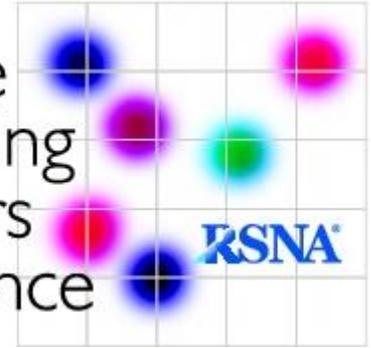


Quantitative
Imaging
Biomarkers
Alliance



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QIBA Profile. ¹⁸F-labeled PET tracers targeting Amyloid as an Imaging Biomarker

TECHNICALLY CONFIRMED VERSION

01Jun2022

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92 **Change Log**

93

94 This Table 1 is a best-effort of the authors to summarize significant changes to the Profile.

95 **Table 1. Logging of Profile changes.**

Date	Sections Affected	Summary of Change
2022.04.09	All	Finalization for Technical Confirmation decision based upon feedback and decisions associated with Technical Conformance questionnaire responses. Checklists added per updated Profile template. Formatting to align with updates to QIBA Profile guidelines.

96

97

98

99 **Open Issues:**

100

101 The following issues are provided here to capture associated discussion, to focus the attention of
 102 reviewers on topics needing feedback, and to track them so they are ultimately resolved.

103

Issues
None in this version.

104

105 **Closed Issues:**

106

107 The following issues have been considered closed by the biomarker committee. They are provided here
 108 to forestall discussion of issues that have already been raised and resolved, and to provide a record of the
 109 rationale behind the resolution.

110

Issues
<p>Modifications to address public comments</p> <p>Modifications have been incorporated to address public comment and issues that were outstanding, including the Claim(s).</p>
<p>Conformance Methodology</p> <p>The methodology to perform conformance testing of the image analysis workstation is included; this relies upon using a Digital Reference Object (DRO), which was funded as a NIBIB groundwork project. The description of the DRO and its use have been modified to address questions and findings in the testing of this procedure.</p>
<p>Conformance Testing</p> <p>Describes measurement procedures that actors need to perform to test that: 1) Their wCV is within the parameter stated in the Claim, 2) the wCV is constant over a prescribed range of SUVRs, and 3) linearity with a slope of one is a reasonable assumption.</p>
<p>Modifications to address technical conformance questionnaire feedback</p> <p>Modifications have been incorporated to address responses from the Technical Conformance questionnaire that indicated a lack of feasibility and/or alternate preferred ways to approach.</p>

111

112

113 1. Executive Summary

114 1.1 Overview

115 The goal of a QIBA Profile is to help achieve a useful level of performance for a given biomarker.

116 Profile development is an evolutionary, phased process; this Profile is in the Technical Conformance stage
117 in preparation for being Technically Confirmed. The performance claims represent expert consensus and
118 will be empirically demonstrated at a subsequent stage. Users of this Profile are encouraged to refer to
119 the following site to understand the document's context:

120 http://qibawiki.rsna.org/index.php/QIBA_Profile_Stages.

121 The **Claim** (Section 2) describes the biomarker performance.

122 The **Activities** (Section 3) contribute to generating the biomarker. Requirements are placed on the **Actors**
123 that participate in those activities as necessary to achieve the Claim.

124 The **Conformance** section provides **Assessment Procedures** (Section 4) for evaluating specific
125 requirements are defined as needed.

126 **References** are provided in section 5.

127 **Appendices** (Section 6) are provided that include additional information for performing Activities as well
128 as Checklists that can be completed to evaluate Profile conformance.

129

130 In general, QIBA Profiles provide DESCRIPTIVE text sections as background and recommended
131 considerations, and **SPECIFICATIONS** (tables) that include prescriptive (required to meet claim) items in
132 clear boxes and potential or future items in gray boxes.

133 This QIBA Profile “**18F-labeled PET tracers targeting Amyloid as an Imaging Biomarker**” documents
134 specifications and requirements to provide comparability and consistency for the use of PET imaging using
135 18F labeled tracers that bind to fibrillar amyloid in the brain. Quantitative measurement of amyloid, a
136 hallmark pathology of Alzheimer's disease, has become increasingly used in clinical trials for patient
137 inclusion, evaluation of disease progression, and assessment of treatment effects. The current version of
138 the Profile focuses on a longitudinal Claim, where the primary purpose is to assess change in amyloid load
139 due to disease or following an intervention. In this case, precision is most important as long as bias remains
140 constant over time. Characterization of measurement bias will be important for a cross-sectional Claim
141 wherein the amyloid tracer is used primarily to select amyloid positive subjects.

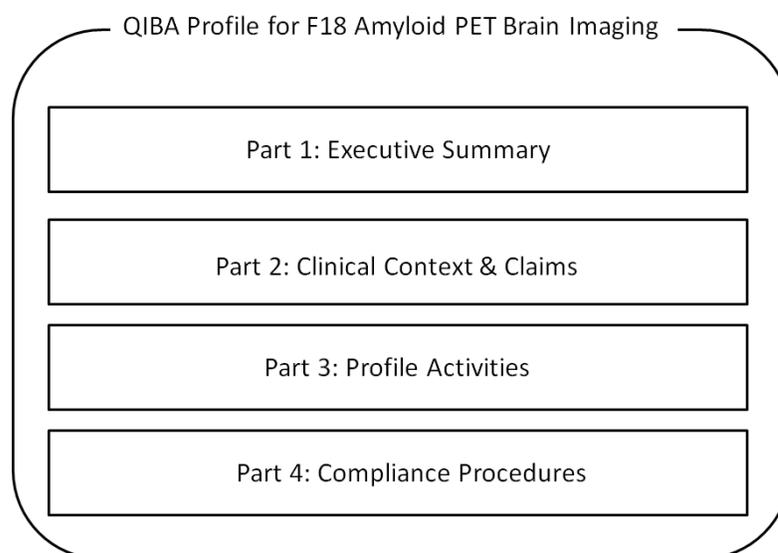
142 This Profile focuses on the use of Standardized Uptake Value Ratios (SUVRs) to measure amyloid burden,
143 while also describing benefits associated with the Distribution Volume Ratio (DVR) (kinetic modeling)
144 approach. The SUVR is determined using data acquired during a time window following a certain time
145 period after tracer injection that is intended to allow the tracer to reach “pseudo” equilibrium. This
146 approach has practical advantages, particularly for multi-site studies, due to the reduced time required
147 for the patient to be in the scanner (and for older scanners, the lesser amount of data acquired for a single
148 scan).

149 The document primarily addresses PET/CT imaging; however, a dedicated PET that has transmission
150 capabilities can also be used. PET/MR scanners are not strictly excluded in this version as long as the
151 repeatability of the SUVRs from these scanners is conformant with the assumptions underlying the claims.

152 The Profile is intended to help clinicians basing decisions on this biomarker, imaging staff generating this
153 biomarker, vendor staff developing related products, purchasers of such products and investigators
154 designing trials with imaging endpoints. The guidance in this Profile can be applied for clinical trial use as
155 well as individual patient management.

156 Note that specifications stated as 'requirements' in this document are only requirements to achieve the
157 claim, not 'requirements for standard of care.' Specifically, meeting the goals of this Profile is secondary
158 to properly caring for the patient.

159 This Profile, developed through the efforts of the amyloid Profile writing group in the QIBA Nuclear
160 Medicine Technical Subcommittee, shares some content with the QIBA FDG-PET Profile, and includes
161 additional material focused on the devices and processes used to acquire and analyze amyloid tracer PET
162 data. QIBA Profiles addressing other imaging biomarkers using CT, MRI, PET and Ultrasound can be found
163 at qibawiki.rsna.org. This Profile is organized as follows (Figure 1):



164 Figure 1: Illustration of the Profile components

165 The Profile Part 3 is derived from multiple sources, including material contained in the work performed
166 by the Alzheimer's Disease Neuroimaging Initiative (ADNI).

167

168 1.2 Summary of Use in Clinical Trials

169 This QIBA Amyloid-PET Profile defines the technical and behavioral performance levels and quality control
170 specifications for brain amyloid tracer PET scans used in single- and multi-center clinical trials of neurologic
171 disease, particularly Alzheimer's disease. Examples of clinical application are detailed below in the Claims
172 section 2.3.

173 The aim of the QIBA Profile specifications is to minimize intra- and inter-subject, intra- and inter-platform,
174 and inter-institutional variability of quantitative scan data due to factors other than the intervention under
175 investigation. PET studies using an amyloid tracer, performed according to the technical specifications of
176 this QIBA Profile provides qualitative and/or quantitative data for multi-time point comparative
177 assessments (e.g., response assessment, investigation of predictive and/or prognostic biomarkers of

178 treatment efficacy). While the Profile details also apply to studies assessing subjects at a single time point,
179 a cross-sectional Claim is not currently included in this Profile.

180 A motivation for the development of this Profile is that while a typical PET scanner measurement system
181 (including all supporting devices) may be stable over days or weeks; this stability cannot be expected over
182 the time that it takes to complete a clinical trial. In addition, there are well known differences between
183 scanners and/or the operation of the same type of scanner at different imaging sites. Particularly for
184 longitudinal studies, precise quality control of the scanner both daily and periodically for stability is of
185 paramount relevance. In addition, a process of harmonization is also of high relevance to make results
186 comparable between centers.

187 **1.3 Intended Audiences**

188 The intended audiences of this document include:

- 189 • Technical staff of software and device manufacturers who create products for this purpose.
- 190 • Biopharmaceutical companies, neurologists, and clinical trial scientists designing trials with imaging
191 endpoints.
- 192 • Clinical research professionals.
- 193 • Radiologists, nuclear medicine physicians, technologists, physicists and administrators at healthcare
194 institutions considering specifications for procuring new equipment for PET imaging.
- 195 • Radiologists, nuclear medicine physicians, technologists, and physicists designing PET/CT (and
196 PET/MR) acquisition protocols.
- 197 • Radiologists, nuclear medicine physicians, and other physicians or physicists making quantitative
198 measurements from PET images.
- 199 • Regulators, nuclear medicine physicians, neurologists, and others making decisions based on
200 quantitative image measurements.

201
202

203

204 2. Clinical Context and Claims

205 Accumulation of amyloid-B (AB) fibrils in the form of amyloid plaques in the brain is a requirement for the
206 pathologic diagnosis of dementia due to Alzheimer’s disease (AD). Among the various biomarkers in
207 development to assess AB, 18F PET amyloid radiotracers (see Table 3 in Section 3.3.3.1.3 for currently
208 approved tracers) offer the potential of directly detecting and quantifying amyloid burden. Amyloid
209 quantitation is being used to determine whether levels exceed a threshold for positivity (a cross sectional
210 application) for patient inclusion in clinical trials and to measure changes in amyloid burden over time (a
211 longitudinal application) to assess disease progression or modification by therapeutic intervention. The
212 important role of longitudinal quantitation of amyloid has been highlighted with the recent FDA approval
213 of anti-amyloid immunotherapies such as Aduhelm (aducanumab), and other immunotherapies in the
214 regulatory approval pipeline.

215 This QIBA Profile addresses the requirements for measurement of 18F- amyloid tracer uptake with PET as
216 an imaging biomarker for assessing the within subject change in brain amyloid burden over time
217 (longitudinal Claim) to inform the assessment of disease status or to evaluate therapeutic drug response.
218 A potential future clinical use is also in the individualization of therapeutic regimen based on the extent
219 and degree of response as quantified by amyloid-PET. Quantitative assessment of amyloid burden at a
220 single time point (cross sectional or bias Claim) is not part of the current Profile but may be included in a
221 future version as bias reference data becomes available.

222

223 2.1 Claim

224 If Profile criteria are met, then:

225

226 **Claim 1: Brain amyloid burden as reflected by the SUVR is measurable from 18F amyloid tracer PET with**
227 **a within-subject coefficient of variation (wCV) of $\leq 1.94\%$.**

228

229 This technical performance claim is to be interpreted in the context of the considerations stated below.

230

231 2.2 Considerations for claim

232 The following important considerations are noted:

233 1. The technical performance claim was derived from a review of the literature summarized in
234 Appendix B, where 18F amyloid PET tracers were used and data acquisition and processing procedures
235 were considered to be adequately aligned with the recommendations in this profile. The constraint of a
236 sixty day period (or less) for test-retest was applied in order to avoid the possible contribution of actual
237 changes in amyloid burden. The wCV cited is the highest (“worst case”) of these short term test-retest
238 studies, where wCV values ranged from 1.15% in healthy controls using a cerebellar cortex reference
239 region to 1.94% in AD patients using a whole cerebellum reference region. A limitation is that only two
240 relatively small studies covering three study groups (2 AD, 1 healthy control) satisfied the short term test-

241 retest criteria and were aligned with profile recommendations. Given this limitation, and in order to assess
242 the applicability of the short term wCV reference for typical clinical trial durations, the wCV values derived
243 from two studies of amyloid negative normal controls from the larger ADNI data set over a 2-year period,
244 using a variety of reference regions, were examined. The wCV values in these longer term studies ranged
245 from 1.25% (white matter reference region) to 1.6% (whole cerebellum reference region) in four of five
246 cases, within the range stated by the claim. For the same set of images, the wCV in one group's analysis
247 was 3.38% for one reference region vs. 1.37% for another. The important consideration of analysis
248 methods is discussed in consideration number 2. The reference literature is discussed further in Appendix
249 B.

250 2. Conformance to the Claim depends upon many factors, including minimized subject motion,
251 alignment of Em/Tx scans, and stability in detection sensitivity from scan to scan in reference region slices
252 compared to target region slices. In particular, choice of reference region, and the boundary definition of
253 the reference region selected can greatly impact wCV due to the sensitivity of different regions to
254 technical factors. A more extensive discussion of the considerations in selecting reference region is found
255 in section 3.6.3.2.2.

256 3. This Claim is applicable for single or multi-center studies assuming that the same 18F-amyloid PET
257 tracer, scanner, scanner software version, image acquisition parameters, image reconstruction method
258 and parameters, and image processing methods including target and reference region definition and
259 boundaries are used for each subject at each time point as described in the Profile.

260 4. It is presumed that a) the wCV is constant over the range of SUVR values and b) any bias in the
261 measurements is constant over the range of SUVR values (linearity). (The assumption of linearity and its
262 demonstration are discussed further in section 4.4 and Appendix F.)

263 5. The SUVR has been selected due to its logistical feasibility in multi-site trials, and its use to date in
264 large reference studies such as ADNI. However, from the fundamental kinetic properties of radiotracers it
265 can be understood that changes in SUVR may not represent only a change in specific signal (amyloid) but
266 could, at least in part, be the result of changes or variability in perfusion (van Berckel et al, J Nucl Med.
267 2013) and/or tissue clearance (Carson RE et al, 1993). When random, this variability contributes to and is
268 embedded in the wCV stated in the Claim. However, changes in perfusion and/or clearance can be
269 systematic due to the action of certain pharmacological agents or due to disease progression, creating
270 artificial change in amyloid SUVR. A published study using ADNI data suggests that the impact of regional
271 cerebral blood flow changes on longitudinal change in SUVR can be on the order of 2% to 5% in late
272 MCI/AD patients (Cselényi). This can be significant in studies of amyloid accumulation, prevention, or
273 modest amyloid removal.

274 Whether or not a change in SUVR is affected by changes in perfusion and/or clearance ideally should be
275 first demonstrated in a small (e.g., 20 subjects) cohort before SUVR is used in the larger clinical trial. These
276 contributions can be quantified by applying kinetic modeling to a full image acquisition from time of tracer
277 injection through late timeframes. These validation studies can help to assess the minimally required
278 decrease in SUVR that is needed to rule out false positive findings because of disease and/or drug related
279 perfusion effects. Alternate approaches to assessing blood flow changes have also been proposed (e.g.,
280 arterial spin labeling MRI) though suitability remains to be validated. As a separate consideration, in the
281 case of a new PET tracer, studies that include blood sampling should be conducted to confirm that the
282 SUVR approach and use of a reference region are a suitable approach to measure tracer binding. For

283 further details regarding considerations in kinetic modeling and a comparison to SUVR please see
284 Appendix I.

285 **2.3 Clinical Trial Utilization**

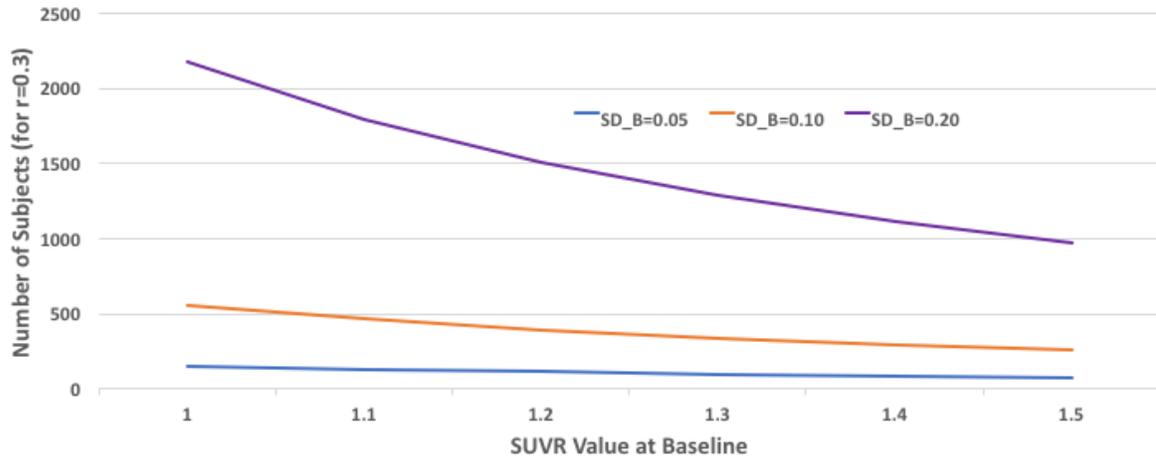
286 Although the Claim is based on reference literature for a short duration, as suggested by the 2-year
287 comparison studies, the wCV should apply longer term pending the stated considerations.

288 The wCV stated in the technical performance Claim can be used to derive confidence intervals for
289 individual subject changes in amyloid burden. However, because amyloid accumulation rates reported in
290 the literature average from 1 percent to a few percent per year, SUVR confidence intervals derived from
291 the wCV may not be relevant to the assessment of individual change over the duration of a typical clinical
292 trial. In this case, the wCV value can be used to guide the number of subjects to include in clinical trials
293 targeting measurement of longitudinal change in amyloid SUVR. A few examples of practical uses of the
294 Claim are described below, and further guidance is found in the "[Statistical Planning for a Clinical Trial](#)
295 [Guidance document](#)" posted on the QIBA website, in development as a full manuscript.

296 1. **Powering a clinical trial to measure rate of amyloid accumulation.** As an example, suppose you
297 want to estimate the mean amount of amyloid accumulation in a two-year period for a cohort of
298 patients. You want to estimate the mean amount of accumulation to within $\pm 1\%$ (i.e., precision of
299 95% CI). We considered mean SUVR values at baseline from 1.0-1.5, between-subject standard
300 deviation (SD_B) ranging from 0.05 to 0.30, and correlation between the paired measurements
301 from a subject of $r=0.3$ (Figure 2a panel), 0.5 (Figure 2b panel), and 0.9 (Figure 2c panel). Figure 2
302 shows the number of subjects needed if the likely rate of amyloid accumulation is 1.5% per year.

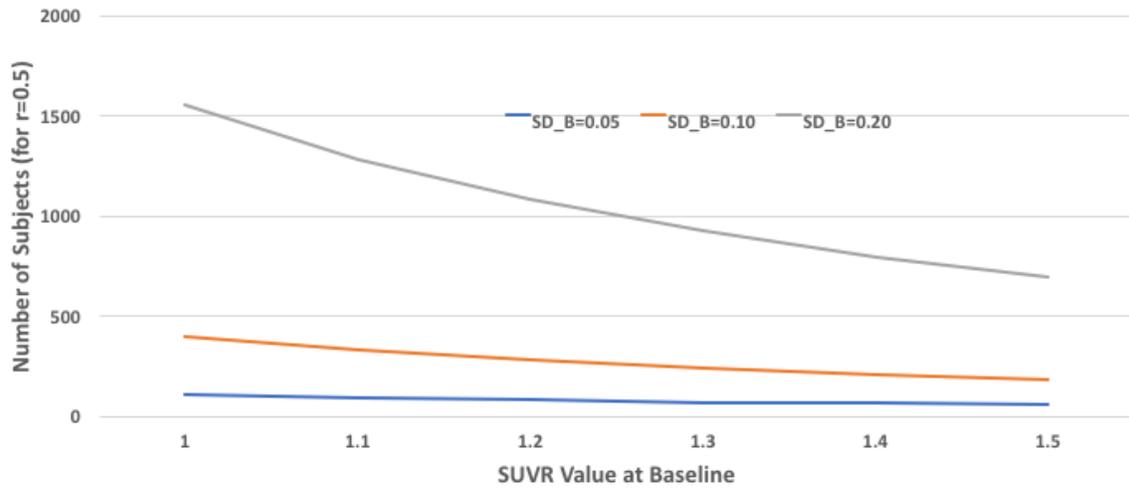
303 Note that the number of subjects required is greatly reduced as the correlation coefficient
304 increases between visits. For context, an internal (unpublished) analysis of florbetapir data
305 available through ADNI at baseline and 2 years suggests that the correlation between scans is
306 higher for certain reference regions than others. For example, using the composite of cerebellum
307 and white matter or only white matter as reference, R was 0.95 or 0.96 respectively for amyloid
308 positive subjects (N=207) and 0.94 for subjects close to the positivity threshold (N=51). However,
309 using cerebellar cortex or whole cerebellum as the reference, R values were 0.79 and 0.83
310 respectively for amyloid positive subjects and 0.33 and 0.48 respectively for subjects close to
311 positivity threshold.

312



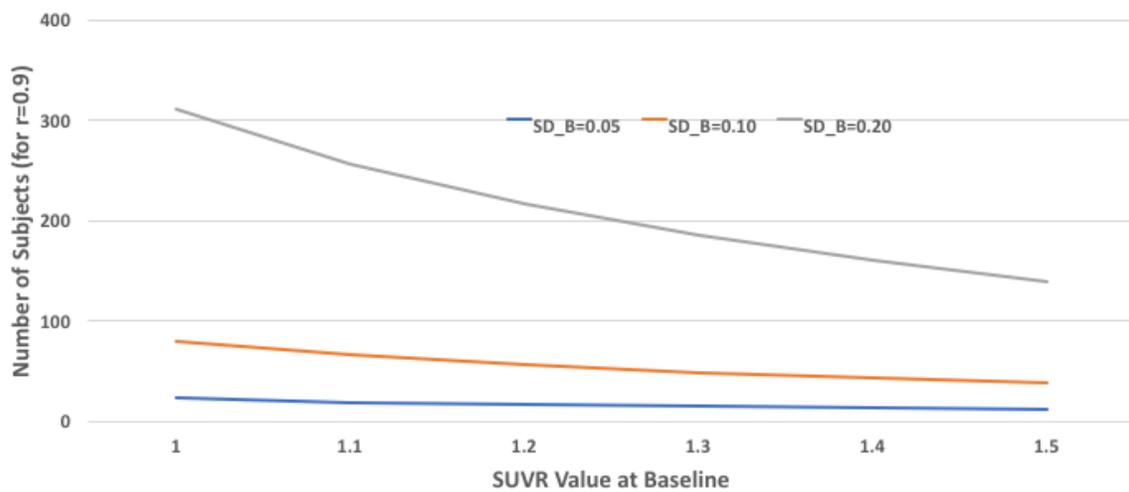
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Figure 2a. Example of powering a clinical trial to measure rate of amyloid accumulation, $r=0.3$.



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Figure 2b. Example of powering a clinical trial to measure rate of amyloid accumulation, $r=0.5$.

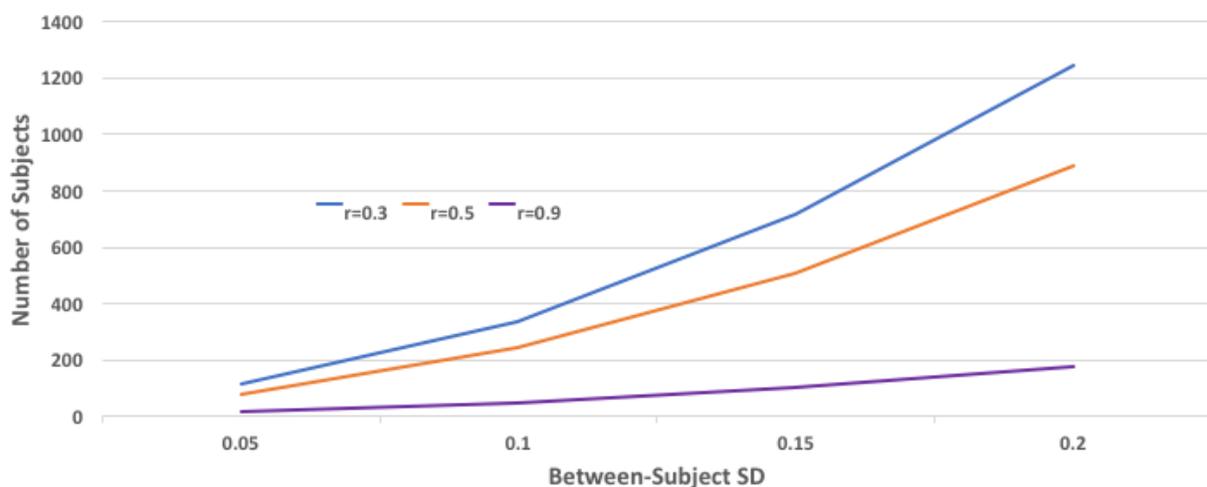


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319

Figure 2c. Example of powering a clinical trial to measure rate of amyloid accumulation, $r=0.9$.

320

321 2. **Powering a clinical trial to measure a reduction in the rate of amyloid accumulation (e.g., due to**
 322 **treatment intervention).** Consider a clinical trial comparing the accumulation in amyloid SUVR
 323 over time between two groups of subjects: those undergoing a new treatment vs. a control group.
 324 Alzheimer's patients will be recruited and randomized to either the experimental intervention or
 325 the control group. SUVR will be measured in all subjects at baseline and two years later. The null
 326 hypothesis is that there is no difference in subjects' mean amyloid accumulation between the two
 327 groups; the alternative hypothesis is that there is a difference (two-tailed hypothesis). If the likely
 328 rate of amyloid accumulation is 1.5% per year, the mean SUVR at baseline is 1.5, the between-
 329 subject standard deviation is between 0.05 and 0.2, and the correlation between the paired
 330 measurements from a subject is between 0.3 and 0.9, then the following Figure 3 illustrates the
 331 number of subjects needed per arm to detect a 50% reduction in the rate of accumulation over a
 332 2-year period with 80% power.



333

334 **Figure 3.** Example of powering a clinical trial to measure a reduction in the rate of amyloid
 335 accumulation

336

337 3. **Minimum detectable Increase for individual subject.** The smallest increase in SUVR that can be
 338 considered a real increase in amyloid accumulation for an individual subject (not just measurement
 339 error), with a certain confidence level, can be calculated as: $Y1 \times (0.0194) \times \sqrt{2} \times (z - value)$. Figure 4
 340 shows the minimum detectable increase for 70%, 80%, 90%, and 95% confidence for baseline SUVR
 341 values from 0.5-2.0.

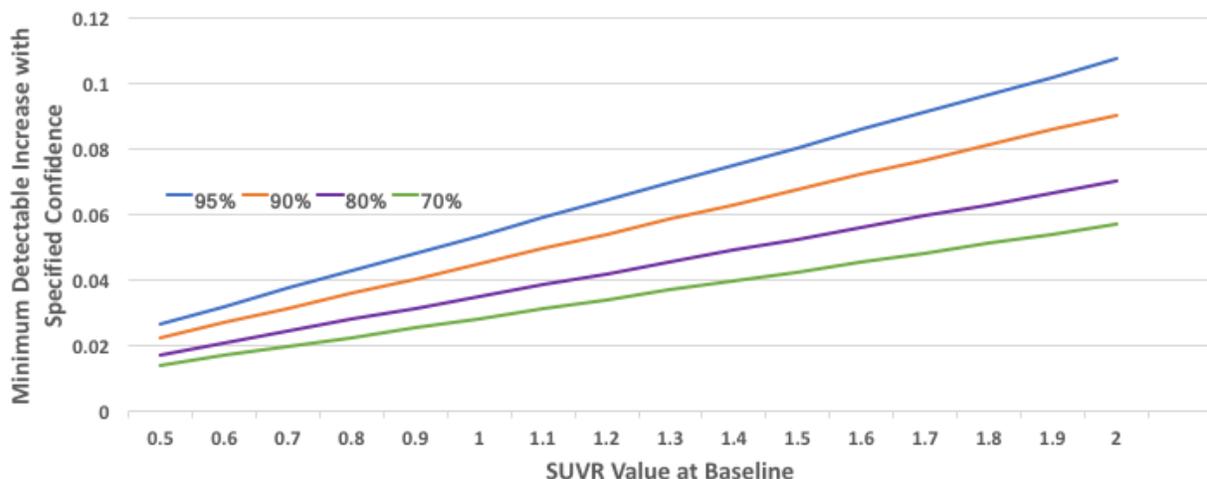


Figure 4. Example of minimum detectable increase for individual subject.

342
343
344

345 **4. Confidence interval for an individual’s true change.** For an individual’s SUVR measurements of Y1 at
346 baseline and Y2 at follow-up, the 95% confidence interval for the true change associated with the wCV
347 of Claim 1 is given by the equation: $(Y2-Y1) \pm 1.96 \times \sqrt{[Y1 \times 0.0194]^2 + [Y2 \times 0.0194]^2}$.

348

3. Profile Activities

349
350

3.1 Amyloid PET actors and activities

351

352 The Profile is documented in terms of “Actors” performing “Activities”. Equipment, software, staff or sites
353 may claim conformance to this Profile as one or more of the “Actors” in the following Table 2.

354 Conformant Actors shall support the listed Activities by conforming to all requirements in the referenced
355 Section.

356 **Table 2: Actors and Required Activities.**

Actor	Activity	Section
PET Tracer	Subject handling	3.3
Acquisition Device (Scanner, ancillary equipment)	Equipment qualification	0, 4.2
	Periodic QC	0, 4.2
PET Technologist	Subject handling	3.3
	Image data acquisition	3.2
	Image data reconstruction	3.3
Radiologist or Nuclear Medicine Physician	Image analysis	3.6
	Image interpretation	3.7
	Staff qualification (Quality Control)	0

Actor	Activity	Section
Image analyst or other qualified person	Image analysis	3.6
	Image interpretation	3.7
Medical physicist	Equipment qualification	0, 4.2
	Periodic QC	0, 4.2
Reconstruction Software	Image data reconstruction	3.5
Image Analysis Tool	Image analysis	3.6
Site (Imaging Facility Coordinator)	Site conformance	0

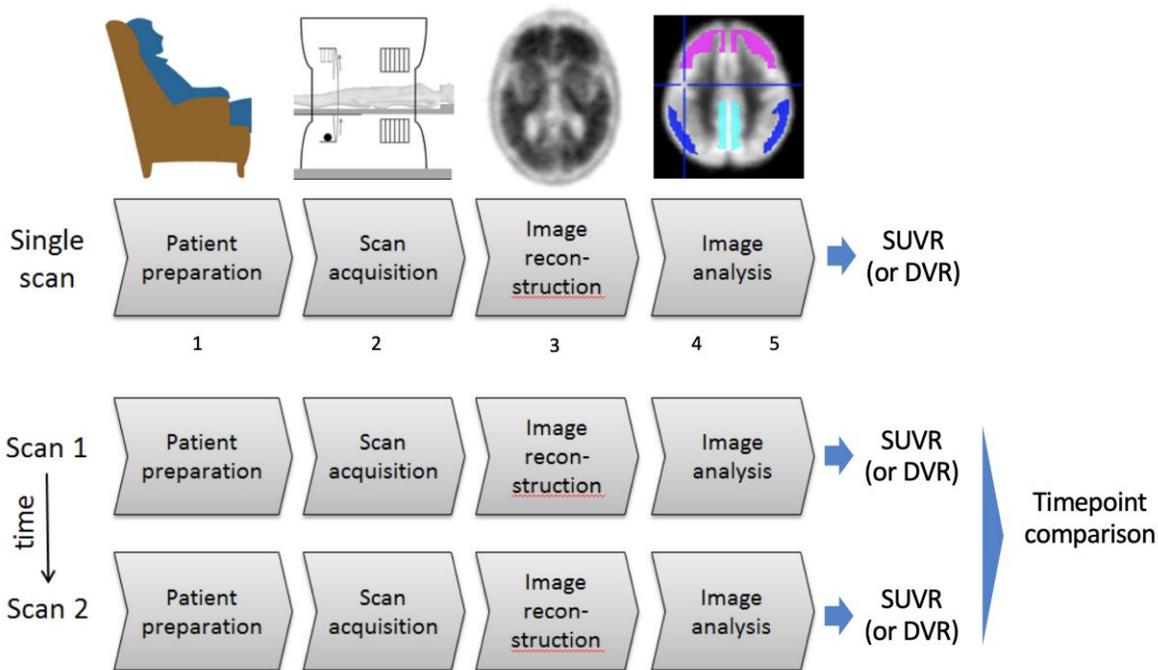
357

358 The requirements in this Profile do not codify a Standard of Care; they only provide guidance intended to
 359 achieve the stated Claim. Failing to conform to a “shall” in this Profile is a protocol deviation. Although
 360 deviations invalidate the Profile Claim, such deviations may be reasonable and unavoidable, and the
 361 radiologist or supervising physician is expected to do so when required by the best interest of the patient
 362 or research subject. How study sponsors and others decide to handle deviations for their own purposes
 363 is entirely up to them.

364

365 3.2 Amyloid PET activity process flow

366 The sequencing of the Activities specified in this Profile are shown in Figure 5 below.



367

368 **Figure 5:** The method for computing and interpreting brain amyloid burden using PET may be viewed as a
 369 series of steps using either one scan (corresponding to a fit for use of a future ‘Cross-sectional’ Claim) or
 370 two or more scan sequences or time points (addressed by the current Profile’s ‘Longitudinal’ Claim). SUVR
 371 = Standardized Uptake Value Ratio; DVR = Distribution Volume Ratio.

372 The imaging steps corresponding to Figure 5 are:

- 373 1) Patients or subjects are prepared for scanning. The amyloid tracer is administered. Patient waits
374 for bio-distribution and uptake of amyloid tracer.
- 375 2) Emission and transmission data are acquired (typically the PET scan and CT scan if a PET-CT
376 scanner).
- 377 3) Data correction terms are estimated, and the attenuation and scatter corrected images are
378 reconstructed.
- 379 4) Images are assessed for quality control and may separately be reviewed visually for qualitative
380 interpretation (outside of the scope of this Profile).
- 381 5) Quantitative (and/or semi-quantitative) measurements are performed.

382 Prior to the patient preparation steps, patients may be selected or referred for amyloid-PET imaging
383 though a variety of mechanisms. Performance of the activities in Figure 5 results in a numeric value
384 representing amyloid burden. This value is then interpreted per the thresholds and/or other criteria
385 determined per the study (this differs from visual interpretation of the scan). The primary focus of this
386 Profile is the Standardized Uptake Value Ratio (SUVR), the ratio of tissue concentration for a designated
387 brain region(s) compared to the activity from a reference region. Appendix I provides information
388 regarding use of kinetic modeling to obtain a Distribution Value Ratio (DVR) measure rather than SUVR.
389 The Profile also provides information regarding the conversion of SUVR units to the Centiloid measure
390 (Klunk et al, 2015, section 3.4.3.4) which has been developed to reconcile values across amyloid PET
391 tracers and measurement methods.

392 Note that a visual read of the images and the quantitative measurement and analysis (the topic of this
393 Profile) may occur in either order or at the same time, depending upon the context of the review (clinical
394 research versus clinical practice) with reference to the specifications described in each tracer's package
395 insert. Currently, the quantitative use of amyloid-PET tracers is not approved by any regulatory authorities
396 in clinical practice in the U.S. However, quantitation is available as part of various scanner and workstation
397 software packages and is used extensively in clinical trials.

398 Images may be obtained at a single time point or multiple time points over months or years, for example
399 at a minimum of two time points before and after therapeutic intervention for a response assessment.

400 Image data acquisition, reconstruction and post-processing are considered to address the collection and
401 structuring of new data from the subject. Image analysis is primarily considered to be a computational
402 step that transforms the data into information, extracting important values. Interpretation is primarily
403 considered to be judgment that transforms the information into knowledge.

404

405

406 **3.3 Subject Handling**

407 This Profile will refer primarily to 'subjects', keeping in mind that the recommendations apply to patients
408 in general and that 'subjects' are often patients, too.

409 ***3.3.1 Subject Selection and Timing***

410 The utility of correlative anatomic brain imaging, CT or MRI, can be viewed in two different contexts. From
411 a clinical perspective, the anatomic imaging study is used to assess for evidence of bleed, infection,
412 infarction, or other focal lesions (e.g., in the evaluation of subjects with dementia, the identification of
413 multiple lacunar infarcts or lacunar infarcts in a critical memory structure may be important). From the
414 perspective of establishing performance requirements for quantitative amyloid PET imaging, the purpose
415 of anatomic imaging (separate from the utility of providing an attenuation correction map) is to provide
416 assessment of cortical atrophy and consequently a falsely decreased SUVR. The image analyst should also
417 be aware of the possibility of falsely increased SUVR due to blood-brain barrier (BBB) breakdown, such as
418 in the case of intracranial bleed. The effect of differential BBB integrity inter-time point is currently not
419 quantified in the scientific literature. While the performance of anatomic imaging is not a performance
420 requirement of the Profile, the value of performing such imaging and the incorporation of its analysis with
421 the amyloid PET findings may provide additional value in the interpretation for an individual subject. This
422 should be considered in the design and implementation of the study protocol.

423 Aside from the exclusion (absolute or relative contraindications) of subjects who are unable to remain still
424 enough to obtain adequate imaging (See Section 3.3.2 for information on subject sedation), subject
425 selection for amyloid PET imaging is an issue beyond the scope of this Profile. Guidance for the use of
426 amyloid to support diagnosis of symptomatic patients has been published in "Appropriate Use Criteria for
427 Amyloid PET: A Report of the Amyloid Imaging Task Force". Asymptomatic or other clinical trials are guided
428 by study objectives. See tracer manufacturer guidance for additional information regarding patient
429 exclusions.

430 **3.3.1.1 Timing of Imaging Test Relative to Intervention Activity**

431 The study protocol should specifically define an acceptable time interval that should separate the
432 performance of the amyloid tracer PET scan from both (1) the index intervention (e.g., treatment with an
433 amyloid reducing therapeutic agent) and (2) other interventions (e.g., prior treatment). This initial scan
434 (or time point) is referred to as the "baseline" scan (or time point). The time interval between the baseline
435 scan and the initiation of treatment should be specified as well as the time intervals between subsequent
436 amyloid PET studies and cycles of treatment. Additionally, the study protocol should specifically define an
437 acceptable timing variance for acquisition of the amyloid PET scan around each time point at which
438 imaging is specified (i.e., the acceptable window of time during which the imaging may be obtained "on
439 schedule").

440 **3.3.1.2 Timing Relative to Confounding Activities**

441 There are no identified activities, tests or interventions that might increase the chance for false positive
442 and/or false negative amyloid tracer PET studies which need to be avoided prior to scanning.

443 **3.3.1.3 Timing Relative to Ancillary Testing**

444 Various neuropsychiatric tests may be performed on or around the day of amyloid tracer imaging and
445 should be coordinated at the time of scheduling.

446 **3.3.2 Subject Preparation**

447 Management of the subject can be considered in terms of three distinct time intervals (1) prior to the
448 imaging session (prior to arrival and upon arrival), (2) during the imaging session and (3) post imaging
449 session completion. The pre-imaging session issues are contained in this section while the intra-imaging
450 issues are contained in section 3.2.1 on image data acquisition.

451 **3.3.2.1 Prior to Arrival**

452 There are no dietary or hydration requirements or exclusions.

453 The conformance issues around these parameters are dependent upon adequate communication and
454 oversight of the Scheduler or Technologist at the Image Acquisition Facility with the subject.
455 Communication with the subject and confirmation of conformance should be documented.

456 **3.3.2.2 Upon Arrival**

457 Upon arrival, confirmation of subject compliance with pre-procedure instructions should be documented
458 on the appropriate case report forms.

459 **3.3.2.3 Preparation for Exam**

460 Subject preparation after arrival and prior to imaging should be standardized among all sites and subjects
461 throughout the conduct of the clinical trial.

- 462 • Measurement and documentation of the subject's weight (and height), though encouraged, is not
463 a requirement of this Profile since the measurand, SUVR, is by definition a ratio of SUVs.
- 464 • The waiting and preparation rooms should be relaxing and warm (> 75° F or 23.9° C) during the
465 entire uptake period (and for as long as reasonably practicable prior to injection, at least 15
466 minutes is suggested as acceptable). Blankets should be provided if necessary. (This is for comfort
467 purposes and does not directly impact tracer uptake.)
- 468 • The subject should remain recumbent or may be comfortably seated. (This is for comfort purposes
469 and does not directly impact tracer uptake.)
- 470 • After amyloid tracer injection, (and if not a full dynamic scan or early frame scan whereby
471 acquisition begins immediately after injection, and if verified with tracer manufacturer's
472 recommendations) the subject may use the toilet. The subject should void immediately (within 5
473 – 10 minutes) prior to the PET image acquisition phase of the examination.
- 474 • Sedation is not routinely required. It is not certain whether sedation will interfere with amyloid
475 tracer uptake; some preclinical testing indicates a possible interaction, but not all tracers have
476 been tested for possible interaction effects. The decision regarding whether or not to use sedation
477 is beyond the scope of this Profile and requires clinical evaluation of the particular subject for
478 contraindications, as well as knowledge of whether the particular tracer is subject to interaction
479 with the sedating agent. Since these interactions have not been fully defined, subject preparation
480 (with or without sedation) should be consistent across time points for a given subject.
- 481 • The amount of fluid intake and use of all medications for the scan session (e.g., diuretic, sedative)
482 must be documented on the appropriate case report form.
- 483 • The subject should remove any bulky items from their pockets such as billfolds, keys, etc. In
484 addition, they should remove eyeglasses, earrings and hair clips/combs (and anything that could

485 cause discomfort while the head is resting in the head holder) if present. They should also remove
486 hearing aids if possible although it is important that they can follow instruction (and hear them if
487 necessary) to remain still while in the scanner.
488

489 ***3.3.3 Imaging-related Substance Preparation and Administration***

490 **3.3.3.1 Radiotracer Preparation and Administration**

491 **3.3.3.1.1 Radiotracer Description and Purpose**

492 The specific amyloid radiotracer being administered should be of high quality and purity. For example,
493 the amyloid seeking radiopharmaceutical must be produced under Current Good Manufacturing Practice
494 as specified by the FDA, EU, European Pharmacopeia or another appropriate national regulatory agency.
495 U.S. regulations such as 21CFR212 or USP<823> Radiopharmaceuticals for Positron Emission Tomography
496 must be followed in the U.S. or for trials submitted to US Regulatory.

497 **3.3.3.1.2 Radiotracers within scope of this Profile**

498 This Profile currently addresses radiotracers that have been approved by the FDA as listed in the Tracer
499 Reference Table 3 in section 3.3.3.1.3. While beyond the scope of this document, for any new amyloid
500 tracer it cannot be assumed that SUVR reflects amyloid load without validation, i.e., first full kinetic
501 analysis needs to be performed to check that SUVR has a linear relationship with BP_{ND} .

502 The amyloid radiotracer [11C]Pittsburgh Compound B (PiB) is still used routinely by several research sites.
503 PiB production is performed using local cyclotrons and it has a much shorter half-life than the [18F]
504 radiotracers, and requirements for control of tracer quality and timeframe use are outside of this Profile
505 scope. However, the recommendations of this profile for image data acquisition, image data processing,
506 and equipment quality control would also be applicable to PiB.

507 **3.3.3.1.3 Radiotracer Activity Calculation and/or Schedule**

508 The amyloid binding radiotracer activity administered will depend upon the specific tracer utilized (See
509 Table 3 below, which includes tracers approved by the FDA to date). Typically, the dose ranges between
510 about 185 – 370MBq (5 – 10 mCi); for regulatory approved tracers, this should be according to the package
511 insert. All tracers approved at the time of this Profile have a maximum of 10 ml. The administered activity
512 typically depends upon the local imaging protocol. The local protocol may require fixed activity, or the
513 activity may vary as a function of various parameters including but not limited to subject size or age or
514 scanning mode. It is possible that a high body mass could be a variable that would affect performance,
515 for example by reducing the counts available for the injected dose. While an approach might be to
516 lengthen the scanning time, guidelines may not be specified in labeling and systematic studies are not
517 available. Therefore, no requirement is included in this protocol to address patient weight that exceeds a
518 given range.

519 The exact activity and the time at which activity is calibrated should be recorded. Residual activity
520 remaining in the tubing, syringe or automated administration system or any activity spilled during
521 injection should be recorded. The objective is to record the net amount of radiotracer injected into the
522 subject to provide accurate factors for the calculation of the net SUV.

523 **Table 3. Tracer reference table.**

Parameter	Florbetapir (Amyvid) [1]	Flutemetamol (Vizamyl) [2]	Florbetaben (Neuraceq) [3]
Tracer Admin Activity	370 MBq Max 50 mcg mass dose	185MBq Max 20 mcg mass dose	300 MBq Max 30 mcg mass dose

524

525 **3.3.3.1.4 Radiotracer Administration Route**

526 Amyloid seeking radiotracer should be administered (see Table 4) intravenously through an indwelling
527 catheter (24 gauge or larger) into a large vein (e.g., antecubital vein). This is usually administered as a
528 manual injection; a power injector may be used especially for studies in which SUVR measures of amyloid
529 load are compared with dynamic measures (BP_{ND}). Intravenous ports should not be used, unless no other
530 venous access is available. If a port is used, an additional flush volume should be used. As reproducible
531 and correct administration of radiotracer is required for quantification purposes, extravasation or
532 paravenous administration should be avoided. It should be ensured, for both automated and manual
533 injection, that the radiotracer is not being diluted with saline before or during the injection process.
534 Flushing with saline should only occur after the injection and is recommended when using injection lines.

535 If an infiltration or extraneous leakage is suspected, the event should be recorded. The anatomical location
536 of the injection site should be documented on the appropriate case report form or in the Common Data
537 Format Mechanism (Appendix E).

538 Please note that CT contrast agents are not recommended nor supported in the profile.

539 **Table 4. Radiotracer administration route specifications.**

Parameter	Entity/Actor	Specification
Administered amyloid radio-tracer Activity	Imaging Technologist, Physician, Nurse, or other qualified Health Professional	<p>The qualified Health Professional shall:</p> <ol style="list-style-type: none"> 1. Assay the pre-injection radiotracer activity (i.e., radioactivity) and record time of assay 2. Inject the quantity of radiotracer as prescribed in the protocol and record the time that radiotracer was injected into the subject 3. Assay the residual activity in the syringe (and readily available tubing and components) after injection and record the time of measurement <p>These values shall be entered into the scanner during the PET/CT acquisition.</p> <p>For scanners that do not provide for entry of residual activity information, the net injected radioactivity should be manually calculated by decay correcting all measurements to the time of injection and then subtracting the residual radioactivity from the pre-injection radioactivity. The net injected radioactivity is then entered into the scanner during the PET acquisition.</p>

Parameter	Entity/Actor	Specification
		All data described herein on activity administration shall be documented.
		All data should be entered into the common data format mechanism (Appendix E).
Amyloid radiotracer administration	Technologist or Physician	Technologist or Physician shall administer the amyloid radiotracer intravenously through an indwelling catheter (24 gauge or larger), preferably into a large vein (e.g., antecubital vein). Intravenous ports should not be used unless no other venous access is available. A three-way valve system should be attached to the intravenous cannula so as to allow at least a 10 cc normal (0.9% NaCl) saline flush following radiotracer injection.
Suspected infiltration or extraneous leakage	Technologist and/or Physician	Technologist shall: 1. Record the event and expected amount of amyloid tracer: Minor (estimated less than 5%), Moderate (estimated more than 5% and less than 20%), Severe (estimated more than 20%). Estimation will be done based on images and/or known injected volumes. 2. Image the infiltration site.
		Record the event and expected amount of amyloid tracer into the common data format mechanism (Appendix E).

540 3.4 Image Data Acquisition

541 This section summarizes the imaging protocols and procedures that shall be performed for an amyloid-
542 PET exam by using either a PET/CT or a dedicated PET scanner with the requirement that a Germanium
543 source can be used to perform attenuation correction. Note that PET scanners that do not measure in
544 some way the attenuation of the brain and use a calculated algorithm for estimating the attenuation and
545 scatter corrections are excluded from this profile. PET/MR scanners are not strictly excluded in this
546 version as long as the repeatability of the SUVRs from these scanners is conformant with the assumptions
547 underlying the Claims. This work was not yet published when this Profile was released. Since the claims
548 of this profile are only valid for the same patient being scanned on the same scanner with the same
549 protocols and analysis, only the repeatability of the PET/MR SUVRs needs to be validated in the context
550 of the Claims, and not the difference in SUVRs as compared to PET/CT scanners. Going forward in this
551 document, PET scanner can mean either a PET/CT or a dedicated PET scanner (or as stated above,
552 PET/MR).

553 For consistency, clinical trial subjects should be imaged on the same device over the entire course of a
554 study. It is imperative, that the trial sponsor be notified of scanner substitution if it occurs.

555 For clinical trials with quantitative imaging requirements, a subject should have all scans performed on
556 only one scanner unless quantitative equivalence with a replacement scanner can be clearly
557 demonstrated. However, it should be noted that there are currently no accepted criteria for

558 demonstrating quantitative equivalence between scanners. It is anticipated that future version of this
559 Profile will provide such criteria.

560 When Amyloid PET imaging is performed across time points for a given subject (longitudinal claim), follow
561 up scans should be performed with identical acquisition parameters as the first (baseline), inclusive of all
562 the parameters required for both the CT and PET acquisitions as described further in this Section.

563 For amyloid tracer PET/CT perform imaging in the following sequence:

- 564 • CT Scout (i.e., topogram or scanogram etc.), followed by the following two acquisitions, in either
565 order (ensuring that the same sequence is performed for a given subject across time points):
- 566 • CT (non-contrast) for anatomic localization and attenuation correction and
- 567 • PET Emission scan acquisition

568 For amyloid tracer scan performed on a dedicated PET system (no CT), the first two bulleted steps above
569 are not performed. Instead, perform the Germanium-based attenuation correction scan first and then
570 proceed with the PET Emission scan acquisition.

571 The issues described in this Section should be addressed in the clinical trial protocol, ideally with
572 consistency across all sites and all subjects (both inter-subject, and intra- and inter-facility) with the target
573 of consistency across all time points (longitudinal utility) for each given subject. The actual details of
574 imaging for each subject at each time point should always be recorded.

575 ***3.4.1 Imaging Procedure***

576 The imaging exam consists of two components, the PET emission scan and the transmission scan
577 (performed either with CT or with a Germanium source). From these data sets, the non-attenuation-
578 corrected PET images may be reconstructed for quality control purposes and attenuation-corrected PET
579 images are reconstructed for qualitative interpretation and quantitative analysis. Instrument
580 specifications relevant to the Acquisition Device are included in Section 4.0, Conformance Procedures.

581 **3.4.1.1 Timing of Image Data Acquisition**

582 Amyloid tracer uptake is a dynamic process that may increase at different rates and peak at various times
583 dependent upon multiple variables, different for each radiotracer. Therefore, it is extremely important
584 that (1) in general, the time interval between amyloid tracer administration and the start of emission scan
585 acquisition is consistent and (2) when repeating a scan on the same subject, it is essential to use the same
586 interval between injection and acquisition in scans performed across different time points. Table 5 below
587 lists recommended tracer administration parameters at the time of this Profile for those tracers that have
588 been approved by the FDA in the U.S. However, in all cases, the manufacturer's current labeling
589 parameters should be consulted, as these may change over time. In addition, while the principles of this
590 profile are fairly generalizable, the specifics apply to the tracers that have already been approved and for
591 which data is available. Note that the durations shown in Table 5 should be considered minimum durations
592 for image acquisition. For example, for florbetapir, the time window used by ADNI is 20 minutes rather
593 than 10. A full dynamic protocol or longer imaging window (even if not full dynamic) can significantly
594 improve the quality of the data. This will be particularly important for trials in preclinical AD.

595

596 **Table 5.** Tracer acquisition parameter examples (Refer to manufacturer label for actual use in case of
597 changes)

Parameter	Florbetapir (Amyvid) [1]	Flutemetamol (Vizamyl) [2]	Florbetaben (Neuraceq) [3]
Tracer Uptake Time (mpi = mins post injection)	30 – 50 mpi	60 - 120 - mpi	45 - 130 mpi
Minimum Duration of Imaging Acquisition	10 min	10 - 20 min	15 – 20 min

598

599 Another amyloid tracer, NAV-4694, has not yet completed validation in phase III clinical trials and
600 therefore dose and the following acquisition details are preliminary: uptake time 50-70 mpi, and an
601 acquisition duration of 20 minutes.

602 The “target” tracer uptake time is dependent upon the radiotracer utilized. Reference the Table 5 for
603 acceptable tracer uptake times (in minutes post injection [mpi]) for each of the currently available tracers.
604 The exact time of injection must be recorded; the time of injection initiation should be used as the time
605 to be recorded as the radiotracer injection time. The injection and flush should be completed within one
606 minute with the rate of injection appropriate to the quality of the vein accessed for amyloid tracer
607 administration so as to avoid compromising the integrity of the vein injected.

608 When performing a follow-up scan on the same subject, especially in the context of therapy response
609 assessment, it is essential to use the same time interval. To minimize variability in longitudinal scanning,
610 for a given subject, the tracer uptake time should be exactly the same at each time point. There is to date
611 no scientific literature quantifying the effect on SUVR with varying tracer uptake times in a no change
612 scenario. The consensus recommendation, to balance practical and ideal, for this Profile is a target
613 window of ± 5 minutes.

614 If, for scientific reasons, an alternate time (between activity administration and scan acquisition) is
615 specified in a specific protocol, then the rationale for this deviation should be stated; inter-time point
616 consistency must still be followed. Table 6 lists the specifications.

617 **Table 6. Timing of data acquisition specifications.**

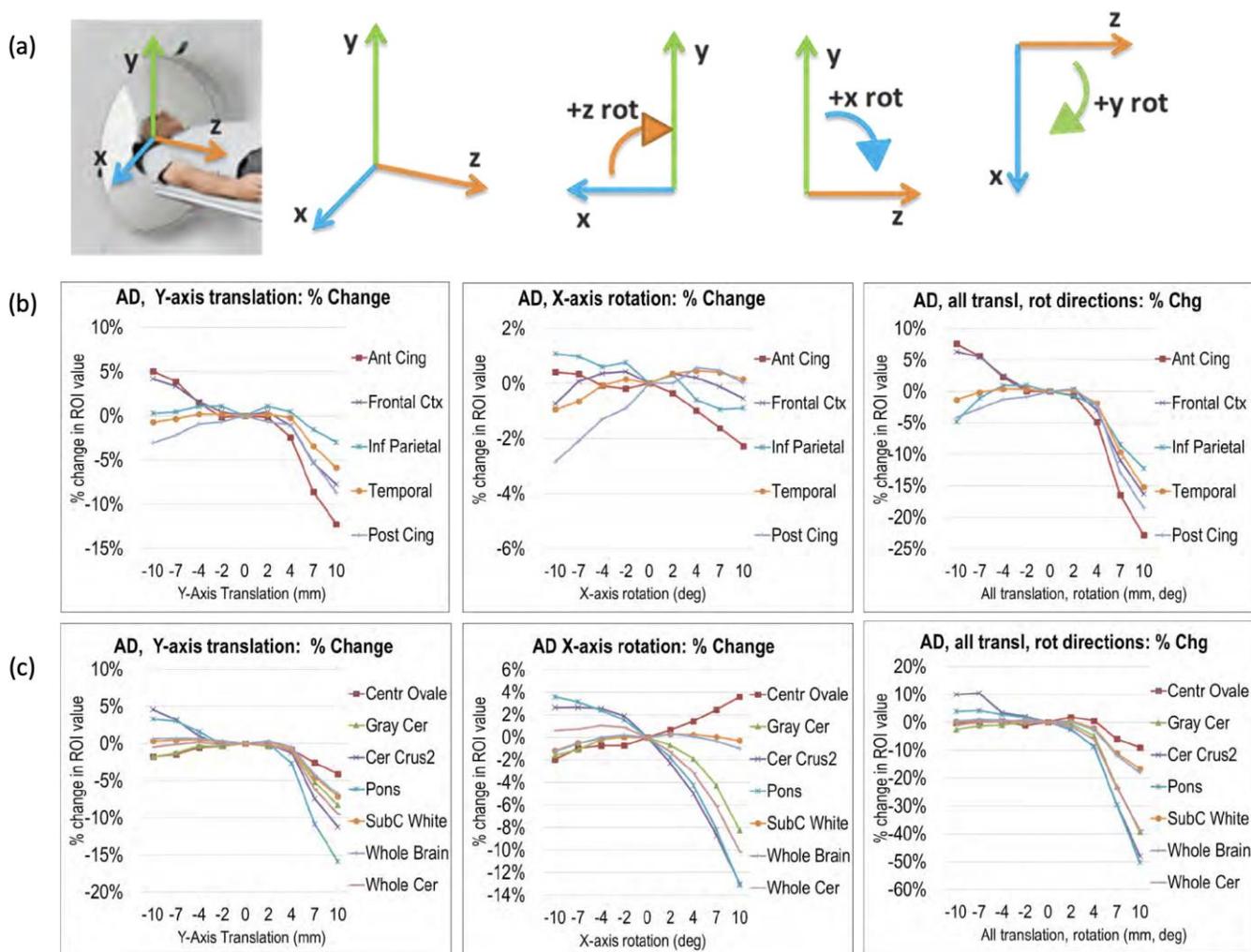
Parameter	Entity/Actor	Specification
Tracer Injection Time	Technologist	The time of amyloid tracer injection shall be entered into PET scanner console during the acquisition.
Tracer Uptake Time	Technologist	The Technologist shall ensure that the tracer uptake time for the baseline scan is within the acceptable range for the specific radiotracer (see Table 5). When repeating a scan on the same subject, especially in the context of therapy response assessment, the Technologist shall apply the same time interval used at the earlier time point (as closely as possible and not more than ± 5 minutes).

618 The following sections describe the imaging procedure.

619 **3.4.1.2 Subject Positioning**

620 Proper and consistent subject head positioning is critically important for amyloid PET imaging. It is
 621 important to take the time necessary to ensure not only that the subject is properly positioned but can
 622 comfortably maintain that position throughout the duration of the scanning session. Excessive motion
 623 and in particular a difference in the subjects' position between the emission scan and the transmission
 624 scan used for attenuation correction is the single most common cause of failed studies. Motion can be
 625 measured in terms of linear movement in the x, y, and z directions and rotational movement around those
 626 axes. Figure 6 illustrates the effects of subject head motion between the emission scan and transmission
 627 scan upon measured regional values. These were determined by systematically translating and rotating
 628 the mu maps for the same scan and then reconstructing the image each time (QIBA grant funded project).
 629 Similar errors resulted from the simulation of subject head motion within the emission scan through
 630 systematic translation and rotation of the reconstructed scan relative to region of interest placement.

631



632

633 **Figure 6.** The effects of linear, rotational, and combined linear and rotational head movement between
 634 the transmission scan and emission scan upon several target regions and reference regions: (a) x, y, and
 635 z directions, (b) percent change in target region of interest measures, (c) percent change in reference

636 region measures. The SUVR error incorporates the ratio of the percent change in the target region(s) /
 637 the percent change in the reference region.

638 NOTE: The successful implementation of strategies to minimize head motion (and maximize signal to
 639 noise) is critical to overall conformance to the Profile requirements. This can be addressed both at the
 640 time of image acquisition (through the use of head immobilization techniques described in the paragraphs
 641 immediately below) and at the time of image acquisition set-up and reconstruction, described in Section
 642 3.5.

643 Position the subject on the PET or PET-CT scanner table so that their head and neck are relaxed. The head
 644 should ideally be positioned to have axial slices passing through the cerebellum without intersection with
 645 the posterior occipital lobe. This avoids contamination of the posterior cerebellar region by the occipital
 646 lobe and the tentorium. To minimize head motion, the subject’s head should be immobilized using the
 647 institution’s head holder/fixation equipment (e.g., thermoplastic mask, tape, etc.). It may be necessary
 648 to place additional pads beneath the neck to provide sufficient support. Vacuum bean bags can also be
 649 used in this process. The head should be approximately positioned parallel to the imaginary line between
 650 the external canthus of the eye and the external auditory meatus. Lasers are recommended to aid in
 651 horizontal and vertical centering. Foam pads can be placed alongside the head for additional support.
 652 Velcro straps and/or tape should be used to secure the head position.

653 It should be assured that the head of the subject is positioned in the scanner with the total brain within
 654 the field of view (FOV). Special attention must be paid to include the entire cerebellum in the image as
 655 this region may be used as a reference region for subsequent quantification.

656 For dedicated amyloid tracer PET brain scans, the arms should be positioned down along the body. If the
 657 subject is physically unable to maintain arms alongside the body for the entire examination, then the arms
 658 can be positioned on their chest or abdomen.

659 Use support devices under the back and/or legs to help decrease the strain on these regions. This will
 660 assist in the stabilization of motion in the lower body.

661 The Technologist shall document factors that adversely influence subject positioning or limit the ability to
 662 comply with instructions (e.g., remaining motionless). See Table 7 for the specifications.

663 **Table 7. Patient positioning specifications.**

Parameter	Entity/Actor	Specification
Subject Positioning	Technologist	The Technologist shall position the subject according to the protocol specifications consistently for all scans, with brain fully in field of view, ideally centered with bottom of cerebellum at least 2.5 cm away from edge of axial FOV unless otherwise specified by protocol
Subject Positioning	Technologist	The Technologist shall ensure the comfort of the subject in the head holder prior to initiating the scan, to minimize the likelihood of movement.
Subject positioning	Technologist	The Technologist shall instruct the subject to hold as still as possible during the scan.

Parameter	Entity/Actor	Specification
Subject Positioning	Technologist	The Technologist shall document the head position of the subject in the scanner FOV so that this can be replicated for subsequent scans.
Positioning Non-compliance	Technologist	The Technologist shall document issues regarding subject non-compliance with positioning.
		The Technologist shall document issues regarding subject non-compliance with breathing and positioning using the common data format mechanism (Appendix E).
Motion non-compliance	Technologist	The Technologist shall document issues regarding subject non-compliance with not remaining still.
		The Technologist shall document issues regarding subject non-compliance (not remaining still) motion using the common data format mechanism (Appendix E).

664

665 3.4.1.3 Scanning Coverage and Direction

666 Anatomic coverage should include from the skull base to the skull vertex, ensuring complete inclusion of
667 the cerebellum. The anatomic coverage should be included in a single bed position (see Table 8).

668 **Table 8. Scanning coverage specifications.**

Parameter	Entity/Actor	Specification
Anatomic Coverage	Technologist	The Technologist shall perform the scan such that the anatomic coverage (including the entire brain from craniocervical junction to vertex) is acquired in a single bed position according to the protocol specifications and the same for all time points.

669

670 3.4.1.4 Scanner Acquisition Mode Parameters

671 We define acquisition mode parameters as those that are specified by the Technologist at the start of the
672 actual PET scan. These include the acquisition time for the single bed position and the acquisition mode
673 (3D mode only). These parameters do not include aspects of the acquisition that occur earlier (e.g.,
674 injected amount of 18F-amyloid tracer or uptake duration) or later (e.g., reconstruction parameters) in
675 the overall scan process.

676 3.4.1.4.1 PET Acquisition

677 If possible, for SUVR measurement the PET data should be acquired in listmode format (for fullest
678 flexibility for correcting for head movement) or divided into multiple acquisitions with a maximum of 5
679 minutes each. If there were no head motion during the scan, a single acquisition frame would be sufficient.
680 However, this is difficult to predict ahead of time, use of multiple time slices is critical for proper motion
681 correction if the subject does not remain still throughout the scan. A full dynamic scan would include
682 additional frames but should also provide for multiple time slices in the late timeframes. Individualized,

683 site-specific acquisition parameters should be determined upon calibration with the appropriate phantom
684 (see Table 9).

685 **Table 9. PET acquisition specifications.**

Parameter	Entity/Actor	Specification
PET acquisition mode	Study Sponsor	The key 3-D PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) <u>shall be specified</u> in a manner that is expected to produce comparable results regardless of the scanner make and model.
		The key acquisition mode parameters shall be specified according to pre-determined harmonization parameters.
PET acquisition mode	Technologist	The key PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) <u>shall be set as specified</u> by study protocol and used consistently for all patient scans.
		PET shall be acquired in listmode format (best) or dynamic time frames of no more than 5 minutes each, when possible, in order to allow checking and correction for subject motion.

686

687 3.4.1.4.2 CT Acquisition

688 For the CT acquisition component of the PET/CT scan (Table 10), this Profile only addresses the aspects
689 related to the quantitative accuracy of the PET image. In other words, aspects of CT diagnostic accuracy
690 are not addressed in this Profile. In principle, any CT technique (parameters include kVp, mAs, pitch, and
691 collimation) will suffice for accurate corrections for attenuation and scatter. However, it has been shown
692 that for estimating PET tracer uptake in bone, lower kVp CT acquisitions can be more biased. Thus, higher
693 kVp (greater than or equal to 80 kVp) CT acquisitions are recommended in general (Abella et al). In
694 addition, if there is the potential for artifacts in the CT image due to the choice of acquisition parameters
695 (e.g., truncation of the CT field of view), then these parameters should be selected appropriately to
696 minimize propagation of artifacts into the PET image through CT-based attenuation and scatter correction.

697 The actual kVp and exposure (CTDI, DLP) for each subject at each time point should be recorded. CT dose
698 exposure should be appropriately chosen wherever possible, particularly in smaller patients. The radiation
699 principle ALARA (As Low As Reasonably Achievable) for minimizing radiation dose should be considered
700 during imaging protocol development. Refer to educational initiatives, such as Image Wisely
701 (www.imagewisely.org) which provides general information on radiation safety in adult medical imaging,
702 though not specific to amyloid imaging. Note that the ALARA principle is for radiation mitigation and does
703 not address the diagnostic utility of an imaging test. The technique used for an imaging session should be
704 repeated for that subject for all subsequent time points assuming it was properly performed on the first
705 study.

706

707 **Table 10. CT acquisition specifications.**

Parameter	Entity/Actor	Specification
CT acquisition mode	Study Sponsor	The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be specified in a manner that is expected to produce comparable results regardless of the scanner make and model and with the lowest radiation doses consistent for the role of the CT scan: diagnostic CT scan, anatomical localization, or corrections for attenuation and scatter.
		If diagnostic or anatomical localization CT images are not needed, then the CT acquisition mode shall utilize the protocol that delivers the lowest possible amount of radiation dose to the subject (e.g., an ultra-low low dose protocol) that retains the quantitative accuracy of corrections for attenuation and scatter.
CT acquisition mode	Technologist	The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be set as specified by study protocol and used consistently for all subject scans.
CT acquisition mode	Technologist	If CT kVp is not specified in the study protocol, a minimum kVp of 80 shall be used and used consistently for all subject scans.

708

709 **3.5 Imaging Data Reconstruction and Post-Processing**710 **3.5.1 Image Data Reconstruction**

711 Reconstructed image data is the PET image exactly as produced by the reconstruction process on the PET
712 scanner, i.e., a PET image volume with no processing other than that occurring during image
713 reconstruction. This is always a stack of DICOM slices/files constituting a PET image volume that can be
714 analyzed on one or more of the following: PET scanner console, PET image display workstation, PACS
715 system, etc. See Section 4.0 for specifications.

716 The PET reconstruction parameters include the choice of reconstruction algorithm, number of iterations
717 and subsets (for iterative algorithms), the type and amount of smoothing, the field of view, and voxel size.
718 The quantitative accuracy of the PET image should be independent of the choice of CT reconstruction
719 parameters, although this has not been uniformly validated. In addition, if there is the potential for
720 artifacts in the CT image due to the choice of processing parameters (e.g., compensation for truncation of
721 the CT field of view), then these parameters should be selected appropriately to minimize propagation of
722 artifacts into the PET image through CT-based attenuation and scatter correction.

723 At the time of this profile version, most newer scanners have a z-slice thickness less than or equal to 2.5
724 mm, although several GE models have a thickness of approximately 3.27 mm and older scanners such as
725 the GE Advance and Discovery LS may have a slice thickness of up to 4.25 mm (not as recommended).

726 Greater resolution is desirable particularly for small structures and to measure local changes. Table 11
 727 lists these specifications.

728 **Table 11. Image data reconstruction specifications.**

Parameter	Entity/Actor	Specification
PET image reconstruction	Study Sponsor	The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be specified in a manner that is expected to produce comparable results regardless of the scanner make and model.
		The key PET image reconstruction parameters shall be specified according to pre-determined harmonization parameters.
PET image reconstruction	Technologist	The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be identical for a given subject across time points.
PET image reconstruction	Technologist	If available, the Point Spread Function (PSF) option can be used; the use or non-use of PSF must be consistent for a given subject across time points.
PET image reconstruction	Technologist	If available, the time of flight (TOF) option can be used; the use or non-use of TOF must be consistent for a given subject across time points.
PET Matrix/Voxel size	Technologist	The Technologist shall perform the image reconstruction such that the matrix, slice thickness, and reconstruction zoom shall yield a voxel size of ≤ 2.5 mm in the x and y dimensions and ≤ 2.5 mm in the z direction (3.27 mm in the z direction for some scanner models such as GE; older scanners limited to a thickness of 4.25 mm are not as recommended). The final size shall not be achieved by re-binning, etc., of the reconstructed images.
Correction factors	Technologist	All quantitative corrections shall be applied during the image reconstruction process. These include attenuation, scatter, random, dead-time, and efficiency normalizations. However, no partial volume correction should be performed at this stage.
Calibration factors	Scanner	All necessary calibration factors needed to output PET images in units of Bq/ml shall be automatically applied during the image reconstruction process.

729

730 As part of the image reconstruction and analysis, correction factors for known deviations from the
 731 acquisition protocol can potentially be applied. Corrections for known data entry errors and errors in

732 scanner calibration factors should be corrected prior to the generation of the reconstructed images, or
733 immediately afterwards.

734 **3.5.2 Image Data Post-processing**

735 Processed image data are images that have been transformed in some manner in order to prepare them
736 for additional operations enabling measurement of amyloid burden. Some post-processing operations are
737 typically performed by the PET technologist immediately following the scan. Additional steps may be
738 performed by a core imaging lab, or by an analysis software package accessed by the radiologist or nuclear
739 medicine physician.

740 Initial post-processing operations typically performed by the PET technologist at the imaging site include
741 binning image time frames into a pre-specified discrete frame duration and total number of frames and
742 putting the images into a spatial orientation specified by the post-processing protocol.

743 In post-processing images, only those steps specified per protocol should be performed, as each transform
744 can slightly modify the image signal, and the intent is to preserve the numerical accuracy of the true PET
745 image values. Studies including full dynamic imaging and kinetic modeling rather than evaluation of a late
746 timeframe static scan may require additional processing as specified in the individual protocol.

747 **3.5.2.1 Ensure image orientation**

748 Whether the image is being prepared for a quantitative “read” by a physician using clinical diagnostic
749 software, or for transmission to a facility for centralized image quality control, processing, and analysis, it
750 is important to ensure that the image is spatially oriented per protocol (Table 12). This step may occur
751 before or after the creation of a static image below, depending upon the actors and image transfer
752 sequence involved in the protocol.

753 **Table 12. Image orientation specifications.**

Parameter	Entity/Actor	Specification
Image orientation	Technologist	The raw image will be spatially oriented per study protocol.

754

755 **3.5.2.2 Create Static Image**

756 Depending upon the study protocol, one or more steps may be involved in the creation of the late
757 timeframe static image that is then further processed and used for measurement of the SUVR. In the
758 simplest case, the image may be acquired as a single frame (e.g., 20 minutes long), thus forming a static
759 image without the need to combine timeframes. In this case, Section 3.3.2.2.2 below is not applicable.
760 Due to the inability to correct for subject motion, this single frame approach may increase the risk of
761 variability outside of the tolerances targeted in this Profile. Alternatively, and commonly in clinical trials,
762 the output may be a set of discrete time frame images (e.g., four five-minute frames) that are then
763 combined into a single static image in subsequent steps. The alternative approach of full dynamic data
764 acquisition typically involves many (>15) frames of variable length, starting with rapid frames acquired
765 immediately at tracer injection.

766 3.5.2.2.1 Intra-scan inter-timeframe assessment and alignment

767 For a scan comprised of multiple timeframes, it is important to ensure that the frames are spatially aligned
768 so that the same brain tissue is located in the same coordinates for measurement across the frames. It is
769 preferable that this alignment be performed prior to attenuation correction (that is, as part of the steps
770 in the previous Section 3.3.2.2) in order to prevent embedded error due to misalignment between
771 emission and transmission scan (see Table 13). However, at present, because of limitations in the tools
772 provided with typical scanner workstations, inter-timeframe alignment is typically not performed during
773 image reconstruction and attenuation correction. Rather, visual checks are typically applied, and excessive
774 motion may or may not be flagged. If automated, precise tools become available in scanner workstations
775 in the future, the inter-frame alignment and static image formation described in this section may become
776 part of the image reconstruction process. Even when inter-timeframe alignment is performed prior to
777 attenuation correction or at the imaging site, it is important that the discrete binned frames prior to inter-
778 frame alignment, the transmission scan, and the alignment parameters applied, be made available for
779 quality control in later processing and analysis steps.

780 Inter-frame alignment is typically performed using automated software that employs mathematical fitting
781 algorithms to match the image from each timeframe to a reference. The reference frame may be that
782 acquired closest to the time of transmission scan (e.g., the first frame in late frame acquisition if the
783 transmission scan precedes the emission scan) or as otherwise stated per protocol. The amounts of
784 translation or linear adjustment, in each of the x, y, and z directions, and the amount of rotational
785 adjustment in each of three orthogonal directions are measured by the software. Depending upon the
786 software platform, these parameters are available for review by the image analyst or may be pre-
787 programmed to make pass/fail or other decisions. Large values (greater than 4 degree rotation or 4 mm
788 translation) indicate that subject motion is likely embedded within one or more frames introducing noise
789 (signal variability) that cannot be removed from those particular frames. In addition, unless attenuation
790 correction was performed on a frame-by-frame basis during image reconstruction, large values indicate
791 that emission-transmission scan misalignment error is also embedded in one or more frames.

792 The study protocol should define the allowable translation and rotation permitted between the reference
793 frames and other frames. Frames exceeding these limits may be removed, with the following caveats: (a)
794 removal of too many frames (e.g., more than half of the total acquisition window) may result in
795 inadequate total counts and a noisy scan; and (b) frame removal should be consistent across longitudinal
796 scans for the same subject, or slight error can be introduced. Note that particularly in certain subject
797 populations it is not uncommon to observe translational or rotational motion exceeding 2 mm or 2
798 degrees and exceeding 5 mm or 5 degrees in some scans. Typical clinical studies of MCI and AD patients
799 have had mean (standard deviation) values of 1.7 (1.1) mm for maximum translation and 1.5 (1.1) degrees
800 for maximum rotation. Motion tends to worsen with longer duration scans. The decision to extend
801 allowable motion thresholds becomes a balance between retaining subject frames and tolerating
802 increased signal variability.

803 Currently, most scanner workstations do not provide readily used automated tools for inter-frame motion
804 measurement and correction, and automated alignment to the transmission (or CT) scan prior to
805 attenuation correction. Once such tools are available, the activity of frame alignment would best be
806 performed prior to attenuation correction, to prevent embedded attenuation correction error that cannot
807 be removed through subsequent inter-frame alignment. On occasion, even with current tools, this can be
808 performed at the site. Even when realignment at the imaging site becomes feasible, the inter-frame
809 alignment parameters of the original scan acquisition should be available to the Image Analyst, as under

810 certain conditions enough within-frame motion may have occurred to merit removal of the frame
 811 regardless of inter-frame correction.

812 **Table 13. Timing assessment and alignment specifications.**

Parameter	Entity/Actor	Specification
Inter-timeframe spatial alignment	Image analyst	When a multi-frame PET scan is provided, the translational and rotational adjustment required to align the frames will be assessed prior to combining frames into a single scan.
Action based on inter-timeframe consistency check	Image analyst	If <u>inter-frame alignment has been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold of 4 mm or inter-frame rotation exceeds 4 degrees (or less if indicated by study protocol) or <u>if inter-frame alignment has not been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold of 4 mm or inter-frame rotation exceeds a recommended threshold of 4 degrees from position of the CT scan used for attenuation correction (or less if indicated by study protocol).

813

814 **3.5.2.2.2 Combine discrete timeframes**

815 Once all or a subpopulation of the appropriately aligned timeframes have been identified, a composite
 816 image is generated for further processing and analysis. For late timeframe scans, this is accomplished
 817 through averaging or summation of the timeframes into a single image volume. In full dynamic scanning,
 818 a “parametric” image can be created through a more complex procedure that involves measuring signal
 819 in amyloid “rich” (having high tracer binding) and amyloid “poor” (low tracer binding) regions, or using
 820 blood measurements if available, and solving simultaneous equations to determine voxel values. The
 821 parametric image can then be measured using the same Volume of Interest or other methods described
 822 below, with the difference that the measure becomes a Distribution Volume Ratio (DVR) rather than SUVR.
 823 See Table 14.

824 **Table 14. Combining timeframes to for a static image specifications.**

Parameter	Entity/Actor	Specification
Static Image generation	Image analyst or image processing workstation	Only timeframes identified as appropriately aligned will be included in this image generation.

825

826 3.5.3 Imaging Data Storage and Transfer

827 Discussions of archiving PET data often mention 'raw data'. This is an ambiguous term as it can refer to: **scanner**
 828 **raw data** (i.e., sinograms or list-mode) or image raw data. To avoid confusion, the term raw data should not be
 829 used without making it clear which form is under discussion.

830 **Image raw data** is the image data exactly as produced by the reconstruction process on the PET or PET/CT scanner.
 831 i.e., a stack of DICOM slices/files constituting a PET image volume with no processing other than that occurring
 832 during image reconstruction. This is typically a stack of DICOM slices/files constituting a PET image volume that can
 833 be analyzed on one or more of the following: PET scanner console, PET image display workstation, PACS system,
 834 etc. If inter-frame alignment is performed prior to attenuation correction, then "raw data" may include both the
 835 emission and transmission frames prior to any inter-frame or inter-scan alignment, the realigned frames that were
 836 used for attenuation correction, and the attenuation corrected frames.

837 **Post-processed image data** are images that have been transformed after reconstruction in some manner. This is
 838 typically a stack of DICOM slices/files constituting a PET image volume that can still be analyzed on one or more of
 839 the following: PET scanner console, PET image display workstation, PACS system, etc.

840 For archiving at the local site or imaging core lab (if relevant), the most important data are the original images, i.e.,
 841 the image raw data. In the unlikely event that the scanner raw data (which should be archived by the local site) is
 842 required for later reprocessing; this should be made clear in the protocol. Please see Table 15.

843 **Table 15. Imaging data storage and transfer specifications.**

Parameter	Entity/Actor	Specification
Data archiving: raw images	Technologist	The originally reconstructed PET images (image raw data), with attenuation correction, and CT images shall always be archived at the local site. If scanner raw data need to be archived for future reprocessing, this should be defined prospectively in the Protocol.
Data archiving: post-processed images	Image analyst	If a static image has been generated by aligning frames and summing or averaging discrete timeframes, or through other parametric image generation, the image will be archived at the site where the static image generation occurred.

844

845 3.6 Image Analysis

846 The Image Analyst, through interaction with the Workstation Analysis tools, shall be able to perform
 847 specified measurements and analyses on the images. Image Analysis has qualitative and quantitative
 848 tasks. Both tasks require high quality image submission and consistency of image interpretation.
 849 Quantitative imaging requires additional system characteristics described further in Section 3.2, Image
 850 Data Acquisition, and Section 3.6, Quality Control, of this Profile.

851 **3.6.1 Input Data**

852 The output of image Reconstruction and Post-processing (inclusive of Static Image Generation) resulting
853 in a single image volume, corrected for attenuation, scatter, randoms and radiotracer decay, is considered
854 the input for static scan Image Analysis. In the case of full dynamic imaging for kinetic analysis, the Post-
855 processing output may be a set of timeframes. The original input data (deidentified when applicable),
856 without modification, should be maintained as a separate file (or set of files), to be stored along with the
857 processed data that is ultimately used to perform measurements (See Section 3.2).

858 **3.6.2 Image Quality Control and Preparation**

859 Before Image Analysis is performed, stringent image quality control is essential to ensure that images are
860 suitable for processing and analysis. The elements of raw image quality control that should be performed
861 during performance of post-reconstruction processing are defined in Section 3.3, Image Post-Processing.
862 Elements of post-processed image quality control that should be performed by the Image Analyst or the
863 Processing Workstation software prior to further processing and analysis of the image data are listed in
864 Section 3.6, Quality Control.

865 **3.6.2.1 Correction for Partial Volume Effects (PVE)**

866 Partial Volume Effects Correction (PVEc) is not recommended as a “by default” step in this Profile due to
867 the fact that the process itself can introduce a great deal of variability, countering the tolerance goals of
868 the Profile. However, we discuss this step here, as it may be included in certain study protocols particularly
869 if methodology is systematically employed that does not increase variability.

870 As background on this topic, due to the limits of PET scanner resolution, the signal measured at the
871 borders of white and gray tissue, or tissue and cerebrospinal fluid (CSF) can contain contributions from
872 both types of tissue within the boundaries of the same voxel. In particular, some amyloid PET tracers have
873 high levels of nonspecific white matter uptake, producing high signal intensity that “spills into”
874 neighboring gray tissue measures. In addition, neurodegenerative patients may exhibit substantial,
875 progressive atrophy, increasing spill-in from CSF that can dilute increases or accentuate decreases
876 originating from the atrophic tissue elements.

877 Several different mathematical algorithms and approaches have been developed to correct or
878 compensate for PVE and tissue atrophy. However, these approaches are not necessarily sensible in the
879 setting of amyloid imaging and quantification. Simply applying correction for the loss of cerebral gray
880 matter results in upscaling of image signal intensity and is most appropriate when the tissue origin of the
881 signal is lost, resulting in the atrophy (such as loss of synaptic neuropil in [18F]2-fluoro-D-2-deoxyglucose
882 (FDG) cerebral glucose metabolism imaging). In the case of amyloid deposition in neurodegenerative
883 dementia, however, the deposits are not contained within normal cerebral gray matter elements. Amyloid
884 plaques are extracellular accumulations and are unlikely to degenerate as gray matter atrophies due to
885 losses of synapses and neurons ensues. Thus, applying gray matter atrophy-correction PVEc may
886 inappropriately “upscale” the amyloid signal from atrophic cortical regions. Usually, PVEc approaches
887 result in a new image, typically containing only gray matter, and has been shown to increase the apparent
888 amyloid in AD patients by as much as 30% to 56%. The most sensible approach to PVEc in amyloid images
889 is to apply correction for spillover from subcortical white matter into the gray matter regions, which is
890 likely to become increasingly problematic as the cortical gray matter becomes atrophic.

891 Appropriate use of PVEc can potentially help to increase sensitivity to longitudinal change, and to reduce
 892 error associated with changes in atrophy or white matter uptake. However, PVEc methods can also
 893 introduce variability, and results are highly sensitive to subjective selections of the parameters used in
 894 calculating the correction. Effects upon measurement of longitudinal change have varied from no effect
 895 to an increase in measured change. The tradeoff between benefit vs. these considerations must be
 896 considered and the decision as to whether or not to use may be study dependent. The point in the
 897 process at which PVEc is applied may vary, for example either applied to spatially normalized images or
 898 to native images, prior to or after the creation of a SUVR image.

899 **3.6.2.2 Image Smoothing**

900 Depending upon whether more than one scanner and reconstruction software combination is being used
 901 to acquire patient data, and the objective of the image analysis, it may be necessary to smooth the image.
 902 Smoothing applies a mathematical filter to the image signal at each voxel to help compensate for
 903 differences in spatial resolution that exist between different scanners. Even if the same scanner is used
 904 for each visit by a particular subject, being able to compare the SUVR value to a threshold derived using
 905 images from multiple scanners, or to other study subjects whose data is collected on other scanners,
 906 requires adjustment for scanner differences. If not reconciled, these differences can cause a few percent
 907 difference in SUVR (Joshi et al, 2009).

908 By “spreading” signal out, smoothing also helps to increase the spatial overlap of amyloid accumulation
 909 across different subjects, increasing the ability to identify group effects in voxel-based comparisons.
 910 However, smoothing also dilutes signal, particularly in small structures, and can also increase the mixing
 911 of white, gray, and CSF signal. Please see Table 16.

912 **Table 16. Image smoothing specifications.**

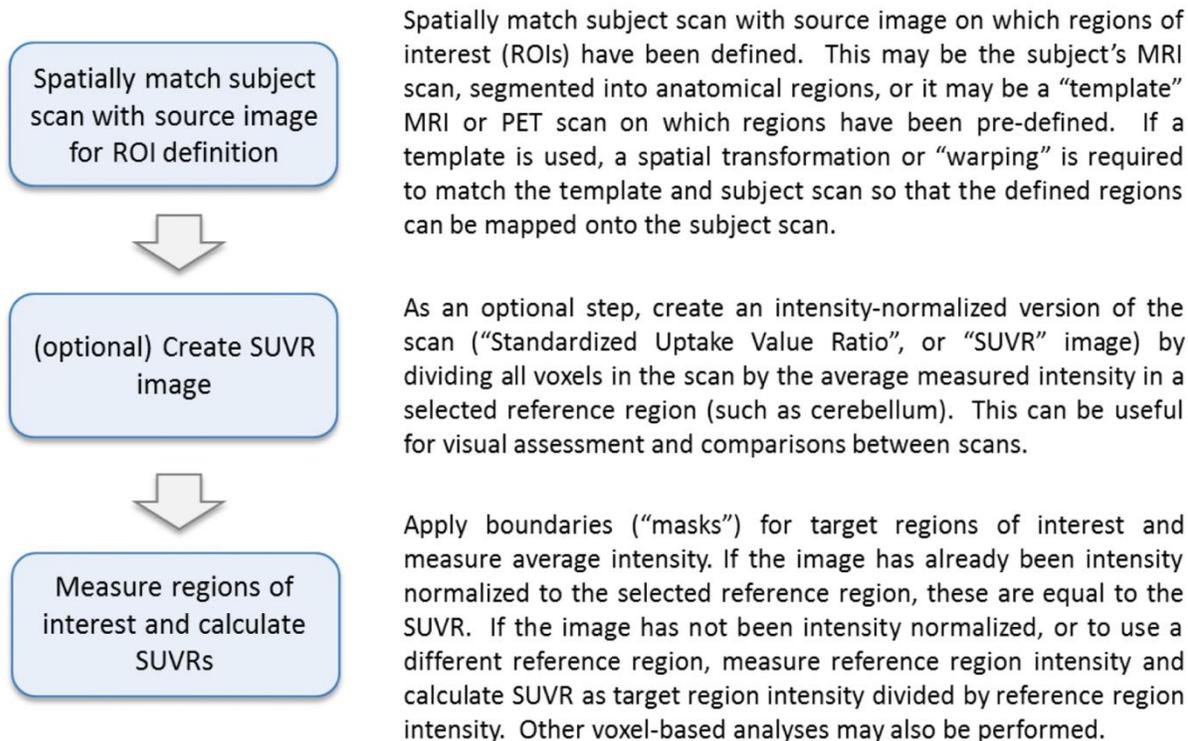
Parameter	Entity/Actor	Specification
Image smoothing	Image analyst	When combining scans from different scanners and/or reconstruction software that produce different image resolutions, filtering will be applied per protocol to produce comparable signal for the same amount of radioactivity.

913

914 **3.6.3 Methods to Be Used**

915 The methodology and sequence of tasks used to perform amyloid tracer analysis have historically varied
 916 across studies depending upon the radiotracer, image analysis workstation, software workflow and
 917 parameters determined to be of interest in the study design. Processing and analysis steps have ranged
 918 from a manual workflow to a semiautomatic workflow (which requires some user interaction with the
 919 workstation) to an automatic workflow (with little or no user interaction), with various alternatives
 920 possible at each step. An outline of the major steps typically included in the workflow is provided below
 921 in Figure 7. These steps are associated with a Standardized Uptake Value Ratio (SUVR) calculation
 922 approach using an equilibrium stage “late timeframe” image. Details, considerations impacting analysis
 923 reliability, and guidelines are then provided. Points where order of operations can vary without impacting
 924 end result, such as the option to generate an SUVR image prior to target region measurement, are noted.

925 Notes are also included regarding the alternative use of the full dynamic scan and kinetic modeling to
 926 produce measures of amyloid burden.



927

928 **Figure 7.** Typical steps in image processing and measurement for SUVR calculation

929

930 Despite variability in workflows that may be applied, several fundamental factors can impact the accuracy
 931 and reproducibility of measurement. These factors are discussed below, and guidance is provided to
 932 achieve accuracy and reproducibility.

933 3.6.3.1 Spatially Match Subject and Template

934 The fitting of Volumes of Interest (VOIs) to a scan for amyloid studies has typically been performed by
 935 automated software, reducing the subjectivity, inter-reader differences, and labor intensity of manual
 936 delineation. In order to measure pre-defined VOIs for SUVR calculation (or DVR in the case of full dynamic
 937 scanning), it is necessary to map these spatial boundaries to the subject's specific brain morphology or
 938 vice versa.

939 3.6.3.1.1 "Fuse" MRI and PET images

940 The majority of amyloid test-retest studies and most clinical trials with quantitative amyloid imaging have
 941 used the subject's MRI scan as a high resolution vehicle for the spatial mapping approaches described
 942 above. With clinical application as a consideration, processing pipelines using specific amyloid PET
 943 radiotracers have been developed to use PET-to-PET spatial transformation. An optimized PET-to-PET
 944 transformation approach has been developed for flutemetamol, and similar approaches have been
 945 developed for other tracers. In cases where an MRI is used, the subject's MRI and PET are "fused" or co-
 946 registered to one another using a linear transformation performed by automated software. While either

947 MRI or PET can serve as the target to which the other is co-registered, registering the MRI to the PET
 948 prevents interpolation of the PET image. However, preserving the resolution of the MRI image, typically
 949 higher than that of the original PET, is useful for later operations including segmentation of the MRI and
 950 transformation to template space. This can be accomplished by co-registering the PET to MRI, or by up-
 951 sampling the PET prior to co-registration of the MRI to the PET or otherwise preserving output resolution.

952 Since mapping operations performed on the MRI will be applied to its co-registered PET scan, it is critical
 953 to ensure that the PET and MRI have been properly aligned to one another. Visual inspection should be
 954 conducted with careful attention to proper left-right orientation and alignment in all three planes
 955 (transaxial, sagittal, and coronal), see Table 17; quantitative goodness of fit measures can also be applied.
 956 Successful fusion may be indirectly checked through verification of correct VOI placement and/or correct
 957 spatial normalization. However, if misalignment occurs, one must backtrack to determine where in the
 958 process this happened, and verification of each step is recommended. Automated methods to assure
 959 goodness of fit may also be employed.

960 **Table 17. Fusing MRI and PET images specifications.**

Parameter	Entity/Actor	Specification
PET and MRI image fusion	Image analyst	When coregistering a subject’s PET and MRI images, accurate alignment of the images in all planes (transaxial, coronal, sagittal) will be verified visually or using an alternate method that achieves this.

961

962 **3.6.3.1.2 Longitudinal PET co-registration**

963 For longitudinal amyloid measurement, co-registering subsequent PET scans to the baseline PET scan is
 964 recommended, as separate MRI to PET co-registrations or separate spatial warping operations (described
 965 below) may produce slightly different alignments. This can cause differences in VOI measurement, and
 966 even a few percent can be significant for longitudinal evaluation. Goodness of fit of inter-PET scan
 967 alignment should be visually verified; quantitative metrics such as correlation can also be applied.

968 Successful longitudinal co-registration may again be indirectly checked through verification of correct VOI
 969 placement and/or correct spatial normalization. In addition, if a process involving separate spatial
 970 normalization of longitudinal scans is applied and achieves comparable fit, the result would be acceptable.
 971 However, if misalignment occurs, one must backtrack to determine where in the process this happened,
 972 and therefore explicit verification of proper longitudinal coregistration is recommended (see Table 18).

973 It is noted here that some studies (unpublished, multiple groups) have shown that a superior longitudinal
 974 alignment of sequential PET scans can be achieved when co-registering the series of PET scans together
 975 rather than separately co-registering each PET to the MRI. However, it is also noted that in cases of
 976 substantial longitudinal atrophy or ventricular expansion, care must be taken in ensuring that the VOIs
 977 applied to each scan account for the actual gray tissue present in the brain.

978 In addition, it is also noted that although not ordinarily expected, it is possible for longitudinal structural
 979 changes (abnormalities) to occur that impact the ability to use a common mapping across scans. One such
 980 example is cerebellar encephalomalacia. However, such an event is not within the scope of this profile

981 version, and it is rather recommended to exclude the subject in this case or to use target and reference
982 regions that are unaffected by the abnormality.

983 **Table 18. Longitudinal PET co-registration specifications.**

Parameter	Entity/Actor	Specification
Co-registration of longitudinal scans	Image analyst	When coregistering a subject's longitudinal PET images, accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually or using an alternate method to achieve this.

984

985 3.6.3.1.3 Spatial Mapping of Subject Image and Template Image

986 The following approaches can be applied for spatial mapping:

987 (a) Spatial mapping (“warping”) of individual brain scans to a template brain having pre-defined VOI
988 boundaries. The VOIs are then measured in “template space”, with some spatial distortion to the original
989 brain tissue. The goodness of fit of subject to template depends upon multiple factors including: the
990 spatial warping algorithm applied, the parameters selected for the warping algorithm, and the template
991 selected. For example, scans acquired in an aging, atrophic population may warp in a superior manner to
992 a template that was also derived from an aging, atrophic population.

993 (b) Spatial mapping of the template brain and pre-defined VOI boundaries to the individual brain scans. In
994 this case, the VOIs are still probabilistic but are mapped to the subject's original morphology.

995 (c) Use of segmentation algorithms that identify each anatomical structure of interest within the subject's
996 native morphology using the subject's MRI (e.g., Freesurfer). The resulting segmentation (i.e., the
997 identification of various gray tissue regions) can vary depending upon several factors including: the
998 segmentation software and version applied, the operating system on which the software is run, the
999 parameters selected in the segmentation software, the MRI sequence used, and .

1000 The mapping between subject image and template image is accomplished through automated spatial
1001 normalization or warping software algorithms. When an MRI is used, the transformation is determined
1002 though a “warp” between subject MRI and template, and the same mathematical transform is applied to
1003 the coregistered PET scan (if transforming to template space) and/or to the ROIs (if transforming to the
1004 native subject scan). The accuracy of the spatial transformation depends upon the algorithm. Certain
1005 software and software versions have shown superior alignment of cerebellum, deep structures such as
1006 putamen and medial temporal regions, and ventricles as compared to older algorithms (Klein et al, 2009).
1007 In addition, the template to which images are warped can impact goodness of fit and optimization for the
1008 study population may be of use.

1009 When an MRI is not available, the subject PET scan can be transformed directly to the template PET. Since
1010 the signal within gray matter and the intensity contrast between gray and white matter in a negative
1011 amyloid scan are substantially different than those in an amyloid positive scan, images at the extremes of
1012 positive and negative may not spatially normalize well. To address this, various approaches have been
1013 developed that test the fit to a series of templates (Lundqvist et al, 2013), selecting the best fit. Other

1014 confounds in PET-based spatial normalization can occur when the amyloid PET image has high intensity
 1015 signal in portions of dura or skull or missing (truncated) tissue at the top or bottom of the brain. Various
 1016 additional steps have been employed to address these issues.

1017 Regardless of the approach used for spatial normalization, an accurate match between subject and
 1018 template is critical to amyloid measurement. Goodness of fit should be evaluated using visual inspection,
 1019 and quantitative goodness of fit algorithms can also be applied. See Table 19. As a note, ad hoc manual
 1020 (e.g., touch screen or mouse based) modification of warping results should not be used as changing the
 1021 fit for one set of slices through “eyeballing” is very likely to introduce error into other slices.

1022 **Table 19. Spatial mapping specifications.**

Parameter	Entity/Actor	Specification
Spatial mapping with template image	Image analyst	When spatially mapping a subject image and a template image to one another accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually.

1023

1024 3.6.3.2 VOI Placement: Target / Reference

1025 3.6.3.2.1 Determine Target Regions for Measurement

1026 The selection and delineation of target regions for amyloid measurement vary depending upon study
 1027 objectives and should be specified in the protocol. For clinical application, some manufacturers have
 1028 specified predefined VOIs associated with a threshold SUVR that they have correlated to autopsy data.
 1029 Some clinical trials have used a cortical average consisting of 4 to 6 regions, with individual regional
 1030 amyloid measures providing further information. When “emerging” subjects with amyloid levels nearer
 1031 to threshold are studied in clinical trials, analysis of specific sub-regions may become important.

1032 Given a specified anatomical region (e.g., frontal, or cingulate), there are several ways to define the tissue
 1033 that is included in the region, and several considerations that are not mutually exclusive, listed below.
 1034 Automation of region definition is important given the high level of subjectivity that can be associated
 1035 with manual definition.

- 1036 • *Region Boundaries:* Some approaches use the entire anatomical region, whereas others define a
 1037 sub-region empirically determined to accumulate greatest amyloid burden.
- 1038 • *Method to match the region to subject’s anatomy:* Some methods apply a standard atlas of region
 1039 definitions (pre-defined anatomical boundaries based upon reference brains) and rely upon the
 1040 transformation between the subject’s morphology and the atlas template to match the atlas
 1041 regions to the subject. These may be referred to as “probabilistic” regions. Other approaches
 1042 estimate anatomical boundaries based upon the individual subject’s MRI, incorporating atlas
 1043 reference information in a more complex way (e.g., Freesurfer).
- 1044 • *Region confinement to gray tissue:* When atlas based regions are applied, these may or may not
 1045 be thresholded (restricted) using the gray tissue segment from the subject’s MRI. This masking
 1046 can help to assure alignment between template regions and the subject’s actual morphology and
 1047 can be done using either native space images or warped images.

- *Region erosion from surrounding tissue or CSF*: VOI boundaries may be eroded (e.g., perimeter reduced by one to two voxels) away from the neighboring CSF and white tissues, in order to reduce atrophy effects and spillover from non-gray tissue types. This is most often applied to probabilistic regions that tend to be larger and incorporate tissue adjacent to gray matter.
- *“Native space” vs. “Template space”*: VOIs may be defined only in template space, for measuring the subject’s warped scan, or may be transformed to the subject’s native scan. Use of the native scan can reduce interpolation and signal changes arising from stretching or compressing subject anatomy.

Comparisons of different approaches to regional definition, including whether native vs. template scans are used, have yielded high correlation coefficients (Landau et al, 2013). However, it is important to note that measurement of different portions of tissue will give different results. It is therefore important that the same tissue definition be applied across scans and across subjects within a study (Table 20).

Table 20. Target regions specifications.

Parameter	Entity/Actor	Specification
Target Region Definition	Image Analyst	The same target region definitions (which may be transformed to each individual subject’s morphology) will be applied consistently to subjects and across a study.

3.6.3.2.2 Determine Reference Region

The definition of the reference region is one of the most critical aspects of image analysis. Reference regions are used for image comparison because raw image counts for the same subject will change from scan to scan due to injected dose, scanner calibration, or other factors unrelated to amyloid. If every region in the brain changes in the same proportion due to these factors, then such changes will cancel by taking the ratio of target region to reference region. The reference region is typically a region that does not accumulate or lose amyloid, enabling changes in target regions due to amyloid to be detected.

This Profile does not dictate a specific reference region (see Table 21) because tracer manufacturers and leading research institutions have differed and continue to evolve, on this topic. However, there is a growing body of evidence that certain reference regions exhibit less longitudinal variability. Published work also suggests that the optimal reference region may differ for some radiotracers (Villemagne, AAIC 2015). Regardless of the reference region, certain practices should be followed to minimize variability arising from the scanner and to ensure the validity of the reference measurement. Reference regions and practices to minimize variability are discussed below.

Cerebellar cortex: The cerebellar cortex (gray matter) has been a reference region of choice in numerous studies of amyloid since it typically does not accumulate fibrillar amyloid and because its gray tissue kinetics are assumed to be reasonably matched to those of gray tissue target regions. Because of its low signal and lack of binding, the cerebellar cortex provides the most sensitive reference for measuring cross sectional differences. However, due to its low signal level, small swings in value will create large swings in calculated SUVR. Further, the physical location of the cerebellum toward the edge of the scanner transaxial field of view makes it susceptible to edge noise, scatter, and tissue exclusion (particularly in scanners with a shorter axial field of view). In head rotation and in emission-transmission scan misalignment, the posterior edge of the cerebellar cortex can be particularly impacted. In addition, slight

1085 shifts in position can cause a blending of white and gray tissue that will impact the reference
1086 measurement. Further, the cerebellum is located in transaxial slices that are not in proximity to several
1087 typical target VOIs, and signal in those slices may not change in the same way due to technical factors. In
1088 longitudinal studies of florbetaben, the cerebellar cortex has been demonstrated to show stability over
1089 time (Villemagne, AACC 2015) while for others variability with regard to measured change has been shown,
1090 decreasing statistical power. Even in cross-sectional measurements, technical noise embedded in the
1091 cerebellum (or any reference region) may cause a subject whose amyloid burden is at the threshold of
1092 positivity to “tip” in one direction or another. If the reference regions does include the cerebellum, it is
1093 recommended to omit the superior portions of the cerebellum to avoid radiotracer contamination from
1094 surrounding structures such as the occipital cortex or the fusiform gyrus and to omit the lowest slices that
1095 exhibit greatest variability. These strategies have been employed in various studies (Shcherbinin et al,
1096 2016; Barrtet et al, 2016; Pontecorvo et al, 2017; Hahn et al, 2017). Alternate reference region
1097 comparisons are also recommended to ensure that noise has not driven the SUVR result.

1098 **Whole cerebellum:** Use of whole cerebellum has been specified as a reference of choice with some PET
1099 tracers (such as florbetapir) and can reduce variability arising from shifts that include more white matter
1100 (Joshi, JNM 2015), since white matter is already included. However, the same issues with spatial location,
1101 edge noise, and lower average signal still apply. It is noted that the Centiloid measurement method,
1102 discussed in further detail in section 3.6.3.4, uses the whole cerebellum in its pipeline (2015). However,
1103 the scope of that selection was for cross-sectional measurement rather than the longitudinal measure
1104 that is the subject of the first Claim of this Profile. Subsequent work by Bourgeat et al (2021) found that a
1105 composite reference including subcortical white matter has lower variance for longitudinal florbetapir
1106 imaging. Nonetheless, although the literature supporting the Claim of this Profile was achieved using
1107 white matter reference regions, the tight control of head motion, head placement, scanner uniformity
1108 may support claim achievement with whole cerebellum per the Centiloid pipeline.

1109 **Pons:** As an alternative reference, the pons has been applied in multiple studies, and found to have a
1110 slightly lower variability. Its advantages include higher signal due to white matter inclusion, and more
1111 central location in the brain at a slightly further distance from the edge of the scanner transaxial field of
1112 view. Some studies using florbetapir, flutemetamol and 11C-PIB have found that the pons exhibited lower
1113 longitudinal variability than a cerebellar reference region (Thurfjell et al, 2014; Shokouhi et al, 2016;
1114 Edison et al, 2012). However, the narrow cylindrical size and shape of the pons make it vulnerable to
1115 subject motion, and it, too, can be affected by technical variability.

1116 **Subcortical white matter:** Subcortical white matter provides another alternate reference region, with the
1117 advantages of higher signal, larger measurement volume, transaxial alignment with target regions of
1118 interest. Studies have demonstrated benefit in lower variability using subcortical white matter, and thus
1119 greater statistical power in measuring longitudinal change, relative to other reference regions (Chen et al,
1120 2015; Brendel et al, 2015; Schwarz et al, 2016; Blautzik et al, 2017). One consideration in the use of a white
1121 matter reference is that the kinetic properties of white matter differ from those of the gray tissue target
1122 regions, with unclear impact upon measurement validity. There is not yet a published full dynamic
1123 modeling study of white matter as a reference. White matter axonal integrity may decline with AD
1124 progression and age, potentially increasing advantageous cross-sectional differences between AD and
1125 Normal, and introducing possible variability over time. However, findings support the ability to detect
1126 increases in amyloid positive populations as expected and seen with gray tissue reference regions, yet
1127 with lower variability (ideally this would be compared to full kinetic modeling results to demonstrate

1128 accuracy). When white matter is used, careful definition based upon the MRI, with erosion from
1129 neighboring gray tissue, is recommended.

1130 **Composites:** Combinations of whole cerebellum, pons, and subcortical white matter, or cerebellar white
1131 matter and pons, or “amyloid poor” gray regions other than cerebellum have also been applied with
1132 reductions in longitudinal variability (for florbetapir) resulting in increased statistical power (Tryputsen et
1133 al, 2015; Landau et al, 2015). It is finally noted that regions comprised of both gray and white matter,
1134 whether whole cerebellum or composite regions, may include divergent changes over time. These may be
1135 a suitable match for probabilistic target regions that include both gray and white matter or given white
1136 matter spillover into gray tissue. However, for "pure" gray target regions, their longitudinal use may
1137 introduce some non-amyloid related variability. All of this must be weighed against other sources of
1138 variability arising from use of a pure cerebellar cortex reference due to low signal, scatter, subject motion,
1139 and differences in the axial placement from scan to scan.

1140 **“Amyloid poor” gray tissue** in the same axial plane as the target regions can provide the dual benefit of
1141 co-location, protecting against sometimes major changes arising from differences in slice sensitivity in a
1142 scanner, as well as matching of gray tissue perfusion rates. A caveat is that if these regions slowly
1143 accumulate amyloid or do have amyloid accumulation that can be removed during an anti-amyloid drug
1144 study, reference stability may be compromised.

1145 With the above caveats in mind, the use of a combined reference, subcortical white matter, or other stable
1146 “amyloid poor” regions proximal to target regions may be advised, depending on the radiotracer, for
1147 longitudinal studies and for measurement of amyloid in subjects near the threshold of positivity. A cross
1148 check across reference regions can also be used to screen for reference region reliability.

1149 **Table 21. Reference region specifications.**

Parameter	Entity/Actor	Specification
Reference Region Definition	Image Analyst	The reference region definition will conform to protocol by including the specified tissue. Quality control measures will be applied to ensure that longitudinal change is not attributable to technical noise or artifact in a particular reference region.

1150

1151 3.6.3.2.3 Apply Regions to Subject Scans for Measurement

1152 Target VOIs may be applied for measurement either to the non-intensity normalized image, or to an SUVR
1153 image that was first generated by dividing each voxel by the average value in the reference region. When
1154 placing VOIs, it is critical to ensure accurate fit, and that only appropriate tissue is included (Table 22).
1155 Potential sources of error include the following:

1156 Differences in tissue composition: Positioning of a cortical VOI toward the edge of gray matter in one scan
1157 vs. toward white matter in a second longitudinal scan will introduce measurement error due to the tissue
1158 composition and partial volume effects. In cross-sectional measurement, these differences can also be
1159 significant for subjects at threshold of positivity.

1160 Tissue truncation: If the scan does not have a complete cerebellum or other region, and the VOI samples
1161 the empty space, a large error can result depending upon proportion of missing tissue for the VOI.

1162 Differences in tissue sampled: Measuring different portions of tissue (e.g., the full region in one scan vs.
 1163 only a part of the region due to tissue truncation in the second scan) across longitudinal scans can
 1164 introduce errors of a few to several percent.

1165 **Table 22. Region placement specifications.**

Parameter	Entity/Actor	Specification
Region placement	Image Analyst	The placement of all regions of interest and reference region(s) will be verified to be on the correct tissue
Region placement	Image Analyst	All regions will be checked to ensure that boundaries do not include empty space (scan truncation). Regions will be adjusted using a consistent approach, such as automated exclusion of voxels, with a sub-threshold value, to exclude voxels where tissue is missing.
Region placement	Image Analyst	The same portion of tissue will be measured between longitudinal scans for the same subject.

1166

1167 **3.6.3.3 Determine SUVR**

1168 **3.6.3.3.1 Generate SUVR image**

1169 There are two ways to generate SUVR values. In one case, the SUVR image can be generated, and then
 1170 each target region measurement constitutes a SUVR value, as there is no need to divide by the reference
 1171 region, which is 1. In the other case, SUVR values are generated by measuring values in target regions and
 1172 dividing each by the value measured in the reference region. To generate a SUVR image, once a reference
 1173 region has been applied to the scan (i.e., the boundaries aligned with the scan), the SUVR image (or DVR
 1174 in the case of a fully dynamic scan) can optionally be generated by dividing each voxel value by the
 1175 reference region mean.

1176 This is useful for visual comparison and evaluation of images, regardless of which regions are to be
 1177 measured quantitatively. Once an SUVR image has been generated, target VOIs can also be applied and
 1178 measured without further division by a reference region value.

1179 **3.6.3.3.2 Measure Regional Values**

1180 The mean value within each VOI is calculated as the numerator for the SUVR. A cortical average may be
 1181 calculated as the average of multiple VOIs or weighted by the number of voxels in each VOI. While the
 1182 selection of which regions to include and how to combine them is dependent upon the study objectives,
 1183 minimizing variation due to numerous technical factors (including subject motion, axial variability, and
 1184 image alignment) is best achieved when using an average of multiple regions. The performance claim is
 1185 derived from published studies in which a non-weighted average of cingulate, frontal, lateral temporal,
 1186 and lateral parietal regions was applied.

1187 **3.6.3.3.3 Calculate SUVR**

1188 If a SUVR image is not being used, then the SUVR is calculated by dividing the VOI value by the reference
 1189 region value (which will be 1.0 if measured on a SUVR image). If a parametric image was generated using

1190 full dynamic scanning, or if a kinetic model is being applied to a multi-timeframe dynamic image, a DVR
1191 value is generated instead.

1192

1193 **3.6.3.4 Relating SUVR values to other studies: the Centiloid**

1194 **3.6.3.4.1 The Centiloid Method**

1195 Different protocols involve different tracers, target regions, and reference regions, and all of these
1196 contribute to how the SUVR can be interpreted with regard to amyloid burden. A value of 1.2, for example,
1197 can be amyloid positive using one tracer and/or set of regions for analysis, but amyloid negative using a
1198 different tracer and/or regions. In order to reconcile findings across data acquisition, processing, and
1199 analysis protocols, the concept of the Centiloid was developed (Klunk et al, 2015). The Centiloid is not
1200 intended to dictate the method for acquiring and processing data, but rather to provide a way to equate
1201 results obtained with a broad variety of protocol parameters. The basis for the Centiloid is a “gold
1202 standard” set of results derived from young healthy controls and elderly AD patients. These results have
1203 been generated using the radiotracer 11C-PiB and a defined set of target region, reference region, and
1204 image processing and analysis steps. A linear progression of values from 0 (no amyloid) to 100 (mean for
1205 amyloid positive sporadic AD patients) has been established using this approach.

1206 To establish the equivalent “Centiloid value” for a tracer and/or acquisition and analysis protocol that
1207 differ from the gold standard, two sets of relationships are required to be empirically derived. Using the
1208 control image set provided by the Centiloid project, it is first confirmed that by using the prescribed
1209 regions and analysis approaches, the Centiloid values can be replicated with a correlation (r^2) exceeding
1210 0.98. Secondly, using the new tracer and/or acquisition and analysis parameters, values are generated
1211 using both the “gold standard” method and 11C-PiB, and the alternate tracer and/or methods. The
1212 regression between the two sets of results yields a transform equation that can be applied to results to
1213 convert them to “Centiloid units” for comparison to other studies. If a tracer and set of approaches are
1214 being applied that for which conversion to Centiloid units has already been established, this reference
1215 transform can be directly applied to new studies using the same conversion parameters. PiB,
1216 flutemetamol, fluorbetaben and other image, SUVR and conversion data are available on the GAAIN
1217 website: <http://www.gaain.org/centiloid-project>.

1218 It is noted that while the Centiloid can be used to reconcile values across tracers and methods, its use
1219 does not change the within-method variability or error that is already present (Su et al, 2018).

1220 **3.6.3.4.2 Reference Region when using Centiloids**

1221 During the development and evaluation of the Centiloid approach, several different reference regions
1222 were compared, and the best performance was obtained using the Whole Cerebellum, which
1223 outperformed cerebellar cortex and pons (Klunk et al, 2015). The Whole Cerebellum is incorporated into
1224 the standard Centiloid pipeline. However, longitudinal evaluation was outside the scope of the original
1225 work, and left for future evaluation (Klunk et al, 2015). More recently, the standard Whole Cerebellum
1226 reference region was compared to a Subcortical White Matter and Whole Cerebellum (WM+WC)
1227 reference for potential use in Centiloid harmonization across longitudinal studies (Bourgeat et al, 2021).
1228 Based upon results, a composite reference region including subcortical white matter was recommended
1229 for Florbetapir longitudinal Centiloids. As discussed in section 3.6.3.2.2, the whole cerebellum is not
1230 excluded by this Profile but requires particular attention (as must always be paid) to subject motion, edge

1231 of scanner field of view effects, and consistent head placement within the scanner from scan to scan;
 1232 statistically, the longitudinal studies that support the claim tolerance suggested an advantage for
 1233 subcortical white matter.

1234 **3.6.3.4.3 Other Factors when using Centiloids**

1235 While beyond the scope of this profile, it is noted that Bourgeat et al (2021) also found that use of a “non-
 1236 negative factorization” approach in which SUVR images were decomposed into components used in
 1237 calculating Centiloid values improved longitudinal measurement robustness in Centiloid measurement.

1238 **3.6.4 Required Characteristics of Resulting Data**

1239 The specific trial protocol shall prospectively define the SUVR (regions to be measured, which regions are
 1240 to be included in a cortical average if applicable, and how the average is to be calculated) that is required
 1241 for the imaging endpoint. SUVR measures and the analysis tools used to obtain them, including software
 1242 version shall be specified for each protocol and shall be used consistently across all subjects and across all
 1243 sequential measurements.

1244 It should be clear which values belong to which brain region. Reports must clearly associate the region,
 1245 including any hemispheric reference, with the measured value via column headers or other information
 1246 display. Correct association of value and region should be assured via documentation that may include
 1247 audit log via software that has been validated to correctly produce this information, DICOM coordinates
 1248 captured along with the SUV, provision of the sampling “masks” or boundaries used to make the
 1249 measurements for each subject, or secondary screen captures of the ROI for identification. The volume
 1250 of each region measured, in voxels that can be translated into cc, or in cc, should also be included, along
 1251 with the minimum, maximum, and standard deviation within the region mentioned.

1252 The reference tissue (e.g., cerebellum (whole or gray), pons, subcortical white matter, combination, other)
 1253 must be reported along with the target region SUV data. Identification should be specific, indicating
 1254 whether gray, white, or both tissue types were included, and which slices were included or excluded.

1255 The analysis software should generate a report that is clear, traceable, and interpretable.

1256

1257 **3.7 Image Interpretation and Reporting**

1258 In the context of this quantitative Profile, interpretation refers to the way in which the quantitative SUVR
 1259 or DVR measurements are used, rather than to a visual interpretation of the scan. Reporting of SUVR or
 1260 DVR values is subject to the requirements of the study (see Table 23).

1261 **Table 23. Image reporting specifications.**

Parameter	Entity/Actor	Specification
Image Reporting	Image analyst	Imaging reports shall conform to the requirements of the study protocol.

1262

1263

1264 3.8 Quality Control

1265 The following section deals with multiple aspects of quality control in amyloid-PET studies. This includes
 1266 selecting and qualifying a PET/CT imaging facility, imaging personnel and PET/CT scanners and ancillary
 1267 equipment. In addition, the use of phantom imaging (prior to study initiation and ongoing) is discussed as
 1268 well as identifying subjects whose data may need to be censored due to a lack of data integrity. Finally,
 1269 post-image-acquisition quality assessment is detailed.

1270 3.8.1 Imaging Facility

1271 It is essential to implement quality processes that ensure reliable performance of the scanner and
 1272 consistent image acquisition methodology. These processes must be in place prior to subject imaging and
 1273 be followed for the duration of the trial. A facility “imaging capability assessment” is a prerequisite to
 1274 facility selection for participation in any clinical trial involving the use of amyloid-PET/CT as an imaging
 1275 biomarker. This imaging capability assessment will include:

- 1276 • Identification of appropriate imaging equipment intended for use in the trial
- 1277 • Documented performance of required quality control procedures of the scanner and ancillary
 1278 equipment (e.g., radionuclide calibrator)
- 1279 • Radiotracer quality control procedures
- 1280 • Experience of key personnel (technologists, radiologists, physicists and/or other imaging experts)
- 1281 • Procedures to ensure imaging protocol conformance during the trial

1283 3.8.1.1 Site Accreditation/Qualification Maintenance

1284 Whilst imaging facility accreditation is generally considered to be adequate for routine clinical practice
 1285 purposes (e.g., ACR, IAC, and TJC), facility qualification (e.g., EARL, SNMMI-CTN, ACRIN, and imaging core
 1286 labs) -may be required for clinical research/clinical trial participation. In order to be considered to be
 1287 conformant with this Profile, an imaging scanner/facility must provide documentation of current qualified
 1288 status. Appropriate forms, checklists or other process documents should be maintained and presented
 1289 upon request to verify that ongoing quality control procedures are being performed in a timely manner
 1290 as dictated by specific clinical study requirements. If exceptions to any of the performance standards
 1291 stated below occur and cannot be remediated on site, the site should promptly communicate the issue to
 1292 the appropriate internal overseer for advice as to how the irregularity should be managed. In addition to
 1293 documenting the level of performance required for this Profile (and the level of performance achieved),
 1294 the frequency of facility accreditation/qualification also needs to be described.

1295 It is important to note that that imaging facility Accreditation and/or Qualification, as defined in this Profile
 1296 (Table 24), are considered necessary, but are not sufficient for being conformant with this Profile. In order
 1297 to be conformant with the Profile, and thus to support the claims of the Profile, all normative
 1298 requirements must be met.

1299 **Table 24. Site accreditation/qualification specifications.**

Parameter	Entity/Actor	Specification
Accreditation / Qualification	Imaging Facility Coordinator	Shall maintain and document Accredited status for clinical practice (ACR, IAC, TJC, etc.) or Qualified status for clinical trials (e.g., ACRIN, SNMMI-CTN, EARL, iCROs, etc.).

1300

1301 **3.8.2 Imaging Facility Personnel**

1302 For each of the personnel categories described in Table 25, there should be training, credentialing,
 1303 continuing education and peer review standards defined. Guidelines for training/credentialing for each
 1304 resource category are summarized below (UPICT Protocol Section 2.1). Note that only physicians reading
 1305 the PET/CT amyloid scans need specific training and certification for PET amyloid interpretation.

1306 **Table 25. Imaging facility personnel specifications.**

Parameter	Entity/Actor	Specification
Personnel Roster	Imaging Facility Coordinator	Each site shall, at the time of trial activation and prior to subject accrual, have the support of certified technologists, physicists, and physicians (as defined below), experienced in the use of amyloid-PET/CT in the conduct of clinical trials.
Technologist	Imaging Facility Coordinator	Technologist certification shall be equivalent to the recommendations published by the representatives from the Society of Nuclear Medicine and Molecular Imaging Technologists Section (SNMMI-TS) and the American Society of Radiologic Technologists (ASRT) and should also meet all local, regional, and national regulatory requirements for the administration of ionizing radiation to patients.
Medical Physicist	Imaging Facility Coordinator	Medical physicists shall be certified in Medical Nuclear Physics or Radiological Physics by the American Board of Radiology (ABR); in Nuclear Medicine Physics by the American Board of Science in Nuclear Medicine (ABSNM); in Nuclear Medicine Physics by the Canadian College of Physicists in Medicine; or equivalent certification in other countries; or have performed at least two annual facility surveys over the last 24 months.
Physician	Imaging Facility Coordinator	Physicians overseeing PET/CT scans shall have board certification by the American Board of Nuclear Medicine (ABNM) and/or the American Board of Radiology (ABR) (Diagnostic and/or Nuclear Radiology) or equivalent within the United States or an equivalent entity appropriate for the geographic location in which the imaging study(ies) will be performed and/or interpreted. Physicians interpreting the scans should have appropriate, specific initial training in interpretation of amyloid brain PET studies (specific to the PET amyloid tracer being used) and maintain continuing proficiency as outlined by national imaging professional societies, appropriate for the geographic location in which imaging studies are performed.

1307

1308 **3.8.3 PET Scanner**

1309 **3.8.3.1 PET scanner models**

1310 Amyloid-PET studies as described in this Profile require either a PET/CT scanner or a dedicated PET scanner
 1311 with the ability to acquire a transmission image. PET/MR scanners may also be used if the repeatability
 1312 of the SUVRs from these scanners is conformant with the assumptions underlying the claims. See Table
 1313 26.

1314 Scanners used in a study should be identified based on manufacturer, name and model. Hardware
 1315 specifications should be documented. Scanner software name and version should be documented at the
 1316 time of trial initiation and at the time of any and all updates or upgrades.

1317 PET scanner technology continues to evolve and in general for a study, and where possible it is advisable
 1318 to minimize variability in scanner resolution and performance across sites. Newer scanners with greater
 1319 resolution and lower noise offer the opportunity to resolve signal in smaller structures and to minimize
 1320 spill-in to cortical regions from surrounding tissue. It is advisable to use scanners that are well supported
 1321 by the manufacturer, and likely to be in use for the duration of a clinical trial.

1322 **3.8.3.2 Use of same scanner for longitudinal scans**

1323 To achieve its longitudinal claim, this Profile requires that all scans for a given subject be imaged on the
 1324 same device over the entire course of a study. In theory, it may be feasible to use a replacement scanner
 1325 if quantitative equivalence with the replacement scanner can be clearly demonstrated. However, there
 1326 are currently no accepted criteria for demonstrating quantitative equivalence between scanners. Future
 1327 versions of this Profile may provide such criteria. It is imperative that the trial sponsor be notified of a
 1328 scanner substitution if a scanner change occurs.

1329 It is also advisable that the same scanner software be used for all longitudinal scans for a subject. In the
 1330 event that software upgrades are required, the quality control measures discussed in section 3.8.4 should
 1331 be performed before and after to assure that SUVR or other quantitative endpoints will be consistent.

1332

1333 **Table 26. PET scanner specifications.**

Parameter	Entity/Actor	Specification
Scanner hardware	Imaging Facility Coordinator	The same scanner will be used for all longitudinal scans acquired for the same subject.
Scanner operating software	Imaging Facility Coordinator	The same scanner software will be used for all longitudinal scans acquired for the same subject (or requalified if update is necessary).

1334

1335 **3.8.4 PET Scanner Quality Control**

1336 **3.8.4.1 Requirements for quality control**

1337 In order to meet profile claims, it is important that the PET scanner meets certain performance
 1338 specifications. PET scanners must undergo routine quality assurance and quality control processes

1339 (including preventive maintenance schedules) appropriate for clinical applications, as have been well
1340 established by professional and/or regulatory agencies. In order to assure adequate quantitative accuracy
1341 and precision of imaging results, several quality assurance measures require particular attention and
1342 explicit testing. These are discussed in the sections below and include: uniformity, calibration, resolution,
1343 and contrast. A baseline assessment of these scanner imaging properties is required before any subjects
1344 are scanned in the trial, after any major hardware or software modifications that could affect these
1345 properties, and at least annually in an extended study.

1346 During clinical trials, any changes to scanner equipment, either hardware or software, should be
1347 immediately reported to the trial sponsor and/or imaging CRO and may result in the need for re-
1348 qualification prior to imaging additional trial subjects.

1349 **3.8.4.2 Phantoms for quality control**

1350 **3.8.4.2.1 Phantom requirements**

1351 Some of the required tests, such as uniformity, can be performed with a uniform cylinder and appropriate
1352 measurement software. Other tests, such as contrast or spatial resolution, require phantoms and/or
1353 software methods beyond simple uniform cylinder measurements. The type of phantom(s) that can be
1354 used to test each specification are indicated for each case below. Phantoms should be adequate to model
1355 and characterize effects of attenuation correction and scatter correction.

1356 **3.8.4.2.2 Anthropomorphic phantoms**

1357 An anthropomorphic phantom with a spatial distribution similar to cortical gray/white matter, such as the
1358 Hoffman Phantom, is recommended when available for testing some of the specifications. Such a
1359 phantom is useful to simulate the human brain, amyloid uptake patterns, and the amyloid SUVR
1360 measurand. Tests (described in sections below) for which such a phantom can be used include verifying:

- 1361 • contrast
- 1362 • resolution
- 1363 • uniformity
- 1364 • scanner normalization via in-plane and axial comparisons to an analytical gold standard for that
1365 phantom over the complete field of view to be used by the amyloid measurement.

1366 Contrast ratios of amyloid tracer uptake vary between normal and abnormal subjects, and also between
1367 different amyloid tracers. However, it is recommended that the phantom be filled such that the activity
1368 concentration in the highest uptake regions be similar to the expected white matter uptake in subjects
1369 with amyloid deposition. For the Hoffman phantom, it is recommended that the activity at the start of the
1370 scan be 0.5-0.6 mCi (18.5-22.2 MBq) to obtain approximately a 15 kBq/ml activity in the gray matter
1371 regions of the phantom. For data acquisition, the Hoffman phantom should be centered in the FOV of the
1372 PET scanner and data acquired for 20 minutes. Moreover, image reconstruction methods and settings
1373 should equal those specified in the study. The post-processing and data analysis should be as similar as
1374 possible to those used with patient data. See Appendices G and H for best practices guidance for this
1375 phantom.

1376 A caveat in using the Hoffman phantom is that due to its complexity, filling artifacts (air bubbles, uneven
1377 mixing) can arise, leading to erroneous conclusions regarding uniformity.

1378 To support use of phantoms such as the Hoffman, options that might be considered on a per-protocol
1379 basis include but are not limited to:

- 1380 1. Each site uses a single phantom for the duration of the trial but not necessarily the same model of
1381 phantom used at other sites.
- 1382 2. All sites use phantoms of the same model for the duration of the trial.
- 1383 3. All sites use phantoms built to precise specifications for the duration of the trial.
- 1384 4. All sites share a single phantom for the duration of the trial.

1385 3.8.4.2.3 Alternate phantoms

1386 Phantoms such as the Hoffman are relatively expensive and therefore many or most imaging sites do not
1387 own one. Sharing a phantom may not be feasible for a clinical trial, or for clinical application that does not
1388 involve a centrally managed trial. Alternative phantom approaches are therefore listed for each of the test
1389 requirements. In addition, software developed by Lodge et al (2009) and available to SNMMI members at
1390 www.SNMMI.org/PAT allows systematic measurement of the following scanner characteristics: using a
1391 uniform cylinder:

- 1392 • contrast
- 1393 • resolution
- 1394 • uniformity
- 1395 • scanner normalization

1396 An example report produced by the software is included as Appendix J.

1397 Alternative phantoms having variable intensity regions may also be used for testing.

1398 3.8.4.2.4 Other considerations

1399 For phantom image analysis, there are many combinations of hardware and software that are used. The
1400 software alone comprises multiple layers including the operating system, several base modules for input
1401 and display, and the components that draw/calculate ROIs and calculate the SUVR. See Section 4.4 and
1402 Appendix F for information regarding analysis workstations.

1403

1404 3.8.4.3 Routine quality control schedule

1405

1406 **Table 27. Routine QC specifications.**

Parameter	Entity/Actor	Specification
Routine QA/QC Checks	Technologist	At a minimum, QA/QC procedures shall be performed daily, quarterly, and annually according to vendor recommendations. Daily QC procedures shall be performed prior to any subject scan.

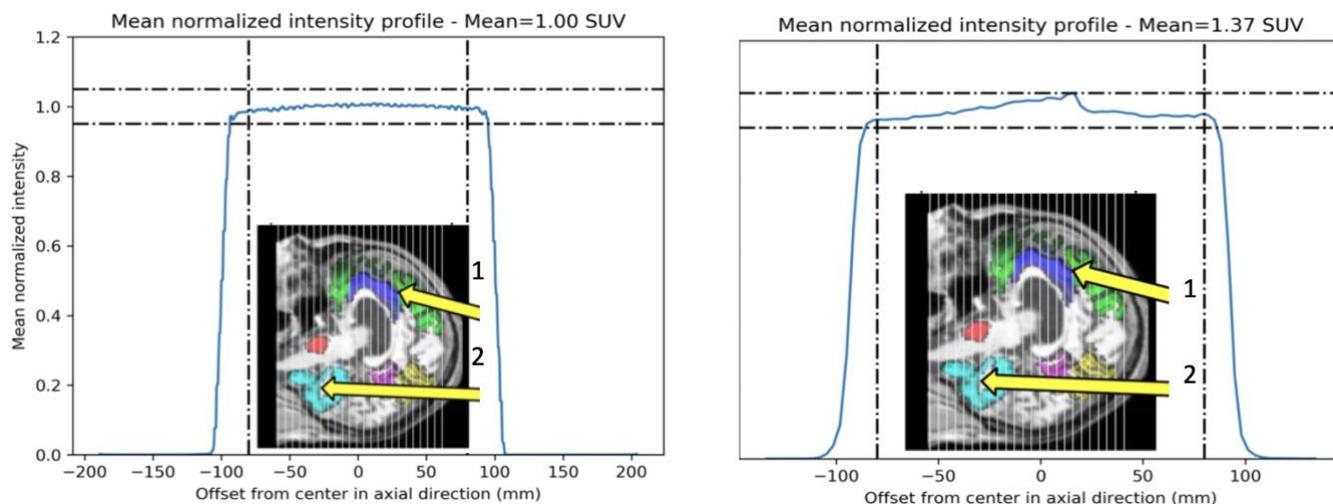
1407

1408 3.8.4.4 Uniformity and Calibration

1409 Verification of scanner normalization with a uniform phantom is a minimum requirement for all scanners
 1410 used in clinical trials including those that only have qualitative endpoints. See Tables 27 and 28.

1411 **In addition to head motion, variation in the uniformity of the PET scanner can have one of the greatest**
 1412 **adverse effects upon longitudinal amyloid measurement variability.**

1413 To illustrate this, Figure 8 shows a volumetric MRI brain positioned within the axial field of view of two
 1414 different scanners. Within the brain, an example target region and reference region are delineated. The
 1415 deviations of the actual slice-by-slice decay- and scatter-corrected values measured using a uniform
 1416 cylindrical phantom relative to the average value are plotted. These graphs were generated using software
 1417 (Lodge et al, 2009) available to members of SNMMI at www.SNMMI.org/PAT. The scanner on the left has
 1418 uniformity within 1.55% of the mean axial value, whereas the scanner on the right deviates by more than
 1419 5%. Worse cases exist in the field, and the standard allowed tolerance is 10%. This tolerance is problematic
 1420 for longitudinal amyloid measurement and can introduce error that would invalidate the longitudinal
 1421 Claim of this profile. In the case on the right, if the head is positioned differently from one scan to the
 1422 next, an automatic measurement error will be introduced into the SUVR due to the difference in slice
 1423 sensitivities. For example, target region and/or reference region values may change by several percent
 1424 simply because they are now aligned with a slice(s) whose sensitivity deviates from that of the previous
 1425 slice(s) with which the regions were aligned. If the reference region and target region are in the same axial
 1426 slices, the difference will cancel out. However, the cerebellum or pons, often used as reference regions,
 1427 do not occupy the same slices as most target regions and therefore error does not cancel out. In practice,
 1428 the head is typically at an angle within the scanner, but the same principles apply.



1429

1430 **Figure 8.** Uniformity measurement across the axial field of view, and impact on SUVR
 1431 measurement. The scanner at left has a maximum deviation from the mean value of -1.55%,
 1432 whereas the scanner on the right deviates by 5.05%. **Typical standards allow deviations of up to**
 1433 **10%, which can introduce significant error into longitudinal measurement.**

1434

1435 In addition, in both of the examples shown in Figure 8, it can be seen that toward the edges of the axial
 1436 field of view (FOV), measurement sensitivity becomes much more variable. This is particularly

1437 **problematic in scanners with short FOVs** such as the Siemens ECAT HR+. The filtering that is typically
 1438 applied to compensate for sensitivity loss at the edges actually serves to amplify noise. If the reference
 1439 tissue is at the edge of the scanner field of view additional error may be introduced that causes large
 1440 swings in measured SUVR. Longitudinal errors of up to 33% have been measured in data from ADNI 1, for
 1441 example, when using cerebellar cortex as the reference region.

1442 Selection of reference region and target region in the same axial slices can help to mitigate this potential
 1443 source of noise, as the differences cancel out. Alternatively, or in addition, positioning the subject’s head
 1444 in exactly the same location from scan to scan can help to minimize error as long as the scanner slice-by-
 1445 slice sensitivity has not changed (which may or may not be the case). Despite these mitigations, it is still
 1446 important to assure that scanner uniformity (other than at the very edge, where typically infeasible), is
 1447 within a tolerance that is +/- 3% in this Profile.

1448 Note that uniformity should also be consistent in-plane, i.e., in x and y directions. An example of poor in-
 1449 plane uniformity is shown in Appendix H, Example 5, visibly obvious using a Hoffman phantom.

1450

1451 **Table 28. Uniformity and calibration specifications.**

Parameter	Entity/Actor	Specification
Uniformity QC	Technologist	<p>At baseline and at least quarterly and following software upgrades, maintenance or repairs, and new setups, shall assess transverse and axial uniformity across image planes by imaging a uniform cylinder phantom:</p> <ol style="list-style-type: none"> 1. Visual check that no streak artifacts or axial plane non-uniformities are present. 2. The mean values of a large central 2D ROI for all image slices (resulting in a 3D VOI) shall be compared with similar previous scans to check for measurable differences. <p>Alternatively, if the Hoffman phantom or equivalent is available, in-plane and axial uniformity can also be visually assessed as shown in Appendix H.</p>
Uniformity measurement	Technologist or Medical Physicist	<p>Axial uniformity shall be measured at least monthly by placing a circular ROI that is at least 1 cm in diameter less than the active diameter of the cylinder phantom, centered on each of the axial planes. The phantom image is to be corrected for attenuation, scatter, and decay. Mean axial concentrations in ROIs in the central 80% of planes shall be within ±3% of the overall average for each qualified axial slice within sufficient distance from the axial edge of the field of view (2-4 cm as available). A method and software such as the PAT Uniformity software available from SNMMI may be used for measurement.</p>

Parameter	Entity/Actor	Specification
		Uniformity across planes against a gold standard reference can also be measured using a Hoffman phantom as described in Appendix H.
		Harmonized image reconstruction protocols are available. (i.e., known recovery coefficients versus size for a given test object such as the modified NEMA NU-2 Image Quality phantom.

1452

1453 3.8.4.5 Resolution

1454 The spatial resolution of a scanner refers to its ability to distinguish between two different point sources
 1455 in a reconstructed image, typically referred to as the full-width at half-maximum (FWHM) of a point spread
 1456 function (PSF). PET scanner hardware, reconstruction methods and reconstruction parameter selections
 1457 can result in dramatically different spatial resolutions in the reconstructed images. Because partial volume
 1458 effects (especially between gray and white matter regions) can bias many amyloid PET measurands, it is
 1459 essential to calibrate the spatial resolution of each scanner using the acquisition and reconstruction
 1460 protocol planned for patient imaging. The assessment of adequate scanner resolution should include both
 1461 a qualitative evaluation (using clinical or anthropomorphic phantom images) and quantitative assessment
 1462 (using phantom-defined criteria).

1463 For group analyses involving scans acquired from different scanners, a post-reconstruction smoothing
 1464 operation can then be applied for calculation of a measurand at a uniform spatial resolution across
 1465 scanners. Reducing variability translates into increased statistical power given a certain sample size. A
 1466 slight favorable impact of smoothing upon longitudinal variability was reported by Bourgeat et al (2021),
 1467 although this effect was not as great as reference region or other factors. For a single within-subject
 1468 evaluation where cross-scanner reconciliation is not relevant, ensuring adequate resolution may translate
 1469 to clinical impact regarding the ability to distinguish amyloid signal and to detect change. In this case,
 1470 while smoothing to adjust for small spatial differences in signal between longitudinal scans may be useful,
 1471 oversmoothing could reduce sensitivity to change. The Claim of this Profile is for a single subject and
 1472 smoothing, while recommended for group analyses, is not stated as a required activity. See Table 29.

1473

1474 **Table 29. Resolution specifications.**

Parameter	Entity/Actor	Specification
PET scanner Resolution	Nuclear Medicine Physician or Image Analyst	Shall perform and document, on at least an annual basis or during an initial site qualification process, a <u>qualitative</u> resolution QC test by using the manufacturer's settings and verifying resolution of normal gross anatomic features within either a clinical image or representative brain phantom.
PET scanner Resolution	Medical Physicist	Shall perform (during an initial site qualification process, and then at least every one year) and document

Parameter	Entity/Actor	Specification
		<p>performance of a <u>quantitative</u> assessment (using a phantom with differing size defined targets such as the Hoffman, ACR or NEMA IQ phantoms) for spatial resolution. The FWHM resolution of the scanner should be ≤ 8.0 mm with a preferable target of 4 to 5 mm.</p> <p>Measurement methods may include the following:</p> <ol style="list-style-type: none"> (1) Acquire data using the Hoffman phantom and compute the FWHM “Hoffman equivalent” [Joshi/Koeppel NeuroImage 46 (2009) 154-159] FWHM resolution, in transverse and axial directions. See appendix H for details. (2) Follow the modified procedure developed by Lodge et al. [JNM 2009; 50:1307-1314] to use a slightly tilted uniform phantom to get axial and in-plane spatial resolution. Use the software available to SNMMI members at www.SNMMI.org/PAT. (3) Use a published method as in Gong et al, [Phys Med Biol. 2016 Mar 7; 61(5): N193–N202], or Quality assurance for PET and PET/CT systems. — Vienna: International Atomic Energy Agency, 2009, ISBN 978–92–0–103609–4, or alternative reference.

1475

1476 **3.8.4.6 Noise**

1477 The PET noise shall be checked per the specifications in Table 30.

1478 **Table 30. Noise specifications.**

Parameter	Entity/Actor	Specification
Phantom tests: Frequency of noise measurements	Medical physicist	Shall perform at baseline, quarterly and after scanner upgrades, maintenance or repairs, and new setups.
Phantom test: noise measurements	Medical physicist	A uniform cylinder phantom or equivalent shall be filled with an 18-F concentration in the uniform area (approximately 0.1 to 0.2 $\mu\text{C}/\text{ml}$) and scanned using the intended acquisition protocol. Using a rectangular or spherical region as close as possible to, but no smaller than, 3 cm to a side, the COV of the voxel values within the region should be below 15%, for the slices within the central 80% of the axial FOV.

1479

1480 **3.8.4.7 Contrast**

1481 Generally, the purpose-specific phantom scans must provide a metric to characterize these imaging
1482 properties as shown in Table 31.

1483 **Table 31. Contrast specifications.**

Parameter	Entity/Actor	Specification
Phantom test: contrast measurement	Medical physicist	<p>At baseline and at least quarterly and following software upgrades, maintenance or repairs, and new setups, shall assess image contrast as follows:</p> <p>Using a phantom that contains different regions having uptake ratios between 2:1 and 4:1, measure the high to low ratio and ensure that the ratio is within the spec.</p> <ul style="list-style-type: none"> • If using ACR PET phantom, see the American Association of Physicists in Medicine (AAPM) Task Group 126 (TG-126) 2019 report on PET/CT Acceptance Testing and Quality Assurance. • If using Hoffman phantom, see Appendix H for more details on use of the Hoffman phantom, which has a 4:1 gray to white contrast ratio.

1484

1485 **3.8.4.8 Accuracy**

1486 For trials with quantitative PET measurements, assessment of scanner uniformity should also include a
1487 comparison against a radionuclide calibrator to ensure quantitative accuracy; that is, a comparison of the
1488 absolute activity measured versus the measured amount injected should be performed. A cross calibration
1489 of the PET system against the (locally) used radionuclide calibrator should be within 10% (Table 32). The
1490 QC procedures should utilize the same acquisition/reconstruction protocol, software and settings that are
1491 used for the subject scans. This comparison is particularly important after software or hardware upgrades.
1492 If the trial requires absolute quantification in baseline images or absolute changes in longitudinal studies,
1493 it should be considered to include an image quality and/or contrast recovery QC assessment as part of the
1494 routine QC procedures and/or scanner validation process.

1495 Clinical trials using only relative changes in longitudinal studies, such as for the claim in this Profile, may
1496 not require contrast recovery assessments provided there is appropriate consideration for the minimum
1497 size of target lesions based on the partial volume effect.
1498

1499 **Table 32. Accuracy specifications.**

Parameter	Entity/Actor	Specification
Phantom test: SUVR accuracy	Medical physicist	The quantitative accuracy of the scanner shall be within +/-10% of the cross-referenced radionuclide calibrator (when properly calibrated). Accuracy may be tested using the SNMMI PAT Uniformity software and a uniform cylinder. Alternatively, using a Hoffman phantom PET image or an alternate phantom measurement method that provides similar contrast intensities, perform the intended post-processing and image analysis to confirm SUVR accuracy. See Appendix H for more details on the Hoffman phantom, and Appendix F for DRO.

1500

1501 **3.8.5 Ancillary Equipment**1502 **3.8.5.1 Radionuclide Calibrator**

1503 The following guidelines are collected from ANSI standard N42.13, 2004 and IAEA Technical Report Series
1504 TRS-454. All requirements assume measurements on unit doses of amyloid tracer and that calibration
1505 sources are in the 'syringe' geometry (i.e., no bulk doses).

1506 The Constancy test ensures reproducibility of an activity measurement over a long period of time by
1507 measuring a long-lived source of known activity.

1508 The Accuracy test ensures that the activity values determined by the radionuclide calibrator are correct
1509 and traceable to national or international standards within reported uncertainties.

1510 The Linearity test confirms that, for an individual radionuclide, the same calibration setting can be applied
1511 to obtain the correct activity readout over the range of use for that radionuclide calibrator. See Table 33
1512 for more details.

1513 **Table 33. Radionuclide calibrator specifications.**

Parameter	Entity/Actor	Specification
Radionuclide Calibrator Constancy	Technologist	Shall evaluate daily (or after any radionuclide calibrator event) using a NIST-traceable (or equivalent) simulated ¹⁸ F, ¹³⁷ Cs, or ⁵⁷ Co radionuclide calibrator standard and confirmed that measured activity differs by no greater than ±2.5 % from the expected value.
Radionuclide Calibrator Accuracy	Technologist	Shall evaluate annually (or after any radionuclide calibrator event) with a NIST-traceable (or equivalent) simulated ¹⁸ F radionuclide calibrator standard (preferred although use of other long-lived NIST standards are acceptable). Shall

Parameter	Entity/Actor	Specification
		confirm that net measured activities differ no greater than $\pm 2.5\%$ from expected value.
Radionuclide Calibrator Linearity	Technologist or Radiation safety officer or Medical Physicist	Shall evaluate quarterly (or after any radionuclide calibrator event) using either 18F or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi) and the true value is determined by a linear fit (to the log data) over the same operating range. Concentric sleeve method is acceptable.
PET Radiation Dose	Technologist	Shall record the radiation dose from the administered activity and accompanying information in a DICOM Radiopharmaceutical Administration Radiation Dose Structured Report.

1514

1515 3.8.5.2 Scales and stadiometers

1516 Scales and stadiometers should be inspected and calibrated at installation and annually (Table 34).

1517 **Table 34. Scales and stadiometers specifications.**

Parameter	Entity/Actor	Specification
Scales	Technologist / Physicist / Approved personnel	Shall evaluate annually or after any repair by qualified personnel.

1518

1519 3.8.5.3 Clocks and timing devices

1520 The PET and CT scanner computers and all clocks in an imaging facility used to record activity/injection
 1521 measurements should be synchronized to standard time reference within +/-1 minute (Table 35). These
 1522 include any clocks or timekeeping systems that are connected with a subject's amyloid-PET study, in
 1523 particular those associated with the radionuclide calibrator, the injection room, the scanner, and the
 1524 acquisition computer(s). The synchronization of all clocks (to date, time of day and to time zone) should
 1525 be monitored periodically as part of ongoing QA program. In particular, clocks should be inspected
 1526 immediately after power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur). Correct
 1527 synchronization could be achieved using the Consistent Time Integration Profile as defined in the IHE IT
 1528 Infrastructure Technical Framework. The Consistent Time Profile requires the use of the Network Time
 1529 Protocol (NTP) (www.NTP.org).

1530 **Table 35. Clocks and timing devices specifications.**

Parameter	Entity/Actor	Specification
Scanner and site clocks	Technologist / Physicist / approved personnel	PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within +/-1 minute. Synchronization of all clocks used in the conduct of the amyloid-PET study shall be checked weekly and after power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur)
Scanner and site clocks	Specific Device	Provide time synchronization as per the IHE Consistent Time Integration Profile.
Dose calibrator clock	Dose Calibrator	Electronic record of output from a dose calibrator shall be synchronized with other time keeping devices.

1531

1532 **3.8.6 Quality Control of Amyloid-PET studies**1533 **3.8.6.1 Data Integrity**

1534 The integrity of DICOM image headers should be reviewed and confirmed for DICOM standard
 1535 compliance, regulatory compliance (including privacy protection, such as may be required by such rules
 1536 as the HIPAA Privacy Rule if applicable), protocol compliance, sufficiency for the intended analysis (e.g.,
 1537 to compute SUV) and consistency with source data such as CRFs.

1538 **3.8.6.2 Determination of Image Quality**

1539 CT and 68-Ge transmission images should be reviewed by the Image Analyst for assessment of image
 1540 quality and for potential artifacts such as beam hardening, metal objects, and motion. PET images should
 1541 be compared to the transmission images for proper image registration and potential attenuation
 1542 correction artifacts. Both uncorrected and attenuation corrected images may need to be assessed to
 1543 identify any artifacts caused by contrast agents, metal implants and/or subject motion. For example,
 1544 movement or mis-registration can lead to poor quality quantitative data and invalid numbers. Some
 1545 images may be too poor in quality to quantify. Statistical quality of images is important to report, but not
 1546 a full substitute for quality.

1547

1548

1549 4. Conformance Procedures

1550 Relation of this Profile to Expectations for QIBA Profile Conformance

1551 Definitions (from Appendix C):

1552 Qualified: The imaging site is formally approved by an appropriate body (i.e., ACRIN, CQIE, SNM-CTN,
1553 EANM-EARL, an imaging laboratory or CRO) for a specific clinical research study.

1554 Accredited: Approval by an independent body or group for broad clinical usage (requires ongoing QA/QC)
1555 e.g., ACR, IAC, TJC.

1556 Conformant: The imaging site and equipment meet all the requirements described herein, which are
1557 necessary to meet the QIBA Profile claim.

1558 The requirements included here are intended to establish a baseline level of capabilities. Providing higher
1559 levels of performance or advanced capabilities is both allowed and encouraged. Furthermore, the QIBA
1560 Profile is not intended to limit equipment suppliers in any way with respect to how they meet these
1561 requirements. Institutions meeting the stated criteria are considered to be QIBA Conformant.

1562 4.1 Performance Assessment: Image Acquisition Site

1563 Typically, clinical sites are selected due to their competence in neurology and access to a sufficiently large
1564 subject population under consideration. For imaging sites, it is important to have availability of:

- 1565 • Appropriate imaging equipment and quality control processes,
- 1566 • Appropriate ancillary equipment and access to radiotracer and contrast material,
- 1567 • Experienced Technologists (CT and PET trained) for the subject handling and imaging procedure,
- 1568 • Appropriately trained Radiologists/Nuclear Medicine Physicians for image analysis and diagnostic
1569 interpretation,
- 1570 • Appropriately trained image analysts, with oversight by a Radiologist or Nuclear Medicine Physician,
- 1571 • Medical Physics support to ensure appropriate scanner and equipment calibration, and to address
1572 issues relating to quantification such as attenuation maps or movement
- 1573 • Processes that assure imaging QIBA Profile-conformant image generation in appropriate time window

1574 A QA/QC program for PET scanners and ancillary devices must be in place to achieve the goals of the
1575 clinical trial. The minimum requirements are specified in Table 36. This program shall include (a) elements
1576 to verify that imaging facilities are performing imaging studies correctly and (b) elements to verify that
1577 facility's PET scanners are performing within specified calibration values. These may involve additional PET
1578 and CT phantom testing that address issues relating to both radiation dose and image quality (which may
1579 include issues relating to water calibration, uniformity, noise, spatial resolution – in the axial plane-,
1580 reconstructed slice thickness z-axis resolution, contrast scale, and others) and constancy. There is
1581 agreement that some performance testing (e.g., constancy phantom) adds value; however, acceptable
1582 performance levels, frequency of performance, triggers for action and mitigation strategies need further
1583 definition before these can be required. This phantom testing may be done in addition to the QA program
1584 defined by the device manufacturer as it evaluates performance that is specific to the goals of the clinical
1585 trial.

1586 **Table 36. Performance assessment for site specifications.**

Parameter	Entity/Actor	Specification
PET Scanner	Site	This Profile shall only address full ring PET scanners that have the capability of acquiring a transmission image for attenuation correction and have a minimum axial FOV of 15 cm for a single bed position.
CT Scanner Calibration	Technologist	Follow manufacturer's recommendations.
PET Scanner Calibration	Technologist	Shall perform daily/weekly/monthly scanner QA and vendor recommended maintenance procedures (e.g., replace weak transmission sources for dedicated PET scanner); ensure that output values are acceptable and manually enter on form/electronic database
PET Scanner Calibration Constancy Check	Technologist	Shall perform constancy (for example, a Ge-68 cylinder if applicable) scan (preferably NIST traceable or equivalent to gather information regarding uniformity as well) at least weekly and after each calibration.
Radionuclide calibrator	Technologist	Calibrated to 18F using NIST traceable source or equivalent either by site or calibrator manufacturer.

1587

1588 **4.2 Performance Assessment: PET Acquisition Device**

1589 Distinct from the performance specifications and frequency of testing described in Section 4.1, which
 1590 apply to quality control of the Acquisition Device at the imaging facility, this Section defines performance
 1591 specifications of the Acquisition Device to be met upon leaving the manufacturing facility. In order to be
 1592 in conformance with this Profile, the Acquisition Device should be held to the same standard whether a
 1593 mobile utility or a fixed installation; a mobile scanner may require additional calibration to achieve this
 1594 performance (see Table 37).

1595 The PET scanner should use DICOM attributes to follow version numbers of software for: 1 Acquisition, 2
 1596 Reconstruction, 3 Post-processing, 4 Display/ROI analysis, 5 Dynamic Analysis. Performance requirements
 1597 regarding software version identification, documentation and tracking across time are described in
 1598 Section 4.5.

1599 The PET scan acquisition start time should be used for the decay reference time and the integral model
 1600 should be used for decay correction. The scanner should perform all decay corrections (i.e., not the
 1601 operator). Image data are to be given in units Bq/ml. "Derived" images (distinct from "Original") should
 1602 be flagged following the DICOM standard and should retain the scan acquisition date and time fields.

1603 All needed information for fully corrected administered activity (e.g., residual activity, injection time,
 1604 calibration time) is required. Note that use of the term administered activity below refers to fully corrected
 1605 net radioactivity.

1606 Baseline level conformance requires that the DICOM image set from the subject’s PET scan and necessary
 1607 metadata (that is not currently captured by all PET scanner acquisition processes) is captured in trial
 1608 documentation, e.g., case report forms. The metadata is required to perform the quantitative analysis and
 1609 perform quality control on SUV covariates. This includes for example, post-injection residual activity and
 1610 subject height. This data should be captured in the 'Common Data Format Mechanism' as described in
 1611 Appendix E.

1612 The DICOM format used by the PET scanner should meet the Conformance Statement written by
 1613 manufacturer of the PET system. PET data shall be encoded in the DICOM PET or Enhanced PET Image
 1614 Storage SOP Class, and in activity-concentration units (Bq/ml) with additional parameters in public DICOM
 1615 fields to calculate SUVs (e.g., height, weight, scale factors). CT data should be encoded in CT or Enhanced
 1616 CT Image Storage SOP Class. DICOM data shall be transferred using the DICOM Part 8 network protocol or
 1617 as offline DICOM Part 10 files for media storage including CDs and DVDs. They shall be transferred without
 1618 any form of lossy compression.

1619 The meta-information is the information that is separate, or in addition to, the image values (in units of
 1620 Bq/ml) that is deemed necessary for quantitatively accurate representation of PET SUVs. The meta-
 1621 information may also include other information beyond that need for calculation of SUVs, i.e., the type
 1622 and or sequencing of therapy, the blood glucose levels, the scanner SUV stability history, etc. The actual
 1623 mechanism of capturing the information is not specified in this Profile. The intent here is to list what
 1624 information should be captured rather than the mechanism itself. The mechanism can range from paper
 1625 notes, to scanned forms or electronic data records, to direct entry from the measurement equipment into
 1626 pre-specified DICOM fields (i.e., from the PET scanner or auxiliary measurement devices such as the
 1627 radionuclide calibrator). Ideally all of the specified meta-data will be captured by direct electronic entry
 1628 to DICOM fields, after suitable modification of the DICOM format for PET imaging.

1629 In some facility workflows, the Acquisition Device may also provide workstation/analysis tool
 1630 functionality. For example, the display of an SUV statistic or display of Tracer Uptake Time may also apply
 1631 to the Acquisition Device, if used in this manner.

1632 The concept endorsed here is that the needed meta-data is identified. Through revisions of this Profile,
 1633 the DICOM standard, and technology the meta-data is inserted into the analysis stream (Figure 5) in a
 1634 more direct manner and technology and accepted standards evolve.

1635 **Table 37. Performance assessment for PET acquisition device specifications.**

Parameter	Entity/Actor	Specification
CT calibration tracking	Acquisition Device	Daily water equivalent phantom values shall be tracked in the DICOM header.
PET calibration factor	Acquisition Device	The current SUV calibration factor shall be included in the DICOM header.
PET QA status	Acquisition Device	Date/time and status of system-wide QA checks should be captured separately.
Radionuclide calibrator calibration	Acquisition Device	Calibration factor for an F-18 NIST -traceable (or equivalent) source with identifying information shall be tracked in the DICOM header with Date/Time.

Parameter	Entity/Actor	Specification
PET Scanner calibration	Acquisition Device	Shall be able to be calibrated according to the specifications in section 3.8.4
Weight	Acquisition Device	Shall be able to record patient weight in lbs or kg as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Weight field (0010,1030) in the DICOM image header, as per DICOM standard.
		Patient weight shall be specifiable with 4 significant digits. Patient weight shall be transferrable directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
BMI	Acquisition Device	Depending upon the study requirements, BMI shall be specified.
Height	Acquisition Device	Shall be able to record patient height in feet/inches or cm/m as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Size field (0010,1020) in the DICOM image header, as per DICOM standard.
		Patient height shall be specifiable with 3 significant digits. Patient height shall be transferrable directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
Administered Radionuclide	Acquisition Device	Shall be able to accept the radionuclide type (i.e., F-18) from the DICOM Modality Worklist either from the NM/PET Protocol Context, if present, or by deriving it from the Requested Procedure Code via a locally configurable tables of values. Shall be able to enter the radionuclide type (i.e., F-18) by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence (0054,0300) in the DICOM image header (e.g., (C-111A1, SRT, “ ¹⁸ Fluorine”).
		Shall be able to accept the radionuclide type (i.e., F-18) directly from the measurement device (dose calibrator) or management system, using the Sup 159 Radiopharmaceutical Administration Radiation Dose Report bypassing all operator entry, but still permitting operator correction.
Administered Radiotracer	Acquisition Device	Shall be able to record the specific radiotracer as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence field (0054,0300) in the DICOM image header, e.g., (C-B1031, SRT, “Fluorodeoxyglucose F ¹⁸ ”).

Parameter	Entity/Actor	Specification
Administered Radiotracer radioactivity	Acquisition Device	Shall be able to enter the administered radioactivity, in both MBq and mCi, as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Total Dose field (0018,1074) in the DICOM image header in Bq.
		Shall be able to record with separate entry fields on scanner interface: the pre-injection 18F-Amyloid tracer radioactivity time of measurement of pre-injection 18F-Amyloid tracer radioactivity the residual activity after injection time of measurement the residual radioactivity after injection Shall automatically calculate the administered radioactivity and store in the Radionuclide Total Dose field (0018,1074) in the DICOM image header. Alternatively, shall be able to receive this information as per DICOM Supplement 159.
		Patient Administered Radiotracer radioactivity information shall be transferred directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
Administered Radiotracer Time	Acquisition Device	Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072).
		Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078). I.e., not Radiopharmaceutical Start Time field (0018,1072). Shall be able to record the time of the stop of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Stop Date Time field (0018,1079).
Decay Correction Methodology	Acquisition Device	Encoded voxel values with Rescale Slope field (0028,1053) applied shall be decay corrected by the scanner software (not the operator) to a single reference time (regardless of bed position), which is the start time of the first acquisition, which shall be encoded in the Series Time field (0008,0031) for original images. Corrected Image field (0028,0051) shall include the value "DECY" and Decay Correction field (0054,1102) shall be "START", which

Parameter	Entity/Actor	Specification
		means that the images are decay corrected to the earliest Acquisition Time (0008, 0032).
Scanning Workflow	Acquisition Device	Shall be able to support Profile Protocol (Section 3) PET and CT order(s) of acquisition. Shall be able to pre-define and save (by imaging site) a Profile acquisition Protocol for patient acquisition.
		Shall be able to interpret previously-reconstructed patient images to regenerate acquisition protocol. Shall be configurable to store (or receive) acquisition parameters as pre-defined protocols (in a proprietary or standard format), to allow re-use of such stored protocols to meet multi-center specifications and to achieve repeatable performance across time points for the same subject.
CT Acquisition Parameters	Acquisition Device	Shall record all key acquisition parameters in the CT image header, using standard DICOM fields. Includes but not limited to: Actual Field of View, Scan Duration, Scan Plane, Total Collimation Width, Single Collimation Width, Scan Pitch, Tube Potential, Tube Current, Rotation Time, Exposure and Slice Width in the DICOM image header.
CT based attenuation correction	Acquisition Device	Shall record information in PET DICOM image header which CT images were used for corrections (attenuation, scatter, etc.).
PET-CT Alignment	Acquisition Device	Shall be able to align PET and CT images within ± 2 mm in any direction.
		Shall be able to align PET and CT images within ± 2 mm in any direction under maximum load over the co-scan length.
CT Absorbed Radiation Dose	Acquisition Device	Shall record the absorbed dose (CTDI, DLP) in a DICOM Radiation Dose Structured Report.
Activity Concentration in the Reconstructed Images	Acquisition Device	Shall be able to store and record (rescaled) image data in units of Bq/ml and use a value of BQML for Units field (0054,1001).
Tracer Uptake Time	Acquisition Device	Shall be derivable from the difference between the Radiopharmaceutical Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072) and the Series Time field (0008,0031) or earliest Acquisition Time field (0008,0032) in the series (i.e., the start of acquisition at the first bed position), which should be reported as series time field (0008,0031).

Parameter	Entity/Actor	Specification
PET Voxel size	Acquisition Device	See Section 4.3 (PET Voxel size) under the Reconstruction Software specification requirements.
CT Voxel size	Acquisition Device	Shall be no greater than the reconstructed PET voxel size. Voxels shall be square, although are not required to be isotropic in the Z (head-foot) axis. Not required to be the same as the reconstructed PET voxel size.
Subject Positioning	Acquisition Device	Shall be able to record the subject position in the Patient Orientation Code Sequence field (0054,0410) (whether prone or supine) and Patient Gantry Relationship Code field Sequence (0054,0414) (whether head or feet first).
Scanning Direction	Acquisition Device	Shall be able to record the scanning direction (craniocaudal vs. caudocranial) into an appropriate DICOM field.
Documentation of Exam Specification	Acquisition Device	Shall be able to record and define the x-y axis FOV acquired in Field of View Dimensions (0018,1149) and reconstructed in Reconstruction Diameter (0018,1100).
		Shall be able to define the extent of anatomic coverage based on distance from defined landmark site (e.g., vertex, EAM). (both the landmark location (anatomically) and the distance scanned from landmark) would require DICOM tags). Shall be able to be reportable for future scanning sessions. The Acquisition Device shall record the z-axis FOV which represents the actual distance of scan anatomic coverage (cm).
Differential Acquisition Time	Acquisition Device	Shall be able to acquire and record non uniform scan times dependent upon areas of clinical concern. Recording can be done through the use of Actual Frame Duration (0018,1242) and Frame Reference Time (0054, 1300).
Events	Acquisition Device	Shall record any events such as patient stopped scanning session or got up out of scanner during scanning session. (These events are to be recorded on the scanning session CRF at a minimum.)
DICOM Compliance	Acquisition Device	All image data and scan parameters shall be transferable using appropriate DICOM fields according to the DICOM conformance statement for the PET scanner.
DICOM Data transfer and storage format	PET Scanner or Display Workstation	PET images shall be encoded in the DICOM PET or Enhanced PET Image Storage SOP Class, using activity-concentration units (Bq/ml) with additional parameters stored in public DICOM fields to enable calculation of SUVs. PET images shall be transferred and stored without any form of lossy compression.
DICOM Editing	Acquisition Device	Shall be able to edit all fields relevant for SUV calculation before image distribution from scanner.

Parameter	Entity/Actor	Specification
		Shall provide appropriate warnings if overriding of the current values is initiated.

1636

1637 4.3 Performance Assessment: Reconstruction Software

1638 Reconstruction Software shall propagate the information collected at the prior Subject Handling and
1639 Imaging Acquisition stages and extend it with those items noted in the Reconstruction section.

1640 Data can be reconstructed including all corrections needed for quantification as well as without scatter
1641 and attenuation correction. Analytical or iterative reconstruction methods should be applied. If the system
1642 is capable of providing resolution recovery and/or time of flight, then the decision to ‘turn on’ or ‘turn off’
1643 this /these capabilities should be made prospectively, as dictated by the specific protocol, and should be
1644 consistent for a given subject across multiple time points.

1645 Standardization of reconstruction settings is necessary to obtain comparable resolution and SUV
1646 recoveries across the same subject and inter-subject across sites. See Table 38.

1647 **Table 38. Performance assessment for reconstruction software specifications.**

Parameter	Entity/Actor	Specification
Metadata	Reconstruction Software	Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Reconstruction section.
Data Corrections	Reconstruction Software	PET emission data must be able to be corrected for geometrical response and detector efficiency, system dead time, random coincidences, scatter and attenuation.
Reconstruction Methodology	Reconstruction Software	Shall be able to provide iterative and/or analytical (e.g., filtered back projection) reconstruction algorithms.
		Shall be able to indicate, for both TOF and Resolution recovery, if either is being used for purposes of image reconstruction.
Reconstruction Methodology / Output	Reconstruction Software	Shall be able to perform reconstructions with and without attenuation correction.
Data Reconstruction 2D/3D Compatibility	Reconstruction Software	Shall be able to perform reconstruction of data acquired in 3D mode using 3D image reconstruction algorithms. If 3D mode data can be re-binned into 2D mode, shall be able to perform reconstruction of data acquired in 3D mode using 2D image reconstruction algorithms.

Parameter	Entity/Actor	Specification
Quantitative calibration	Reconstruction software	Shall apply appropriate quantitative calibration factors such that all images have units of activity concentration, e.g., kBq/mL.
Voxel size	Reconstruction software	Shall allow the user to define the image voxel size by adjusting the matrix dimensions and/or diameter of the reconstruction field-of-view.
		Shall be able to reconstruct PET voxels with a size 2.5 mm or less in the transaxial directions and 2.5 mm or less in the axial dimension (as recorded in Voxel Spacing field (0028,0030) and computed from the reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices) (3.27 mm in z-direction permissible; older scanners with greater slice thickness not as recommended). Pixels shall be square, although voxels are not required to be isotropic in the z (head-foot) axis.
		Shall be able to reconstruct PET voxels with a size of 2 mm or less in all three dimensions (as recorded in Voxel Spacing field (0028,0030) and computed from the reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices). Voxels shall be isotropic.
Reconstruction parameters	Reconstruction software	Shall allow the user to control image noise and spatial resolution by adjusting reconstruction parameters, e.g., number of iterations, post-reconstruction filters.
		Shall be able to record reconstruction parameters used in image DICOM header using the Enhanced PET IOD, developed by DICOM working group.
Reconstruction protocols	Reconstruction software	Shall allow a set of reconstruction parameters to be saved and automatically applied (without manual intervention) to future studies as needed.

1648

1649 **4.4 Performance Assessment: Image Analysis Workstation**

1650 Currently, there is no commercially available tool with which image analysis workstation conformance can
 1651 be assessed. Versions of a Hoffmann brain DRO have been used by some labs to perform some of the
 1652 necessary tasks, but not all requirements, as defined in this Profile can be assessed with this/these DROs.

1653 A digital reference object (DRO) series of synthetic PET volumes derived from a single patient’s MRI scan
 1654 (also provided) shall be used to evaluate conformance of the image analysis workstation (IAW). Users
 1655 should use the DRO series (as per the DRO user's guide in Appendix F) to verify correct implementation of
 1656 VOI placement for both target and reference regions, SUVR calculations, PET alignment to standardized
 1657 atlases (when applicable), system linearity and system reproducibility (Table 39).

1658 **Table 39. Performance assessment for DRO specifications.**

Parameter	Entity/Actor	Specification
Performance Evaluation	Image Analyst & Analysis Workstation	Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.
Repeatability	Image Analysis Workstation	Shall be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.
	Image Analyst	Shall, if operator interaction is required by the Image Analysis Workstation tool to perform measurement, be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.
Linearity	Image Analysis Workstation	Shall be validated to achieve: <ul style="list-style-type: none"> • slope (\hat{A}_1) between 0.95 and 1.05 • R-squared (R^2) >0.90 See Appendix F.

1659

1660 The post-processing software, which may be integral to the scanner workstation or provide by a third-party vendor, shall have the ability to perform the operations specified in Section 3.3.2, Image Data Post-processing (Tables 40 and 41).

1663 **Table 40. Performance assessment for post-processing workstation specifications (parameter capture).**

Parameter	Entity/Actor	Specification
Metadata	Image Post-processing workstation	Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Image Analysis Workstation section.
		Shall be able to display all information that affects SUVRs either directly in calculation (e.g., region of interest intensity) or indirectly (image acquisition parameters).
Image acquisition parameters: Display	Image Post-processing workstation	Shall be capable to display or include link to display the number of minutes between injection and initiation of imaging (as per derivation guidelines described in Section 4.2), and the duration of each timeframe in cases where the image consists of multiple timeframes.

1664

1665 The Image Post-processing workstation will allow for the following operations that may or may not have been performed as part of image reconstruction.

1667 **Table 41. Performance assessment for post-processing workstation specifications (functionality).**

Parameter	Entity/Actor	Specification
Decay correction	Image Post-processing workstation	Shall allow for image decay correction if not performed during reconstruction. Shall use either the Acquisition Time field (0008,0032) or Radiopharmaceutical Start Time (0018,1072), if necessary. If a series (derived or not) is based on Acquisition Time decay correction, the earliest Acquisition Time (0008,0032) shall be used as the reference time for decay correction.
Image orientation	Image Post-processing workstation	Shall allow user to orient image per protocol in x, y, and z directions.
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall be able to automatically spatially align the different timeframes that may have been acquired
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall allow selection of an anchor frame to which other frames are aligned
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall measure and display the translational and rotational parameters necessary to align each frame to the reference frame.
Static image creation	Image Post-processing workstation	Shall allow exclusion of one or more frames from the static image that is created through frame averaging or summation
Static image creation	Image Post-processing workstation	Shall be able to sum and/or average the selected timeframes to create a static image for analysis
Smoothing	Image Post-processing workstation	Shall be able to apply a 3D smoothing filter if indicated as part of study protocol
Data storage and transfer	Image Post-processing workstation	Shall be able to store images after each major step of image manipulation (e.g., after frame summation)

1668

1669 The features required of the analysis workstation are dependent in part upon the methods chosen for
 1670 definition and application of the target and reference regions of interest to the PET scan (Table 42). Certain
 1671 additional features such as kinetic modeling for full dynamic scans, partial volume correction, and MRI
 1672 segmentation to create regions of interest may also be relevant per study protocol, but their description
 1673 is beyond the scope of this document.

1674 **Table 42. Performance assessment for image analysis workstation specifications.**

Parameter	Entity/Actor	Specification
Image Quality control: Visual inspection	Image Analysis workstation	Shall be able to display each image in a manner such that all image slices in the transaxial, sagittal, and coronal views may be examined visually.

Parameter	Entity/Actor	Specification
Spatial mapping: Image fusion (co-registration)	Image Analysis workstation	Shall be able to automatically and accurately spatially align the PET image with the subject's MRI scan in cases where this approach is implemented.
Spatial mapping: Co-registration between visits	Image Analysis workstation	Shall be able to automatically and accurately spatially align multiple PET visits to one another when this approach is implemented.
Spatial Mapping: warp to template	Image Analysis workstation	Shall be able to automatically and accurately spatially map the subject's scan and template to each other when this approach is implemented.
Target and reference region definition	Image Analysis workstation	Shall provide either the means for defining target and reference region of interest boundaries to be applied to the subject scan, or for importing pre-defined region of interest boundaries (or masks) that may have been generated using other software (such as generated through segmentation of subject's MRI or pre-defined based upon an image template and atlas).
SUVR image creation	Image Analysis workstation	Shall be able to create an SUVR image by dividing each voxel by the average value within a selected reference region, if this option is implemented.
Region placement	Image Analysis workstation	Shall be able to apply (place for measurement) pre-specified regions of interest onto the PET scan in an anatomically accurate manner.
Region placement quality control	Image Analysis workstation	Shall allow means for quality assurance that regions for measurement have been accurately placed on the PET scan (either by final region placement inspection and/or inspection and/or automatic quality measurements performed at each image manipulation step). (Accuracy is defined by alignment with the target tissue, placed on the correct region or structure without overlap into unintended CSF or white matter.)
Region of interest measurement	Image Analysis workstation	Shall be able to calculate the mean value within each region of interest, and store for SUVR calculations (if not based on an SUVR image) and/or reporting.
SUVR calculation	Image Analysis workstation	Shall be able to calculate SUVR values by dividing the mean value in a target region by the mean value in the reference region (if not based on an SUVR image).
SUVR output	Image Analysis workstation	Shall be able to store and output SUVR values for display and for transfer to a study report, to a precision as required by the study protocol.

1675

1676 4.5 Performance Assessment: Software Version Tracking

1677 Ideally, the PET scanner should be able to build a list on the console of the dates of all software versions
1678 (software changes that might impact quantitative accuracy would typically be inclusive of hardware
1679 change). Furthermore, the scanner software version should be identified and tracked across time, with
1680 updates and changes in scanner software noted during the trial. At a minimum, Software Versions should
1681 be manually recorded during the qualification along with the phantom imaging performance data and the
1682 record should be updated for every software-upgrade over the duration of the trial. This includes the
1683 flagging of the impact on quantification for now; in the future, record all software version numbers in
1684 DICOM header (Table 43).

1685 **Table 43. Software version tracking specifications.**

Parameter	Entity/Actor	Specification
Software Version tracking	Acquisition Device	Shall record the software version(s) used for acquisition and reconstruction in appropriate DICOM field(s).
Software version back-testing compatibility	Workstation	Shall provide mechanism to provide analysis of the image data using updated as well as prior (platform-specific) versions of analysis software.

