Case Review Sheet

Attorney: Cole Heyer	Paralegal: Pilar Leano
Case type: H1B EOS	I-94 Expiration: 2/25/2023
CM#: 179976.012100	Current Status: H-1B
Petitioner: Novartis Gene Therapies	Checks Ordered: none
FN Name: Naveenganesh Muralidharan	

Step	Date	Notes
Review	9/9/2022	LCA Only – Have included PW
		info, resume, last Support letter.
		Note that the job title is
		different than Novartis Monthly
		reports. I have used the title
		from monthly reports
Signature		

Job Title: Senior Engineer, Manufacturing Science	Start Date: 02/26/2023-02/25/2026
Worksite(s): 1900 USG Drive, Suite 100, Libertyville, IL 60048 & 30027 N Waukegan Rd, Apt. 103, Lake Bluff, IL 60044	
Home Address: 30027 N Waukegan Rd, Apt. 103, Lake Bluff, IL 60044	
Salary: \$138,512.40	

Notes:

205-0466 Expiration Date: XX/XX/XXXX Labor Condition Application for H-1B, H-1B1 and E-3 Nonimmigrant Workers Form ETA-9035CP U.S.Department of Labor IMPORTANT: Please read these instructions carefully before completing the Form ETA-9035 or 9035E – Labor Condition Application (LCA) for Nonimmigrant Workers. These instructions contain full explanations of the questions and attestations that make up the LCA, Form ETA-9035 and 9035E, with further information about the employer's obligations provided in 20 CFR 655 Subpart H. If the employer plans to file non-electronically, which is allowed only for certain reasons set out below, ALL required fields and items containing an asterisk (*) must be completed as well as any fields and items where a response is conditioned on the response to another required section/field or item as indicated by the section (§) symbol. In accordance with 20 CFR 655.740, once an LCA has been received from an employer, a determination will be made by the ETA Certifying Officer whether to certify the LCA or return it to the employer not certified. Where all items on the Form ETA-9035 or 9035E are complete and do not contain obvious inaccuracies, the ETA Certifying Officer will certify the LCA within 7 working days of the date the LCA is received and date-stamped by the Department. If the LCA is not certified pursuant to 20 CFR 655.740(a)(2)(i) or (ii), the ETA Certifying Officer will return it to the employer, or the employer's authorized agent or representative, explaining the reason(s) for such return without certification. Except in the case of a disqualification issued by the Wage Hour Administrator, the employer may submit a corrected LCA to the Department for review, which shall be treated as a new LCA and processed on a "first come, first served" basis. Anyone who knowingly and willingly furnishes false information in the preparation of the Form ETA-9035 or 9035E and any supplement thereto, or aids, abets, or counsels another to do so is committing a Federal offense under 18 U.S.C.	Select what form/section you would like to view: - Select -	+
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A: Employment-Based Nonimmigrant Visa Information	make up the LCA, Form ETA-9035 and 9035E, with further information about the employer's obligations pro Subpart H. If the employer plans to file non-electronically, which is allowed only for certain reasons set out to fields and items containing an asterisk (*) must be completed as well as any fields and items where a response to another required section/field or item as indicated by the section (§) symbol. In accordance once an LCA has been received from an employer, a determination will be made by the ETA Certifying Office LCA or return it to the employer not certified. Where all items on the Form ETA- 9035 or 9035E are completed obvious inaccuracies, the ETA Certifying Officer will certify the LCA within 7 working days of the date the LCA stamped by the Department. If the LCA is not certified pursuant to 20 CFR 655.740(a)(2)(i) or (ii), the ETA certification. Except in the case of a disqualification issued by the Wage Hour Administrator, the employer matched to the Department for review, which shall be treated as a new LCA and processed on a "first come, first who knowingly and willingly furnishes false information in the preparation of the Form ETA- 9035 or 9035E thereto, or aids, abets, or counsels another to do so is committing a Federal offense under 18 U.S.C. 1001	povided in 20 CFR 655 pelow, ALL required onse is conditioned on with 20 CFR 655.740, per whether to certify the te and do not contain CA is received and date-certifying Officer will such return without may submit a corrected at served" basis. Anyone and any supplement
	A: Employment-Based Nonimmigrant Visa Information	~
	1 Indicate the type of visa classification H-1B supported by this application	

