



CERVANTES 1

2021

COMPLIANCE ASSESSMENT  
REPORT

MINISTERIAL STATEMENT 1178



Chris Newport  
Director, RCMA Australia Pty Ltd

Revision Control

v0	28/03/2022	Issued to EPA	ASW	MJ	KA
Rev	Date	Description	By	Checked	Appr.
Document Number    RCMA-03-EM-REP-001					

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## Terms & Abbreviations

BKNR	Beekeepers Nature Reserve
C	Compliant
CAP	Compliance Assessment Plan
CAR	Compliance Assessment Report
CLD	Closed
DBCA	Department of Biodiversity, Conservation and Attractions (formerly Department of Parks and Wildlife (DPaW))
EPA	Environmental Protection Authority
NC	Non-Compliance
NR	Not Required
RCMA	RCMA Australia Pty Ltd

## Related Documents

Document #	Document Name
RCMA-02-EM-PLN-011v3	Cervantes 1 Compliance Assessment Plan
RCMA-02-EM-PLN-004v3	Cervantes 1 Vegetation Management Plan
RCMA-02-EM-PLN-007v3	Cervantes 1 Fauna Management Plan
RCMA-02-EM-PLN-003v4	Cervantes 1 Weed and Dieback Hygiene Management Plan
RCMA-02-EM-PLN-008v3	Cervantes 1 Rehabilitation Plan

## 1. Introduction

RCMA Australia Pty Ltd (RCMA) propose to drill the Cervantes 1 exploration well 11 km south of Dongara/Port Denison within Production Licence L14. The proposed site is located within the vegetated Beekeepers Nature Reserve (BKNR) in the northern Perth Basin.

The proposal was referred by RCMA to the EPA in July 2020. The EPA assessed the project on referral information and have issued Ministerial Statement 1178 (MS1178) on 14<sup>th</sup> December 2021. A Compliance Assessment Plan was submitted under condition 11-0 of MS1178 and under condition 11-5 a Compliance Assessment Report will be submitted annually. This document reports on compliance for the Cervantes 1 project for the period from MS1178 approval (14<sup>th</sup> December 2021) to 31<sup>st</sup> December 2021.

## 2. Description of Activities

There were no on ground activities undertaken for the Cervantes 1 project in the period 14<sup>th</sup> December 2021 to 31<sup>st</sup> December 2021. Documents were being finalised in this period including:

- Cervantes 1 Compliance Assessment Plan [RCMA-02-EM-PLN-011v3]
- Cervantes 1 Vegetation Management Plan [RCMA-02-EM-PLN-004v3]
- Cervantes 1 Fauna Management Plan [RCMA-02-EM-PLN-007v3]
- Cervantes 1 Weed and Dieback Hygiene Management Plan [RCMA-02-EM-PLN-003v4]
- Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008v3]

## 3. Details of Biological Monitoring

There was no biological monitoring undertaken in the period 14<sup>th</sup> December 2021 to 31<sup>st</sup> December 2021.

## 4. Statement of Compliance

With the proposal not being implemented yet as of the audit period, all conditions were predominantly not required as per audit table (Table 2). Table 1 summarises the results of the audit.

**Table 1: Compliance Summary Table**

Code	Definition	#
C	Compliant	10
CLD	Closed	0
NR	Not Required	42
NC	Non-Compliant	0

## 5. Action Status

There were no non-compliances or resultant actions from this Compliance Assessment Report. This is the first Compliance Assessment Report so there are no previous open actions.

