** 19 Feb 2022**Email:** geoff@americanfiduciaryservices.com**Project ID:** PRJ-0000030416**Project Name:** Project Valeria - EDD**Project Manager:** Sergio Kopelev

Geoff Winkler  
 American Fiduciary Services, LLC  
 715 NW Hoyt Street, #4364  
 Portland, OR 97208

For services provided through Dec 2021	
Other Charges/Services	8,156.39
Hourly Fees	3,915.00
<b>Sub-total:</b>	12,071.39
<b>Total Payable in USD:</b>	12,071.39

Payment is due within 30 days of the invoice date.

**Please indicate Customer # 104460608, Invoice # K0301-0010078 and the amount paid on the Electronic Payment or Check to ensure funds are applied to your account.**

Electronic Payment	Check	Tax IDs	Informational copies sent to:
Stroz Friedberg, LLC JPMorgan Chase Bank, N.A. 1 Chase Manhattan Plaza New York, NY 10005 United States of America <b>SWIFT Code:</b> CHASUS33 <b>ABA Routing Number:</b> 021000021 <b>Account Number:</b> 441613064165	Stroz Friedberg, LLC PO Box 975348 Dallas, TX 75397-5348		

If you have questions regarding the charges on this invoice, please contact your Aon Representative. If you need assistance with paying this invoice, please email SF.AR@strozfriedberg.com.

**INVOICE**

**Invoice Number:** K0301-0010078  
**Customer Number:** 104460608



<b>Other Charges/Services Summary</b>		
<b>Service</b>	<b>Quantity</b>	<b>Amount</b>
IN Hosting (per GB)	450.44	2,702.64
Full Processing (per GB)	38.19	4,773.75
User License(s)	8	680.00
	<b>Sub-total:</b>	<b>8,156.39</b>
<b>Hourly Fees Summary</b>		
<b>Consultant</b>	<b>Hours</b>	<b>Amount</b>
Joe Rossi	12.00	2,340.00
Sergio Kopelev	3.00	1,575.00
	<b>Sub-total:</b>	<b>3,915.00</b>

**INVOICE**

**Invoice Number:** K0301-0010078  
**Customer Number:** 104460608

**Hourly Fees Details**

<b>Date</b>	<b>Consultant</b>	<b>Narrative</b>	<b>Hours</b>	<b>Amount</b>
06 Dec 2021	Joe Rossi	Search term reporting.	2.00	390.00
06 Dec 2021	Sergio Kopelev	Respond to request from counsel; confer with and direct staff.	0.50	262.50
07 Dec 2021	Joe Rossi	Custom searching and reporting per M. Gall requests.	3.50	682.50
09 Dec 2021	Sergio Kopelev	Call with , follow-up with receiver and counsel, confer with and direct staff.	1.00	525.00
10 Dec 2021	Joe Rossi	Custom searching and reporting per M. Gall requests.	1.50	292.50
13 Dec 2021	Sergio Kopelev	Confer with and direct staff; confer with counsel.	0.50	262.50
15 Dec 2021	Sergio Kopelev	Follow-up with counsel.	0.25	131.25
20 Dec 2021	Joe Rossi	Data processing and searching per M. Gall requests.	1.50	292.50
20 Dec 2021	Sergio Kopelev	Confer with and direct staff.	0.25	131.25
21 Dec 2021	Sergio Kopelev	Confer with and direct staff.	0.25	131.25
22 Dec 2021	Joe Rossi	Data processing and searching per M. Gall requests.	1.50	292.50
30 Dec 2021	Joe Rossi	Processing and searches per M. Gall requests.	2.00	390.00
30 Dec 2021	Sergio Kopelev	Confer with and direct staff	0.25	131.25
<b>Sub-total Hourly Fees Details</b>			<b>15</b>	<b>3,915.00</b>

# EXHIBIT "5"

1 Kyra E. Andrassy, Esq.  
Admitted *Pro Hac Vice*  
2 SMILEY WANG-EKVALL, LLP  
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3 Costa Mesa, California 92626  
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4 Facsimile: (714) 445-1002  
kandrassy@swelawfirm.com

5 Maria A. Gall, Esq.  
6 Nevada Bar No. 14200  
BALLARD SPAHR LLP  
7 1980 Festival Plaza Drive, Suite 900  
Las Vegas, Nevada 89135  
8 Telephone: (702) 471-7000  
Facsimile: (702) 471-7070  
9 [gallm@ballardspahr.com](mailto:gallm@ballardspahr.com)

10 *Attorneys for Receiver*  
*Geoff Winkler of American Fiduciary Services*

11  
12 UNITED STATES DISTRICT COURT  
13 DISTRICT OF NEVADA

14 SECURITIES AND EXCHANGE  
COMMISSION,

15 Plaintiff,

16 v.

