# Advanced Organic Chemistry Chm 512/412 Spring 2010

Handout I 
"Photochemistry Part 1

Photophysical Processes

Quenching

Alkene *cis-trans* Isomerization

#### Importance of Photochemistry/Photophysics

#### **Organic Synthesis**

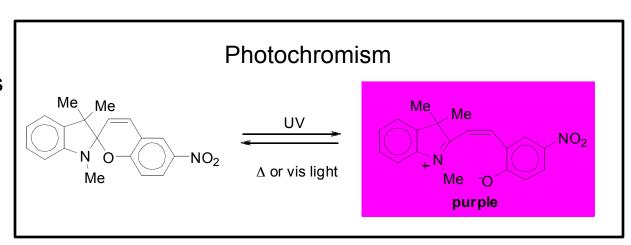
- -strained multiple bonds
- -cyclobutanes
- -oxetanes
- -1,2 diols
- -polycyclic compounds
- -reactive intermediates: nitrenes; carbenes; radicals

#### **Analytical Chemistry**

Fluorescence Spectroscopy & Luminescence Imaging Techniques Chemical sensors

#### **Material Sciences**

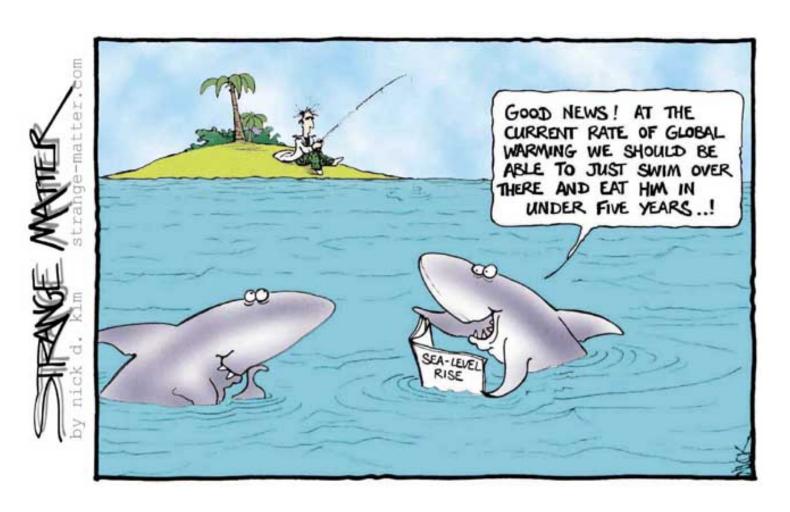
- -Photopolymerization
- -Photochromic Materials
- Photography
- -Photolithography



#### Clean Energy through Photochemistry without CO<sub>2</sub> Emission

- -Photovoltaic cells
- -Photochemical splitting of water

$$H_2O$$
 photocatalyst  $H_2 + O_2$ 



#### **Photobiology**

Photochemistry of Biomolecules (Nucleic Acids, Amino acids, Proteins, Lipids)

Photosynthesis

**Visual Transduction** 

Biological Effects of Solar Ultraviolet Radiation

Photocarcinogenesis

#### **Photomedicine**

Photochemotherapy

Photodynamic Phototherapy

Protoporphyrin IX (PP-IX)

# The Beginnings of Organic Photochemistry

**1834**: First photochemical transformation described by Trommsdorff: Exposed  $\alpha$ -Santonin (1)crystals to sunlight and observed that they turned yellow and bursted. The mechanism was elucidated in 2007 by Arunkumar Natarajan et al.

hv solution

hv crystal

hv solution

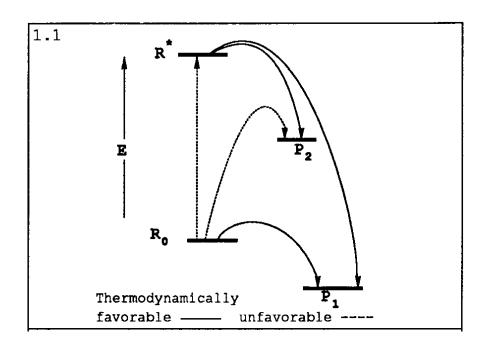
$$4\pi+2\pi$$
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Published in: Arunkumar Natarajan; C. K. Tsai; Saeed I. Khan; Patrick McCarren; K. N. Houk; Miguel A. Garcia-Garibay; *J. Am. Chem. Soc.* **2007**, 129, 9846-9847.

