

April 16, 2021

AuditOne LLP Q2 2021 Update:

I hope this newsletter finds everyone well. Vaccine rates are high, and it looks like the pandemic is coming to end in most parts of the United States and we will soon return to a new normal this summer. I was able to get my JnJ shot last weekend, and my kids are back in school full time starting next Monday. Mask up, be safe, and have fun traveling this summer.

Remote audits have been very effective using video conferencing tools over the past year and we see no need to change our processes at this time. Remote audits will be the new normal unless the client is new, is using the processing integrity trust principal, or if there has been material changes to the clients operation.

Audit Exceptions

Although exceptions are part of the audit process, no one likes to see them in their audit report, including myself. As a best practice, I suggest you review your SOC controls on an ongoing basis to ensure your processes are current and operating effectively. You can use this quarterly newsletter as a reminder to review your controls.

Sample Controls

AuditOne offers a HIPAA security rule audit as a companion audit to the SOC 2. Due to the demand for the HIPAA security rule audit, I have updated my sample control set to include HIPAA required controls for SOC 2 audits. Please contact me if you would like a copy of my 2021 sample controls.

Client Referrals

A majority of AuditOne's new clients originate from client referrals. A special thank you to the clients who have referred us new clients over the years. Going forward we will be offering account credits for referring new clients who sign an agreement. We will be offering an 8%, to a max of \$2,000, credit for every client referred. This credit will be included in your next audit proposal.

Feel free to email me with your questions.

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