17 PROFIT CONNECT WEALTH  
18 SERVICES, INC., JOY I. KOVAR, and  
BRENT CARSON KOVAR,

19 Defendants.  
20  
21  
22

Case No. 2:21-cv-01298-JAD-BNW

**[PROPOSED] ORDER APPROVING  
APPLICATION FOR ALLOWANCE  
AND PAYMENT OF FEES AND COSTS  
OF THE RECEIVER AND HIS  
PROFESSIONALS FOR THE PERIOD  
FROM OCTOBER 1, 2021, THROUGH  
DECEMBER 31, 2021**

23 The Court having reviewed the *Application for Allowance and Payment of Fees*  
24 *and Costs of the Receiver and His Professionals for the Period from October 1, 2021,*  
25 *Through December 31, 2021* and the Court having found that notice was proper and  
26 that cause exists to approve the Application,

27 **IT IS ORDERED AS FOLLOWS:**  
28



1 (1) Geoff Winkler (the "Receiver") and American Fiduciary Services are  
2 allowed \$284,348.00 in fees and \$12,085.57 in costs;

3 (2) Ballard Spahr is allowed \$60,977.72 in fees and \$2,966.83 in costs;

4 (3) Smiley Wang-Ekvall, LLP is allowed \$17,967.30 in fees and \$941.41 in  
5 costs;

6 (4) Stroz Friedberg is allowed \$10,758.75 in fees and \$15,064.69 in costs;

7 and

8 (5) The Receiver is authorized to pay 80% of the allowed fees and 100% of  
9 the allowed costs from funds on hand in the receivership estate.

10

11 **IT IS SO ORDERED.**

12

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DATED: \_\_\_\_\_

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SMILEY WANG-EKVALL, LLP  
3200 PARK CENTER DRIVE, SUITE 250  
COSTA MESA, CALIFORNIA 92626  
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**CERTIFICATE OF SERVICE**

**STATE OF NEVADA, DISTRICT COURT, DISTRICT OF NEVADA**

At the time of service, I was over 18 years of age and not a party to this action. I am employed in the County of Orange, State of California. My business address is 3200 Park Center Drive, Suite 250, Costa Mesa, CA 92626.

On 2/7/2022, I served true copies of the following document(s) described as

**APPLICATION FOR ALLOWANCE AND PAYMENT OF FEES AND COSTS OF THE RECEIVER AND HIS PROFESSIONALS FOR THE PERIOD FROM OCTOBER 1, 2021, THROUGH DECEMBER 31, 2021** on the interested parties in this action as follows:

**SEE ATTACHED SERVICE LIST**

**(X) (BY COURT VIA NOTICE OF ELECTRONIC FILING (“NEF”))** – The foregoing document will be served by the court via NEF and hyperlinked to the document. On 2/7/2022, I checked the CM/ECF docket for this case and determined that the aforementioned person(s) are on the Electronic Mail Notice List to receive NEF transmission at the email address(es) indicated.

**(X) (BY U.S. MAIL).** I enclosed the document(s) in a sealed envelope or package and placed the envelope for collection and mailing, following our ordinary business practices. I am readily familiar with the practice of Smiley Wang-Ekvall, LLP for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with USPS in a sealed envelope with postage fully prepaid. I am a resident or employed in the county where the mailing occurred. The envelope was placed in the mail at Costa Mesa, California.

Executed on February 7, 2022, at Costa Mesa, California.

*/s/ Lynnette Garrett*  
Lynnette Garrett

**SMILEY WANG-EKVALL, LLP**  
3200 Park Center Drive, Suite 250  
Costa Mesa, California 92626  
Tel 714 445-1000 • Fax 714 445-1002

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**SERVICE LIST**

**BY COURT VIA NOTICE OF ELECTRONIC FILING (“NEF”):**

- **Kyra E. Andrassy**  
kandrassy@swelawfirm.com
- **Maria A. Gall**  
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- **Theresa Melson**  
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**BY U.S. MAIL:**

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