

根據《證券及期貨條例》下的持牌法團(CE編號API164)及香港聯合交易所有限公司參與者  
Licensed Corporation under the Securities & Futures Ordinance (CE No. API164) and Exchange  
Participant of the Stock Exchange of Hong Kong Limited

請電郵或傳真此表格至順安證券資產管理有限公司

電郵地址: [cis.info@cissecurities.com](mailto:cis.info@cissecurities.com) / 傳真號碼 (852) 2850 8463

Please send the form by fax to CIS Securities Asset Management Limited,

E-mail address: [cis.info@cissecurities.com](mailto:cis.info@cissecurities.com) / Fax no.: (852) 2850 8463

**備兌/解除備兌/指定行駛 股票期權合約之股票轉移指示**  
**Stock Transfer Instruction for Coverage/ De-Coverage/ Assignment of Stock Option Contract**

客戶名稱:  
Client Name: \_\_\_\_\_

經紀編號:  
AE Code: \_\_\_\_\_

股票帳戶號碼:  
Securities A/C No: \_\_\_\_\_

股票期權帳戶號碼:  
Stock Option A/C No: \_\_\_\_\_

Effective date: \_\_\_\_\_

指示詳情, 請在適當空格內填上 號  
Instruction Details, please the appropriate box.

Securities Transfer Details 證券轉移詳情		
<input type="checkbox"/> <b>From Securities A/C to Stock Option A/C</b> 從證券帳戶轉到股票期權帳戶	<input type="checkbox"/> <b>From Stock Option A/C to Securities A/C</b> 從股票期權帳戶轉到證券帳	
Stock Code 證券代號	Stock Name 證券名稱	Quantity 證券數量
Reason : 原因		
<input type="checkbox"/> <b>Options Assignment</b> 期權被指定分配 ➤ 取消認購期權備兌後, 股票需在下一工作天才能轉至股票帳戶	<input type="checkbox"/> <b>Cover Call Option</b> 認購期權備兌安排	<input type="checkbox"/> <b>De-cover Call Option</b> 取消認購期權備兌安排

客戶簽署:  
Signed by Client: \_\_\_\_\_

日期:  
Date: \_\_\_\_\_

**備註 (Remarks):**

- Securities transfer instruction will be processed on the next business day upon receipt. 證券轉移指示將於收到指示隨後的一個工作天辦理。
- Settlement of this request may take place after the Effective Date where applicable. 此指示或會於生效日期之後的適當日期執行。
- Where securities are to be transferred to a stock options account under this instruction, this instruction also serves as an authorization to CIS Securities Asset Management Limited and its associated entities to deposit such securities with SEOCH or another securities brokers as collateral for the discharge and satisfaction of CIS Securities Asset Management Limited. 本指示等同授權順安證券資產管理有限公司或其有聯繫實體將該股票存放於期權結算公司或其他證券經紀作為解除順安證券資產管理有限公司在結算上的義務和清償順安證券資產管理有限公司在結算上的法律責任的抵押品。

只供內部使用 **FOR INTERNAL USE ONLY**

SIGNATURE VERIFIED BY	INPUT BY	CHECKED BY	APPROVED BY
Name: _____	Name: _____	Name: _____	Name: _____