

**October 13, 2021**

**AuditOne LLP Q4 2021 Update:**

2021 is winding down, kids are in school, and our house is gearing up for full Halloween festivities this year. Almost normal here in the Bay Area. It's been another successful year for AuditOne LLP, and I appreciate everyone's patience with the changes made to our auditing processes. For clients with Q1 and Q2 audits, you can expect proposals in your mailbox later in October.

**ISO 27001 Certification Audits**

There has been a number of clients asking for ISO 27001 certification audits this year so we will start offering this service in 2022. We will be offering a pre-certification review, and a full ISO 27001 certification audit.

The pre-certification review will focus on performing a gap analysis of your current control environment to prepare for the certification audit. The goal of the pre-certification audit is to reduce, or eliminate, the number of exceptions (nonconformities) in the certification audit.

**Risk Assessments**

One of the challenges that many clients face while completing a SOC 2 engagement is addressing the risk assessment criteria specified by the AICPA. AuditOne has developed a risk assessment methodology to address all the requirements of the AICPA criteria. We offer this risk assessment as a service, or we can supply your company the format to complete in house. <https://auditonellp.com/insights-risk-assess>

**Audit Exceptions**

I continue to suggest clients review their SOC controls on an ongoing basis to ensure your processes are current and operating effectively. A majority of exceptions originate from HR related controls. Please use these quarterly newsletters as a reminder to review your controls.

Feel free to email or call me with your questions.

Stay safe out there! Happy Halloween! Happy Thanksgiving!

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