**Table 2: Audit Table**

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1178:M01.1	Limitation and Extent of Proposal (Physical element)	When implementing the proposal, the proponent shall ensure the proposal does not exceed the Development envelope (36.5 ha)	Survey will be undertaken prior to ground disturbing activities to ensure the proposal development envelope does not exceed 36.5 ha	Evidence survey has been undertaken utilising Ministerial Statement development envelope .shp file (Access_Track_Drill_Pad_20210315)	Construction	Annual	NR	No ground disturbing activities have been undertaken and not planned to be undertaken until February 2022 in the period: 14/12/2021 to 31/12/2021
1178:M01.2	Limitation and Extent of Proposal (Physical element)	When implementing the proposal, the proponent shall ensure the proposal does not exceed the Disturbance footprint (Up to 7 ha)	Survey will be undertaken prior to ground disturbing activities to ensure the proposal disturbance footprint does not exceed 7 ha	Evidence that disturbance footprint has been marked out with survey pegs	Construction	Annual	NR	No ground disturbing activities in the period: 14/12/2021 to 31/12/2021
1178:M01.3	Limitation and Extent of Proposal (Physical element)	When implementing the proposal, the proponent shall ensure the proposal does not exceed the following extent of direct disturbance of native vegetation (Up to 5.3 ha)	Survey will be undertaken prior to ground disturbing activities to ensure the proposal direct disturbance of native vegetation does not exceed 5.3 ha	Evidence that disturbance of native vegetation is wholly within survey pegs (GIS plot) and confirming disturbed vegetation ≤5.3 ha	Construction	Annual	NR	No ground disturbing activities have been undertaken and not planned to be undertaken until February 2022 in the period: 14/12/2021 to 31/12/2021
1178:M01.4	Limitation and Extent of Proposal (Physical element)	When implementing the proposal, the proponent shall ensure the proposal does not exceed the following extent of Direct disturbance of priority 1 'Coastal sands dominated by <i>Acacia rostellifera</i> , <i>Eucalyptus oraria</i> and <i>Eucalyptus obtusiflora</i> ' Priority Ecological Community (PEC) (Up to 0.99 ha)	Survey will be undertaken prior to ground disturbing activities to ensure the proposal does not impact more than 0.99 ha PEC	Disturbance of PEC is for track widening to 9 m only (photographs and GIS plot) and confirming disturbed PEC ≤0.99 ha	Construction	Annual	NR	No ground disturbing activities in the period: 14/12/2021 to 31/12/2021
1178:M01.5	Limitation and Extent of Proposal (Operational elements)	When implementing the proposal, the proponent shall ensure the proposal uses conventional extraction methods	The Cervantes 1 well will be drilled by a conventional drilling rig with conventional drilling methods	Ensign Rig 970 is utilised for the drilling of the Cervantes 1 well	Operation	Annual	NR	
1178:M01.6	Limitation and Extent of Proposal (Operational elements)	When implementing the proposal, the proponent shall ensure the proposal conducts rehabilitation consistent with an approved Rehabilitation Plan	RCMA will undertake rehabilitation in accordance with Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008]	Audit shows that Cervantes 1 rehabilitation is conducted in accordance with Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008]	Decommissioning	Annual	NR	The proposal has not commenced and hence no rehabilitation activities conducted in the period: 14/12/2021 to 31/12/2021
1178:M01.7	Limitation and Extent of Proposal (Operational elements)	When implementing the proposal, the proponent shall ensure the proposal is decommissioned to ensure that the Removal of all drilling and exploration related infrastructure and equipment not required to remain under the Petroleum and Geothermal Energy Resources Act 1967	There should be no infrastructure or permanent markers (other than the well abandonment plaque), steel pegs or litter left on the rehabilitated area at any time following rehabilitation	Monthly workplace inspection record form [RCMA-02-SAF-FM-006] confirms there are no permanent markers or litter left on site	Overall	Annual	NR	The proposal has not commenced and hence no infrastructure or equipment brought onsite in the period: 14/12/2021 to 31/12/2021
1178:M01.8	Limitation and Extent of Proposal (Timing elements)	When implementing the proposal, the proponent shall ensure the proposal does not exceed the following extents: site preparation and drilling	RCMA has six months from substantial commencement to	DMIRS manhours report shows site preparation and drilling are undertaken within six months	Overall	Annual	NR	No site preparation or drilling have been undertaken to date.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		activities up to six (6) months from substantial commencement	undertake site preparation and drilling	of substantial commencement date				
1178:M01.9	Limitation and Extent of Proposal (Timing elements)	When implementing the proposal, the proponent shall ensure the proposal does not exceed the following extents: rehabilitation up to three (3) years from plugging of the well	RCMA shall rehabilitate the wellsite within three years from plugging the well	Records show rehabilitation is undertaken within three years of plugging the well	Decommissioning	Annual	NR	
1178:M02.1	Time Limit Authorisation	The proponent shall not commence implementation of the proposal after five (5) years from the date of this Statement, and any commencement, prior to this date, must be substantial.	RCMA shall not commence the proposal after 14 December 2026	Records show Cervantes 1 civils are commenced before 14 December 2026	Pre-construction	Annual	NR	The proposal is planned to commence in February 2022.
1178:M02.2	Time Limit Authorisation	Any commencement of implementation of the proposal, on or before five (5) years from the date of this Statement, must be demonstrated as substantial by providing the CEO with written evidence, on or before the expiration of five (5) years from the date of this Statement.	RCMA shall notify the CEO on substantial commencement of the proposal (before 14 December 2026)	Letter to CEO notifying of substantial commencement of Cervantes 1 proposal implementation	Pre-construction	Annual	NR	The proposal is planned to commence in February 2022.
1178:M03.1.1	Flora and Vegetation Outcomes	The proponent shall ensure the following outcomes are achieved: no more than 0.99 ha direct disturbance to Coastal sands dominated by <i>Acacia rostellifera</i> , <i>Eucalyptus oraria</i> and <i>Eucalyptus obtusiflora</i> PEC;	Survey will be undertaken prior to ground disturbing activities to ensure the proposal does not impact more than 0.99 ha PEC	Disturbance of PEC is for track widening to 9 m only (photographs and GIS plot) and confirming disturbed PEC ≤0.99 ha	Construction	Annual	NR	No ground disturbing activities in the period: 14/12/2021 to 31/12/2021
1178:M03.1.2	Flora and Vegetation Outcomes	The proponent shall ensure the following outcomes are achieved: avoid impacts from the implementation of the proposal to flora and vegetation from changes to fire regime, dieback (Phytophthora spp) and weeds.	RCMA will avoid impacts from the implementation of the proposal to flora and vegetation from changes to fire regime, dieback (Phytophthora spp) and weeds	Audit of the Vegetation Management Plan confirms measures are implemented and impacts avoided	Construction	Annual	NR	No ground disturbing activities in the period: 14/12/2021 to 31/12/2021
					Decommissioning	Annual		
1178:M04.1.1	Terrestrial Fauna Outcomes	The proponent shall ensure the following outcome is achieved: avoid impacts from the implementation of the proposal to terrestrial fauna from changes to fire regime, introduction of feral animals, spread of dieback and weeds, vehicle strikes, entrapment in excavation and artificial water bodies, light pollution, noise and dust.	RCMA will avoid impacts from the implementation of the proposal to terrestrial fauna from changes to fire regime, introduction of feral animals, spread of dieback and weeds, vehicle strikes, entrapment in excavation and artificial water bodies, light pollution, noise and dust.	Audit of the Fauna Management Plan confirms measures are implemented and impacts avoided	Overall	Annual	NR	No ground disturbing activities in the period: 14/12/2021 to 31/12/2021
1178:M05.1	Rehabilitation Plan	The proponent shall update and implement the Rehabilitation Management Plan (29 April 2021) for approval by the CEO, on advice from DBCA. The Rehabilitation Management Plan shall contain provisions for update and review.	RCMA will implement the Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008v3 24/01/2022] under advice from DBCA (21/01/2022) and it will contain provisions for update and review	Audit of the Rehabilitation Plan confirms the current version is being implemented	Pre-construction	Annual	NR	Approved version of Rehabilitation Plan [RCMA-02-EM-PLN-008v3] is being implemented and is available at <a href="http://www.rcma-australia.com">www.rcma-australia.com</a>
1178:M05.2	Rehabilitation Plan	The proponent must not commence ground disturbing works until the CEO has endorsed the latest version of the Rehabilitation Management Plan (29 April 2021) in writing.	RCMA must not commence site preparation until the Rehabilitation Plan has been approved	Audit shows that site preparation did not commence until Rehabilitation Plan was approved	Construction	Annual	NR	Rehabilitation Plan [RCMA-02-EM-PLN-008v3] was endorsed by Shaun Meredith, Executive Director of EPA Services 04/02/2022



Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1178:M05.3	Rehabilitation Plan	The proponent shall implement the Rehabilitation Management Plan referred to in condition 5-1 until such time as the CEO agrees that the proponent's rehabilitation completion criteria have been fulfilled.	RCMA must not implement the Rehabilitation Plan until DBCA have approved the completion criteria	Audit shows that DBCA have provided their advice to the CEO on the completion criteria in the approved Rehabilitation Plan	Decommissioning	Annual	NR	
1178:M06.1	Rehabilitation Performance Bond	As security for the due and punctual observance and performance by the proponent of the requirements of condition 5 to be observed, conformed and complied with, the proponent shall lodge with the CEO prior to commencement of site preparation activities, an irrevocable Performance Bond as nominated and approved by the CEO in his/her sole unfettered discretion to a cash value and in a form acceptable to the CEO ("the Security") which Security at the date hereof being \$324,500.	RCMA must lodge a Rehabilitation Bond of \$324,500 prior to commencing site preparation activities	RCMA letter to CEO notifying them of Rehabilitation Bond	Pre-construction	Q1 2022 (assessed once)	NR	Bond received by DWER 21/02/2022.
1178:M06.2	Rehabilitation Performance Bond	If the proponent encounters hydrocarbons that have the potential to lead to a commercial field development, as security for the due and punctual observance and performance by the proponent of the requirements of condition 5 to be observed, conformed and complied with, the proponent shall lodge with the CEO on demand within three (3) months of the casing and suspension of the proposal, an irrevocable Performance Bond as nominated and approved by the CEO in his/her sole unfettered discretion to a cash value and in a form acceptable to the CEO ("the Security") which Security at the date hereof being \$275,000.	RCMA must lodge a Decommissioning Bond of \$275,000 within three months following a commercial hydrocarbon discovery	RCMA letter to CEO notifying them of Decommissioning Bond	Operations	Q3 2022 (assessed once)	NR	
1178:M06.3	Rehabilitation Performance Bond	Upon completion of appropriate decommissioning and rehabilitation works at the site as agreed by the CEO, the Performance Bond referred in condition 6-1 can be reduced to \$93,000 as a contingency Performance Bond. Additional works would be required if completion criteria have not been achieved in a period of three (3) years following completion of decommissioning and rehabilitation works as determined by the CEO, on advice of DBCA.	Following rehabilitation activities, on approval of the CEO, the Rehabilitation Bond is reduced to a Contingency Rehabilitation Bond of \$93,000	Letter from CEO notifying permission for bond reduction RCMA letter to CEO notifying them of Contingency Rehabilitation Bond	Decommissioning	Annual	NR	
1178:M06.4	Rehabilitation Performance Bond	Security required by conditions 6-1, 6-2 and 6-3 may be reviewed at any time under Part VA 'Financial assurances' of the <i>Environmental Protection Act 1986</i> .	Rehabilitation Bond, Decommissioning bond and Contingency Rehabilitation Bond may be reviewed at any time.	-	Overall	Annual	NR	
1178:M07.1	Offsets	If completion criteria have not been fulfilled after decommissioning and rehabilitation, and a further three (3) years following additional works, resulting in significant residual impacts on Beekeepers Nature Reserve, then the proponent shall implement offsets to counter-balance any	More works (re rehabilitation) must be undertaken if rehabilitation is unsuccessful after 3 years	Re rehabilitation completion report	Decommissioning	Annual	NR	
			Offsets must be implemented 3 years after re rehabilitation if works are unsuccessful	Rehabilitation Monitoring Report Year 6		Annual	NR	

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		residual impacts on the nature reserve as determined by the CEO, on advice of DBCA.						
1178:M08.1	Environmental Management Plan(s): Monitoring and Adaptive Management Program	<p>Prior to ground disturbance and for approval, the proponent must prepare and submit to the CEO Environmental Management Plan(s) to substantiate that the outcomes of conditions M3, M4 and M5 will be met. The Plans must include:</p> <ol style="list-style-type: none"> <li>(1) threshold criteria that provide a limit beyond which the environmental outcomes are not achieved;</li> <li>(2) trigger criteria that will provide an early warning that the environmental outcomes are not likely to be met;</li> <li>(3) monitoring parameters, sites, control/reference sites, methodology, timing and frequencies which will be used to measure threshold and trigger criteria. Include methodology for determining alternate monitoring sites as a contingency if proposed sites are not suitable in the future;</li> <li>(4) baseline data;</li> <li>(5) data collection and analysis methodologies;</li> <li>(6) adaptive management methodology; and</li> <li>(7) contingency measures which will be implemented if threshold or trigger criteria are met.</li> </ol>	RCMA will prepare and submit and obtain approval from the CEO for Cervantes 1 Vegetation Management Plan [RCMA-02-EM-PLN-004], Cervantes 1 Fauna Management Plan [RCMA-02-EM-PLN-007], Cervantes 1 Weed and Dieback Hygiene Management Plan [RCMA-02-EM-PLN-003] and Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008]	CEO approval letter for Management Plans	Pre-construction	Q1 2022 (assessed once)	NR	Cervantes 1 Vegetation Management Plan [RCMA-02-EM-PLN-004v3], Cervantes 1 Fauna Management Plan [RCMA-02-EM-PLN-007v3], Cervantes 1 Weed and Dieback Hygiene Management Plan [RCMA-02-EM-PLN-003v4] and Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008v3] were approved by Shaun Meredith, Executive Director of EPA Services 04/02/2022
1178:M08.2	Environmental Management Plan(s): Monitoring and Adaptive Management Program	The exceedance of a threshold criteria (regardless of whether threshold contingency measures have been or are being implemented), and / or failure to comply with the requirements of the Environmental Management Plan represents a non-compliance with these conditions.	The exceedance of a threshold criteria and / or failure to comply with the requirements of the Environmental Management Plans represents a non-compliance with these conditions	Non-compliance report	Overall	Annual	NR	No ground disturbing activities in the period: 14/12/2021 to 31/12/2021 No exceedances or failures to comply
				Internal audit report				
				Annual compliance assessment report				
1178:M08.3	Environmental Management Plan(s): Monitoring and Adaptive Management Program	The proponent must not commence operations until the CEO, on advice from DBCA, has confirmed in writing that the Environmental Management Plan(s) satisfies the requirements of this condition.	RCMA must have CEO approval for Environmental Management Plans prior to site preparation	Audit confirms site preparation activities commenced after CEO approval letter for Management Plans	Pre-construction	Q1 2022 (assessed once)	NR	Approval letters 04/02/2022 for Environmental Management Plans dated 04/02/2022: <ul style="list-style-type: none"> <li>• DWERT9210</li> <li>• DWERT9212</li> <li>• DWERT9211</li> <li>• DWERT9253</li> </ul>
1178:M09.1.1	Environmental Management Plan(s): General Provisions	After receiving notice in writing from the CEO that the management plan(s) for conditions 3, 4 and 5 of this Statement satisfy the requirements of condition 8 respectively, the proponent shall implement the proposal in accordance with the management plans	RCMA must implement the Cervantes 1 proposal in accordance with the Cervantes 1 Vegetation Management Plan [RCMA-02-EM-PLN-004], Cervantes 1 Fauna Management Plan [RCMA-02-EM-PLN-007], Cervantes 1 Weed and	Audit shows that RCMA has implemented the Cervantes 1 proposal in accordance with the Environmental Management Plans	Overall	Annual	NR	Cervantes 1 proposal has not been implemented in the period: 14/12/2021 to 31/12/2021