DOI: 10.1021/ja0731890 Copyright © 2007 American Chemical Society

# **Photochemistry**

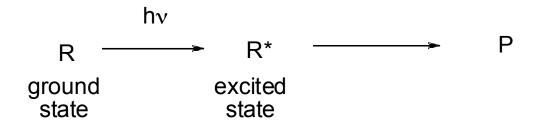
- Study of reactions that involve excited states of organic molecules These reactions are initiated by the absorption of a photon by one reactant leading to its excited state (Excitation Process)



#### **Grotthus-Draper Law**

(First Law of Photochemistry)

"Only radiation absorbed in a system can produce a chemical change."

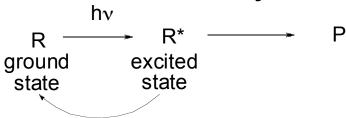


#### Stark-Einstein Law of Photochemical Equivalence

"Number of activated molecules = number of quanta of radiation absorbed."

$$1 \text{ hv} + 1 \text{ R}_0 \longrightarrow 1 \text{ R}^*$$

#### **Photochemistry**



Properties of Excited State: geometry; electronic configuration

#### **Photophysical Processes**

#### 1) Relaxation Processes

-Dissipation of energy and change of electronic configuration after excitation Vibrational Relaxation Internal Conversion Intersystem Crossing

#### 2) Decay Processes

-Return to ground state with release of energy

Quenching – energy transfer

Fluorescence

Phosphorescence

Luminescence

#### **Photochemical Processes**

- Chemical reactions of the excited state fragmentations cis/trans isomerizations rearrangements addition/cyclization reactions photo oxidations

#### Important Compound Classes

alkenes, dienes & polyenes aromatic compounds carbonyl compounds nitrogen-containing compounds (R-N<sub>3</sub>, RR'C=N<sub>2</sub>, R-NO<sub>2</sub>, R-N=N-R, R-ONO) singlet oxygen halogen-containing compounds

#### **Excited State of the Molecule**

- Energy of the molecule has increased by the energy of the absorbed photon
- -Photochemistry deals in part with properties of the excited state

$$E_{exc} = E^* - E_0 = hv = hc/\lambda$$

 $E_{exc}$  = electronic excitation energy

 $E^*$  = energy of excited state

 $E_0$  = energy of ground state

*h* = Planck's constant

v = frequency of absorbed radiation

c = speed of light

 $\lambda$ = wavelength of absorbed radiation

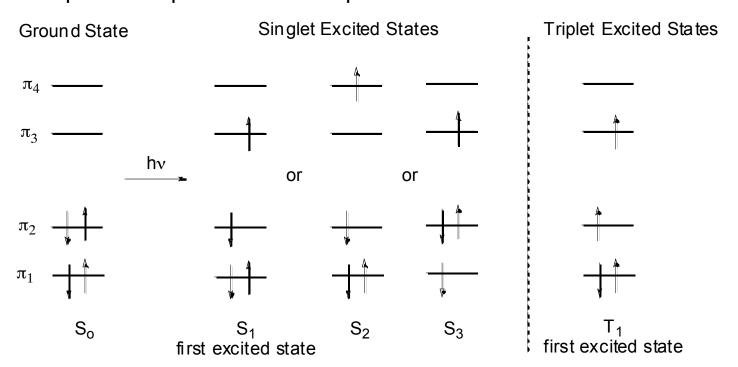
-Wavelength range of most photochemical reactions:

UV/Vis region: 200 nm  $\leq \lambda \leq$  700 nm; 143 kcal/mol  $\geq$  E  $\geq$  40.8 kcal/mol

With modern high intensity light sources (lasers) two photon excitation is possible (IR photolysis)

#### **Photochemical Excitation**

Adsorption of a photon is accompanied with an *electronic transition* 



Electronic State Excited State (enumerative) (Kasha)

Electronic Configuration

$S_0$	-	$\left(\pi_{1}\downarrow\uparrow\right)^{2}\left(\pi_{2}\downarrow\uparrow\right)^{2}\left(\pi_{3}\right)^{0}\left(\pi_{4}\right)^{0}$
$S_1$	$^{1}(\pi_{2},\pi_{3})$	$\left(\pi_{1}\downarrow\uparrow\right)^{2}\left(\pi_{2}\downarrow\right)^{1}\left(\pi_{3}\uparrow\right)^{1}\left(\pi_{4}\right)^{0}$
$S_2$	$^{1}(\pi_{2},\pi_{4})$	$(\pi_1 \downarrow)^1 (\pi_2 \downarrow \uparrow)^2 (\pi_3 \uparrow)^1 (\pi_4)^0$
$S_3$	$^{1}(\boldsymbol{\pi}_{1},\boldsymbol{\pi}_{3})$	$(\pi_1 \downarrow \uparrow)^2 (\pi_2 \downarrow)^2 (\pi_3)^0 (\pi_4 \uparrow)^0$
$T_1$	$^3(\pi_2,\pi_3)$	$(\pi_1 \downarrow \uparrow)^2 (\pi_2 \downarrow)^1 (\pi_3 \downarrow)^1 (\pi_4)^0$

#### Symbolism to denote electronic states, excited states and electronic transitions

#### 1. Electronic states and excited states

#### (a) Enumerative system

Used if the electronic configuration of the excited state is not known. States are labeled according to multiplicity and increasing energy.