1686

1687

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1942 Note that U.S. prescribing information is listed below for approved tracers. However, this profile is not
1943 limited to the U.S. and prescribing information for the relevant country should be consulted for studies
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6. Appendices

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Appendix	Topic
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B	Background Information for Claim
C	Conventions and Definitions
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E	Data Fields to be recorded in Common Data Format
F	Testing with UW-PET QIBA Amyloid Digital Reference Object (DRO)
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H	Detailed Example of Hoffman Phantom Data Analysis
I	Kinetic Modeling and Comparison to SUVR
J	SNMMI PAT Uniformity Test Report Example
K	Conformance Checklists

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1982

1983 **6.1 Appendix A: Acknowledgements and Attributions**

1984 This document is proffered by the Radiological Society of North America (RSNA) Quantitative Imaging
 1985 Biomarker Alliance (QIBA) Nuclear Medicine Coordinating Committee. The Amyloid PET Biomarker
 1986 Committee, a subcommittee of the Nuclear Medicine Coordinating Committee, is composed of physicians,
 1987 scientists, engineers and statisticians representing the imaging device manufacturers, image analysis
 1988 software developers, image analysis facilities and laboratories, biopharmaceutical companies, academic
 1989 institutions, government research organizations, professional societies, and regulatory agencies, among
 1990 others. A more detailed description of the QIBA Amyloid-PET Biomarker Committee and its work can be
 1991 found at the following web link: http://qibawiki.rsna.org/index.php/PET_Amyloid_Biomarker_Ctte

1992 The Amyloid PET Biomarker Committee members (*in alphabetical order*):

1993

QIBA NM PET Amyloid Biomarker Committee Profile Co-Authors:	
Tammie Benzinger, MD, PhD	Washington University School of Medicine
Ronald Boellaard, PhD	University of Groning�en (the Netherlands)
Norman L. Foster, MD	University of Utah
Paul E. Kinahan, PhD	University of Washington
Gregory Klein, PhD	F. Hoffmann - La Roche Ltd.
Adriaan A. Lammertsma, PhD	VU University Medical Center
Dawn C. Matthews, MS, MBA	ADM Diagnostics, Inc.
Satoshi Minoshima, MD, PhD	University of Utah
Nancy Obuchowski, PhD	Cleveland Clinic Foundation
Eric S. Perlman, MD	Perlman Advisory Group, LLC
Anne M. Smith, PhD	Siemens Healthineers
Rathan Subramaniam, MD, PhD, MPH	UT Southwestern Medical Center
John J. Sunderland, PhD	University of Iowa
Jean-Luc Vanderheyden, PhD	JLVMI Consulting LLC
Dean Wong, MD, PhD	Mallinckrodt Institute of Radiology, Washington University
QIBA NM PET Amyloid Biomarker Committee Profile Contributors:	
Keith Allberg	RadQual, LLC
Matjaz Baraga, MD	University Medical Centre Ljubljana
Parviz Behfarin, MD	Plainview Hospital
Orest B. Boyko, MD, PhD	University of Southern California
Andrew J. Buckler, MS	Elucid Bioimaging Inc.
Christopher Buckley, PhD	GE Healthcare
Santiago (Santi) Bullich, PhD	Piramal Imaging (Germany)
Hyo-Min Cho, PhD	Korea Research Institute of Standards and Science
Patricia E. Cole, PhD, MD	Takeda Pharmaceuticals

QIBA Amyloid PET Profile

José Luis Criaes Cortés, MD	Universidad Anáhuac
Susan M. De Santi, PhD	Piramal
Michael D. Devous, Sr, PhD	Avid Radiopharmaceuticals
Volker Dicken, PhD	Fraunhofer MEVIS (Germany)
Alexander Drzezga, MD	University Hospital Cologne
Edward A. Eikman, MD	Moffitt Cancer Center
Rachid Fahmi, MSc, PhD	Siemens Medical Solutions USA, Inc.
Clara Ferreira, PhD	GE Healthcare
Andrea Ferrero, PhD	Mayo Clinic
P. Thomas Fletcher, PhD	University of Utah, Scientific Computing & Imaging Institute
Anthony Fotenos, MD, PhD (MSTP)	Division of Medical Imaging Products at CDER/FDA
Amy Fowler, MD, PhD	University of Wisconsin, School of Medicine & Public Health
Kirk Frey, MD, PhD	University of Michigan
Jerry Froelich MD	University of Minnesota
Constantine Gatsonis, PhD	Brown University
Alexander Guimaraes, MD, PhD	Oregon Health & Science University
Anurag Gupta, PhD	CALYX
Albert Guvenis, PhD	Institute for Biomedical Engineering, Bogazici University
Jun Hatazawa, MD	Osaka University, Dept. of Nuclear Medicine and Tracer Kinetics
John M. Hoffman, MD	University of Utah
Makoto Hosono, MD, PhD	Kinki University
Masanobu Ibaraki, PhD	Akita Prefectural Hospital Organization,
Hidehiro Iida, DSc, PhD	National Cerebral & Cardiovascular Center (Osaka, Japan)
Edward F. Jackson, PhD	University of Wisconsin, School of Medicine & Public Health
Abhinay D. Joshi, MS	Avid Radiopharmaceuticals / Eli Lilly
Tomohiro Kaneta, MD, PhD	Yokohama City University Graduate School of Medicine
Vasileios K. Katsaros, MD, PhD	University of Athens (Greece)
Tatsuaki Kobayashi, MS	Visionary Imaging Services, Inc.
Robert Koeppel, PhD	University of Michigan
Eun-jung Kong, MD	Yeungnam University Medical Center (Korea)
Arden J. Kwan, MBBS	The Permanente Medical Group (TPMG)
Ben Kwan, MD	Western University, Ontario
Martin A. Lodge, PhD	Johns Hopkins University School of Medicine
Lawrence (Larry) R. MacDonald, PhD	University of Washington
Nobutoku Motomura, PhD	Canon Medical Systems
P. David Mozley, MD	Radiopharm Theranostics, Inc.
Mahoto Mugita, BS	Micron, Inc.
Aaron S. Nelson, MD	MIMvista Corp.
Dennis Nelson, PhD	MIMvista Corp.

Yoshihiro Nishiyama, MD	Kagawa University, Faculty of Medicine Dept. of Radiology
Amy Perkins, PhD	Philips
Cornelia B. Reininger, MD, PhD	Navidea Biopharmaceuticals
Haris Sair, MD	Johns Hopkins University
R. Chandrasiri Samaratunga, PhD	University of Cincinnati
Sandra Sanabria, PhD	Genentech
Ramkumar Saptharishi, PhD	Philips
Annette Schmid, PhD	Takeda Pharmaceuticals
Mark E. Schmidt, MD	Janssen Research and Development (Belgium)
Sara Sheikhabaei, PhD	Johns Hopkins University School of Medicine
Satinder P. Singh, MD	University of Alabama at Birmingham
Charles Smith, MSCS	Numa Inc.
Lilja B. Solnes, MD	University of Maryland
Rohit Sood, MD, PhD	CALYX
Daniel C. Sullivan, MD	Duke University
Na Sun, PhD	Yokohama City University Graduate School of Medicine
John J. Sunderland, PhD	University of Iowa
Mitsuaki Tatsumi, MD	Osaka University
Huseyin G. Toré	University of Minnesota
Benjamin M.W. Tsui, PhD	Johns Hopkins University School of Medicine
Lauren Uzdienski, BFA	Technical Writer
Ronald Van Heertum, MD	BioClinica, Inc.
Richard L. Wahl, MD, FACR	Mallinckrodt Institute of Radiology, Washington University
Angela Y. Wang, PhD	The University of Utah
Wolfgang Weber, MD	Memorial Sloan-Kettering Cancer Center
Shuji Yamamoto, PhD	National Cancer Center (Japan)
Gudrun Zahlmann, PhD	Independent Consultant
Brian E. Zimmerman, PhD	National Institute of Standards and Technology (NIST)

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 1996 America.
 1997

6.2 Appendix B: Background Information for Claim

A meta-analysis of published data was performed to determine the repeatability of amyloid PET imaging with ¹⁸Fluorine labeled radiotracers. Two types of repeatability studies were considered. The first of these restricted the test-retest period to less than 60 days, over which factors such as longer term scanner drift or appreciable amyloid accumulation would not occur. These studies provided the basis of the wCV value used in the technical performance Claim. The second set of studies compared baseline values to those acquired after a two year period, a typical clinical trial duration. Since amyloid accumulation is unlikely to occur in a majority (though not all) of amyloid negative cognitively normal subjects, longitudinal values in this group were examined. These studies were not used to determine the wCV but did provide a practical indicator of longer term technical variance given a population presumed to be fairly stable with regard to amyloid pathology.

Test-Retest studies: Test-retest amyloid PET studies were identified for the tracers florbetapir (Joshi et al, 2012, scans within 4 weeks) and flutemetamol (Vandenberghe et al, 2010, scans 7 to 13 days apart). Other available studies with images acquired during this time period were excluded for reasons including: a) use of ¹¹C-PIB and a 60 to 90 minute timeframe at the end of a full dynamic scanning session where greater technical variability is observed; this can be due to subject motion and also to low signal whereby decay correction amplifies the noise contribution; and b) intentional varying of administered radioactivity during the study to test the impact of that parameter. The study by Joshi et al acquired florbetapir PET images in 10 AD patients and 10 healthy controls (HC) over a time window of 50 to 70 minutes post injection and used whole cerebellum as the reference region. Mean Repeatability Coefficient (RC) and 95% confidence intervals (CI) were 5.38% (3.76% to 9.44%) for AD subjects and 3.32% (2.32% to 5.84%) for HC. Values for wCV were 1.94% and 1.20% respectively. The study by Vandenberghe et al acquired flutemetamol PET images in 5 AD patients over a time period of 85 to 115 minutes post injection and used cerebellar cortex as the reference region. Mean Repeatability Coefficient (RC) was 3.18% with a 95% CI of 1.99% to 7.81%. The value for wCV was 1.15%. The greatest (“worst”) value of 1.94% from these studies was applied to the Claim. As noted in the Claim Considerations, the number of short term test-retest studies was a limitation, and for this reason and for practical context, this value was also compared to the wCVs calculated for the longer term studies described below.

Longer term longitudinal variability: Several studies have examined the effects of applying different reference regions or other parameters to amyloid SUVR data acquired over one or two years. Two studies were identified that measured amyloid SUVR in florbetapir PET scans acquired in subjects from the Alzheimer’s Disease Neuroimaging Initiative (ADNI) at baseline and after 2 years. This period is representative of a clinical trial duration. Table 44 below shows the RC means and 95% CI for these studies, using different reference regions. The mean RC in four of the five cases ranged from 3.45% to 4.45%, within the range of 3.18% to 5.38% of the short term test-retest studies described above (Joshi, Vandenberghe). In the Brendel analyses, SUVRs measured using the same subjects but two different reference regions resulted in an RC% of 9.37% that was more than 2x larger when using a whole (full) cerebellum reference as that using white matter as a reference. This was also double the RC% measured by Chen using a different subset of ADNI scans across three different reference regions: pons, cerebellar cortex, and subcortical white matter. These comparisons suggest the following: 1) even over a longitudinal period of 2 years, it is feasible to achieve the wCV identified through the short term test retest studies above; and 2) choice of reference region coupled with analysis methods can materially impact the RC% and wCV, using the same subject scans.

2042 **Table 44. Effect of applying different reference regions to data acquired over 2 years.**

Author	Chen et al 2015	Chen et al 2015	Chen et al 2015	Brendel et al 2015	Brendel et al 2015
Population	CN	CN	CN	CN	CN
Number of subjects	88	88	88	62	62
Amyloid status	Negative	Negative	Negative	Negative	Negative
Time between scans	2 years	2 years	2 years	2 years	2 years
Reference Region	Pons	Cerebellum	White	Full cerebellum	White
RC%	3.45%	4.45%	4.28%	9.37%	3.81%
95% CI - lower	3.01%	3.87%	3.73%	7.97%	3.24%
95% CI - upper	4.05%	5.21%	5.02%	11.36%	4.61%

2043 CN = cognitively normal

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6.3 Appendix C: Conventions and Definitions

6.3.1 Convention Used to Represent Profile requirements

Requirements for adhering to this Profile are presented in tables/boxes as shown in the example Table 45 below. Shaded boxes are intended future requirements and are not at this time required for adhering to the Profile.

Table 45. Illustrative example.

Parameter Entity/Actor Normative text: Clear boxes are current requirements

Shaded boxes are intended for future requirements

Phantom tests: transaxial uniformity measurement	Imaging Site	Using ACR, uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.9 to 1.1.
		Using ACR or uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.95 to 1.05.

Items within tables are normative (i.e., required to be conformant with the QIBA Profile). The intent of the normative text is to be prescriptive and detailed to facilitate implementation. In general, the intent is to specify the final state or output, and not how that is to be achieved.

All other text outside of these tables is considered informative only.

6.3.2 Definitions

Please see Table 46 for a list of definitions used in this Profile

Table 46. Acronyms and definitions.

3D	Three-dimensional
11C	Carbon-11, an isotope of carbon
18F	Flourine-18, an isotope of fluorine
AB	Amyloid-B
AC	Attenuation Correction. Attenuation is an effect that occurs when photons emitted by the radiotracer inside the body are absorbed by intervening tissue. The result is that structures deep in the body are reconstructed as having falsely low (or even negative) tracer uptake. Contemporary PET/CT scanners estimate attenuation using integrated x-ray CT equipment. While attenuation-corrected images are generally faithful representations of radiotracer distribution, the correction process is itself susceptible to significant artifacts.
Accreditation	Approval by an independent body or group for broad clinical usage (requires ongoing QA/QC) e.g., ACR, IAC, TJC.
AD	Alzheimer's Disease

ALARA	As Low As Reasonably Achievable
BBB	Blood Brain Barrier
BP _{ND}	Binding Potential. BP _{ND} is the ratio of the density of available receptors to the affinity of the tracer for the receptor, corrected for the free fraction of ligand in the non-displaceable compartment.
CLIA	Clinical Laboratory Improvement Amendments: Accreditation system for establishing quality standards for laboratory testing.
Co-57	Cobalt-57, an isotope of cobalt
Conformance	Meeting the list of requirements described in this document, which are necessary to meet the measurement claims for this QIBA Profile.
CRF	Case Report Form (CRF) is a paper or electronic questionnaire specifically used in clinical trial research. The CRF is used by the sponsor of the clinical trial (or designated CRO etc.) to collect data from each participating site. All data on each patient participating in a clinical trial are held and/or documented in the CRF, including adverse events.
CRO	Contract Research Organization. A commercial or not-for-profit organization designated to perform a centralized and standardized collection, analysis, and/or review of the data generated during a clinical trial. Additional activities which may be performed by an imaging core lab include training and qualification of imaging centers for the specific imaging required in a clinical trial, development of imaging acquisition manuals, development of independent imaging review charters, centralized collection and archiving of images received from study sites, performing pre-specified quality control checks/tests on incoming images and development and implementation of quality assurance processes and procedures to ensure that images submitted are in accord with imaging time points specified in the study protocol and consistent with the quality required to allow the protocol-specified analysis /assessments
Cs-137	Cesium-137, an isotope of Cesium
CSF	Cerebrospinal fluid
CT	X-ray computed tomography (CT) is a medical imaging technique that utilizes X-rays to produce tomographic images of the relative x-ray absorption, which is closely linked to tissue density.
CTDI	Computed tomography dose index
DICOM	Digital Imaging and Communications in Medicine (DICOM) is a set of standards for medical images and related information. It defines formats for medical images that can be exchanged in a manner that preserves the data and quality necessary for clinical use.
DLP	Dose length product
Dose	Can refer to either radiation dose or as a jargon term for 'total radioactivity'. For example, 10 mCi of 18F-FDG is often referred to as a 10 mCi dose.
DRO	Digital Reference Object
DVR	Distribution Volume Ratio

FDG	Fluorodeoxyglucose
FWHM	Full width at half maximum
HIPAA	Health Insurance Portability and Accountability Act
IAC	The Intersocietal Accreditation Commission (IAC) provides accreditation programs for Vascular Testing, Echocardiography, Nuclear/PET, MRI, CT/Dental, Carotid Stenting and Vein Center.
IAEA	International Atomic Energy Agency
IOD	Information Object Definition
kBq	Kilobecquerel
kVp	Peak kilovoltage
LBM	Lean Body Mass is calculated by subtracting body fat weight from total body weight. The Lean body mass (LBM) has been described as an index superior to total body weight for prescribing proper levels of medications and for assessing metabolic disorders.
mAs	Milliampere-seconds
MBq	Megabecquerel. An SI-derived unit of radioactivity defined as 1.0×10^6 decays per second.
MCI	Mild Cognitive Impairment
mCi	millicuries. A non-SI unit of radioactivity, defined as $1 \text{ mCi} = 3.7 \times 10^7$ decays per second. Clinical FDG-PET studies inject (typically) 5 to 15 mCi of ^{18}F -FDG.
mpi	minutes post injection
MRI	Magnetic Resonance Imaging
NA	North America
NTP	Network Time Protocol
PACS	Picture archiving and communication system
PiB	Pittsburgh compound B, a radioactive analog of thioflavin T.
PET	Positron emission tomography (PET) is a tomographic imaging technique that produces an image of the in vivo distribution of a radiotracer, typically FDG.
PET/CT	Positron emission tomography / computed tomography (PET/CT) is a medical imaging system that combines in a single gantry system both Positron Emission Tomography (PET) and an x-ray Computed Tomography (CT) scanners, so that images acquired from both devices can be taken nearly-simultaneously.
PSF	Point Spread Function
PVEc	Partial Volume Effects Correction
QA	Quality Assurance. Proactive definition of the process or procedures for task performance. The maintenance of a desired level of quality in a service or product, esp. by means of attention to every stage of the process of delivery or production.

QC	Quality Control. Specific tests performed to ensure target requirements of a QA program are met. Typically, this is done by testing a sample of the output against the specification.
QIBA	Quantitative Imaging Biomarkers Alliance. The Quantitative Imaging Biomarkers Alliance (QIBA) was organized by RSNA in 2007 to unite researchers, healthcare professionals and industry stakeholders in the advancement of quantitative imaging and the use of biomarkers in clinical trials and practice.
Qualification	Approved by an independent body or group for either general participation in clinical research (ACRIN-CQIE, SNM-CTN others) or for a specific clinical trial (requires ongoing QA/QC). This includes CROs, ACRIN, SNM-CTN, CALGB and other core laboratories.
ROI	Region of interest. A region in an image that is specified in some manner, typically with user-controlled graphical elements that can be either 2D areas or 3D volumes. These elements include, but not limited to, ellipses, ellipsoids, rectangles, rectangular volumes, circles, cylinders, polygons, and free-form shapes. An ROI can also be defined by a segmentation algorithm that operates on the image. Segmentation algorithms include, but are not limited to, fixed-value thresholding, fixed-percentage thresholding, gradient edge detection, and Bayesian methods. With the definition of an ROI, metrics are then calculated for the portion of the image within the ROI. These metrics can include, but are not limited to, mean, maximum, standard deviation, and volume or area. Note that the term ROI can refer to a 2D area on a single image slice or a 3D volume. In some cases, the term ROI is used to refer to 2D area and the term volume of interest (VOI) is used to refer to a 3D volume. In this Profile, the term ROI is used to refer to both 2D areas and 3D volumes as needed.
SUV	Standardized Uptake Value. A measure of relative radiotracer uptake within the body. Typically defined for a time point t as
SUV _{max}	The maximum SUV within the ROI.
SUV _{mean}	The average SUV within the ROI.
SUV _{peak}	The average SUV within a fixed-sized ROI, typically a 1 cm diameter sphere. The spheres location is adjusted such that the average SUV is maximized.
Tc-99m	Technetium-99m, an isotope of technetium
TOF	Time of Flight (TOF) is a PET imaging technique utilizing differential annihilation photon travel times to more accurately localize the in vivo distribution of a radiotracer.
USP	United States Pharmacopeial Convention establishes written and physical (reference) standards for medicines, food ingredients, dietary supplement products and ingredients in the U.S.
VOI	Volume of Interest

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Organizations

AAPM	The American Association of Physicists in Medicine is a member society concerned with the topics of medical physics, radiation oncology, imaging physics. The AAPM is a scientific, educational, and professional organization of 8156 medical physicists.
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ABNM	American Board of Nuclear Medicine
ABR	The American Board of Radiology
ABSNM	Nuclear Medicine Physics by the American Board of Science in Nuclear Medicine
ACR	The 36,000 members of include radiologists, radiation oncologists, medical physicists, interventional radiologists, nuclear medicine physicians and allied health professionals.
ACRIN	The American College of Radiology Imaging Network (ACRIN) is a program of the American College of Radiology and a National Cancer Institute cooperative group. Focused on cancer-related research in clinical trials.
ANSI	American National Standards Institute
CQIE	The Centers of Quantitative Imaging Excellence (CQIE) program was developed by ACRIN in response to a solicitation for proposals issued in December 2009 by SAIC-Frederick on behalf of the National Cancer Institute (NCI). The primary objective of the CQIE Program is to establish a resource of 'trial ready' sites within the NCI Cancer Centers Program that are capable of conducting clinical trials in which there is an integral molecular and/or functional advanced imaging endpoint.
CRO	Contract Research Organization. A commercial or not-for-profit organization designated to perform a centralized and standardized collection, analysis, and/or review of the data generated during a clinical trial. Additional activities which may be performed by an imaging core lab include training and qualification of imaging centers for the specific imaging required in a clinical trial, development of imaging acquisition manuals, development of independent imaging review charters, centralized collection and archiving of images received from study sites, performing pre-specified quality control checks/tests on incoming images and development and implementation of quality assurance processes and procedures to ensure that images submitted are in accord with imaging time points specified in the study protocol and consistent with the quality required to allow the protocol-specified analysis /assessments
CTN	The Clinical Trials Network (CTN) was formed by SNMMI in 2008 to facilitate the effective use of molecular imaging biomarkers in clinical trials.
EANM	The European Association of Nuclear Medicine (EANM) constitutes the European umbrella organization of nuclear medicine in Europe
EARL	EANM Research Ltd (EARL) was formed by EANM in 2006 to promote multicenter nuclear medicine and research.
ECOG-ACRIN	A National Cancer Institute cooperative group formed from the 2012 merger of the Eastern Cooperative Oncology Group (ECOG) and the American College of Radiology Imaging Network (ACRIN).
EMA	European Medicines Agency is a European Union agency for the evaluation of medicinal products. Roughly parallel to the U.S. Food and Drug Administration (FDA), but without FDA-style centralization.
EU	European Union

FDA	Food and Drug Administration is responsible for protecting and promoting public health in the U.S. through the regulation and supervision of food safety, tobacco products, dietary supplements, prescription and over-the-counter pharmaceutical medications, vaccines, biopharmaceuticals, blood transfusions, medical devices, electromagnetic radiation emitting devices, and veterinary products.
HIPAA	Health Insurance Portability and Accountability Act
IAC	The Intersocietal Accreditation Commission (IAC) provides accreditation programs for Vascular Testing, Echocardiography, Nuclear/PET, MRI, CT/Dental, Carotid Stenting and Vein Center.
IAEA	International Atomic Energy Agency
MITA	The Medical Imaging & Technology Alliance is a division NEMA that develops and promotes standards for medical imaging and radiation therapy equipment. These standards are voluntary guidelines that establish commonly accepted methods of design, production, testing and communication for imaging and cancer treatment products.
NEMA	National Electrical Manufacturers Association is a forum for the development of technical standards by electrical equipment manufacturers.
NIST	National Institute of Standards and Technology is a measurement standards laboratory which is a non-regulatory agency of the United States Department of Commerce.
QIBA	Quantitative Imaging Biomarkers Alliance. The Quantitative Imaging Biomarkers Alliance (QIBA) was organized by RSNA in 2007 to unite researchers, healthcare professionals and industry stakeholders in the advancement of quantitative imaging and the use of biomarkers in clinical trials and practice.
RSNA	Radiological Society of North America (RSNA). A professional medical imaging society with more than 47,000 members, including radiologists, radiation oncologists, medical physicists and allied scientists. The RSNA hosts the world's largest annual medical meeting.
SNMMI	Society of Nuclear Medicine and Molecular Imaging (formerly called the Society of Nuclear Medicine (SNM)). A nonprofit scientific and professional organization that promotes the science, technology and practical application of nuclear medicine and molecular imaging. SNMMI represents 18,000 nuclear and molecular imaging professionals worldwide. Members include physicians, technologists, physicists, pharmacists, scientists, laboratory professionals and more
TJC	The Joint Commission (TJC) accredits and certifies health care organizations and programs in the United States.
UPICT	Uniform Protocols for Imaging in Clinical Trials (UPICT). An RSNA-QIBA initiative that seeks to provide a library of annotated protocols that support clinical trials within institutions, cooperative groups, and trials consortia. The UPICT protocols are based on consensus standards that meet a minimum set of criteria to ensure imaging data quality.

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2068 **6.4 Appendix D: Model-specific Instructions and Parameters**

2069 The presence of specific product models/versions in the following tables should not be taken to imply that
2070 those products are fully in conformance with the QIBA Profile. Conformance with a Profile involves
2071 meeting a variety of requirements of which operating by these parameters is just one. To determine if a
2072 product (and a specific model/version of that product) is conformant, please refer to the QIBA
2073 Conformance Document for that product.

2074 ***6.4.1 Image Acquisition Parameters***

2075 PET image acquisition parameters have been optimized through large multi-site studies such as the
2076 Alzheimer’s Disease Neuroimaging Initiative (ADNI), and many clinical trials have adopted these data
2077 acquisition protocols. For each phase of ADNI, the protocols for each of the scanners included in the study
2078 (a range of Siemens, GE, and Philips models) have been made available on-line, including both acquisition
2079 and reconstruction parameters.

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2081 ***6.4.2 Quality Assurance Procedures***

2082 Examples of recommend quality assurance procedures are shown for specific GE, Philips, and Siemens
2083 PET/CT scanners in Tables 47-49. However, since equipment models continually evolve, it is important to
2084 reference the manufacturer’s specifications for the particular models of equipment in use for data
2085 acquisition.
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Table 47. Example quality assurance procedures and reports for Philips scanners.

QC procedures and schedules for Philips Gemini TF, V3.3 and V3.4			
Device	QA Procedure	Frequency	
CT	Tube Calibration	Daily	
	Air Calibration	Daily	
	Noise. On head phantom	Daily	
	Noise and Artifacts. On body phantom	Daily	
	Contrast scale and artifacts	Monthly	
	Impulse Response	Advanced test as needed	
	Slice thickness	Advanced test as needed	
	PET	Daily PET CT	System Initialization
Baseline collection (analog offsets of all photomultiplier channels)			Daily
PMT gain calibration			Daily
Energy test and analysis			Daily
Timing test			Daily
AutoQC		Emission sinogram collection and analysis	Daily
		Automated System Initialization	Daily, prescheduled to shorten daily QC
Uniformity check		Automated Baseline collection	Daily, prescheduled to shorten daily QC
			Monthly
SUV calibration			Every 6 months, after recalibration, when SUV validation shows discrepancy
SUV validation			Every 2 months, when PM is performed

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2090 **Table 48. Example quality assurance procedures and reports for GE scanners.**

QA procedures and schedules for GE Discovery ST, STE, Rx and Discovery 600/700 series PET/CT systems				
Device	QA Procedure	Frequency		
Computers	System reboot	Daily or as needed		
	CT tube warm up	Daily or after 2 hours of inactivity		
	Air calibrations (fast cals)	Daily		
	Generator calibrations	Daily		
	CT	CT QA phantom	Contrast Scale	Acquire scans daily
			High Contrast Spatial Resolution	Acquire scans daily
			Low Contrast Detectability	Acquire scans daily
			Noise and Uniformity	Acquire scans daily
			Slice Thickness	Acquire scans daily
	PET	PET Daily Quality Assurance (DQA)	Laser Light Accuracy	Acquire scans daily
Full system calibration			Performed after tube replacement or as PM	
PET Daily Quality Assurance (DQA)			Coincidence	Daily
			PET coincidence mean	Daily
			PET coincidence variance	Daily
			Singles	Daily
			PET singles mean	Daily
			PET singles variance	Daily
			Deadtime	Daily
			PET mean deadtime	Daily
		Timing	Daily	
		PET timing mean	Daily	
Energy		Daily		
PET energy shift		Daily		
PET singles update gain		Weekly		
Clean database		Weekly		
PET 2D normalization		Quarterly (if appropriate for the system)		
PET 2D well counter correction	Quarterly (if appropriate for the system)			
PET 3D normalization and well counter correction	Quarterly			
Establish new DQA baseline	Quarterly			
Ge-68 source pin replacement	Every 18 months			

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2093 **Table 49. Example quality assurance procedures and reports for Siemens scanners.**

QA procedures and schedules for Siemens Biograph 6/16 Hi-Rez, Biograph 16 Truepoint, Biograph 16 Truepoint with TrueV, PET Syngo 2010A, Biograph mCT			
Device	QA Procedure	Frequency	
Computers	Restart computers	Daily at Startup	
	Clear scheduler	Daily	
	Clear network, local, and film queues	Four times daily	
	Archive patient data	Daily	
	System cleanup/defragmentation	Weekly	
CT	CT Checkup/Calibration	Daily, after 60 minutes of full load, within 1 hour of patient scan	
	CT Quality	Water HU	Daily
		Pixel noise	Daily
Tube voltages		Daily	
PET	PET Daily QC	Daily normalization	Daily
		Computation/ verification of the PET calibration factor (ECF)	Daily
		Normalization results display and sinogram inspection	Daily
		System quality report	Daily
		Partial detector setup: generate crystal region maps/energy profiles	Weekly
Full detector setup and time alignment	Quarterly		

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6.5 Appendix E: Data fields to be recorded in the Common Data Format Mechanism

The list below comprises meta-information (i.e., in addition to image values of kBq/ml) that is necessary for quantitatively accurate (i.e., known and minimal uncertainties) of PET SUVRs. The intent here is to list what information should be captured rather than the mechanism itself. The format and corresponding mechanism of data capture/presentation is currently unspecified, but ranges from paper notes, to scanned forms or electronic data records, to direct entry from the measurement equipment (i.e., the PET/CT scanner or auxiliary measurement devices such as the radionuclide calibrator) into pre-specified DICOM fields. Ideally all the specified meta-data will be captured by direct electronic entry to DICOM fields, after suitable modification of the DICOM format for PET imaging.

The concept endorsed here is that the needed meta-data is identified. Through revisions of this Profile, the DICOM standard, and technology the meta-data is inserted into the analysis stream (Figure 5) in a more direct manner and technology and accepted standards evolve.

- The needed information, where feasible, is listed in order from least frequently changing to most frequently changing.
- In all cases note whether measurements are made directly or estimated. If the latter case, note the source of information and the date and time (e.g., if subject cannot be moved from bed to measure weight or height).

Data fields to be recorded:

1. Site specific
 - a. Site information (include name and/or other identifiers)
 - b. Scanner make and model
 - c. Hardware Version numbers
 - d. Software Version numbers
 - e. Confirmation that scanner used was previously qualified (or not)
2. Protocol specific
 - a. PET
 - i. Duration per bed
 - ii. Acquisition mode (3D)
 - iii. Reconstruction method
 - b. CT technique (if PET/CT scan)
3. Scanner specific QA/QC
 - a. Most recent calibration factors (scanner)
 - b. Scanner daily check values
 - c. most recent clock check
 - d. most recent scanner QA/QC
4. Subject exam specific
 - a. Weight (optional)
 - b. Pre- and post-injection assayed activities and times of assay
 - c. Injection time

- 2138 d. Site of injection (and assessment of infiltration)
- 2139 e. Net injected activity (calculated including decay correction)
- 2140 f. Uptake time
- 2141
- 2142

6.6 Appendix F: Testing PET Measurement Systems with the UW-PET QIBA Amyloid Digital Reference Object (DRO)

6.6.1 DRO Description

The University of Washington-PET QIBA PET Amyloid DRO series is a synthetically generated set of DICOM image files of known voxel values for PET. The PET data were derived from a single deidentified subject's MRI scan (provided with the DRO series). The UW-PET QIBA DRO series is intended to test the computation of standardized uptake value ratios (SUVRs) by PET amyloid image analysis workstations (IAWs). This is motivated by vendor-specific variations in PET amyloid IAWs. The development of the UW-PET QIBA DRO series is supported by the Quantitative Imaging Biomarker Alliance (QIBA) and the University of Washington.

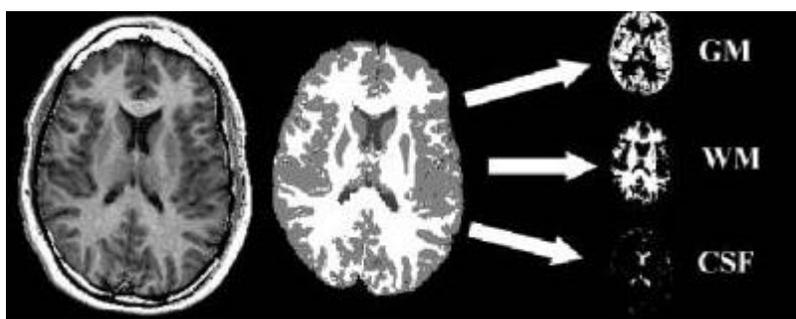
The primary goals and objectives of the UW-PET QIBA DRO series are to support the QIBA PET amyloid 'Performance Assessment: Image Analysis Workstation and Software' efforts for Profile development. This will be done by (1) visual evaluation of the target and reference region placement, (2) evaluation and validation of SUVR calculations with regards to reproducibility and linearity and (3) providing a common reference standard that can be adopted and modified by IAW manufacturers.

As mentioned above, the UW-PET QIBA PET Amyloid DRO series is based on a single segmented MRI scan of a patient. The MRI scan digitally had the skull and skin removed, and then was segmented into GM, WM, and CSF, which allows for different values of PET activity to be simulated in these regions. Six different versions of the same "subject" (having the same brain morphology) have been created, each with a different ratio of cortical gray tissue value to white tissue value. These simulate progressive levels of tracer uptake (in this case, amyloid accumulation) in cortex. The cerebellar cortex is maintained at a constant value, simulating gray tissue devoid of tracer target and uptake. The range of values (ratios between cortical tissue and white tissue) was selected to cover negative and positive SUVR values that could be encountered using a range of tracers including florbetapir and flutemetamol.

These simulated images have been modulated with digital noise to simulate the somewhat lower resolution and increased technical noise that would be expected in a PET image. For each ratio of gray to white matter, five different "noise instances" have been created in which random digital noise was applied to the image. These instances are intended to capture additional technical variability that would be encountered in clinical PET images. However, for each of the six ratio versions, the noise variation should not impact the mean SUVR value measured in the tissue.