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
			Dieback Hygiene Management Plan [RCMA-02-EM-PLN-003] and Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008]					
1178:M09.1.2	Environmental Management Plan(s): General Provisions	After receiving notice in writing from the CEO that the management plan(s) for conditions 3, 4 and 5 of this Statement satisfy the requirements of condition 8 respectively, the proponent shall continue to implement the approved plans and programs until the CEO has confirmed by notice in writing that it has been demonstrated that the condition requirements have been met and therefore the implementation of the actions is no longer required	RCMA must continue to implement the Cervantes 1 proposal in accordance with the Cervantes 1 Vegetation Management Plan [RCMA-02-EM-PLN-004], Cervantes 1 Fauna Management Plan [RCMA-02-EM-PLN-007], Cervantes 1 Weed and Dieback Hygiene Management Plan [RCMA-02-EM-PLN-003] and Cervantes 1 Rehabilitation Plan [RCMA-02-EM-PLN-008] until the CEO notifies RCMA	Letter from the CEO notifying RCMA that the condition requirements have been met and the implementation of the Environmental Management Plans is no longer required	Overall	Annual	NR	Cervantes 1 proposal has not commenced implementation in the period: 14/12/2021 to 31/12/2021
1178:M09.2	Environmental Management Plan(s): General Provisions	The proponent may review and revise the management plan(s).	RCMA may review and revise the management plan(s)	Amended version of the management plan submitted to CEO for approval	Overall	Annual	NR	RCMA do not require revision to the management plans.
1178:M09.3	Environmental Management Plan(s): General Provisions	The proponent shall review and revise the management plan(s) as and when directed by the CEO.	RCMA shall review and revise the management plan(s) as and when directed by the CEO	Amended version of the management plan submitted to CEO for approval	Overall	Annual	NR	
1178:M09.4	Environmental Management Plan(s): General Provisions	The proponent shall implement the latest version of the management plan(s), which the CEO has confirmed by notice in writing, satisfies the requirements of conditions M3, M4, M5 and M8 respectively.	RCMA shall implement the CEO approved version of the management plan(s)	Letter from CEO approving management plan(s)	Overall	Annual	NR	
				Audit confirming Cervantes 1 proposal is managed under current version of management plan(s)				
1178:M09.5	Environmental Management Plan(s): General Provisions	Despite condition M9.4, but subject to conditions M9.6 and M9.7, the proponent may implement minor revisions to a management plan(s) if the revisions will not result in any new or increased adverse impacts to the environment or result in a risk to the achievement of the management plan(s) limits, outcomes or objectives.	RCMA may implement minor revisions to a management plan(s) if the revisions will not result in any new or increased adverse impacts to the environment or result in a risk to the achievement of the management plan(s) limits, outcomes or objectives	Amended version of the management plan(s)	Overall	Annual	NR	
1178:M09.6	Environmental Management	If the proponent is to implement minor revisions to a management plan(s) under condition 9-5,	RCMA must provide the CEO with the management plan(s) with minor	Amended version of the management plan(s)	Overall	Annual	NR	