 $S_0$  for ground state and  $S_1$ ,  $S_2$ , ... and  $T_1$ ,  $T_2$ , ... for first, second,... singlet and triplet states.

#### (b) Kasha's MO representation for excited states

Used, if electronic configuration of excited states are known. Excited states are labeled by type of MOs involved and multiplicity.

$$^{1}(\pi_{2}, \, \pi_{3}), \, ^{1}(\pi_{2}, \, \pi_{4}), \, ^{3}(\pi_{2}, \, \pi_{3}), \, ^{3}(\pi_{2}, \, \pi_{4}) \, \text{ for } S_{1}, \, S_{2}, T_{1} \, \text{ and } T_{2}$$

Superscript 1 or 3 stands for multiplicity

The two MOs are those involved in the transition.

#### 2. Electronic Transitions

#### (a) Enumerative system

An arrow denotes the transition form the starting electronic state to the final electronic state.

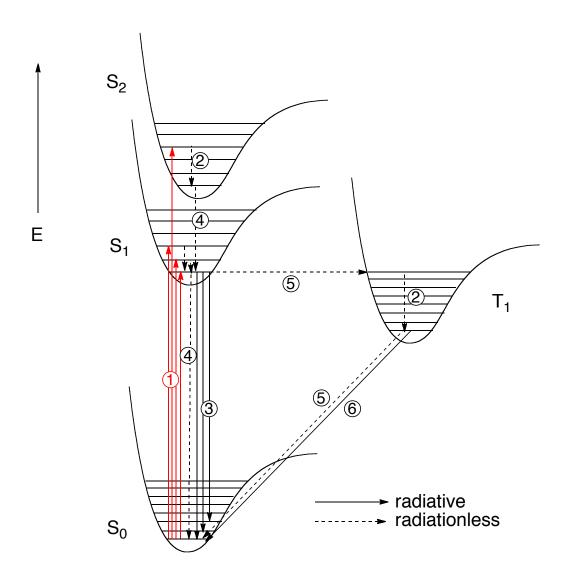
$$S_0 \to S_1, S_0 \to S_2, T_1 \to S_1$$

#### (b) Kasha's MO formalism

Based on the type of ground state MO involved ( $\sigma$ ,  $\sigma$ \*, n,  $\pi$ ,  $\pi$ \*) in process. The transition is denoted by an arrow directed from the symbol of the initially occupied MO to the symbol of the initially unoccupied MO.

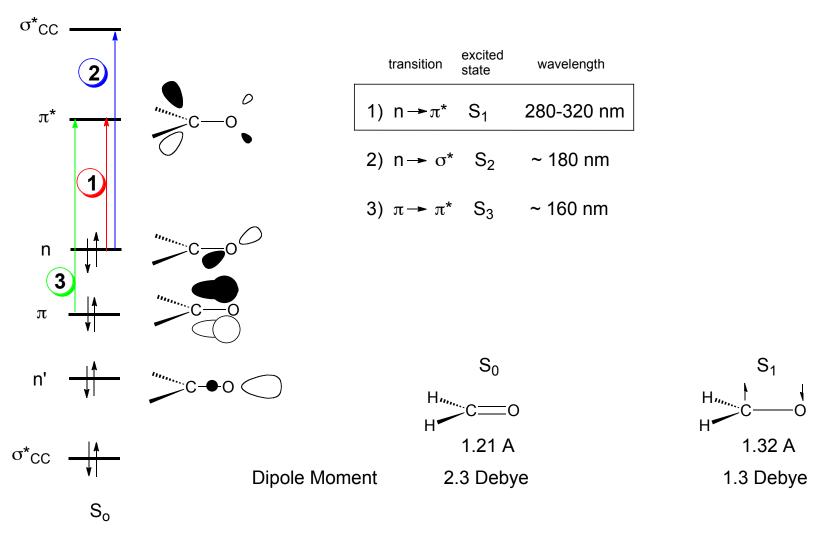
$$\pi \rightarrow \pi^*, n \rightarrow \pi^*, \sigma \rightarrow \sigma^*$$

# Jablonski State Diagram



- (1) Absorption
- (2) Relaxation
- (3) Fluorescence
- (4) Internal Conversion
- (5) Intersystem Crossing
- (6) Phosphorescence

#### **Example: Important electronic transitions of the carbonyl chromophore**



(Buckingham et al. Can J. Phys. 1970, 48, 1242)

#### Chromophore

Electronic transitions involves a shift of one electrons from a lower to a higher energy molecular orbital. In most cases these molecular orbitals are associated with a specific part (e.g. functional group) of the molecule. *This part, that mainly absorbs the photon is termed the chromophore*.

