

# STATE OF CONNECTICUT

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION DIVISION OF SCIENTIFIC SERVICES



Guy M. Vallaro, Ph.D. Director

## DNA REPORT

Laboratory Case DSS-20-002258

Submitting Agency
Trumbull Police Department
158 Edison Rd
Trumbull, CT 06611

Date of Request 6/5/2020

Agency Case 2000008424

<u>Pate of Report</u> 8/27/2020

## EVIDENCE DESCRIPTION

#002-002 #003-002	Stain- comforter
#003-003 #003-004	Stain- from sheet upper portion area Stain- from sheet upper portion area
#003-005	Stain- from sheet upper portion area Stain- from sheet upper portion area
#004	(#24338) Known buccal sample, Nicholas Hall

### TESTING SUMMARY

Item #	Profile C	Obtained <sup>1</sup>	
i céitt ti	GF	YFP	CODIS Entry
002-002	Yes	Yes	No - Eligible Portion Insufficient
003-002	Yes	Yes	No - Eligible Portion Insufficient
003-003	Yes	Yes	No - Not Appropriate
003-004	Yes	No	No - Not Appropriate
003-005	Yes		No - Not Appropriate
. 0.04	Yesa	Yes	

<sup>&</sup>lt;sup>1</sup>GF = GlobalFiler STR DNA amplification kit. <sup>8</sup> = GlobalFiler Express. YFP = Yfiler Plus Y-STR DNA amplification kit (This kit detects only male DNA.)

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### CONCLUSIONS SUMMARY

Item #	Description	Test	Туре	Nicholas Hall (#004)
002-002	Stain-comforter	GF	Mixture	Eliminated
	· Compression	YFP	Male	Consistent with Source <sup>1</sup>
003-002	Stain- from sheet upper portion area		Mixture	Elîminated
	agan inout anger apper pointit area	YFP	Male	Consistent with Source <sup>1</sup>
ÖÓ3-003	Stain- from sheet upper portion area	GF	Female	Eliminated
	o can morn small appear portion area	YFÞ	Male	Consistent with Source <sup>1</sup>
003-004	Stain-from sheet upper portion area	GF·	Female	Eliminated
003-005	Stain- from sheet upper portion area	GF	Female	Eliminated

<sup>&</sup>lt;sup>1</sup>Or another member of the same male lineage

### CONCLUSIONS

### 1. #002-002 (Stain-comforter)

The results are consistent with the GlobalFiler DNA profile from item #002-002 being a mixture of two contributors. Nicholas Hall is eliminated as a contributor to the GlobalFiler DNA profile from item #002-002.

The results are consistent with the Yfiler Plus DNA profile from item #002-002 originating from a single male lineage. The results are consistent with Nicholas Hall (or another member of the same male lineage) being the source of the Yfiler Plus DNA profile from item #002-002. The expected frequency of individuals who could be the source of the Yfiler Plus DNA profile from item #002-002 is less than 1 in 33 in the general male population.

## 2. #003-002 (Stain- from sheet upper portion area)

The results are consistent with the GlobalFiler DNA profile from item #003-002 being a mixture of two contributors. Nicholas Hall is eliminated as a contributor to the GlobalFiler DNA profile from item #003-002.

The results are consistent with the Yfiler Plus DNA profile from item #003-002 originating from a single male lineage. The results are consistent with Nicholas Hall (or another member of the same male lineage) being the source of the Yfiler Plus DNA profile from item #003-002. The expected frequency of individuals who could be the source of the Yfiler Plus DNA profile from item #003-002 is less than 1 in 8 in the general male population.

3. #003-003 (Stain-from sheet upper portion area)

The results are consistent with the GlobalFiler DNA profile from item #003-003 originating from a single female individual. Nicholas Hall is eliminated as the source of the GlobalFiler DNA profile from item #003-003.

The results are consistent with the Yfiler Plus DNA profile from item #003-003 originating from a single male lineage. The results are consistent with Nicholas Hall (or another member of the same male lineage) being the source of the Yfiler Plus DNA profile from item #003-003. The expected frequency of individuals who could be the source of the Yfiler Plus DNA profile from item #003-003 is less than 1 in 4 in the general male population.

4. #003-004 (Stain- from sheet upper portion area)

The results are consistent with the GlobalFiler DNA profile from item #003-004 originating from a single female individual. Nicholas Hall is eliminated as the source of the GlobalFiler DNA profile from item #003-004.

