

The information on this enrollment form is correct to the best of my knowledge. I understand that if I intentionally provide false information on this form, I will be disenrolled from the plan.

**Release of Information:**

By joining this Medicare plan, I acknowledge that Humana will share my information with Medicare, who may use it to track my enrollment, to make payments, and for other purposes allowed by federal law that authorize the collection of this information (see Privacy Act Statement below).

**Privacy Act Statement:**

The Centers for Medicare & Medicaid Services (CMS) collects information from Medicare plans to track beneficiary enrollment in Medicare Advantage (MA) Plans, improve care, and for the payment of Medicare benefits. Sections 1851 and 1860D-1 of the Social Security Act and 42 CFR §§ 422.50 and 422.60 authorize the collection of this information. CMS may use, disclose and exchange enrollment data from Medicare beneficiaries as specified in the System of Records Notice (SORN) "Medicare Advantage Prescription Drug (MARx)", System No. 09-70-0588. **Your response to this form is voluntary. However, failure to respond may affect enrollment in the plan.**

**Individuals experiencing homelessness:**

If you want to join a plan but have no permanent residence, a Post Office Box, an address of a shelter or clinic, or the address where you receive mail (e.g., social security benefit checks) may be considered and used in the residential address field as your permanent residence address.

Please print this information exactly  
as it is on your Medicare card.

**Asterisks (\*) indicate required fields.**

(For current or past Humana members)

<sup>†</sup>Required if SEP selected. See page 4 for code.

RESIDENTIAL ADDRESS\* P.O. Box not allowed.

### Experiencing homelessness

[illegible]

APT or STE \_\_\_\_\_  
 CITY \_\_\_\_\_ ST \_\_\_\_\_ ZIP \_\_\_\_\_

EMAIL By providing your email address, you authorize Humana to send you health information to this address.

PCP ID NUMBER

**Are you already a patient of the physician you chose?**

☐ Yes ☐ No

Typically, you may enroll in a Medicare Advantage or prescription drug plan during the Annual Election Period (AEP) between October 15 and December 7 of each year. In addition, you can choose to change your Medicare Advantage plan once during the annual Open Enrollment Period (OEP) between January 1 and March 31 of each year, or immediately after enrolling in a plan during your IEP/ICEP (OEP NEW). Limitations on allowed plan changes during OEP apply. There are exceptions that may allow you to enroll outside of these periods. Please read the following statements carefully and mark the oval to the left of any statement that applies to you. By marking any of the following ovals you are certifying that, to the best of your knowledge, the text is a true statement about you. **If we later determine that this information is incorrect, you may be disenrolled.**

SEP Code	Special Election Period (SEP) statements
<div> <div></div> <div>LEC</div> </div>	I am either losing/leaving coverage I had from an employer or union or lost this type of coverage within the last two months.
<div> <div></div> <div>MDE</div> </div>	I have both Medicare and Medicaid (or my state helps pay for my Medicare premiums) or I get Extra Help paying for my Medicare prescription drug coverage, but I <b>HAVEN'T</b> had a change. <b>Note: This SEP is valid once per month throughout each year, and only for enrollment into a PDP.</b>
<div> <div></div> <div>NLS</div> </div>	I had a change in my Extra Help paying for Medicare prescription drug coverage (newly got assistance, had a change in level or lost eligibility) within the last three months.
<div> <div></div> <div>MCD</div> </div>	I had a change in my Medicaid status (newly got assistance, had a change in level or lost eligibility) within the last three months.
<div> <div></div> <div>MOV</div> </div>	I am moving or have moved within the last two months. The move is either outside the service area for my current plan or this plan is a new option for me.
<div> <div></div> <div>SNP</div> </div>	I have been notified that I no longer qualify for my Dual Eligible Special Needs Plan and am in a period of deemed continued eligibility or I was disenrolled from my Dual Eligible Special Needs Plan within the past three months due to a Medicaid change or loss.
<div> <div></div> <div>DST</div> </div>	I was affected by a Federal Emergency Management Agency (FEMA) declared emergency/ disaster or a disaster or other emergency declaration issued by a federal, state or local government entity, and was unable to use another election period available to me due to it. Election Period Missed: _____ Emergency/Disaster Experienced: _____
<div> <div></div> <div>EOC</div> </div>	My existing Medicare Advantage (MA) plan is ending its contract for the upcoming contract year. <b>Note: This SEP is only valid from December 8 through the last day of February.</b>
<div> <div></div> <div>OTH</div> </div>	None of the above statements apply to me. However, I feel I have a special circumstance which allows me an exception to enroll. Humana will contact you to determine if an exception can be granted. <b>Must include the reason below.</b>

Notes (if OTH):

Asterisks (\*) indicate required fields

APPLICANT MEDICARE NUMBER\*

N A E N - A E N - A A N N

Plan selection

Please provide the plan information below for the medical or prescription drug plan you'd like. Plan information can be found in your Summary of Benefits.