Examples are aryl units, polyenes, and other pi-bonds, carbonyl,  $-NO_2$ ,  $-N_3$  and C-Hal groups.

Table 16.2
Typical Organic Chromophores

Chromophore	$\lambda_{max}$ (mn)	$\varepsilon_{max}$ (1/mol $ullet$ cm)	Type
C-C and C-H	<180	1000	$\sigma \rightarrow \sigma^*$
C-O (alcohol)	~180	200	<i>n</i> → σ*
C-S (alkyl sulfide)	210	1200	n → σ*
C-Br (alkyl bromide)	208	300	<i>n</i> → σ*
C-I (alkyl iodide)	260	400	n → σ*
C=C	180	10,000	π,π*
C=C-C=C	220	20,000	π,π*
C=C-C=C-C=C	260	50,000	π,π*
C <sub>6</sub> H <sub>6</sub>	260	200	π,π*
Styrene	282	450	π,π*
Acetophenone	278	1100	η,π*
Naphthalene	310	200	π,π*
Anthracene	380	10,000	π,π*
Nitrobenzene	330	125	<i>n</i> ,π*
C=O	280	20	<i>n</i> ,π*
N=N	350	100	<i>n</i> ,π*
-NO <sub>2</sub>	270	20	η,π*
N=O	660	200	η,π*
C=C-C=O	350	30	η,π*
C=C-C=O	220	20,000	π,π*

#### **Spin Selection Rule**

An electronic transitions is allowed by the spin selection rule only if the multiplicity of the initial and final state are identical. E.g.

$$S \to S$$
  $T \to T$  are allowed  $S \to T$   $T \to S$  are forbidden

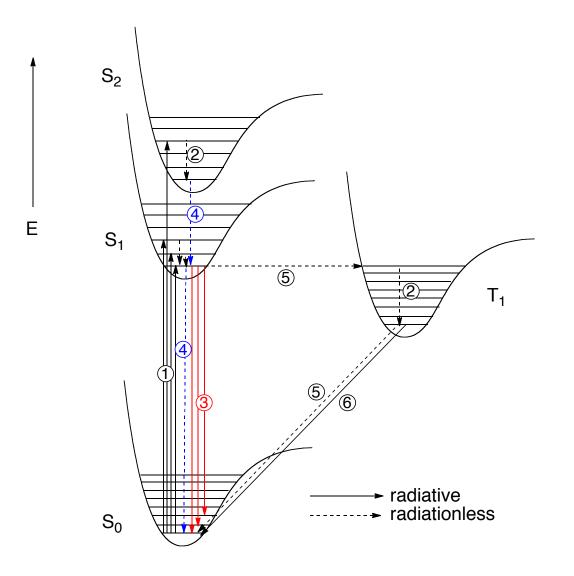
#### **Symmetry Selection Rule**

(restricted to most common electronic transitions)

symmetry allowed are:  $\pi \to \pi^*$ ;  $\sigma \to \sigma^*$ symmetry forbidden are:  $n \to \pi^*$ ;  $n \to \sigma^*$ 

Allowed transitions are strong ( $\varepsilon$  1000-10<sup>5</sup>) Symmetry forbidden transitions are weak (ε 1-1000) Symmetry and spin forbidden transitions are very weak (ε 10<sup>-5</sup> -1)

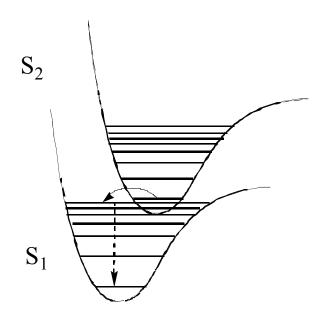
# Jablonski State Diagram



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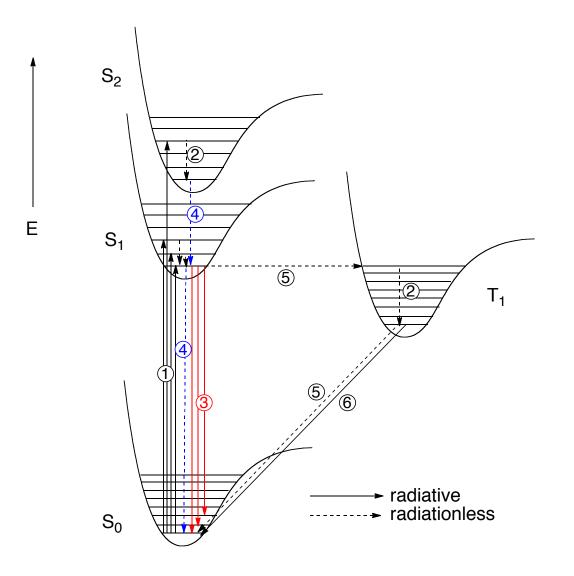
Internal Conversion: Transition between two surfaces having the same multiplicity