5. #003-005 (Stain- from sheet upper portion area)

The results are consistent with the GlobalFiler DNA profile from item #003-005 originating from a single female individual. Nicholas Hall is eliminated as the source of the GlobalFiler DNA profile from item #003-005.

### REMARKS

Items #002-002, #003-002, #003-003, #003-004 and #003-005 were retained at the laboratory. A sample from item #004 was retained at the laboratory. Item #004 was returned to the submitting agency. The DNA extracts from items #002-002, #003-002, #003-003 and #003-004 were consumed in testing. The DNA extract from item #003-005 is retained at the laboratory.

Known samples from the victims are requested for comparison to the evidentiary results.

Results apply to the evidence as received by the Division of Scientific Services and relate only to the items tested. This report reflects the test results, conclusions, interpretations, and/or the findings of the analyst as indicated by their signature below.

Angela J. Przech, Ph.D. (Analyst)

Forensic Science Examiner 2

L.H. Christine Hsiao, Ph.D. (Technical Reviewer)

Forensic Science Examiner 1

## APPENDIX

## GlobalFiler STR Alleles Detected

Item#	D3\$1358	γWÄ	D165539	CSF1PO	TPOX	Yindel	AMEL	D8\$1179
QO2-002	15, 17	14, 20	.13	10, 15	8, 10	ŅR	X	12, 14
003-002	15, 17	14, 17, 20	13	10, 15	8, 10	NR	X	12, 14
003-003	15, 17	14, 20	13	10, 15	8, 10	NR	· X	12, 14
003-004	15, 17	14, 20	13	10, 15	8, 10	NR	×	12, 14
003-005	15, 17	14, 20	13	10, 15	8, 10	NR	×	12, 14
004	16, 18	17	. 9, 11	12	8, 11	2:	X, Y	14, 15

Item#	D21511	D18551	DY\$391	D2\$441	D198433	THO1	FGA	D2251045
002-002	30, 32.2	13, 15, 16	ŅŔ	11, 14	14, 15	6, 9.3	22, 24	11, 15
003-002	30, 32,2	15, 16	ÑR	11, 14	14, 15	6, 9,3	20, 22,	15
003-003	30, 32.2	15, 16	NR	11	14, 15	6, 9.3	22, 24	15
003-004	30, 32.2	15, 16	NR	11	14, 15	6, 9.3	22, 24	15
003-005	30, 32.2	15, 16	NŔ	11	14, 15	6, 9.3	22, 24	15
004	30, 30.2	14, 18	10	14	12, 15.2	9.3	21.2, 25	11, 15

Item #	D5S818	D135317	D7S820	SE33	D10S1248	D151656	D125391	D2S1338
002-002	11	10, 12	9, 12	16, 21.2	13, 15	16, 17.3	18, 23:	20, 24
003-002	11	8, 10, 12	9, 12	16, 21.2	13, 15	16, 17.3	18, 23	20, 24
003-003	11	10, 12	9, 12	16, 21.2	13, 15	16, 17.3	. 18, 23	20, 24
003-004	11	10, 12	9, 12	16, 21.2	13, 15	16, 17.3	18, 23	20, 24
003-005	11	10, 12	9, 12	16, 21.2	13, 15	16, 17.3	18, 23	20, 24
004	11, 12	11, 13	9, 11	14, 20	13, 15	14, 16	17, 24	19, 25

. NR = No Results.

### Yfiler Plus Y-STR Alleles Detected

Item #	DYS576	DYS3891	DY\$635	DY\$38911	DYS627	DYS460	DYS458	DYS19
002-002	NR	NR	NR	NR	-23	NR	NR	NR
003-002	NR	NR	23	NR	5,3.	NR	NR	ŅŖ
003-003	NR	NR.	NR	ŃR	NR	NR	NR	14
004	19	1.4	23	3.0	23	11	16	14

Item#	YGATAH4	DYS448	DYS3.91	DYS456	DYS390	DY5438	DYS392	DY\$518
002-002	Ν̈́R	NR	NR	16	NR	12	13	38
003-002	ŃR	ŃR	NR	NR	NR	12	NR	NR
003-003	NR	NR	NR	NR	NR	ŊR	NR	- 38
004	12	19	10	16	24	12	13	38

Item #	DYS570	DYS437	DY5385	DYS449	DY\$393	DYS439	DY5481	DYF387S1	DYS533
002-002	NR	NR	ŇR	ŅR	NR .	NR	NR	NR	NR.
003-002	NŖ	NR	NR	, NR	NR	NR	NR	NR	NŘ
.003-003	NR	NR	NR	NR	· NR	NR	ŇR	NR	NR
004	16	15	11, 14	30	14	12	22	35, 36	12

NR = No Results.