CONTRACT\*  
[ ][ ][ ][ ][ ]

PBP\*  
[ ][ ][ ]

SEGMENT  
0 0 [ ]

Please provide the base monthly premium for this plan from the Summary of Benefits. This amount helps us identify the plan you would like and should not include any late enrollment penalties or payments from other parties, like Medicaid.

BASE MONTHLY PREMIUM\*

\$ [ ][ ][ ] . [ ][ ]

Select one option below corresponding with the plan details you provided above. Refer to your Summary of Benefits or your agent for assistance.

I would like **ONE** of the following options:\*

- ☐ Humana Gold Plus® HMO

☐ Humana Gold Plus® Giveback HMO

☐ Humana Value Plus HMO

☐ Humana USAA Honor Giveback HMO

☐ Humana Gold Plus® HMO C-SNP  
(Additional Pre-Qualification Form Required)

☐ Humana Community HMO C-SNP  
(Additional Pre-Qualification Form Required)

☐ Humana Community HMO

☐ Humana Community Select HMO

☐ Humana Select Partner Plan HMO

☐ Humana Cleveland Clinic Preferred HMO

☐ Humana LCMC Advantage HMO

☐ UC San Diego Health Humana HMO

☐ Humana FMOL Network HMO

☐ Humana BR Clinic-BR Gen HMO
- ☐ HumanaChoice® PPO

☐ HumanaChoice® Giveback PPO

☐ Humana Value Plus PPO

☐ Humana USAA Honor Giveback PPO

☐ HumanaChoice® PPO C-SNP  
(Additional Pre-Qualification Form Required)

☐ Humana Together in Health PPO I-SNP  
(Additional Attestation Form Required)

☐ Humana Senior Living Plan PPO I-SNP  
(Additional Attestation Form Required)

☐ HumanaChoice® Value PPO

☐ HumanaChoice® Partnered PPO

☐ Humana USAA Honor Giveback with Rx PPO

☐ Humana Full Access PPO

☐ Humana Full Access Giveback PPO

☐ Humana Basic Rx Plan (PDP)

☐ Humana Premier Rx Plan (PDP)

☐ Humana Value Rx Plan (PDP)

☐ Humana Gold Choice® PFFS

If selecting a Medicare Advantage HMO or PPO plan that does not include prescription drug coverage, a stand-alone prescription drug plan (PDP) cannot be carried at the same time.

Asterisks (\*) indicate required fields

APPLICANT MEDICARE NUMBER\*

N A E N - A E N - A A N N

If you will have other prescription drug coverage (like VA, TRICARE) in addition to this plan for which you are applying, please fill this oval.\*

☐ I will have other prescription drug coverage

Please provide your other prescription drug coverage details here, if applicable.

NAME OF OTHER COVERAGE

ID NUMBER FOR THIS COVERAGE

GROUP NUMBER FOR THIS COVERAGE

Once enrolled, will you or your spouse work?

☐ Yes ☐ No

Preferred Written Language (when available)

☐ English ☐ Spanish ☐ Chinese ☐ Korean ☐ Other \_\_\_\_\_

Preferred Verbal Language

☐ English ☐ Spanish ☐ Mandarin ☐ Cantonese  
☐ Korean ☐ Other \_\_\_\_\_

If an accessible format is needed, please select one option

☐ Audio ☐ Large print ☐ Accessible screen reader PDF  
☐ Oral over the phone ☐ Braille ☐ Data CD

Please call a licensed Humana sales agent at **1-800-833-2367 (TTY: 711)** if you need information in another format or language.

Are you Hispanic, Latino/a, or Spanish origin? Select all that apply.

☐ No, not of Hispanic, Latino/a, or Spanish origin ☐ Yes, Mexican, Mexican American, Chicano/a  
☐ Yes, Puerto Rican ☐ Yes, Cuban  
☐ Yes, another Hispanic, Latino/a, or Spanish origin ☐ I choose not to answer

What's your race? Select all that apply.

☐ American Indian or Alaska Native ☐ Asian Indian ☐ Black or African American  
☐ Chinese ☐ Filipino ☐ Guamanian or Chamorro  
☐ Japanese ☐ Korean ☐ Native Hawaiian  
☐ Other Asian ☐ Other Pacific Islander ☐ Samoan  
☐ Vietnamese ☐ White ☐ I choose not to answer

What was your sex assigned at birth? You can find this on an original birth certificate or similar document.

Select one.

☐ Female ☐ Not sure  
☐ Male ☐ I choose not to answer  
☐ A sex that's not listed: \_\_\_\_\_

What's your gender identity? Select one.