Rate k<sub>IC</sub> depends on energy difference between surfaces

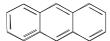


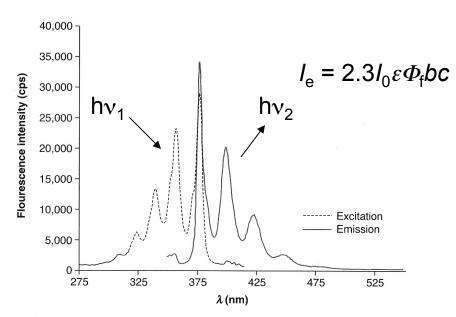
Internal conversion is usually fast if vibrational level 0 of  $S_{x+1}$  state overlaps with vibrational level of  $S_x$  state. This is typically the case for x > 1 ( $k'_{IC} > 10^9 \, s^{-1}$ ). However, it is not the case for  $S_1 -> S_0$  transitions, which is substantially slower ( $k_{IC} < 10^6 \, s^{-1}$ ).

# Jablonski State Diagram

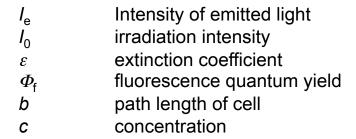


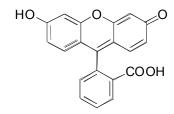
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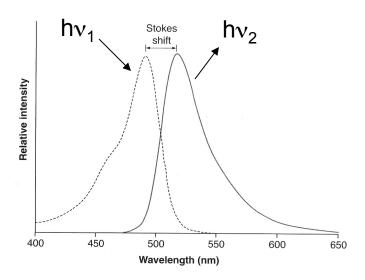




**Figure 16.5**Overlay of the emission and excitation spectra of anthracene. Both are taken in toluene.

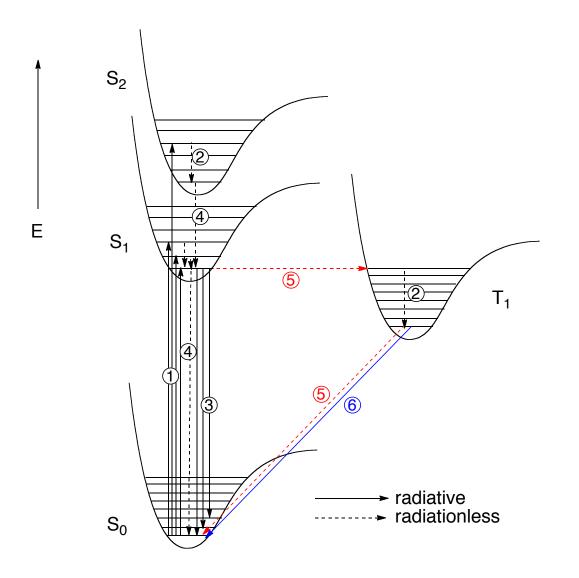






Excitation and Emission Spectrum of Fluorescein

# Jablonski State Diagram



- (1) Absorption
- (2) Relaxation
- (3) Fluorescence
- (4) Internal Conversion
- (5) Intersystem Crossing
- (6) Phosphorescence

#### **Intersystem Crossing**

Crossing of surfaces with different spin multiplicity

$$\begin{array}{ll} \text{singlet} \to \text{triplet} & S_1 \to T_1, \, T_2 & \text{non-radiative} \\ \text{triplet} \to \text{singlet} & T_1 \to S_0 & \text{non-radiative or radiative (phosphorescence)} \end{array}$$

- 1) T<sub>1</sub> lower than S<sub>1</sub> (Hund's Rule)
- 2) Intersystem crossing involves

spin flip ↔ change in spin angular momentum quantum mechanically forbidden => slow

3) Intersystem crossing is fast (allowed)

if change in spin angular momentum is accompanied by change in orbital angular momentum