### DNA Report Glossary of Terms and Important Concepts

The following supplemental information is to provide our clients with a more-comprehensive description of the methods used by our laboratory for the examination/analysis of items submitted for forensic testing.

#### Definitions

### DNA Analyses and Results Interpretation

Allele. A form of a gene, or region of DNA that is located at a specific physical location or site (a locus, plural is loci) on a particular chromosome. Humans generally have either one or two alleles at each locus. When individuals inherit two copies of the same allele, they are homozygous at that locus. When they inherit two different alleles, they are heterozygous at that site.

Analytical Threshold. The peak height value below which a peak cannot be reliably distinguished from background machine noise.

Autosome. A chromosome that is not one of the sex chromosomes—chromosomes 1-22 in humans.

CODIS, Combined DNA Index System. A compilation of state and national DNA databases (all 50 states, FBI, USACIL, DC, Puerto Rico) containing STR profiles from convicted offenders, evidentiary samples, missing persons, and other categories. CODIS is maintained by the FBI.

Deconvolution. The virtual separation of DNA mixtures into the genotypes of the individual contributors based on quantitative peak height information and assumptions pertaining to the profile, such as the number of contributors and any known/assumed contributors.

Deoxyribonucleic acid (DNA) testing involves multiple steps, including DNA extraction, quantitation, amplification, electrophoresis and analysis of the resulting data.

DNA extraction. The recovery of purified DNA from biological samples.

DNA quantitation. A means of measuring the amount of DNA (total human DNA, male DNA, and degradation index) recover from biological samples by a specialized PCR method called quantitative polymerase chain reaction (qPCR) or real-time PCR.

DNA Profile. The DNA test results (single source or mixture) generated at 1 or more loci.

Differential DNA extraction. A method of separating semen-containing mixed body fluid samples into two fractions; a sperm-rich fraction (SF) and an epithelial-rich fraction (EF), based on differences between sperm cells and other cell types.

Electropherogram. A representation of the DNA profile (PCR products separated on the basis of their size by capillary electrophoresis) in the form of peaks on a graph. The height of the peaks is measured in relative fluorescent units (RFUs).

Fusion 6C PCR Amplification Kit (F6C). F6C is a 6 dye system manufactured by Promega that amplifies 27 loci (23 autosomal STRs, 3 Y-STRs, and the Amelogenin gender marker) in a single reaction. F6C contains all 20 loci now required for CODIS.

GlobalFiller PCR Amplification Kit (GF). GF is a 6 dye system manufactured by Thermo Fisher Scientific that amplifies 24 loci (21 autosomal STRs, 1 Y-STR, 1 insertion/deletion polymorphic marker on the Y chromosome, and the Amelogenin gender marker) in a single reaction. GF contains all 20 lool required for CODIS.

Known/Assumed contributor. The assumption that a person is the source of (or centributor to) a DNA profile. The assumption must be consistent with the DNA profile results and there must be objective support for the assumption i.e., there must be a reasonable scientific expectation that an individual's DNA is in the evidentiary profile. In general, this would apply to non-probative comparisons for infimate samples and other items that have been regularly handled or worm by the assumed contributor.

Loci. Specific locations in the DNA molecule (singular is locus).

Male DNA Screening. Male DNA screening is an evaluation process utilized for sexual assault cases. It consists of a quick extraction procedure followed by the quantitation of male DNA. A positive or inconclusive result indicates male DNA may be present and signifies the need for further, more thorough testing. A negative result indicates no male DNA is present and no further testing is conducted. Male DNA screening does not identify biological fluids (i.e. semen) or cell-type origin.

Polymerase Chain Reaction (PCR). Also known as DNA amplification. PCR can be thought of as "molecular photocopying", where small segments of DNA (typically, short tandem repeats or "STRs" in forensics) are copied many times in a thermal cycler.

Probabilistic Genotyping (PG). A DNA profile analysis tool. Probabilistic genotyping is the use of biological modeling to infer genotypes for the DNA typing results and to calculate likelihood ratios. PG analysis provides a statistical weighting to the different genotype combinations that could explain the evidentiary profile.