Senior Engineer, Manufacturing

Bioengineers and Biomedical

Science

Engineers

B: Temporary Need Information

2/B.3 SOC (ONET/OES) Code and Occupation 17-2031.00

2/B.3 SOC (ONET/OES) Code and Occupation

1 Job Title

Title

Title

4 Is this a full-time position?	YES
5 Begin Date	2/26/2023
6 End Date	2/25/2026
7 Total Worker Positions Being Requested for Certification	1
a. New Employment	0
b. Continuation of previously approved employment without change with the same employer	1
c. Change in previously approved employment	0
d. New concurrent employment	0
e. Change in employer	0
f. Amended petition	
	0
: Employer Information	

3 Address 1	One Health Plaza	
5 City	East Hanover	
6 State	NEW JERSEY	
7 Postal Code	07936	
8 Country	UNITED STATES OF AMERICA	
10 Telephone Number	+18627781325	
12 Federal Employer Identification Number (FEIN from IRS)	90-1038273	
13 NAICS Code	32541	
13 NAICS Description	Pharmaceutical and Medicine Manufacturing	
D: Employer Point of Contact Information		,
1 Contact's Last (family) Name	Kwak	
2 First (given) Name	Bona	

4 Contact's Job Title	Associate Director, US Immigration Counsel
5 Address 1	1 HEALTH PLAZA
7 City	East Hanover
8 State	NEW JERSEY
9 Postal Code	07936
10 Country	UNITED STATES OF AMERICA
12 Telephone Number	+18627781325
14 Business e-mail address	bona.kwak@novartis.com
: Attorney or Agent Information (if applicable)	
1 Is the employer represented by an attorney or agent in the filing of this application?	Attorney
2 Attorney or Agent's Last (family) Name	Heyer
3 First (given) Name	Cole

4 Middle Name(s)	E.
5 Address 1	3333 Piedmont Rd. NE
6 Address 2 (apartment/suite/floor and number)	Suite 2500
7 City	Atlanta
8 State	GEORGIA
9 Postal Code	30305
10 Country	UNITED STATES OF AMERICA
12 Telephone Number	+16785532117
14 Email Address	pilar.leano@gtlaw.com
15 Law Firm/Business Name	Greenberg Traurig, LLP
16 Law Firm/Business FEIN	13-3613083
17 State Bar Number	859610

19 Name of highest state court where attorney is in good standing

Supreme Court

F: Employment and Wage Information

F. Use the fields above to enter the details of each additional place of employment, when applicable

Wage Rate Paid to Nonimmigrant Workers From

115482.00

Wage Rate Paid to Nonimmigrant Workers Per

Year

Prevailing Wage Rate

115482.00

Prevailing Wage Rate Per

Year

Identify the source user for the prevailing wage (PW)

f13_is_oes_prevailing_wage

Wage Level

IV

Source Year

7/1/2022 - 6/30/2023

Enter the estimated number of workers that will perform work at this place of employment under the LCA

Indicate whether the worker(s) subject to this LCA will be placed with a secondary entity at this place of employment

NO

Address 1

1900 USG Drive

Address 2 (apartment/suite/floor and number) Suite 100

City

Libertyville

County	LAKE
State/District/Territory	ILLINOIS
Postal Code	60048
Wage Rate Paid to Nonimmigrant Workers From	115482.00
Wage Rate Paid to Nonimmigrant Workers Per	Year
Prevailing Wage Rate	115482.00
Prevailing Wage Rate Per	Year
Identify the source user for the prevailing wage (PW)	f13_is_oes_prevailing_wage
Wage Level	IV
Source Year	7/1/2022 - 6/30/2023
Enter the estimated number of workers that will perform work at this place of employment under the LCA	1
Indicate whether the worker(s) subject to this LCA will be placed with a secondary entity at this place of employment	NO
Address 1	30027 N Waukegan Road
Address 2 (apartment/suite/floor and number)	Apt. #103
City	Lake Bluff
County	LAKE
State/District/Territory	ILLINOIS
Postal Code	60044

G: Employer Labor Condition Statements

In order for your application to be processed, you MUST read Section G of the Form ETA-9035CP - General Instructions for the 9035 & 9035E under the heading "Employer Labor Condition Statements" and agree to all four (4) labor condition statements summarized below:

- 1. Wages: The employer shall pay nonimmigrant workers at least the prevailing wage or the employer's actual wage, whichever is higher, and pay for non-productive time. The employer shall offer nonimmigrant workers benefits and eligibility for benefits provided as compensation for services on the same basis as the employer offers to U.S. workers. The employer shall not make deductions to recoup a business expense(s) of the employer including attorney fees and other costs connected to the performance of H-1B, H-1B1, or E-3 program functions which are required to be performed by the employer. This includes expenses related to the preparation and filing of this LCA and related visa petition information. 20 CFR 655.731;
- 2. Working Conditions: The employer shall provide working conditions for nonimmigrants which will not adversely affect the working conditions of workers similarly employed. The employer's obligation regarding working conditions shall extend for the duration of the validity period of the certified LCA or the period during which the worker(s) working pursuant to this LCA is employed by the employer, whichever is longer. 20 CFR 655.732;
- 3. Strike, Lockout, or Work Stoppage: At the time of filing this LCA, the employer is not involved in a strike, lockout, or work stoppage in the course of a labor dispute in the occupational classification in the area(s) of intended employment. The employer will notify the Department of Labor within 3 days of the occurrence of a strike or lockout in the occupation, and in that event the LCA will not be used to support a petition filing with the U.S. Citizenship and Immigration Services (USCIS) until the DOL Employment and Training Administration (ETA) determines that the strike or lockout has ended. 20 CFR 655.733;
- 4. Notice: Notice of the LCA filing was provided no more than 30 days before the filing of this LCA or will be provided on the day this LCA is filed to the bargaining representative in the occupation and area of intended employment, or if there is no bargaining representative, to workers in the occupation at the place(s) of employment either by electronic or physical posting. This notice was or will be posted for a total period of 10 days, except that if employees are provided individual direct notice by e-mail, notification need only be given once. A copy of the notice documentation will be maintained in the employer's public access file. A copy of this LCA will be provided to each nonimmigrant worker employed pursuant to the LCA. The employer shall, no later than the date the worker(s) report to work at the place(s) of employment, provide a signed copy of the certified LCA to the worker(s) working pursuant to this LCA. 20 CFR 655.734.

1 I have read and agree to Labor Condition Statements 1, 2, 3, and 4 above and as fully explained in Section G of the Form ETA-9035CP - General Instructions for the 9035 & 9035E and the Department's regulations at 20 CFR 655 Subpart H.

YES

H: H-1B Additional Employer Labor Condition Statements

1 At the time of filing this LCA, is the employer NO H-1B dependent?

2 At the time of filing this LCA, is the employer a NO willful violator

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Notice of Obligations

- A. Upon receipt of the certified LCA, the employer must take the following actions: Print and sign a hard copy of the LCA if filing electronically(20 CFR 655.705(c)(3)); Maintain the original signed and certified LCA in the employer's files (20 CFR 655.705(c)(2)); 20 CFR 655.730(c)(3); and 20 CFR 655.760) Make a copy of the LCA, as well as necessary supporting documentation required by the Department of Labor regulations, available for public examination in a public access file at the employer's principal place of business in the U.s> or at the place of employment within one working day after the date on which the LCA is filed with the Department of Labor (20 CFR 655.705(c)(2) and 20 CFR 655.760).
- B. The employer must develop sufficient documentation to meet its burden of proof with respect to the validity of the statements made in its LCA and the accuracy of information provided, in the event that such statements or information is challenged (20 CFR 655.705(c)(5) and 20 CFR 655.700(d)(iv)).
- C. The employer must make this LCA, supporting documentation, and other records available to officials of the Department of Labor upon request during any investigation under the immigration and Nationality Act (20 CFR 655.760 and 20 CFR Subpart I).

