

HK Stock

Item	Charges	Min. Charges	Remarks
Trade-related services			
Brokerage	-via Online Trading Platform * 0.25% Base on Transaction amount	-via Online Trading Platform Please contact our Company for the Min. Charge via On-line Trading Platform	
	-via Phone Trading System * 0.25% Base on Transaction amount	-via Phone Trading System Minimum * \$100	
Stamp Duty	0.1% of transaction amount	HK\$1	Charges are rounded up to the nearest dollar and payable by both the buyer and Seller.
Transaction Levy	0.0027% of transaction amount		SFC charge
Trading Fee	0.005% of transaction amount		HKEX charge
Stock Settlement Fee	0.002% of transaction amount	HK\$2	Maximum HK\$100
Compulsory Share Buy-back by CCASS	0.5% of securities value (closing price on settlement day)		Maximum HK\$100,000
EIPO	HK\$50 per application	HK\$50	
Bond-related services			
Bonds Transaction Commission Fee	1% of transaction amount		Monthly custodian fee “0.05% of securities value p.a. (Except Hong Kong government bonds “0.08%”)

Pre-matured redemption	0.5% of face value	
Maturity redemption	0.5% of face value	
Bond Coupon Collection	0.25% of bond coupon amount	HK\$20
Bond Withdrawal	1% of face value	HK\$5,000
Bond Deposit	2% of face value	HK\$10,000

Settlement-related Services

i) SI Delivery Fee	HK\$10 per lot	HK\$100
ii) ISI Delivery Fee	HK\$10 per lot	HK\$100
iii) Fund, Bonds Withdrawal	0.25% of total value	HK\$5000

Nominee services and corporate actions

Scrip Fee	HK\$1.5 per lot	HK\$1.5 per lot	Odd lots also charged at HK\$1.50 each
Cash Dividend Collection	0.25% of aggregate of cash amount dividend	HK\$20 *	Maximum HK\$10,000 *
Scrip Dividend Collection		HK\$20 *	
Rights Collection		HK\$20 *	
Warrants Collection	HK\$20 *	HK\$20*	
Open Offer Collection Fee		HK\$20 *	
Rights Subscription/Excess Application	HK\$0.8 per lot and HK\$20 *	HK\$0.8/lot and HK\$20 *	
Exercise of Warrants	HK\$0.8 per lot and HK\$30 *	HK\$0.8/lot and HK\$30 *	
Take-up Open Offer/Cash Offer	HK\$0.8 per lot and HK\$20 *	HK\$0.8/lot and HK\$20 *	
Dividend Claim (During the dividend period of each stock)	HK\$300 Handling charge		

hk\$200 HKEX Handling
charge

Financing and other services

Late settlement of purchase orders	HSBC Prime Rate +4% (p.a.)		Interest calculated from the settlement day (including Saturday, Sunday and Public) Holidays).
(Cash Account/Margins Account)			
Real Time Price Quote	AASOCKS	HK\$388/month	
	AASOCKS (China Client)	HK\$190/month	
	MegaHub	HK\$368/month	
	ET Net (HK & Overseas)	HK\$448/month	
	ET Net (China Client)	HK\$343/month	
	Quotepower	HK\$395/month	
	Dazhahui	HK\$350/month	
Return cheque charge	HK\$ 100.00 per each cheque	HK\$100	
IPO Application	HK\$100 per application	HK\$100	
Statement by Mail	HK\$10 per statement	HK\$10	
Reprint Statement	HK\$50 per statement	HK\$50	Up to seven years
CIES Annual reporting fee	HK\$5,000		
Audit/Account Balance Confirmation	HK\$800 元		

* Subject to company's decision on case by case basis which can be as low as 0.01%.

The above Charges are subject to revision from time to time without prior notice.

Brokerage maybe adjusted based on trading volume

For RMB products the fees will be charged in terms of RMB.

Last Update: 26/02/2021