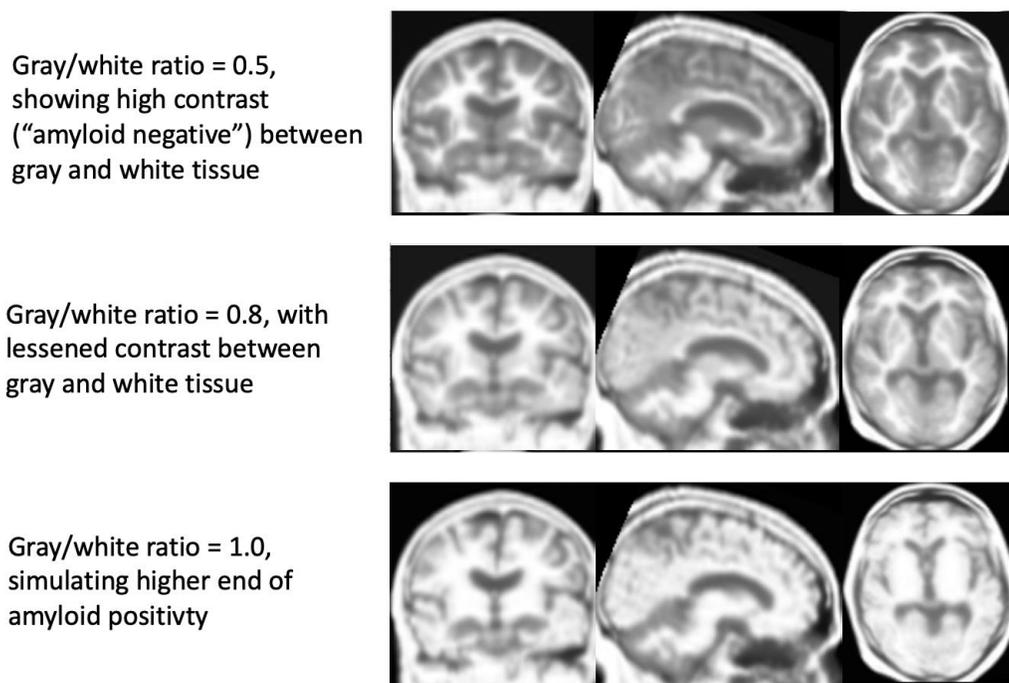
The simulated PET scans that comprise the DRO series are deidentified, and any subject or birth date information present in the image headers do not represent an actual individual. The file names for each instance are identified by their ratio of gray to white matter.

A deidentified T1 weighted MRI scan is made available for use in image processing pipelines that use an MRI for region of interest segmentation and/or spatial warping. As in typical clinical studies, the PET images should be coregistered to the MRI scan and any other processing steps applied as part of the measurement pipeline. The simulated PET images may also be processed and measured using PET-only pipelines.



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2184 Figure 9 below shows three of the DRO gray/white ratios, prior to inclusion of random noise. In this case,
 2185 the image was spatially warped to a common template.



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2187 **Figure 9.** Images showing the three DRO gray/white ratios.

2188 Normally, a system of measurement would have assessments and conformance levels for bias, linearity
 2189 and reproducibility. Since the claim in this Profile is a longitudinal claim (as opposed to a cross-sectional
 2190 claim) and the same imaging methods shall be used at each time point, bias does not need to be assessed.
 2191 Therefore, conformance assessment as detailed here will focus on linearity and reproducibility.

2192 **6.6.2 Linearity**

2193 The linearity of the IAW will be assessed by testing a range of different subjects, as defined by varying
 2194 SUVR values. The table below gives more detail about the simulated subjects and their respective SUVR
 2195 values. Note that due to the simulation of PET-like resolution and noise in the images, the actual ratios
 2196 measured will likely not be identical to the designed ratio shown in the table below. Similarly, depending
 2197 upon the region definition boundaries applied for target regions and reference region, the measured
 2198 SUVRs may vary. However, for a given processing and measurement pipeline or software platform, the
 2199 relationship between the measured values and the ratios shown in Table 50 should be linear. The slope
 2200 of the relationship will be important in application of the claim.

2201

2202 **Table 50. Expected linearity SUVR DRO values.**

Simulated SUVRs by reference region			SUV settings in DRO				Ratios	
Ref. Whole Cbl	Ref. Cbl Cortex	Ref. White	Cerebellar cortex	Cortical gray tissue	White**	CSF	Gray / White	White / Gray
0.88	1.00	0.50	0.5	0.50	1.0	0.25	0.50	2.00
1.06	1.20	0.60	0.5	0.60	1.0	0.25	0.60	1.67
1.23	1.40	0.70	0.5	0.70	1.0	0.25	0.70	1.43
1.41	1.60	0.80	0.5	0.80	1.0	0.25	0.80	1.25
1.59	1.80	0.90	0.5	0.90	1.0	0.25	0.90	1.11
1.76	2.00	1.00	0.5	1.00	1.0	0.25	1.00	1.00

2203

2204 Cbl = cerebellum

2205

2206 Hippocampus, amygdala, thalamus, putamen, globus pallidus regions are same value as cortical gray

2207

Subcortical white, white cerebellum, and pons all have same value

2208

2209 **6.6.3 Reproducibility**

2210 The reproducibility of the IAW will be assessed by making multiple realizations of the same subject. This
 2211 can be thought of as simulating test-retest multiple times on the same subject. The multiple realizations
 2212 will be done by adding typical levels of clinical noise five times to each subject. Please see Figure 10 below
 2213 for a pictorial representation.

2214 The simulation of six subjects and five realizations means that the DRO series will contain 30 simulated
 2215 PET volumes. These volumes will be stored in DICOM format and can be downloaded from the
 2216 Quantitative Imaging Data Warehouse (QIDW), with the link given below.

2217

2218 **6.6.3.1 IAW Conformance Procedure**

- 2219 1. Download the UW-PET QIBA PET Amyloid DRO series from QIDW:

2220 http://depts.washington.edu/petctdro/DRObrain_main.html

2221

- 2222 2. Analyze the 30 volumes using the same procedure, target regions and reference regions as will
 2223 be used with patient data.

2224

- 2225 3. For each target region for a fixed reference region, the information to form the graph in Figure 10
 2226 should be calculated, and will be called a given target's results, e.g., (Frontal Target/Whole
 2227 Cerebellum Reference Region). Note that the appropriate value range for "truth" depends upon
 2228

the reference region selected. The slope of the line does not need to be, and is not expected to be, 1.0 because of the degraded resolution, added noise, and the variation introduced by region of interest boundary definition. However, that slope should be documented and taken into account when calculating study power based upon expected performance.

Example Output – For Single Target Region

Will be one graph for each Target Region if single reference region is used
 If multiple reference regions, then total graphs = (number of target regions) x (number of reference regions)

IAW Conformance – Target Region 1

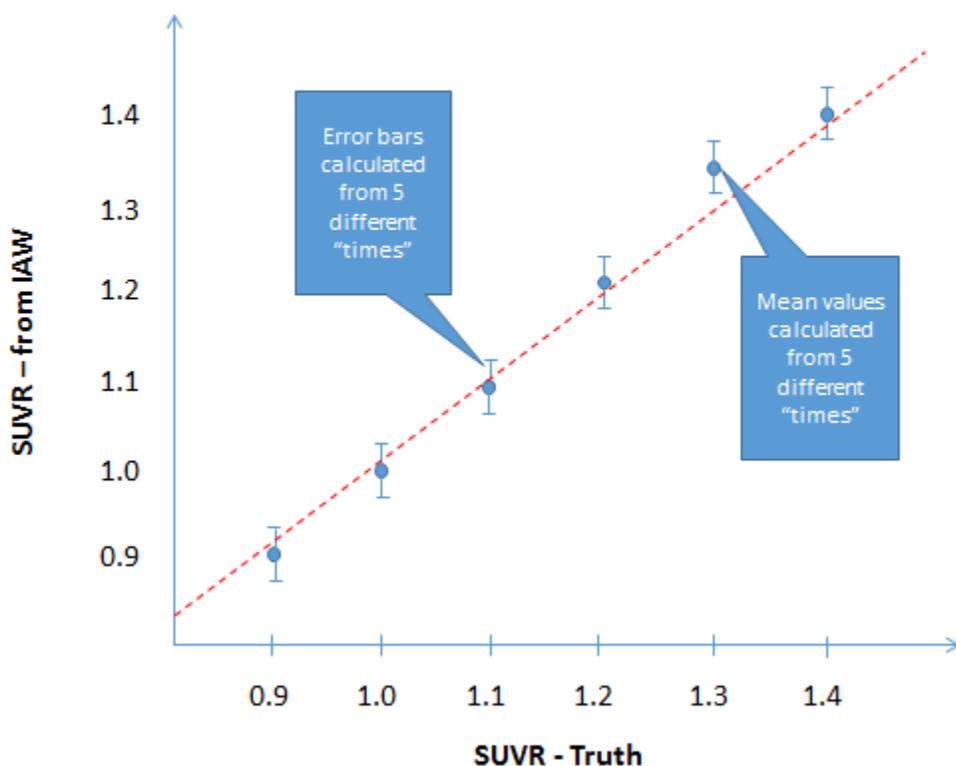


Figure 10. Example output when testing the IAW.

4. If multiple reference regions will be used, generate the same information as in point 3 above using this new reference region. The final number of target results or graphs will be (number of target regions) x (number of reference regions).
5. The following statistical analysis should be performed on each target result.
 - a. Fit an ordinary least squares (OLS) regression of the Y_i 's on X_i 's (where Y 's are the SUV measurements from the IAW, and X 's are the true SUV measurements). A quadratic term is first included in the model: $Y = \beta_0 + \beta_1 X + \beta_2 X^2$.
 - The estimate of β_0 , β_1 and β_2 , along with their 95% Confidence Intervals (CIs), shall be reported as part of the assessment record (see last point below).
 - b. Re-fit a linear model: $Y = A_0 + A_1 X$ (red dotted line on graph above).
 - The estimate of A_0 and A_1 , along with their 95% CIs, shall be reported as part of the assessment record (see last point below).

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- R-squared (R^2) shall be >0.90 for the IAW to be compliant for the given target and reference regions.

c. For each of the 6 true SUVR values, calculate the mean (blue points in graph above) of the 5 measurements and the wSD (blue error bars in graph above) using the following equations where the summations are from $J=1$ to $J=5$:

$$\bar{Y}_i = \sum(Y_{ij})/J \text{ and } wSD_i^2 = \sum(Y_{ij} - \bar{Y}_i)^2 / (J - 1).$$

d. Estimate wCV using the equation, where $N=6$:

$$wCV = \sqrt{\sum_{i=1}^N (wSD_i^2 / \bar{Y}_i^2) / N}.$$

f. Estimate the % Repeatability Coefficient (%RC) using the equation:

$$\widehat{\%RC} = 2.77 \times wCV \times 100.$$

- The **%wCV** shall be $\leq 2.6\%$ for the IAW to be compliant for the given target and reference regions. (Note that this conformance criterion allows 95% confidence that the %RC of the IAW meets the Profile claim. **Because this is a small sample set, the value of 2.6% may not be met.** The value increases with a reasonable reduction in the required confidence interval for a sample set of this size. It is also noted that if the pons is used as a reference region for these calculations, the variability in the DRO is likely to be higher. Therefore, for the purposes of conformance, it may be useful to apply whole cerebellum, cerebellar cortex, or white matter as the reference rather than pons.
- For future reference, the number of subjects and tests per subjects can be changed in the DRO series, which will change the wCV% threshold as per Table 51.

Table 51. wCV% threshold changes as a function of the number of subjects.

# of Subjects (SUVRs)	# of Realizations (Tests per subject)	wCV% Threshold
6	5	2.6%
7	5	2.8%
9	5	2.9%
11	5	3.0%
6	10	3.1%

6. For each target's results, report the following in a format similar to the example in Table 52.

2272

Table 52. Example reporting DRO results.

Ref Region	Visual Placement Check	Target Region	Visual Placement Check	β_0	β_1	β_2	A_0	A_1	R^2	$R^2 > 0.90$	wCV	%RC	%RC $\leq 2.6\%$
1	Pass	1	Pass	0.03	0.91	0.01	0.1	0.97	0.92	Pass	7.6×10^{-3}	2.1	Pass
1	Pass	2	Pass	0.05	0.9	0.02	0.07	0.95	0.91	Pass	1.05×10^{-2}	2.9	Fail
1	Pass	3	Fail	-	-	-	-	-	-	-	-	-	-
1	Pass	4	Pass	0.16	0.81	0.14	0.14	1.2	0.85	Fail	-	-	-
2	Fail	-	-	-	-	-	-	-	-	-	-	-	-
3	Pass	1	Pass	0.03	0.91	0.01	0.1	0.97	0.92	Pass	7.6×10^{-3}	2.1	Pass
3	Pass	2	Pass	0.04	0.95	0.04	0.03	0.92	0.93	Pass	8.0×10^{-3}	2.2	Pass
...

2273

2274 The report should be saved and archived with any PET amyloid patient study that is compliant with this
 2275 Profile.

2276

2277 6.7 Appendix G: Best Practice Guidance for the Hoffman Brain Phantom

- 2278 • Make sure that before the 18-F or 18-FDG is added, you start with a completely filled phantom
2279 (less ~100ml, described later). It is helpful to fill the phantom with water the day before to help
2280 remove small air bubbles.
- 2281 • Purified or distilled water is preferred, normal tap water is OK.
- 2282 • When you are filling, it helps to tip the phantom slightly (use a syringe or similar object underneath
2283 one side). It also helps to open more than one of the filling ports while filling (see Figure 11). Once
2284 you have the phantom completely filled, then use a 50-60cc syringe to take out ~75-100ml before
2285 injecting with the FDG. This allows for better mixing.
- 2286 • Prepare the F18 tracer (typically FDG) in a volume of **3-5ml**, calibrated for an injected amount of
2287 0.5-0.6 mCi (18.5 – 22.2 MBq) at the projected time of scanning.
2288



2289
2290 **Figure 11.** Picture of Hoffman Brain Phantom, with red arrow marking the anterior filling port.

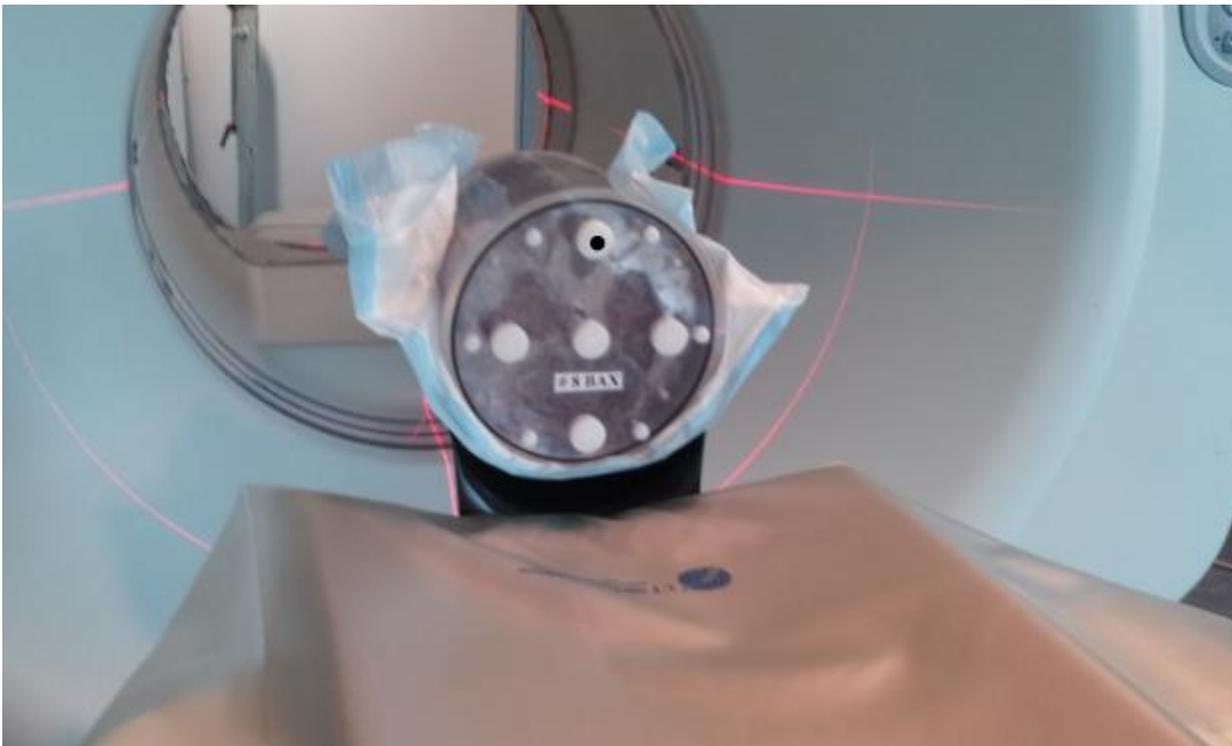
- 2291 • Switch the needle on the syringe to a long, blunt tip needle. Insert through the top filling port (the
2292 brain's **anterior** side) until the tip of the needle is **approximately half way down through the**
2293 **phantom**. Rinse the syringe 2 or 3 times to reduce the residual in the syringe.
- 2294 • To ensure there is no tracer left in the original (short) needle, attach that needle, and also rinse 2-
2295 3 times.

- 2296 • Measure the residual in both needles and syringe. We suggest you place these in a surgical glove
- 2297 before placing in the dose calibrator to prevent contamination of the dose calibrator.
- 2298 • Once injected, replace the cap and roll back and forth vigorously for about 5min. Occasionally, pick
- 2299 up and tip up and down the other way.
- 2300 • Top off as best you can, filling through 1 or two of the ports (wherever bubbles are).
- 2301 • Roll a 2nd time, briefly for about 1min. this will help to get bubbles out.
- 2302 • Top off a 2nd time. The focus now is to remove any remaining air getting bubbles. An effective
- 2303 method is to hold upright (with filling ports up) and shake back and forth vigorously to make the
- 2304 bubbles rise. (Remember when filling to minimize spills. Wipe with a paper towel, and this goes to
- 2305 radioactive waste)
- 2306 • Roll a final 3rd time. Then top off again to remove any remaining air bubbles.
- 2307 • As a final check, look through the phantom at a bright light to check for bubbles. If there are some
- 2308 large bubbles (greater than ~3 mm), try another shaking/tapping/rolling/filling session.
- 2309 • Finally, if you do the CT scan and notice there are big bubbles or air spaces, take the phantom and
- 2310 try to top off/remove the bubbles before doing the final CT/Pet scans

2311

2312 Generally, this process takes about 10-20min.

2313



2314

2315 **Figure 12.** Positioning of Hoffman Brain Phantom in scanner.

2316 Position the phantom on the scanner bed with the filling ports towards the foot of the bed (Figure 12),
 2317 and the anterior filling port at 12 o'clock. (In this position, the cerebellar lobes should be visible at the
 2318 bottom of the phantom and should appear in the reconstructed image as if you were imaging a supine
 2319 subject).

2320

6.8 Appendix H: Detailed Example of Hoffman Phantom Data Analysis

The basic methodology in the quantitative analysis is to first align the test scan to the digital atlas using an affine registration, then to intensity normalize the data, and finally to find a smoothing factor for the digital atlas that best matches the spatial resolution of the test scan. Once a registered, the intensity normalized test image and smoothed gold standard are computed, and the difference image can be viewed visually and quantified by various methods described below to assess overall scan quality.

(Note that contributions to scan quality outcome include (a) the scanner, (b) reconstruction software, (c) implementation of the measurement methods described below, and (d) proper (or improper) filling of the phantom. Phantom filling artifacts can include air spaces as well as laterality. When poor quality is identified, all factors should be assessed in order to form a proper conclusion regarding the scanner. If the problem is the scanner, then the Medical Physicist and technical support should be involved to address the issue(s).)

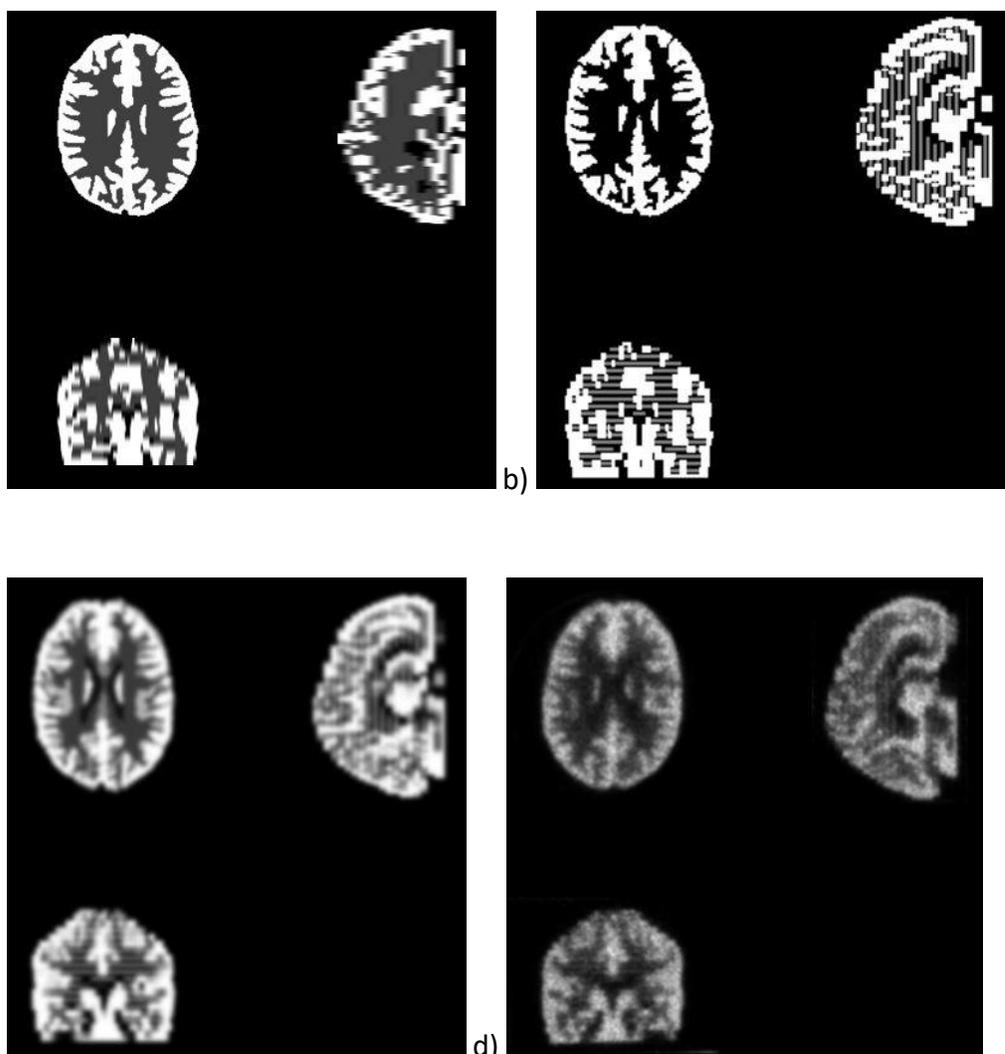


Figure 13. Digital Hoffman Phantom. a) 19-slice version supplied by Data Spectrum. b) 90-slice version modeling more accurately individual layers of each slice. c) smoothed version of the 90-slice digital phantom. d) sample real phantom data obtained from the high-resolution HRRT scanner.

2340 **6.8.1 Phantom Description**

2341 The interior of the Hoffman brain phantom (Figure 13) is composed of 19 separate plexiglass plates, each
 2342 6.1 mm thick. To achieve the 4:1 gray:white uptake ratio via displacement of a uniform concentration of
 2343 radioisotope solution, each plate is composed of a “sandwich” of eight separate layers, of “gray” slices
 2344 (G), cut to the shape of modeled gray matter, and “white” slices (W), cut to the shape of modeled white
 2345 matter. Areas of CSF are left completely void. Each layer is therefore composed of a “sandwich” in this
 2346 order: GG|W|GG|W|GG. The most caudal slice and most cranial slice consist of just 4 gray layers (GG|GG).

2347 Data Spectrum, who manufactures the phantom, supplies a 256x256x19 voxel digital atlas that models
 2348 the phantom appearance as having one of 3 types of uniform areas in each 6.1 mm slice (gray=4, white=1,
 2349 csf=0). See Figure 13. Dr. Bob Koeppel from the University of Michigan, in collaboration with Data Spectrum
 2350 and CTI (now Siemens) constructed a more accurate 160x160x90 voxel, 1.548x1.548x1.548 mm version of
 2351 this phantom that models the individual layers between the slices. Each slice of this 90-slice phantom
 2352 represents either a “GG” all gray layer with values either 0 or 1.0; or a “GW” layer with values either 0, 0.5
 2353 or 1.0. This digital phantom (Fig 1b,c) looks much more like data obtained from a high-resolution PET
 2354 scanner (Fig 1d) and can be smoothed to approximate images from lower-resolution scanners. The
 2355 individual layers can actually be seen in some higher resolution scanners, such as the Siemens HRRT.

2356 One important item to note is that the actual phantom size, especially the actual physical slice thickness
 2357 of each phantom, can vary slightly. Therefore, when comparing data, it is important to deal with the
 2358 scaling appropriately. Alternatively, if comparisons are made between two acquisitions, one must ensure
 2359 that the identical phantom is used in the comparison. If there are multiple phantoms in use, it is good
 2360 practice to track each phantom with an appropriate identification number.

2361 Regarding smoothing, it is assumed that the PET scanner resolution can be modeled by smoothing with a
 2362 Gaussian kernel with the same size in the transaxial direction (i.e., x and y direction), and another size in
 2363 the axial direction (i.e., z direction). This is approximate, since blurring increases transaxially away from
 2364 the center, and is different in the radial and tangential directions. Also, axial resolution is degraded in the
 2365 outer end planes of the scanner. However, the uniform smoothing assumption is fairly reasonable for
 2366 head imaging, where the field of view is fairly close to the center of the scanner.

2367 **6.8.2 Methods and Metrics**

2368 **6.8.2.1 Method Overview**

2369 The method for quantitative analysis can be summarized by the following steps:

- 2370 1) Sum a dynamic PET test image, which we will call the “Source Image” acquisition, to produce a
 2371 single average PET volume
- 2372 2) Register the averaged Source Image to the 90-slice digital reference using an affine transformation
- 2373 3) Determine Gaussian smoothing factors FWHM_{xy}, FWHM_z, to be applied to the digital phantom so
 2374 that it best matches the registered Source dataset.
- 2375 4) Compute image metrics on differences between the matched smooth “gold standard” data, and
 2376 the registered Source data.
- 2377 5) Create different images and graphics to augment a visual assessment of image quality.

2378 (Note: The methods described here make use of certain software packages such as MATLAB and PMOD.
 2379 These packages may have license requirements that would need to be addressed by the user. The

2380 descriptions provided here convey the functionality needed, which may also be addressed using other
2381 software platforms with similar capabilities.)

2382 6.8.2.2 Relevant Data Files

2383 The following input and reference files are used in the analysis:

2384 Reference Files

2385 **ctiHoffman0.0_0.0.nii** – This is the 160x160x90 digital gold standard data.

2386 **ctiHoffman5.0_5.0.nii** – This is ctiHoffman0.0_0.0.nii smoothed by a Gaussian kernel 5.0 mm FWHM in
2387 the x, y, and z dimensions. This represents an image at about the resolution of the highest-resolution
2388 scanners, such as the HRRT.

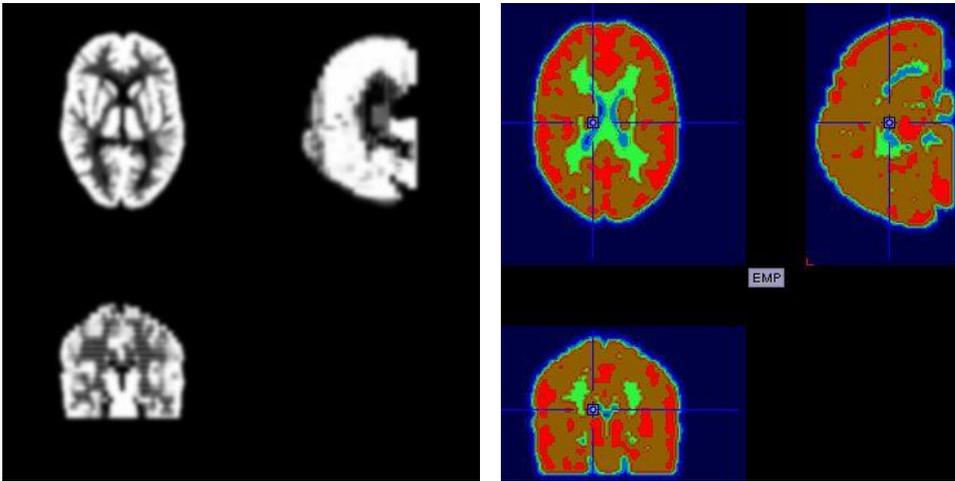
2389 **HoffmanVOI5mm6Level.25_.95BrainMask.nii** – This is a volume-of-interest (VOI) mask file with six levels
2390 created in PMOD using multi-level thresholding on the smoothed, phantom file, **ctiHoffman5.0_5.0.nii**.
2391 The resulting segmentation is seen in Figure 14. Idealized voxel intensities for CSF, white matter and gray
2392 matter are 0.0, .025, 1.0 respectively, but blurring of the digital phantom results in a partial volume effect
2393 so that voxel values vary continually between 0.0 – 1.0. Regions were defined with the following IDs and
2394 thresholding criteria as shown in Table 53.

2395 **Table 53. Definition of regions and respective IDs.**

Region ID	Threshold	Description
1	Val < 0.01 outside brain contour	nonbrain
2	Val < 0.05	Pure CSF
3	0.05 < Val < .20	White/CSF mixture
4	0.20 < Val < .30	Mostly “pure” white
5	.30 < Val < .90	Gray/white mixture
6	.90 < Val	Mostly “pure” gray

2396 Regions 4 and 6, which represent areas of mostly white and gray matter, respectively, are the main regions
2397 used for comparison in the analysis.

2398



2399

2400 **Figure 14.** Six-region Volume of Interest mask. The smoothed digital reference (left), and the volume of
 2401 interest mask volume created in PMOD using multi-thresholding segmentation (right). The VOI mask is
 2402 used to define areas representing primarily pure gray (shown in red) and pure white matter (shown in
 2403 green). These regions are used for image intensity normalization and various image quality metrics.

2404 Input files

2405 **SourceXXX** – original dynamic PET data. Usually in DICOM format, and for this profile is recommended to
 2406 be a 4 x 5 minute acquisition.

2407

2408 Intermediate Files

2409 Avg **SourceXXX.nii** – summed dynamic data.

2410 **RegSourceXXX.nii** – summed dynamic data registered to 160x160x90 voxel digital phantom template

2411 **RegSourceNorm.nii** – version of **RegSourceXXX.nii** intensity normalized to values between 0 and 1.0.

2412

2413 Output Files

2414 Volumes

2415 **RegSourceXXXFit.nii** – smoothed version of the Hoffman digital template , **ctiHoffman0.0_0.0.nii** , that is
 2416 the best fit to **RegSourceNorm.nii**.

2417 **RegSourceXXXAbsDiff.nii** – absolute difference volume between **RegSourceXXXFit.nii** and
 2418 **RegSourceNorm.nii**

2419

2420 Text

2421 **RegSourceXXXfit.txt** – summary output file

2422

2423 JPG -

2424 **RegSourceXXXXplotAbsDiffProfile.jpg** – plot showing slices-by-slice profiles of ROI absolute difference
 2425 sums vs image plane number in the RegSourceXXXXAbsDiff.nii volume for these four ROIs: whole volume,
 2426 whole brain, pure grey ROI, pure white ROI (see example plot < >)

2427 **RegSourceXXXXplotGrayWhiteProfile.jpg** - plot showing slice-by-slice profiles of ROI # 4 (pure white
 2428 matter) and #6 (pure grey matter)" ratios between the reference data (RegSourceXXXFit.nii) and the test
 2429 data (RegSourceNorm.nii) (see example plot < >)

2430 **RegSourceXXXXplotImgDiff.jpg** - central three orthogonal planes through **RegSourceXXXAbsDiff.nii**, gray
 2431 scale set between -0.2 and 0.2.

2432 **RegSourceXXXXplotImgNorm.jpg** – central three orthogonal planes through **RegSourceNorm.nii**, gray
 2433 scale set between 0.0 and 1.0

2434

2435 **6.8.3 Method Details: Processing Steps**

2436

2437 1) Manual step: Load/visual check of image data. Add to PMOD batch file list

2438 Images need to be manually loaded to check visually that the orientation is correct. If the image loads
 2439 using default parameters, it can be simply added to a PMOD file list for later batch processing. If the default
 2440 settings do not work, the image must be manually loaded using the correct image reorientation switches,
 2441 saved as a new dynamic file, then added to the PMOD batch file list.

2442 2) Batch step: PMOD script: Dynamic Averaging, Affine Registration to Hoffman Digital reference

2443 This step sums the dynamic PET data to obtain an averaged PET source file, and then registers the
 2444 averaged PET to the Hoffman reference image. It is assumed that there is no motion between image time
 2445 frames, so a motion correction step is not necessary like it would be for a patient study. As a reference
 2446 image, the version of the Hoffman reference smoothed with a 5 mm isotropic Gaussian filter is used
 2447 (**ctiHoffman5.0_5.0.nii**). This represents the resolution of an image that would be expected from the
 2448 highest resolution PET scanners. In PMOD's registration module, Normalized Mutual Information and the
 2449 "scale" option are selected to allow an affine match that will compensate for slightly different phantom
 2450 actual sizes. No other pre-smoothing is used during the registration. The batch process saves the averaged
 2451 and the registered dataset as two separate files. This step can be run on one or many different PET files.
 2452 PMOD is not set up yet to record the reorientation matrix (I have requested this), so we do not have a full
 2453 track of all operations.

2454 3) Batch step: Matlab script: Normalize PET, Fit Smoothing Model, Quantify Difference Image

2455 Once the PET source has been registered to the Hoffman reference, the following steps are carried out
 2456 using a MATLAB script:

2457 a) *Normalize the Registered PET source intensity.* The noiseless digital phantom has values ranging
 2458 between 0.0 and 1.0. Rather than normalizing to maximum intensity of the source image, the
 2459 following approach is taken which adjusts for the partial volume effect and for the expected
 2460 Poisson-related variability around the mean for the expected values in the areas representing gray

and white matter. Using the 6-level VOI mask, we use region 6, the area representing mostly pure gray matter, as a reference region. The mean intensity of voxel values in this region is computed in both the smoothed reference volume and the registered source volume. A scale term is computed as the ratio of reference volume gray region mean intensity / source volume gray region mean intensity. This results in the mean with the area representing pure gray area to be set to a voxel intensity of 1.0 in the normalized image.

- b) *Fit Gaussian smoothing kernels, FWHM_{xy} and FWHM_z*. An unconstrained nonlinear estimation approach is used to find the Gaussian smoothing kernels that produce a smoothed version of the digital reference phantom best matching the normalized source volume. (using MATLAB's "fminsearch" function). We investigated various image difference measures: absolute difference, squared difference, correlation, and brain-masked differences, and the simple absolute difference appeared to work well. The code is written so that any of these options can be selected, but the default is the absolute difference.