☐ Female ☐ A gender that's not listed: \_\_\_\_\_  
☐ Male ☐ Not sure  
☐ Transgender female ☐ I choose not to answer  
☐ Transgender male

What's your sexual orientation? Select one.

☐ Lesbian or gay ☐ A sexual orientation that's not listed: \_\_\_\_\_  
☐ Straight ☐ Not sure  
☐ Bisexual ☐ I choose not to answer

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**PLEASE SELECT ONE PREMIUM PAYMENT OPTION.** You may pay your monthly plan premium and/or late enrollment penalty via automatic deduction from your bank account, Social Security Administration (SSA) or Railroad Retirement Board (RRB) benefit check, or credit or debit card. You may also choose to pay by mail using a coupon book. **If you do not select a payment option below, you may be defaulted to a coupon book.**

☐ **Automatic bank account deduction**

Bank account information (Only complete this section if you selected Automatic bank account deduction as your payment option).

☐ Checking account    ☐ Savings account

BANK NAME

ROUTING NUMBER

ACCOUNT NUMBER



Routing number      Account number

☐ **Social Security benefit check deduction** (Please see note below)

☐ **Railroad Retirement Board benefit check deduction** (Please see note below)

You must currently be receiving a Railroad Retirement Board benefit check in order to qualify for this payment option.

**NOTE:** Due to processing timelines mandated by CMS (Medicare), your SSA or RRB deduction may be denied for your first premium payment. Humana will issue you an invoice for the initial payment and resubmit your request to CMS (Medicare) for SSA or RRB deduction to begin with your second month's premium. The deduction may take two or more benefit checks to begin. In most cases, if SSA or RRB accepts your request for automatic deduction, the first deduction from your benefit check will start with the month that SSA accepts the withholding. If SSA or RRB does not approve your request for automatic deduction, we will send you a coupon book for your monthly premiums.

☐ **Automatic credit or debit card deduction**

Credit or debit card information (Only complete this section if you selected Automatic credit or debit card deduction as your payment option).

☐ Mastercard    ☐ Visa    ☐ Discover    ☐ American Express

CREDIT OR DEBIT CARD NUMBER

EXPIRATION DATE

M M - 2 0 Y Y

☐ **Coupon book**

You can visit **Humana.com/pay** to make your monthly premium payments online. If you have selected coupon book as your payment option, you can pay as far in advance as you like. You can also log in to your secure MyHumana account (click Register if you haven't signed up yet) or download the MyHumana mobile app to take advantage of other premium-related services.

If you are assessed a Part D-Income Related Monthly Adjustment Amount (Part D-IRMAA), you will be notified by the Social Security Administration. You will be responsible for paying this extra amount in addition to your plan premium. Do NOT pay Humana the Part D-IRMAA.



Asterisks (\*) indicate required fields

APPLICANT MEDICARE NUMBER\*

N A E N - A E N - A A N N

I have read and understand the important information on the preceding pages. I have reviewed and received a copy of the Summary of Benefits.

SIGNATURE OF APPLICANT\* or authorized legal representative (including valid Power of Attorney, Legal Guardian, etc.)

SIGNATURE DATE\*

M M - D D - 2 0 Y Y

I understand that my signature (or the signature of the individual legally authorized to act on my behalf) on this enrollment form means that I have read and understand the contents of this enrollment form. If signed by an authorized representative (as described above), the signature certifies that: 1) this individual is authorized under state law to complete this enrollment, and 2) documentation of this authority is available upon request by Medicare.

If you are the authorized legal representative, you **MUST** sign above and provide the following information:\*

LAST NAME FIRST NAME MI

STREET ADDRESS

CITY ST ZIP

TELEPHONE RELATIONSHIP TO APPLICANT

( ) -

FOR INDIVIDUALS HELPING AN APPLICANT WITH COMPLETING THIS FORM ONLY

Complete this section if you're an individual (e.g. agents, brokers, SHIP counselors, family members, or other third parties) helping an applicant fill out this form.

NAME SIGNATURE

RELATIONSHIP TO APPLICANT NATIONAL PRODUCER NUMBER (AGENTS/BROKERS ONLY)

AGENT USE ONLY

APPOINTMENT TYPE SCOPE OF APPOINTMENT ID NUMBER

WRITING AGENT NAME\*

AGENT NUMBER (SAN)\* DATE\*

M M - D D - 2 0 Y Y

AFFINITY PARTNER LOCATION CAMPAIGN

REFERRING AGENT NAME

REFERRING AGENT NUMBER (SAN) CONTRACT\* PBP\* SEGMENT

0 0

ASK THE APPLICANT: Would you like to provide your Veteran status?\*

Self Spouse Dependent I am not a Veteran Prefers not to answer

LEAD SOURCE\*

Book of Business Event Marketing/Advertisement Third-Party Humana