

April 15, 2026

AuditOne LLP Q2 2026 Update:

The year is moving right along despite increased economic uncertainty across the U.S. and beyond. It has been a busy spring at AuditOne LLP, and I would like to take a moment to thank our clients for their patience and flexibility.

As a reminder, SOC audit periods do not have to be twelve months. The lookback period just needs to have auditable data to audit. Six months used to be the minimum lookback period specified by the AICPA but that was changed a few years ago.

SOC 3 and Security Questionnaires

Some of our clients receive dozens of security questionnaires on an annual basis. A SOC 2 report with a signed NDA, or a SOC 3 report can, and should replace many security questionnaires. The following is a great example from our client Bitwarden on how they promote their SOC 2 and SOC 3 reports. <https://bitwarden.com/compliance/>

PCAOB Registered

AuditOne LLP is a PCAOB registered accounting firm. PCAOB registration is more often becoming a requirement to perform SOC 1 audits. Although we have no plans to perform financial statement audits, we will maintain a PCAOB compliant quality control system which allows us to be a PCAOB registered firm.

<https://pcaobus.org/>

AuditOne LLP Associated Companies

Insight Risk Consulting

Insight Risk Consulting has been providing cost-effective penetration testing services for over fifteen years. Their penetration testing represents a method of evaluating the security of a service provider's external systems by simulating an attack by a person with malicious intent. <https://insightriskconsulting.com/>

AuditOne LLC

AuditOne LLC launched a new website earlier this year. AuditOne LLC helps financial institutions and fintechs strengthen controls, satisfy exam expectations, and reduce risk through independent internal audit, compliance support, credit-focused reviews, and technology risk services. <https://auditonellc.com/>

Ongoing Controls Review

No one wants exceptions in their audit report. Please review your controls on an ongoing basis to ensure your processes are current and operating effectively. Please use these quarterly newsletters as a reminder to review your controls.

Please let me know if you have any questions.

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