I declare under penalty of perjury that I have read and reviewed this application and that to the best of my knowledge, the information contained therein is true and accurate. I understand that to knowingly furnish materially false information in the preparation of this form and any supplemental thereto or to aid, abet, or counsel another to do so is a federal offense punishable fines, imprisonment, or both (18 U.S.C 2, 1001,1546,1621).

1 Public disclosure information in the United States will be kept at: (You <u>must</u> select one or both of the options listed in this Section.)

• Employer's principal place of business

1 Last (family) name of hiring or designated official	Kwak
2 First (given) name of hiring or designated official	Bona
4 Hiring or designated official title	Associate Director, US Immigration Counsel

K: LCA Preparer

1 Last (family) Name

Leano

2 First (given) Name	Pilar	
4 Firm/Business Name	Greenberg Traurig, LLP	
5 Email Address	pilar.leano@gtlaw.com	
APP A: Appendix A - Educational Attai	nment Documentation	~

Appendix A. Record(s)



Immigration Assistance Request Tool 17-08-2022

Associate Details

Salutation Mr.

First Name Naveenganesh Muralidharan **Last Name**

Nationality India **Marital Status** Married

Primary Email Address naveenganesh.muralidharan@novartis.com

Primary Telephone Number 3144968483

Associate Unique ID No. Yes **Employee ID No.** Yes Will associate work 100% remote? No

Immigration Assistance Being

Requested

Immigration for a new hire or existing

associate?

Immigration assistance being requested? Work Visa

Visa Type H--1B

U.S. Assignment Details

Entity Name Novartis Gene Therapies

U.S. Division AveXis

Job Title Senior Engineer, Manufacturing Science and

Technology

Existing Associate

Salary 138,512.4 **Proposed Start Date** 17-08-2022

Chargeable Cost Center Number 2050

Job Address 1900 USG Drive - Suite 100, Libertyville - Illinois,

60048

Person who is submitting this request:

Was this initiation submitted by No

onboarding ops?

Salutation Mrs. **First Name** Erika **Last Name** Halteman

Primary Email Address erika.halteman@novartis.com

Primary Telephone Number 8475428904

Yes



Do you want to be copied on all communication?

P&OBP (HRBP)

SalutationMs.First NameVeraLast NameJackmuth

Hiring Manager Details

SalutationMr.First NameBrianLast NameBeyer

Primary Email Address brian.beyer@novartis.com

Yes

Primary Telephone Number 9084613355

Should Greenberg Traurig obtain specific information about the position from the

hiring manager?

8/25/22, 4:53 PM Untitled Page

Online Wage Library - FLC Wage Search Results

Thursday, August 25, 2022 New Quick Search New Search Wizard

You selected the All Industries database for 7/2022 - 6/2023. Your search returned the following:

Area Code: <u>16980</u>

Area Title: Chicago-Naperville-Elgin, IL-IN-WI

GeoLevel: 1

OES/SOC Code: 17-2031

OES/SOC Title: Bioengineers and Biomedical Engineers

Level 1 Wage:\$32.42 hour - \$67,434 yearLevel 2 Wage:\$40.12 hour - \$83,450 yearLevel 3 Wage:\$47.82 hour - \$99,466 yearLevel 4 Wage:\$55.52 hour - \$115,482 yearMean Wage (H-2B):\$46.78 hour - \$97,302 year

This wage applies to the following O*NET occupations:

17-2031.00 Bioengineers and Biomedical Engineers

Apply knowledge of engineering, biology, chemistry, computer science, and biomechanical principles to the design, development, and evaluation of biological, agricultural, and health systems and products, such as artificial organs, prostheses, instrumentation, medical information systems, and health management and care delivery systems.

O*NET JobZone: 4 -- Education & Training Code: 4-Bachelor's degree

The offered wage must be at, or above the federal or state or local minimum wage, whichever is higher. The federal minimum wage is \$7.25/hr effective July 24, 2009.



February 24, 2020

USCIS Nebraska Service Center 850 S Street Lincoln, NE 68508

Re:

H-1B COE Petition on Behalf of Naveenganesh Muralidharan

Dear Sir or Madam:

Your assistance is requested in approving the enclosed H-1B COE petition on behalf of Mr. Naveenganesh Muralidharan so that he may begin this professional assignment with AveXis, Inc. in the United States. Pursuant to Section 104 (c), Mr. Muralidharan is eligible to apply for a three-year extension in H-1B status because he has an approved I-140 petition with a retrogressed priority date.