=> total angular momentum conserved

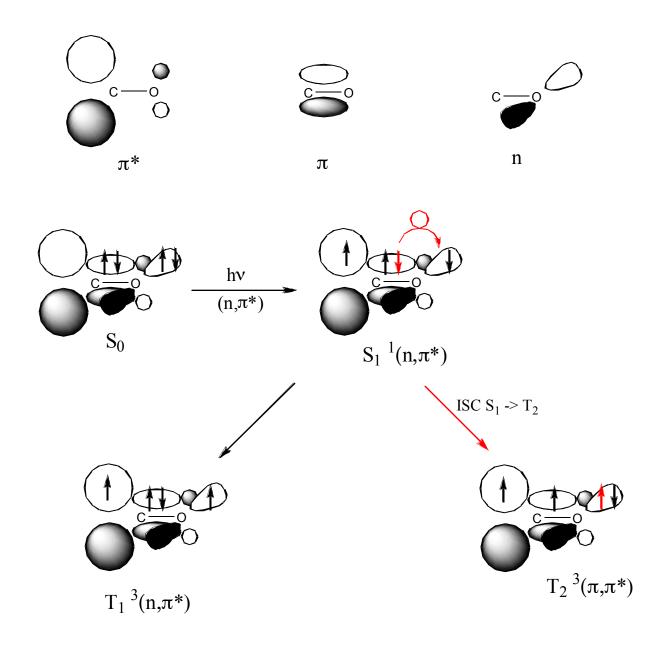
#### El Sayed's Rules

Predict, whether intersystem crossing in molecules without heavy atoms (molecules only containing 1<sup>st</sup> and 2<sup>nd</sup> row elements H, C, N, O) is allowed (favorable) or forbidden (unfavorable)

#### <u>Transition between excited states</u>

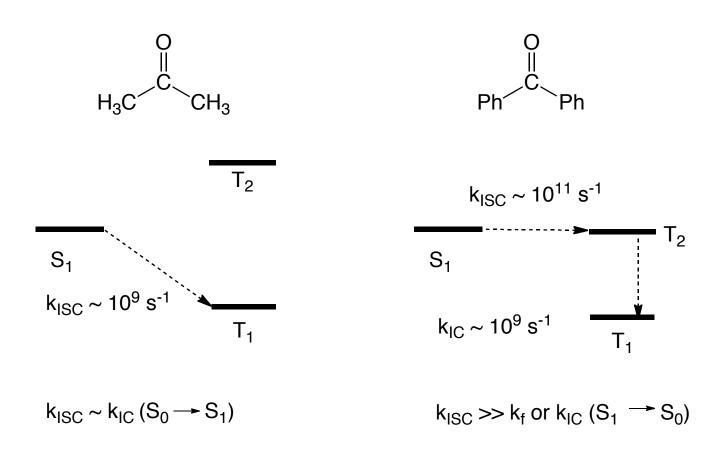
${}^{1}(\mathbf{n},  \pi^{*})  \leftrightarrow {}^{3}(\mathbf{n},  \pi^{*})$ ${}^{1}(\pi,  \pi^{*})  \leftrightarrow {}^{3}(\pi,  \pi^{*})$	forbidden forbidden
${1 \choose n, \pi^*} \leftrightarrow {3 \choose \pi, \pi^*}$ ${1 \choose \pi, \pi^*} \leftrightarrow {3 \choose n, \pi^*}$	allowed allowed
$^{3}(n, \pi^{*}) \leftrightarrow \text{ground state}$ $^{3}(\pi, \pi^{*}) \leftrightarrow \text{ground state}$	allowed forbidden

# Intersystem Crossing of Carbonyl Compounds Spin-Orbit Coupling



# Rate constant of ISC depends on energy difference between S<sub>1</sub> and T<sub>1</sub>/T<sub>2</sub>

Strongly endotherm -> no intersystem crossing Fastest if slightly exotherm or equal in energy



# **Examples of Intersystem Crossing Rate Constants**

Heavy Atom Effect

# Examples of Phosphorescence Lifetimes of Organic Compounds In Ethanol at 77K

τ in s 
$$\lambda_{em}$$
 in nm  $0.007$  415  $0.007$  445  $0.007$  445  $0.002$  507  $0.002$  515  $0.002$  4.2 460

Analytical Chemistry (1973) 45, 381.