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
	Plan(s): General Provisions	the proponent must provide the CEO with the following at least twenty (20) business days before it implements the revisions: (1) revised management plan(s) clearly showing the minor revisions; (2) explanation of reasons for the minor revisions; and (3) explanation of why the minor revisions will not result in a new or increased adverse impacts to the environment or result in a risk to the achievement of the management plan limits, outcomes or objectives.	revisions at least 20 days before implementation including: (1) revised management plan(s) clearly showing the minor revisions; (2) explanation of reasons for the minor revisions; and (3) explanation of why the minor revisions will not result in a new or increased adverse impacts to the environment or result in a risk to the achievement of the management plan limits, outcomes or objectives.	Letter from CEO approving management plan(s)				
1178:M09.7	Environmental Management Plan(s): General Provisions	The proponent must cease to implement any revisions which the CEO notifies the proponent in writing may not be implemented.	RCMA must implement the management plan(s) approved by the CEO and management plan(s) with minor changes unless notified by the CEO	Letter from CEO approving management plan(s)	Overall	Annual	NR	
1178:M09.8	Environmental Management Plan(s): General Provisions	Management Plans must be provided in electronic form suitable for publication on the EPA website within ten (10) business days of endorsement, and also be provided on the proponent's website.	Management Plans must be sent to CEO in electronic format suitable for EPA website within ten (10) business days of endorsement, and also be posted on the RCMA website.	Electronic management plan(s) provided to the CEO Management Plan(s) are posted at: www.rcma.com	Overall	Annual	C	The Management Plans were sent to the CEO registrar@dwer.wa.gov.au on 18/07/2021 and the revised plans 24/01/2022.
1178:M10.1	Contact Details	The proponent shall notify the CEO of any change of its name, physical address or postal address for the serving of notices or other correspondence within twenty-eight (28) days of such change. Where the proponent is a corporation or an association of persons, whether incorporated or not, the postal address is that of the principal place of business or of the principal office in the State.	RCMA shall notify the CEO of any change of name, physical address or postal address for the serving of notices or other correspondence within twenty-eight (28) days of such change	Audit confirms that details lodged with the CEO are current	Overall	Annual	NR	RCMA have not changed name, physical address or postal address
1178:M11.1	Compliance and Exceedance Reporting	The proponent shall prepare and maintain a Compliance Assessment Plan which is submitted to the CEO at least six (6) months prior to the first Compliance Assessment Report required by condition M11.5, or prior to implementation of the proposal, whichever is sooner.	RCMA will submit a Compliance Assessment Plan prior to Site Preparation	Audit confirms that the Compliance Assessment Plan was submitted prior to Site Preparation	Pre-construction	Complete 16/12/2021	C	The compliance assessment plan was submitted to the CEO compliance@dwer.wa.gov.au on 16/12/2021
1178:M11.2	Compliance and Exceedance Reporting	The Compliance Assessment Plan shall indicate: (1) the frequency of compliance reporting; (2) the approach and timing of compliance assessments; (3) the retention of compliance assessments; (4) the method of reporting of potential non-compliances and corrective actions taken;	The Compliance Assessment Plan shall indicate: (1) the frequency of compliance reporting; (2) the approach and timing of compliance assessments; (3) the retention of compliance assessments;	Audit confirms that the Compliance Assessment Plan contains the required content	Overall	Q1 2022 (assessed once)	C	Sections of the compliance assessment plan are as follows: (1) 2.1 (2) 2.2 (3) 2.3 (4) 2.4 (5) 2.5 (6) 2.6

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		(5) the table of contents of Compliance Assessment Reports; and (6) public availability of Compliance Assessment Reports.	(4) the method of reporting of potential non-compliances and corrective actions taken; (5) the table of contents of Compliance Assessment Reports; and (6) public availability of Compliance Assessment Reports.					
1178:M11.3	Compliance and Exceedance Reporting	After receiving notice in writing from the CEO that the Compliance Assessment Plan satisfies the requirements of condition M11.2, the proponent shall assess compliance with conditions in accordance with the Compliance Assessment Plan required by condition M11.1.	RCMA shall assess compliance with conditions in accordance with the Compliance Assessment Plan	Audits reports containing Compliance Assessment Plan audit table assessing compliance with conditions	Overall	Annual	C	This audit report for the period: 14/12/2021 to 31/12/2021
				Audit reports assessing compliance of proposal with Environmental Management Plans; Vegetation management Plan, Fauna Management Plan, Hygiene Management Plan and Rehabilitation Management Plan	Overall	Annual	C	This audit report for the period: 14/12/2021 to 31/12/2021
1178:M11.4	Compliance and Exceedance Reporting	The proponent must provide an annual Compliance Assessment Report to the CEO for the purpose of determining whether the implementation conditions are being complied with.	RCMA must provide an annual Compliance Assessment Report	Annual Compliance Assessment Report	Overall	Annual	C	This CAR 28/03/2022 for the period: 14/12/2021 to 31/12/2021
1178:M11.5	Compliance and Exceedance Reporting	The first annual Compliance Assessment Report must be submitted within twelve months of the issuing of this statement commencing on the first 31 March after the date of this Statement, and subsequent Compliance Assessment Reports must be submitted annually from that date, unless a different date is approved by the CEO.	RCMA must provide first annual Compliance Assessment Report by 31 March 2022	Annual Compliance Assessment Report	-	31 Mar 2022 (assessed annually)	C	This CAR 28/03/2022 for the period: 14/12/2021 to 31/12/2021
1178:M11.6	Compliance and Exceedance Reporting	Each annual Compliance Assessment Report must be endorsed by the proponent's Chief Executive Officer and must: (1) state whether each condition of this Statement has been complied with; (2) provide evidence to substantiate statements of compliance, or details of where there has been a non-compliance and describe corrective and preventative actions taken; and (3) be provided in a form suitable for publication on the EPA website.	RCMA CEO must endorse the annual Compliance Assessment Report which must: (1) state whether each condition of this Statement has been complied with; (2) provide evidence to substantiate statements of compliance, or details of where there has been a non-compliance and describe corrective and preventative actions taken; and (3) be provided in a form suitable for publication on the EPA website.	Annual Compliance Assessment Report is completed in accordance with Compliance Assessment Plan and endorsed by RCMA CEO	Overall	Annual	C	This CAR 28/03/2022 for the period: 14/12/2021 to 31/12/2021



Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1178:M11.7.1	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded, the proponent must report this to the CEO within seven (7) days	RCMA must report within 7 days to the CEO where they become aware of a non-compliance (a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded)	Non-compliance report	Overall	Annual	C	As the proposal was not implemented, RCMA is not aware of a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, was, or was likely to be exceeded for the period: 14/12/2021 to 31/12/2021
				Notification to DWER: compliance@dwer.wa.go.au				
1178:M11.7.2	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded, the proponent must implement contingency measures	RCMA must implement contingency measures if they become aware of a non-compliance	Non-compliance report	Overall	Annual	NR	
				Notification to DWER: compliance@dwer.wa.go.au				
1178:M11.7.3	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded, the proponent must investigate the cause of the exceedance	RCMA must investigate the cause of the exceedance if they become aware of a non-compliance	Non-compliance report	Overall	Annual	NR	
				CEO Report (21 day): compliance@dwer.wa.go.au				
1178:M11.7.4	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded, the proponent must investigate environmental impacts of the exceedance	RCMA must investigate the environmental impacts of the exceedance if they become aware of a non-compliance	Non-compliance report	Overall	Annual	NR	
				CEO Report (21 day): compliance@dwer.wa.go.au				
1178:M11.7.5	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded, the proponent must propose rectification measures	RCMA must propose rectification measures if they become aware of a non-compliance	Non-compliance report	Overall	Annual	NR	
				CEO Report (21 day): compliance@dwer.wa.go.au				
1178:M11.7.6	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in these conditions, has, or is likely to be exceeded, the proponent must propose measures to ensure no further impact as a result of the exceedance	RCMA must propose measures to ensure no further impact as a result of the exceedance if they become aware of a non-compliance	Non-compliance report	Overall	Annual	NR	
				CEO Report (21 day): compliance@dwer.wa.go.au				
1178:M11.7.7	Compliance and Exceedance Reporting	If the proponent becomes aware a limit, outcome or threshold criteria contained in these conditions, or a management plan required in	RCMA must provide a further report on the incident to the CEO within 21 days of the original report	CEO Report (21 day): compliance@dwer.wa.go.au	Overall	Annual	NR	

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		these conditions, has, or is likely to be exceeded, the proponent must provide a further report to the CEO within twenty-one (21) days of the original report, detailing the measures required under this condition						
1178:M12.1	Public Availability of Data	Subject to condition M12.2, within a reasonable time period approved by the CEO of the issue of this Statement and for the remainder of the life of the proposal, the proponent shall make publicly available, in a manner approved by the CEO, all validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps)), management plans and reports relevant to the assessment of this proposal and implementation of this Statement.	RCMA must upload the following to (www.rcma.com): all validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps)), management plans and reports relevant to the assessment of this proposal and implementation of this Statement	Audit confirms all required information is available at www.rcma.com	Overall	Annual	C	All data is available at: <a href="https://rcma-australia.com/">https://rcma-australia.com/</a>
1178:M12.2	Public Availability of Data	If any data referred to in condition 12-1 contains particulars of: (1) a secret formula or process; or (2) confidential commercially sensitive information, the proponent may submit a request for approval from the CEO to not make these data publicly available. In making such a request the proponent shall provide the CEO with an explanation and reasons why the data should not be made publicly available.	RCMA may submit a request to the CEO not to make data publicly available with justification	Letter to the CEO requesting data remain confidential	Overall	Annual	NR	

Compliance Status: C = Compliant, CLD = Completed, NC = Non – compliant, NR = Not Required at this stage. Please note the terms NA = Not Audited and VR = Verification Required are only for OEPA use. IP = In Process may only be used by the proponent in circumstances outlined in Section 2.8 of the Post Assessment Guideline for Preparing an Audit Table