The U.S. Employer

AveXis, Inc., a Novartis Company, is a clinical-stage gene therapy company, dedicated to developing and commercializing novel treatments for patients suffering from rare and lifethreatening neurological genetic diseases. Our initial product candidate, AVXS-101, is our proprietary gene therapy product candidate currently in development for the treatment of spinal muscular atrophy, or SMA, Type 1, the leading genetic cause of infant mortality, and for the treatment of SMA Type 2. The U.S. Food and Drug Administration, or FDA, has granted AVXS-101 Orphan Drug Designation for the treatment of all types of SMA and Breakthrough Therapy Designation, as well as Fast Track Designation for the treatment of SMA Type 1. In addition to developing AVXS-101 to treat SMA Type 1 and Type 2, we also plan to develop other novel treatments for rare neurological diseases, including Rett syndrome (RTT) and a genetic form of amyotrophic lateral sclerosis (ALS) caused by mutations in the superoxide dismutase 1 (SOD1) gene.

The Professional Assignment

We require the services of a professional with at least a Bachelor's degree or equivalent in Biochemistry, Chemical Engineering or Bioengineering or a closely related field. Requiring at least a Bachelor's degree in Biochemistry, Chemical Engineering or Bioengineering or a related field ensures that the position candidate has sufficient fundamental understanding of the core concepts and principles necessary to successfully complete the job duties of Senior Engineer, Manufacturing Science and Technology. We believe we have identified the ideal candidate for this professional assignment in Mr. Muralidharan.



During this professional assignment as Senior Engineer, Manufacturing Science and Technology, Mr. Muralidharan will use his Master's degree in Cellular and Molecular Biology and professional expertise to perform the following job duties.

- Serve as a scientific and technical lead for process-related issues and investigations at the facility;
- Partner with manufacturing to meet the production schedule, ensure commercial supply and uphold quality standards;
- Perform trending and monitoring of critical quality attributes/process parameters to maintain product quality and control process drift;
- Implement process improvements in conjunction with operations;
- Participate in start-up efforts of new equipment, software or processes in manufacturing;
- Assist in documenting changes/updates to manufacturing processes and partner with manufacturing, engineering and validation to implement those changes;
- Provide technical/scientific support on project deliverables, i.e. remediation initiatives, plan reports;
- Utilize small-scale production processes and scaled-down lab processes to enable process troubleshooting, with the potential to oversee these experiments at external partners;
- Support tech transfer of new products and processes to ensure smooth transition from process development into GMP manufacturing;
- Look for opportunities to implement operational excellence and continuous improvement;
- Partner with Quality to ensure a compliant manufacturing environment; and
- Assist the technical operations team to resolve issues related to production.

The Beneficiary's Qualifications

Mr. Muralidharan is extremely well qualified for the position. He has obtained a Master's degree in Cellular and Molecular Biology from University of New Haven in the United States. In addition, Mr. Muralidharan has several years of progressive, professional work experience, including experience that parallels the duties to be undertaken at the company.

Conclusion

For the reasons described above, we request your assistance in approving the enclosed H-1B petition on behalf of Mr. Muralidharan so that he may begin this important professional assignment with the company. During Mr. Muralidharan's period of authorized employment under this petition, we will comply with the terms of the labor condition application. Commensurate with the professional nature of this assignment, Mr. Muralidharan will be remunerated at the rate of \$130,000.00 per year.



Thank you for your consideration of this request.

Sincerely,

AveXis, Inc.

Bona Kwak

Immigration Counsel

University of New Agnesi

Upon the reconnecedation of the Faculty and by the authority wested in the Board of Governors, The University hereby confers upon

Navernyanesh Muralidharan

the degree of

Cellular and Anternar Cinluga Master of Srivace

with all the honors, rights and privileges appertaining thereunto. Given under the seal of the University at West Astren, Connecticut, on the sixteenth day of January, two thousand and ten.





