

BKS Investment Services, Inc.  
Investment Advisory Agreement  
Limited Trading Authorization  
Fee Authorization

I agree to have BKS Investment Services, Inc. provide investment advisory services for my advisory account, in custody at TD Ameritrade. I understand that investment advisory accounts risk loss of value over any short or long- term time period.

Services provided by BKS Investment Services, Inc. will include investment decisions regarding appropriate buys and sells of securities, execution of trades through TD Ameritrade, and monthly account statements.

I am giving BKS Investment Services limited trading authority (may be revoked at any time upon notification from me) through TD Ameritrade for the purpose of providing these services.

I am also giving BKS Investment Services authority to bill my account (accounts) on a quarterly basis through TD Ameritrade at the annual rate listed below and in BKS Investment Services regulatory form ADV Part II Wrap Fee Program brochure as a fee for the advisory service. Fees are billed in arrears at the end of each calendar quarter and are non-refundable. There are no pre-paid fees. This authority is also revocable by me at any time upon notification from me. Fees are subject to change with advance notice of three months.

I have reviewed BKS Investment Services, Inc. Regulatory Form ADV and the firm's privacy policy with the advisory firm, and have received all pertinent risk of account value loss disclosures regarding investment advisory accounts. I agree to review the firm's updated privacy policy on its website, receive it via email or have requested it via mail annually (below).

I also understand that I will be subject to TD Ameritrade's customer agreement (available at [www.tdameritrade.com](http://www.tdameritrade.com) in the forms section of that website) and have reviewed it in the course of opening an advisory account with BKS Investment Services, Inc.

This agreement is cancellable upon notice by either party, and this agreement is not assignable without the client's consent. The client has five days to cancel this agreement, if not delivered 48 hours before signing, without incurring any fees or penalties.

BKS Investment Services Wrap Fee Program	Annual Fee
<input type="checkbox"/> BKS Balanced Index Account (Growth > Income)	____%
<input type="checkbox"/> BKS Balanced Index Account (Income > Growth)	____%

I / we will review the privacy policy via email or website annually  
 I / we want to receive the privacy policy vial regular mail annually

\_\_\_\_\_  
Client / (Clients)

\_\_\_\_\_  
Bruce K. Schmidt  
BKS Investment Services, Inc.  
Registered Investment Advisor

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

Client Investment Profile / Suitability Criteria

Name:

Address:

Telephone Number:

Date of Birth:

Employment Status:

Annual Income:

Net Worth:

Tax Bracket:

Investment Objectives:

Risk Tolerance:

Time Horizon: