

January 8, 2024

AuditOne LLP Q1 2024 Update:

Happy 2024 everyone! It should be quite a year! AuditOne LLP's peer review audit is this year and I have spent the last few months ensuring our internal programs meet AICPA and PCAOB compliance standards. As a former Technology Officer at a couple financial institutions, I am used to these external audits.

2024 looks to be a busy year. If you need adjustments to your regular audit schedule or would like to discuss additional audits or services, please reach out as soon as possible.

ISO 27001: 2022 Audits

AuditOne LLP is moving forward with its first ISO 27001:2022 gap analysis engagement. We created an entire certification program for the ISO 27001:2013 framework a few years ago. The ISO 27001 version 2022 combines several controls but in essence is the same audit.

CCPA (California Consumer Privacy Act) Audits

We have had several recent enquiries from clients into providing privacy audits. We always have had basic CCPA and GDPR audit programs but will be expanding our CCPA audit program to better provide a more robust review of privacy controls.

https://cpa.ca.gov/regulations/consumer_privacy_act.html

PCAOB Registered

AuditOne LLP is now a PCAOB registered accounting firm. PCAOB registration is more often becoming a requirement to perform SOC 1 audits. Although we have no plans to perform financial statement audits, we will maintain a PCAOB compliant quality control system which allows us to be a PCAOB registered firm.

<https://pcaobus.org/>

Security Questionnaires

Some of our clients receive dozens of security questionnaires on an annual basis. A SOC 2 report with a signed NDA, or a SOC 3 report can, and should replace many security questionnaires. The following is a great example from our client Bitwarden of how they promote their SOC 2 and SOC 3 reports. <https://bitwarden.com/compliance/>

Audit Item Review

No one wants exceptions in their audit report. Please review your SOC controls on an ongoing basis to ensure your processes are current and operating effectively. Please use these quarterly newsletters as a reminder to review your controls.

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