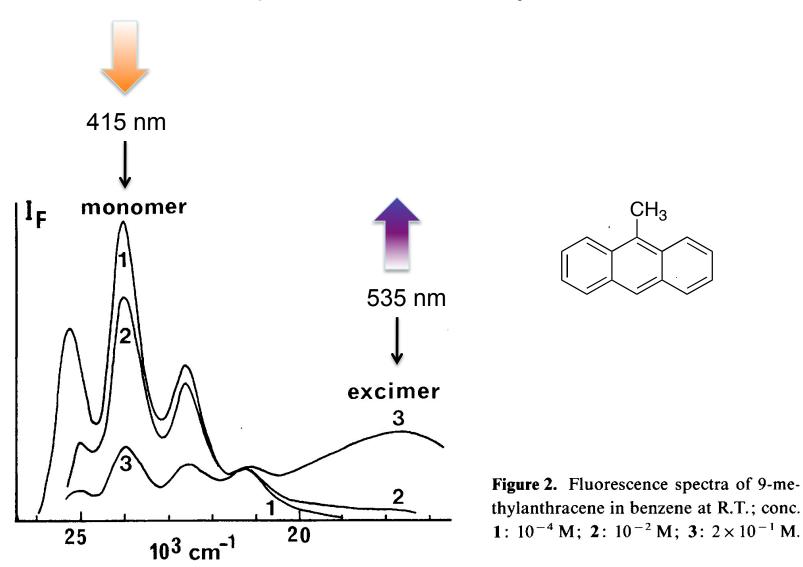
# Quenching

 Decay of excited state induced by a collision with another molecule (quencher). Bimolecular process.

A\* 
$$\xrightarrow{k_{\rm f}}$$
 A lifetime of A\* without Q:  $\tau_{\rm f} \sim 1/k_{\rm f}$  Q + A\*  $\xrightarrow{k_{\rm q}}$  A lifetime of A\* with Q =  $\tau_{\rm 2}$  1/ $\tau_{\rm 2} = k_{\rm f} + k_{\rm q}$  [Q] = 1/ $\tau_{\rm f} + k_{\rm q}$  [Q] (Stern-Volmer Equation)

- **Chemical quenching**: Energy is transferred to quencher and converted into chemical energy. Quencher undergoes a chemical reaction.
- Physical quenching:
- self-quenching or impurity quenching:
   energy transfer, electron transfer or heavy-atom quenching (accelerated decay of excited state upon collision with a molecule (e.g. solvent) containing a heavy atom (3rd row or higher)).

# Eximer Spectrum of 9-Methylanthracene



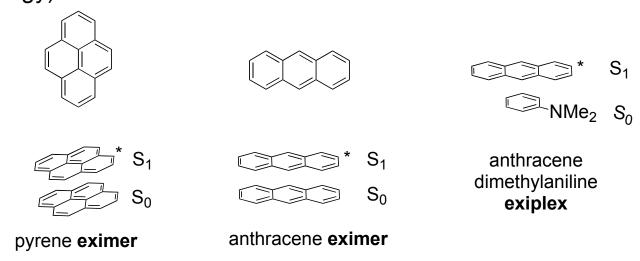
(From: Brinks, J. B. & Aladekomo, J. B., Photochem. Photobiol., 1963, 2, 415-418)

**Exiplex**: Excited state complex or absorption complex. Complex between an excited state and a ground state molecule.

**Eximer**: Complex between two identical molecules of which one is in the excited state and the other in the ground state

Stabilized by *induced dipole-dipole interactions or dispersion interactions*, due to the high polarizability of the excited state, and by *donor-acceptor interactions* 

Eximers are often observed for polyaromatic compounds such as anthracene and pyrene and can be identified in the fluorescence spectra of both compounds. The maximum of the emission spectrum of the eximer is shifted to higher wavelength (lower energy) relative to that of the monomeric excited state.



# Common Organic and Inorganic Triplet Sensitizer and Triplet Energy $\mathsf{E}_\mathsf{T}$

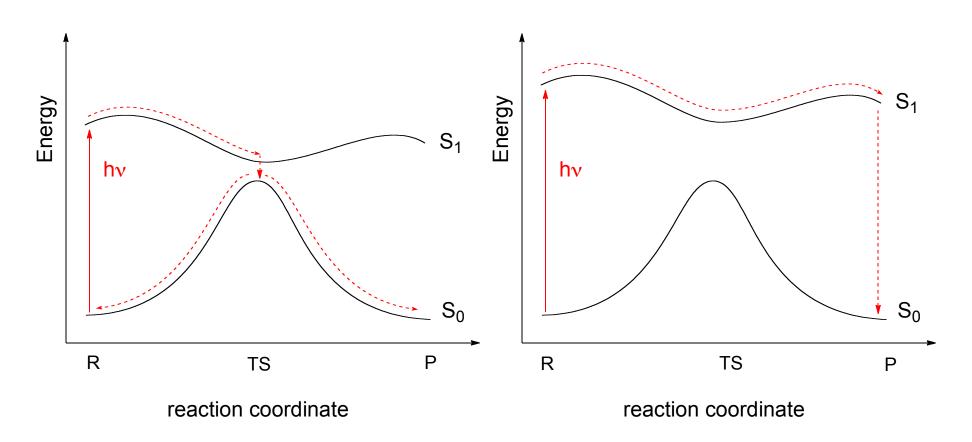
	$\mathbf{E_{T}}$		
MOLECULE	kJ/mol	kcal/mol	
mercury	472	113	
pyridine	355	85	
benzene	351	84	
anisole	339	81	
acetone	326	78	
benzonitrile	322	77	
benzaldehyde	301	72	
benzophenone	288	69	
biphenyl	276	66	
trans-stilbene	209	50	
anthracene	180	43	

