

HK Stock

Item	Charges	Min. Charges	Remarks
Trade-related services			
Brokerage	^ via Online Trading Platform * 0.25% Base on Transaction amount	^ via Online Trading Platform Please contact our Company for the Min. Charge via On-line Trading Platform	
	^ via Phone Trading System * 0.25% Base on Transaction amount	^via Phone Trading System Minimum * \$50	
Stamp Duty	0.1% of transaction amount	HK\$1	Charges are rounded up to the nearest dollar and payable by both the buyer and Seller.
Transaction Levy	0.0027% of transaction amount		SFC charge
Trading Fee	0.005% of transaction amount		HKEX charge
Stock Settlement Fee	0.002% of transaction amount	HK\$2	Maximum HK\$100
Compulsory Share Buy-back by CCASS	0.5% of securities value (closing price on settlement day)		Maximum HK\$100,000
EIPO	HK\$50 per application	HK\$50	
Bond-related services			
Bonds Transaction Commission Fee	1% of transaction amount		Monthly custodian fee "0.05% of securities value p.a. (Except Hong Kong government bonds "0.08%")
Pre-matured redemption	0.5% of face value		
Maturity redemption	0.5% of face value		
Bond Coupon Collection	0.25% of bond coupon amount	HK\$20	
Bond Withdrawal	1% of face value	HK\$5,000	
Bond Deposit	2% of face value	HK\$10,000	
Settlement-related Services			
i) SI Delivery Fee	HK\$10 per lot	HK\$100	
ii) ISI Delivery Fee	HK\$10 per lot	HK\$100	

iii) Fund, Bonds Withdrawal	0.25% of total value	HK\$5000	
Nominee services and corporate actions			
Scrip Fee	HK\$1.5 per lot	HK\$1.5 per lot	Odd lots also charged at HK\$1.50 each
Cash Dividend Collection	0.25% of aggregate of cash amount dividend	HK\$20 *	Maximum HK\$10,000 *
Scrip Dividend Collection		HK\$20 *	
Rights Collection	HK\$20 *	HK\$20 *	
Warrants Collection		HK\$20 *	
Open Offer Collection Fee		HK\$20 *	
Rights Subscription/Excess Application	HK\$0.8 per lot and HK\$20 *	HK\$0.8/lot and HK\$20 *	
Exercise of Warrants	HK\$0.8 per lot and HK\$30 *	HK\$0.8/lot and HK\$30 *	
Take-up Open Offer/Cash Offer	HK\$0.8 per lot and HK\$20 *	HK\$0.8/lot and HK\$20 *	
Dividend Claim (During the dividend period of each stock)	HK\$300 Handling charge hk\$200 HKEX Handling charge		
Financing and other services			
Late settlement of purchase orders (Cash Account/Margins Account)	HSBC Prime Rate +4% (p.a.)		Interest calculated from the settlement day (including Saturday, Sunday and Public Holidays).
Real Time Price Quote	AASTOCKS	HK\$388/month	
	AASTOCKS (China Client)	HK\$190/month	
	MegaHub	HK\$368/month	
	ET Net (HK & Overseas)	HK\$448/month	
	ET Net (China Client)	HK\$343/month	
	Quotepower	HK\$395/month	
	Dazhahui	HK\$350/month	

Return cheque charge	HK\$ 100.00 per each cheque	HK\$100	
Statement by Mail	HK\$10 per statement	HK\$10	
Reprint Statement	HK\$50 per statement	HK\$50	Up to seven years
CIES Annual reporting fee	HK\$5,000		Provide ONE report per year
Audit/Account Balance Confirmation	HK\$800		
<i>* Subject to company's decision on case by case basis which can be as low as 0.01%.</i>			

The above Charges are subject to revision from time to time without prior notice.

Brokerage maybe adjusted based on trading volume

For RMB products the fees will be charged in terms of RMB.

Remark:

If the company pays the fees to a third party for its customers' affairs, transactions and/or accounts, the company has the right to pass the relevant fees to the relevant customers, and do its utmost to disclose the relevant fees to the relevant customers without prior notice.

Last Update: 21/07/2020