

# HK Stock

Item	Charges	Min. Charges	Remarks
<b>Trade-related services</b>			
Brokerage	-via Online Trading Platform * 0.25% Base on Transaction amount	-via Online Trading Platform Please contact our Company for the Min. Charge via On-line Trading Platform	
	-via Phone Trading System * 0.25% Base on Transaction amount	-via Phone Trading System Minimum * \$100	
Stamp Duty	0.13% of transaction amount	HK\$1.3	Charges are rounded up to the nearest dollar and payable by both the buyer and Seller.
Transaction Levy	0.0027% of transaction amount		SFC charge
FRC Transaction Levy (Start from 1 <sup>st</sup> Jan 2022)	0.00015% of transaction amount		Financial Reporting Council charge
Trading Fee	0.005% of transaction amount		HKEX charge
Stock Settlement Fee	0.002% of transaction amount	HK\$2	Maximum HK\$100
Compulsory Share Buy-back by CCASS	0.5% of securities value (closing price on settlement day)		Maximum HK\$100,000
EIPO	HK\$50 per application	HK\$50	
<b>Bond-related services</b>			
Bonds Transaction Commission Fee	1% of transaction amount		Monthly custodian fee “0.05% of securities value p.a. (Except Hong Kong government bonds

“0.08%” )

Pre-matured redemption	0.5% of face value	
Maturity redemption	0.5% of face value	
Bond Coupon Collection	0.25% of bond coupon amount	HK\$20
Bond Withdrawal	1% of face value	HK\$5,000
Bond Deposit	2% of face value	HK\$10,000
Default Bond Management Fee	Up to 8% of related default bond received amount	

### Settlement-related Services

i) SI Delivery Fee	HK\$10 per lot	HK\$100
ii) ISI Delivery Fee	HK\$10 per lot	HK\$100
iii) Fund, Bonds Settlement Instruction Fee	0.25% of total value	HK\$5000

### Nominee services and corporate actions

Scrip Fee	HK\$1.5 per lot	HK\$1.5 per lot	Odd lots also charged at HK\$1.50 each
Cash Dividend Collection	0.25% of aggregate of cash amount dividend	HK\$20 *	Maximum HK\$10,000 *
Scrip Dividend Collection		HK\$20 *	
Rights Collection		HK\$20 *	
Warrants Collection	HK\$20 *	HK\$20*	
Open Offer Collection Fee		HK\$20 *	
Rights Subscription/Excess Application	HK\$0.8 per lot and HK\$20 *	HK\$0.8/lot and HK\$20 *	
Exercise of Warrants	HK\$0.8 per lot and HK\$30 *	HK\$0.8/lot and HK\$30 *	
Take-up Open Offer/Cash Offer	HK\$0.8 per lot and HK\$20 *	HK\$0.8/lot and HK\$20 *	
Dividend Claim	HK\$300 Handling		

(During the dividend period of each stock)	charge		
	hk\$200 HKEX Handling charge		
<b>Financing and other services</b>			
Late settlement of purchase orders	HSBC Prime Rate +4% (p.a. )		Interest calculated from the settlement day (including Saturday, Sunday and Public) Holidays).
(Cash Account/Margins Account)			
Real Time Price Quote	AASOCKS	HK\$388/month	
	AASOCKS (China Client)	HK\$190/month	
	MegaHub	HK\$368/month	
	ET Net (HK & Overseas)	HK\$448/month	
	ET Net (China Client)	HK\$343/month	
	Quotepower	HK\$395/month	
	Dazhahui	HK\$350/month	
Return cheque charge	HK\$ 100.00 per each cheque	HK\$100	
IPO Application	HK\$100 per application	HK\$100	
Statement by Mail	HK\$10 per statement	HK\$10	
Reprint Statement	HK\$50 per statement	HK\$50	Up to seven years
CIES Annual reporting fee	HK\$5,000		
CIES Other report fee	HK\$5,000 per report		
Account – Other report	HK\$800 per report		
* Subject to company's decision on case by case basis which can be as low as 0.01%.			

*The above Charges are subject to revision from time to time, and it will be updated on our company website ([cissecurities.com/fees-charges](http://cissecurities.com/fees-charges)).*

*Brokerage maybe adjusted based on trading volume*

*For RMB products the fees will be charged in terms of RMB.*

*Last Update: 15/12/2021*