From Kavarnos & Turro, Chem. Rev. 1986, 86, 401

$$R \xrightarrow{hv} P$$

Diabatic photochemical process

Adiabatic photochemical process



# **Quantum Yield**

$$\Phi_{\rm n} = \frac{{\rm number\ of\ molecules\ that\ undergo\ photochemical\ process\ n}}{{\rm number\ of\ photons\ absorbed}}$$

#### Fluorescence quantum yield $\Phi_f$

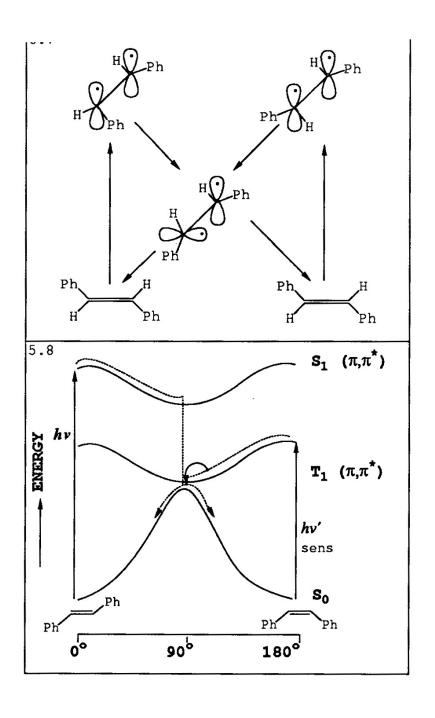
$$\Phi_{\rm f} = \frac{{\rm number\ of\ photons\ emitted}}{{\rm number\ of\ photons\ absorbed}} < 1$$

#### Quantum yield of a photochemical reaction $\Phi_r$

$$\Phi_{\rm r} = \frac{{\rm number\ of\ product\ molecules\ formed}}{{\rm number\ of\ photons\ absorbed}}$$

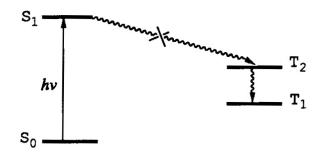
Typically ≤ 1 but can be >> than 1, e.g. in photo polymerization or light initiated radical chain reactions.

# Mechanism of the Photoinduced *cis-trans* Isomerization of Stilbene (PhCH=CHPh)

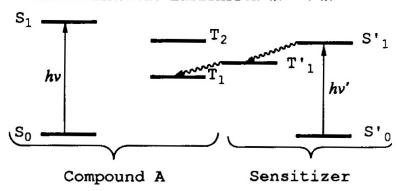


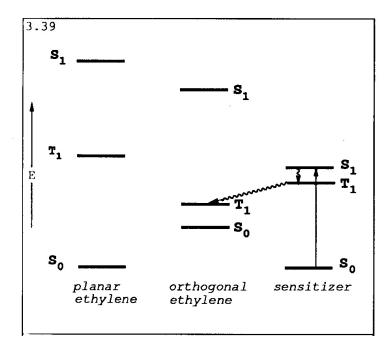
# Triplet Sensitization or Organic Molecules

# $^{3.37}$ direct irradiation: excitation $\hbar \nu$

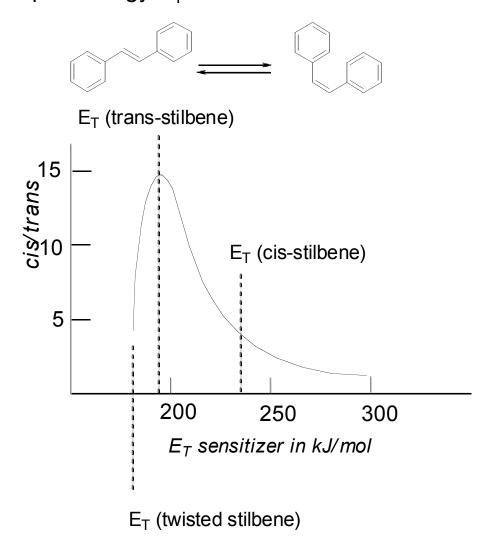


#### SENSITIZATION: EXCITATION hv' < hv





Dependence of the photostationary state of the triplet sensitized cis-trans stilbene isomerization on the triplet energy  $\mathsf{E}_\mathsf{T}$  of the sensitizer



Organic Photochemistry(1973), Vol. 3, p.1