President of the Unitersity



Manmugha .

Arts, Science, Technology & Research Academy (SASTRA) (Declared as Deemed-to-be-University by the Government of India)

SCHOOL OF CHEMICAL AND BIOTECHNOLOGY

Shanmugha Arts. Science, Technology & Research Academy (SASTRA) Be it known to all by these presents that the Board of Management of upon the recommendation of the faculty has conferred upon

NAVEEN GANESH, M.

the degree of

BACHELOR OF TECHNOLOGY IN BIO-TECHNOLOGY

and placed him in First Class in the Examination held in May - 2006.

Shanmugha Campus, Torunalaisamudram, Thanjawur-618 402, India. Given under the seal of the University, this day the 19th August 2006 at

Fr Smeath

Registbar

Ros Jos _

E PULLE UNITED STATUÉS DE ANTERION

RECEIPT NUMBER SRC-13-900-96002		CASETYPE 1140 IMMIGRANT PETITION FOR ALIEN WORKER
December 10, 2012	PRIORITY DATE August 15, 2012	PETITIONER LONZA BIOLOGICS INC
NOTICE DATE January 30, 2013	PAGE 1 of 1	BENEFICIARY A205 683 863 MURALIDHARAN, NAVEENGANESH
LONZA BIOLOGICS INC C/O TARA LIVINGSTON 101 INTERNATIONAL DRIVE PORTSMOUTH NH 03801		Notice Type: Approval Notice Section: Mem of Profession w/Adv Deg,or of Exceptn'l Ability Sec.203(b)(2)

This courtesy notice is to advise you of action taken on this case. The official notice has been mailed to the authorized representative. Any relevant documentation included in the notice was also mailed as part of the official notice.

The above paticion has been approved. The petition indicates that the person for whom you are petitioning is in the disless States and will apply for adjustment of status. He or she should contact the local USCIS office to obtain form I-485. Application for Permanent Residence. A copy of this notice should be submitted with the application, with appropriate fee. to this Service Center. Additional information about eligibility for adjustment or status may be obtained from the local USCIS office serving the area where he or she lives, or by calling 1-800-375-5283.

If the person for whom you are petitioning decides to apply for a visa outside the United States based on this petition, the petitioner should file Form I-824, Application for Action on an Approved Application or Petition, to request that we send the petition to the Department of State National Visa Center (NVC).

The NVC processes all approved immigrant visa petitions that require consular action. The NVC also determines which consular post is the appropriate consulate to complete visa processing. It will then forward the approved petition to that consulate.

The approval of this visa potition does not in itself grant on imaigration status and does not guarantee that the titer beneficiary will subsequently be found to be eligible for a visa, for admission to the United States, or for an excension, change, or adjustment of status.

This courtes, top; may not be used in lieu of official notification to demonstrate the filing or precessing action taken on this case.

THIS FORM IS NOT A VISA HOR MAY IT BE USED IN PLACE OF A VISA.

The Small Business Regulatory Enforcement and Pairness Act established the Office of the National Ombudsman (ONO) at the Small Business Administration. The CNO assists small businesses with issues related to federal regulations. If you are a small business with a comment or complaint about regulatory enforcement, you may contact the ONO at www.ombudsman.aba.gov or phone 202-205-2417 or fax 202-631-3)19.

NOTICE: Extrough this application/petition has been approved, USCIS and the U.S. Capartment of Momenta Security results the right to verify the information submitted in this application, petition and/or supporting documentation to ensure conformity with applicable laws, rules, regulations, and other authorities. Newhold used for verifying information may increase, but are not limited to, the review of public information and records, contact by correspondence, the information will be used to determine whether revocation, rescission, and/or removal proceedings are appropriate. Applicants, petitioners, and representatives of record will be provided an opportunity to address derogator, information before any formal proceeding is initiated.

Please see the additional information on the back. You will be notified separately about any other cases you filed.

IMMIGRATION & NATURALIZATION SERVICE

TEXAS SERVICE CENTER

P O BOX 851488 - DEPT A

MESQUITE TX 75185-1488

Customer Service Telephone: (800) 375-5283