STRmix. A probabilistic genetyping DNA analysis software system that models (analyzes) DNA profile results. STRmix is a software tool used to assist the DNA analyst in interpreting DNA profiles and in performing statistical calculations. STRmix can accurately deconvolute DNA mixtures—a virtual separation of mixture profiles into their individual components (genotypes) based on quantitative peak height information.

Stochastic threshold. The peak height value above which it is reasonable to assume that allelic dropout has not occurred at any given locus. Allelic dropout is when one or more alleles present in the sample are not detected above the analytical threshold.

Yfiler PCR Amplification kit. The Yfiler kit, manufactured by Applied Biosystems, amplifies 17 Y-STRs in a single reaction. Yfiler is a 5 dye system containing the SWGDAM recommended Y-STRs and other highly variable markers.

Yfiler Plus PCR Amplification kit. The Yfiler Plus kit, manufactured by Thermo Fisher Scientific, amplifies 27 Y-STRs in a single reaction. Yfiler Plus is a 6 dye system containing the SWGDAM recommended Y-STRs and other highly variable markers.

DNA methods for the analysis of biological material employ two basic types of DNA testing:

- Autosomal STR testing (loci not on the X or Y chromosomes) offers the greatest potential for individualization. Such tests detect both male and female DNA equally, but an excess of female DNA (e.g. >50:1) may render a male profile undetectable.
- Y-STR testing detects only male DNA. As a result, a male DNA profile can be detected even in the presence of a significant excess of female DNA (>100:1). Since all paternally-related males (and an unknown number of unrelated males in the general population) have identical Y-STR profiles, a Y-STR profile cannot be individualized to a single male.

### General Categories of Testing Conclusions

Mixture. A BNA profile that contains results from more than 1 individual.

Major contributor. A DNA profile that is present in a higher quantity within a DNA mixture.

Minor contributor. A DNA profile(s) that is present in a lower quantity within a DNA mixture.

Inconclusive. Per DSS SQPs, no conclusion can be drawn from the comparison between the known sample and the evidentiary

Insufficient profile results/Insufficient for comparison. When insufficient data is obtained for the questioned DNA Profile for comparison purposes, the following statement is reported: "Due to limited data detected from the item, the comparison to the known profile is inconclusive." This statement is made for low-level, partial DNA profiles when there is insufficient data from the evidentiary profile for comparison to a particular known.

Consistent with Source. The results are consistent with the tested individual being the source of a single-source DNA profile.

Inclusion. The results demonstrate that the tested individual is a potential contributor to a DNA mixture.

Cannot be eliminated. Analogous to a "partial match". An individual cannot be eliminated (CBE) as the source of (or contributor to) a DNA profile if there is a strong positive association (LR is at or above the DSS inconclusive threshold of 1,000 - 10,000, dependent on amplification kit) between the known profile and the evidentiary profile but some of the alleles present in the known sample are not detected in the evidentiary profile.

Eliminated. The DNA profile for a questioned sample is not consistent with originating from the known sample tested.

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### DNA REPORT

## General Categories of Statistical Conclusions

The Likelihood Ratio (LR) assesses the probability of the evidentiary profile occurring given two alternate (mutually exclusive) hypotheses; (H<sub>1</sub> and H<sub>2</sub>). H<sub>1</sub> is typically where the data is explained by an inclusion of the person of interest (POI). H<sub>2</sub> is typically where the data is explained by a person selected at random from the general population and not the POI (match is coincidental). An LR is typically calculated using STRmix<sup>m</sup> Analysis software for forensic unknown DNA profiles when the known individual(s) is not eliminated as the source of or a contributor to the evidence profile by a manual comparison. The LR is the probability of the profile occurring under the alternate hypothesis (H<sub>2</sub>).

The Y-STR statistic (counting method) reflects the number of firms that a given Y-STR profile is observed in a search of the National Y-STR Database. The counting method provides an estimate of the random match probability for a Y-STR profile.

Random Match Probability (RMP). RMP estimates the rarity of a DNA profile in the general population (unrelated individuals). It is the probability that a person selected at random from the general population (unrelated) would match the evidentiary profile.

Criminal Parentage Testing. The random man not excluded (RMNE) statistic is calculated when the tested individual is not eliminated. The statistic calculated is the expected frequency (parentage inclusion probability) of individuals who could contribute the paternally (or maternally) transmitted alleles.

Combined Kinship Index (CKI). CKI compares the relative support for two competing hypotheses (non-criminal cases): the two individuals are related, versus the hypothesis that they are unrelated individuals selected at random from the population.

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