2) Calculation of Quality Metrics from the Normalized Source Image and Difference Image

The difference between the normalized source image and the digital reference smoothed to fit the source image is the main basis for the comparison. Additionally, some measures can also be computed from the normalized source image alone. Basic ideas to consider in this analysis include:

- The ideal gray:white contrast ratio should be 4:1 in a noise free setting with perfect spatial resolution. We need to consider the partial volume effect, so most evaluations are made in comparison to global or VOI measures on the noise-free smoothed digital reference.
- For evaluations using a uniform phantom, the usual figure of merit for an acceptable measurement variance is +/- 10% from the mean both in-plane and axially. Therefore, an absolute difference of about 10%, i.e., +/- 0.1 intensity units would ideally be a maximum difference between the normalized source and the smoothed reference image.

Quality Metrics

a) Global Volume Metrics

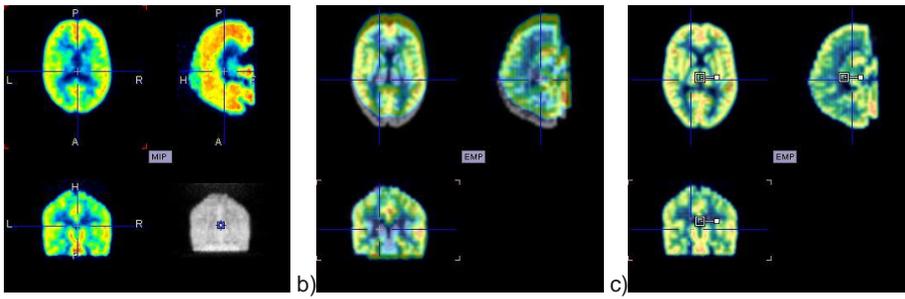
- i) **Comparison of fit smoothing parameters to published data from ADNI / Bob Koeppe's group.** This value should be consistent for a given scanner type. Differences in Z-smoothing compared to ADNI results are expected due primarily to Z-scaling during the affine registration process (see Figure 15). Based on empirical observation, there most likely is a problem if the fit smoothing parameters differ by more than 1 mm FWHM.
- ii) **Average Global Absolute Difference – total image volume** : ideally, this should be less than 10%, therefore less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- iii) **Average Global Absolute Difference in the brain region only**: ideally, this should be less than 10%, therefore less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- iv) **Gray:White mater ratio in the source image.** Ideally, this should be 4.0. For scanners of lower resolution, we would expect the value to be less.
- v) **Ratio of Gray:White in the Source image compared to smoothed reference.** Ideally, this should be 1.0. Would expect at most a 10% variation.
- vi) **Ratio of White matter intensity standard deviation in the Source imaging compared to the smoothed reference:** This measure gives an indication of image noise. By comparing to the

- 2504 reference volume, variation with the white matter region due to the partial volume effect
2505 should cancel out.
- 2506 vii) **Ratio of Gray matter intensity standard deviation in the Source imaging compared to the**
2507 **smoothed reference.** : This measure gives an indication of image noise. By comparing to the
2508 reference volume, variation with the white matter region due to the partial volume effect
2509 should cancel out.
- 2510 b) Slice-by-slice Metrics (computed between planes 10-80, which represent the plane with brain data
2511 in the Hoffman reference volume)
- 2512 i) **Average Slice Absolute Difference – total slice:** ideally, this should be less than 10%, therefore
2513 less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- 2514 ii) **Average Slice Absolute Difference – brain region only:** ideally, this should be less than 10%,
2515 therefore less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- 2516 iii) **Average Slice Absolute Difference – gray matter only (VOI region #6):** ideally, this should be
2517 less than 10%, therefore less than 0.1 for the images intensity normalized to values between
2518 0.0 and 1.0.
- 2519 iv) **Average Slice Absolute Difference – white matter only (VOI region #4):** ideally, this should be
2520 less than 10%, therefore less than 0.1 for the images intensity normalized to values between
2521 0.0 and 1.0.
- 2522 v) Ratio of mean gray intensity in VOI region #6 for Source compared to smoothed reference:
2523 ideally, this should be 1.0
- 2524 vi) Ratio of mean white intensity in VOI region #6 for Source compared to smoothed reference.
2525 Ideally, this should be 1.0.
- 2526 vii) **Profile Coefficient of Variation for Gray slice mean gray intensity.** This metric can be used as
2527 a sentinel for unacceptable variations in axial sensitivities.
- 2528
- 2529 3) Outputs: Graphics, Text Summary and Imaging volumes
- 2530 a) JPGs
- 2531 i) 3 orthogonal slices through the center of the difference volume – color bars set to +/- 0.2 for all
2532 evaluations to highlight significant areas that differ from the reference volume. A
- 2533 ii) 3 orthogonal slices through the normalized, registered source volume
- 2534 iii) Slice-by-slice profiles of error measures between source and reference volumes
- 2535 iv) Slice-by-slice profiles of the ratio of mean gray and white matter region intensity regions for
2536 the source volume compared to the reference volume.
- 2537 b) Text file
- 2538 i) Numerical values for the global and plane-by-plane metrics
- 2539 c) Image volumes
- 2540 i) Difference Volume
- 2541 ii) Fit Smoothed Reference Volume

2542

2543 **Note: Matlab Modules Used.** In addition to the base Matlab package, the processing pipeline used the
2544 standard Matlab Image Processing Toolbox and the Optimization Toolbox. The pipeline also used the 3rd
2545 party Matlab package for reading, writing and displaying NIFTI files, “Tools for NifTI and ANALYZE image”,
2546 found at <http://www.rotman-baycrest.on.ca/~jimmy/NifTI> .

2547



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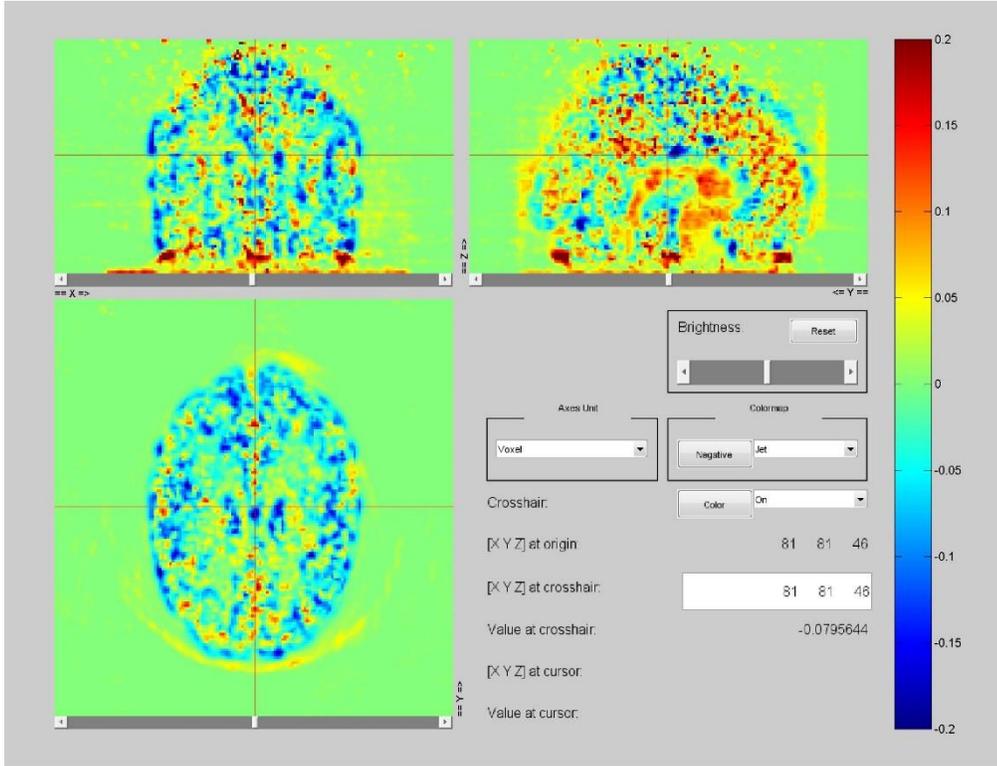
Figure 15. Affine Registration Process. Source image in original orientation (a). Source image (colored grayscale, and digital gold standard (grayscale) unregistered (b), and after registration in PMOD (c).

2552 Example Results using the ADNI Hoffman Qualification Data are shown in Figures 16-20.

2553

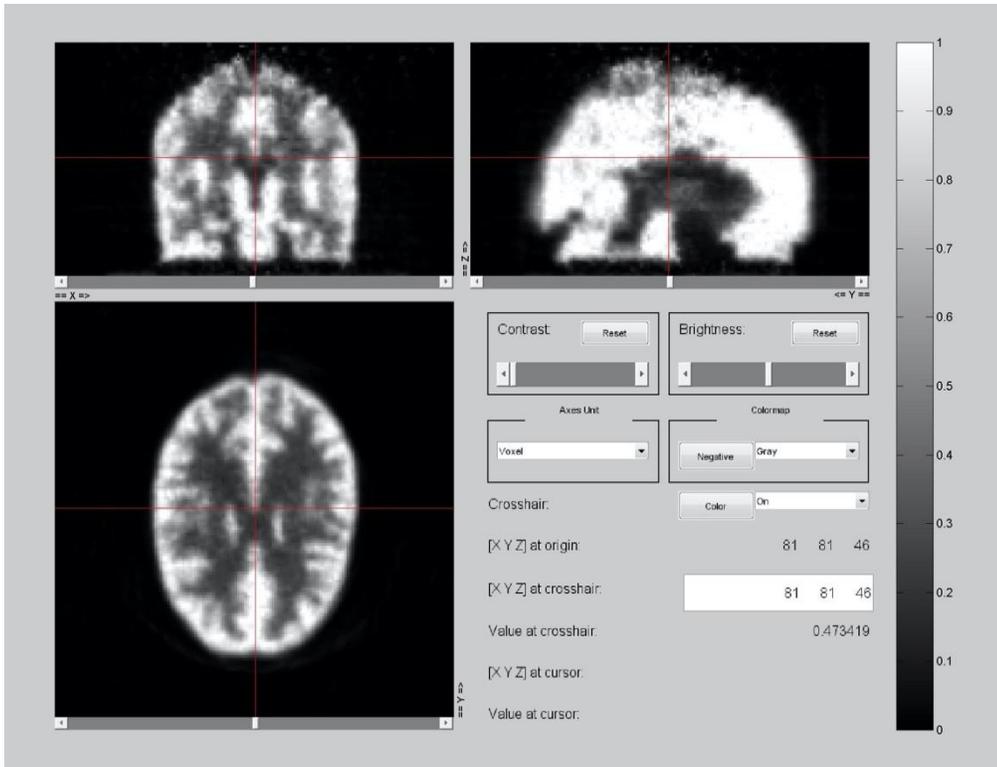
2554 **Figure 16.** Example 1. Good quality scan. Siemens HIREZ (037_P_0001)

2555 **Figure 16a:**



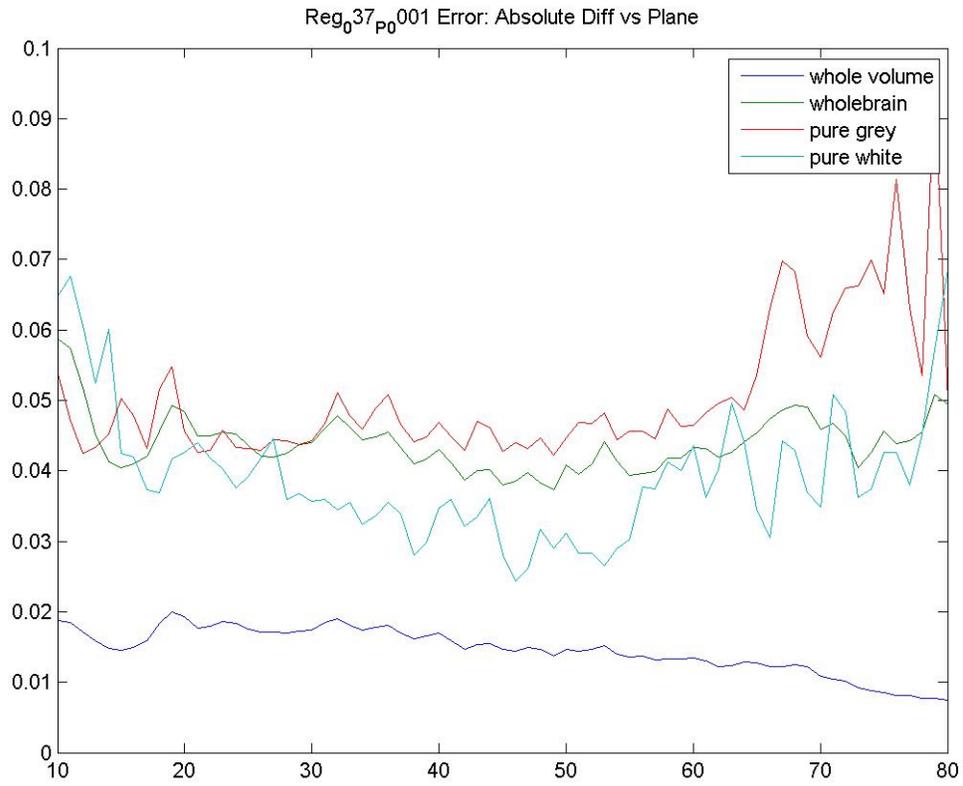
2556
2557

2558 **Figure 16b:**



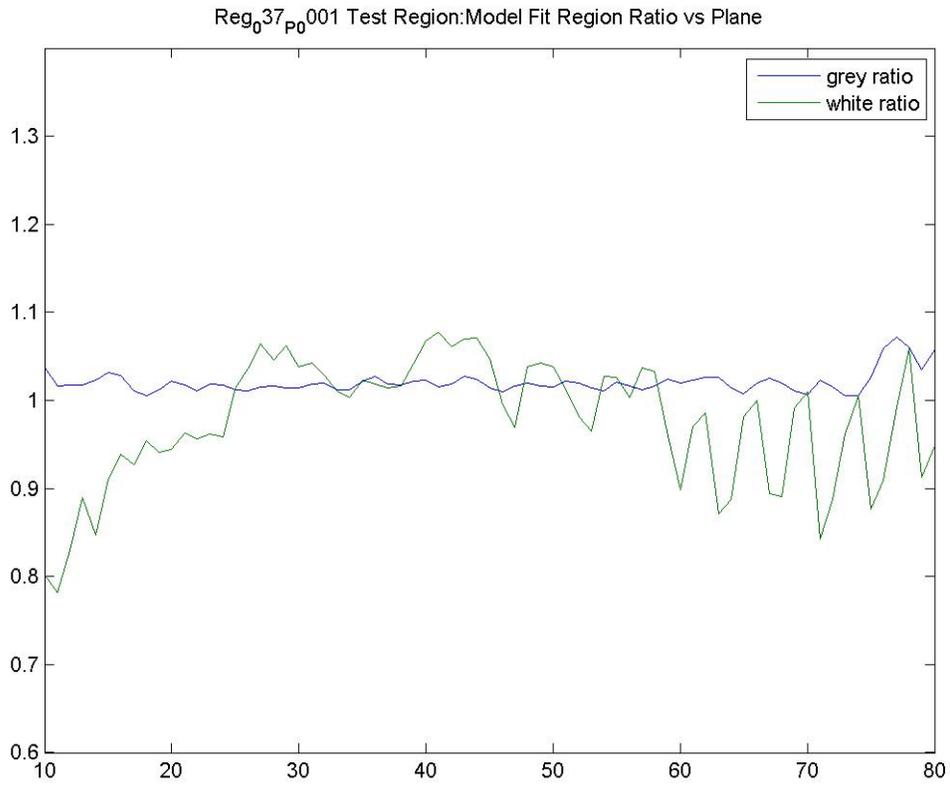
2559
2560

2561 **Figure 16c:**



2562
2563

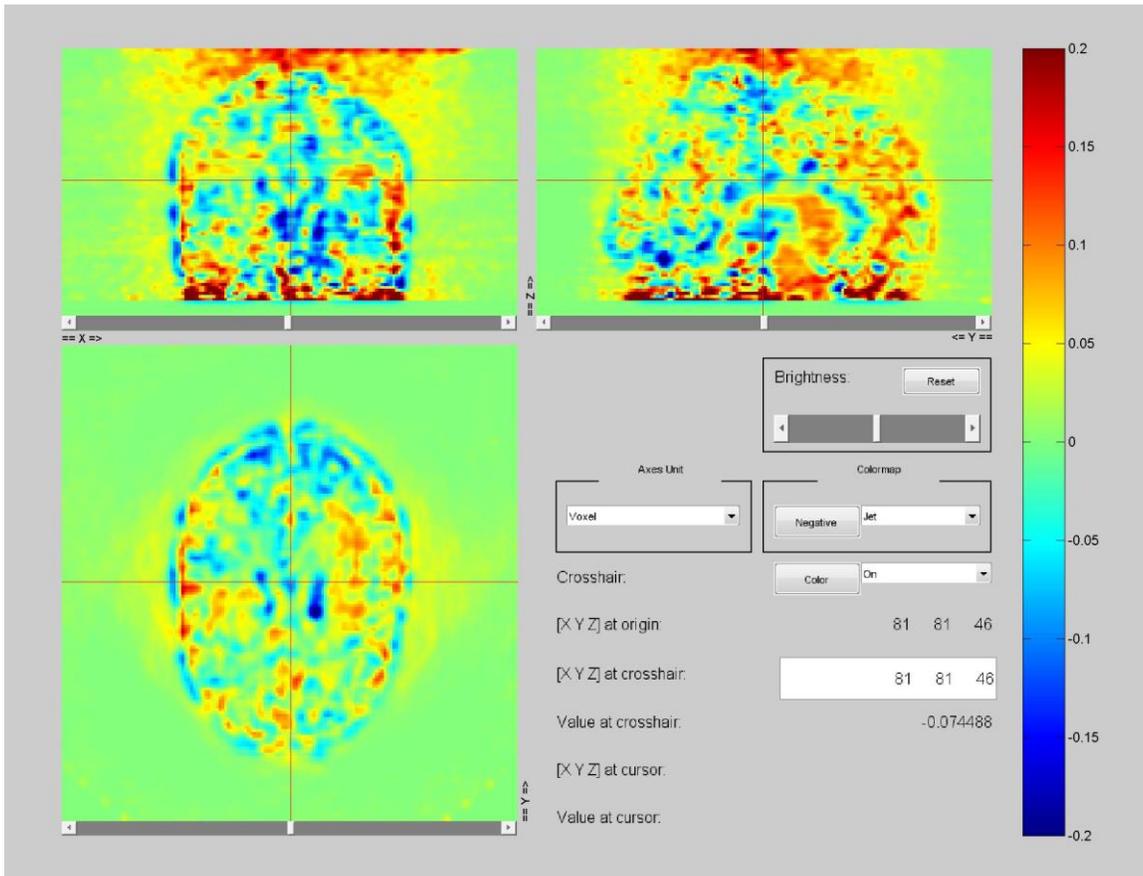
2564 **Figure 16d:**



2565
2566

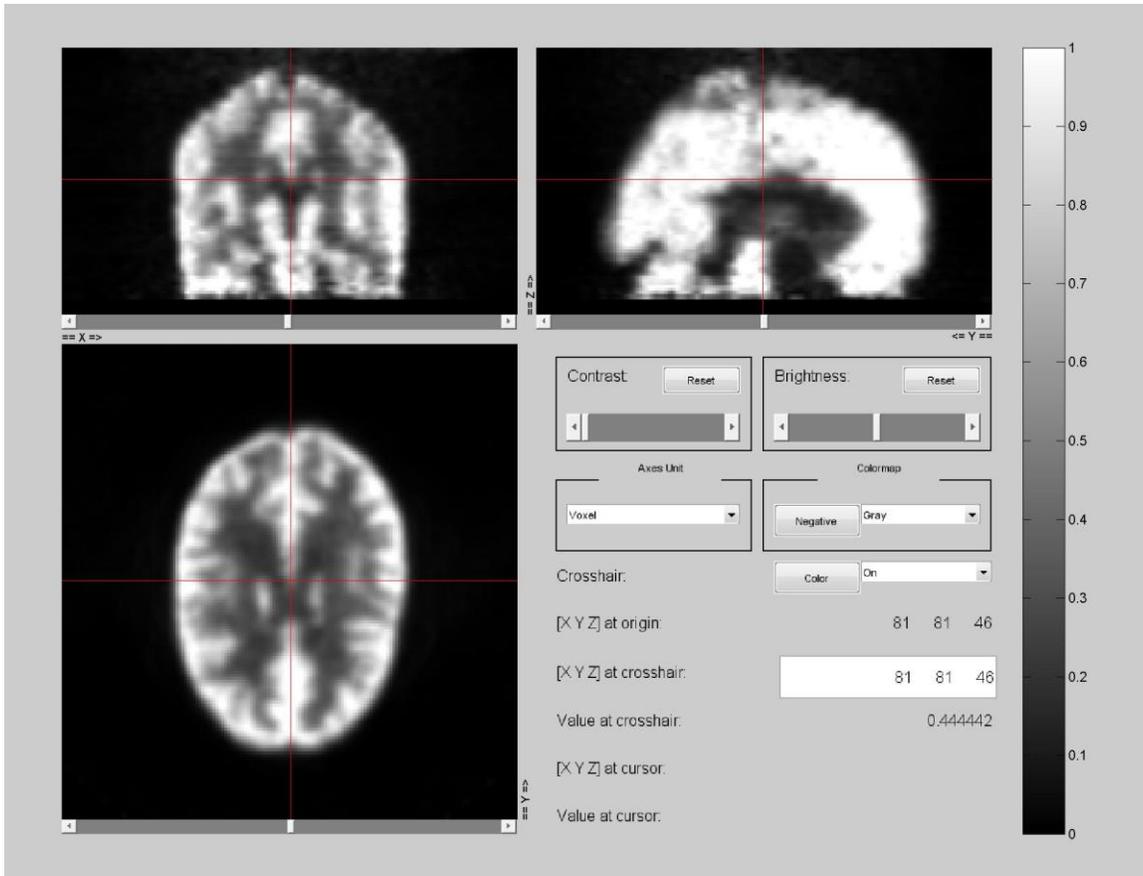
2567 **Figure 17.** Example #2. Another example of a good quality scan. ECAT HR+ (006_P_0001)

2568 **Figure 17a:**



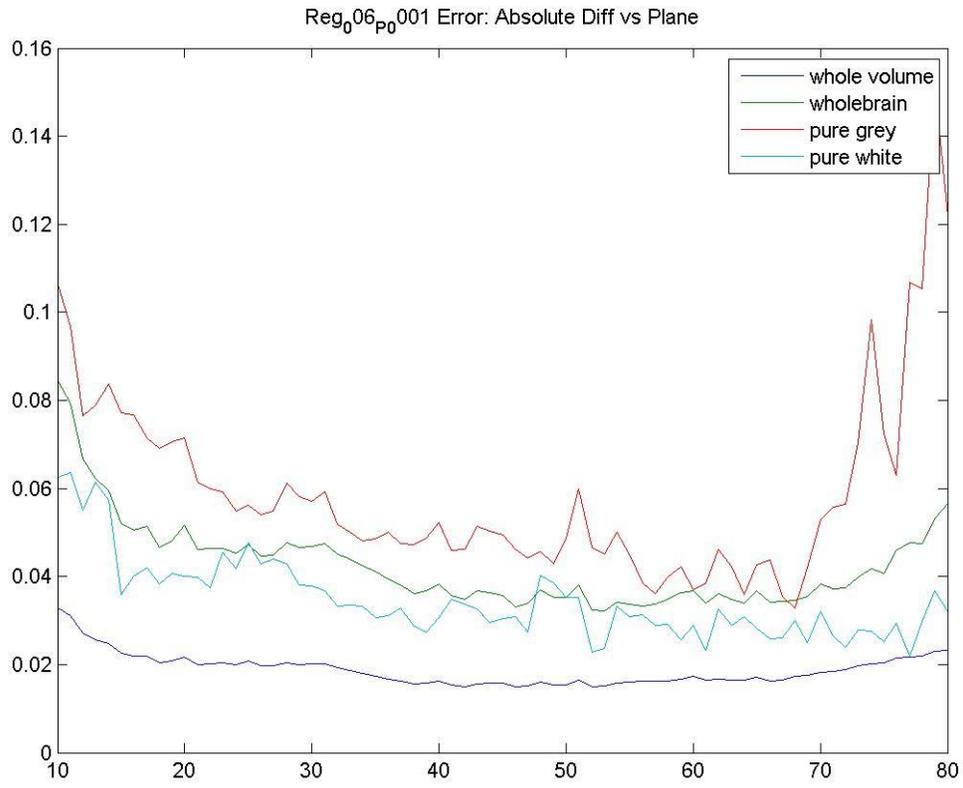
2569
2570

2571 **Figure 17b:**



2572
2573

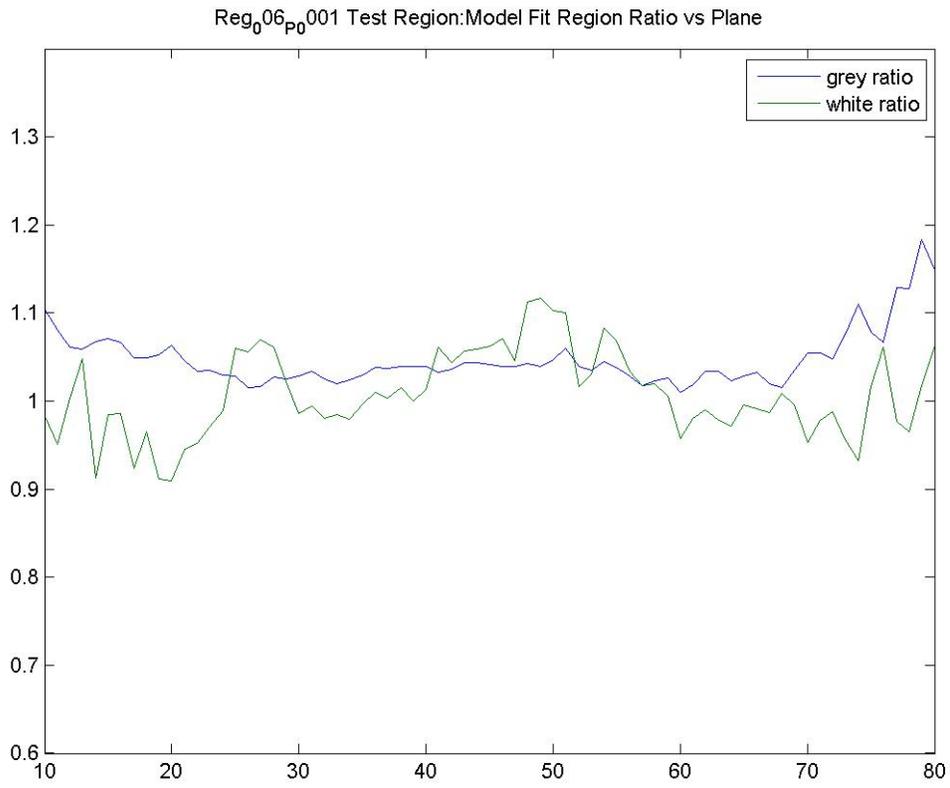
2574 **Figure 17c:**



2575
2576

2577 **Figure 17d:**

2578

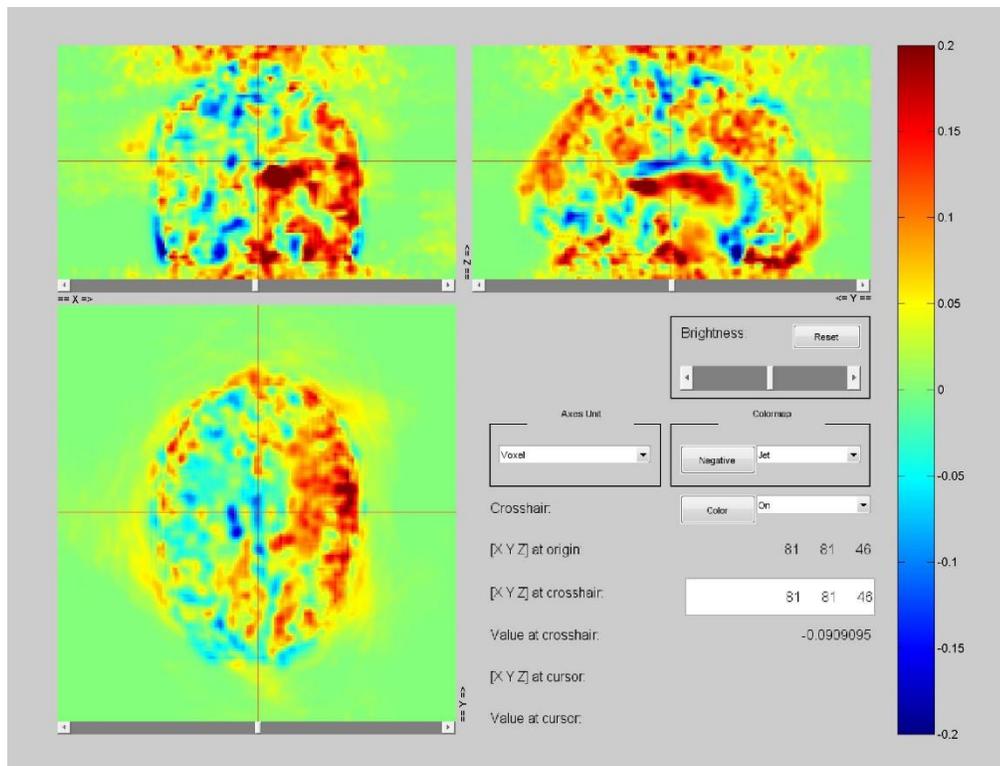


2579

2580

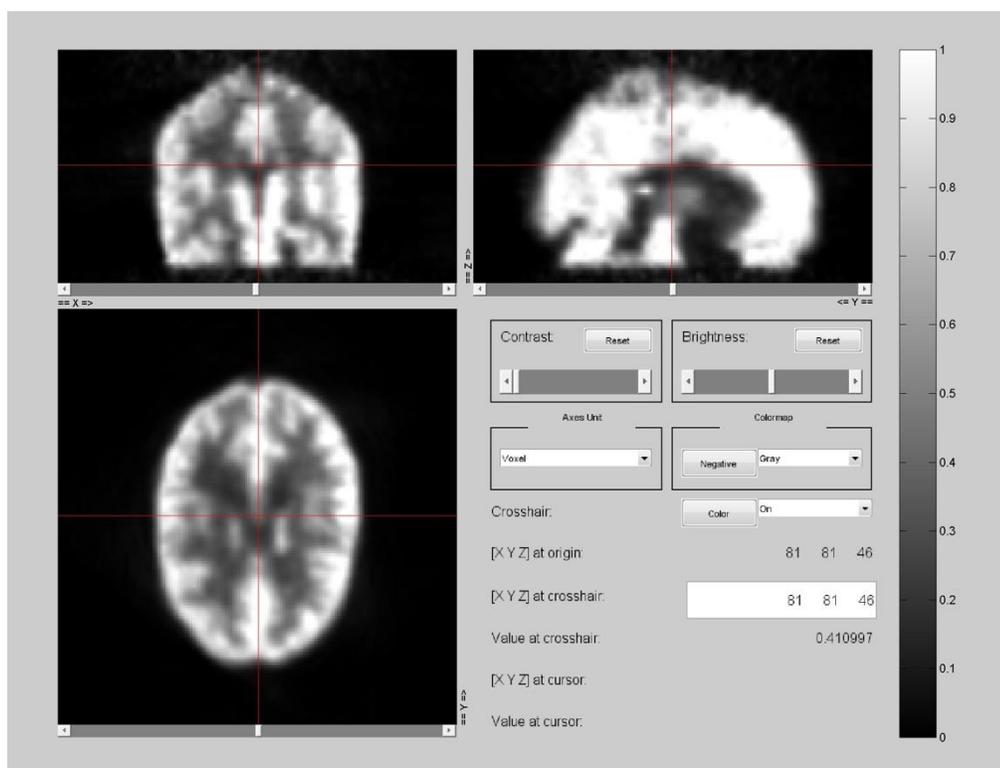
2581 **Figure 18.** Example #3. Siemens ECAT Accel (098_P_0002). Example with relatively poor image quality.
 2582 Asymmetry seen between left and right side, and large errors between planes 30 and 50. But is this a
 2583 function of poor scan quality, or a Hoffman phantom with extra space between plexiglass planes?

2584 **Figure 18a:**



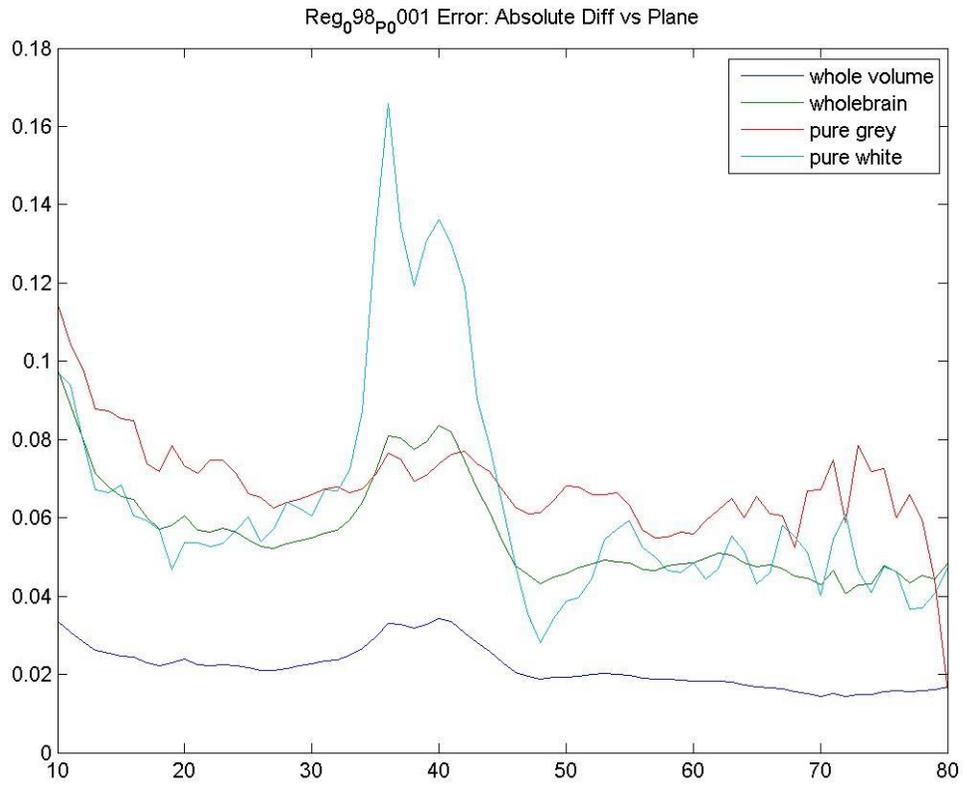
2585

2586 **Figure 18b:**



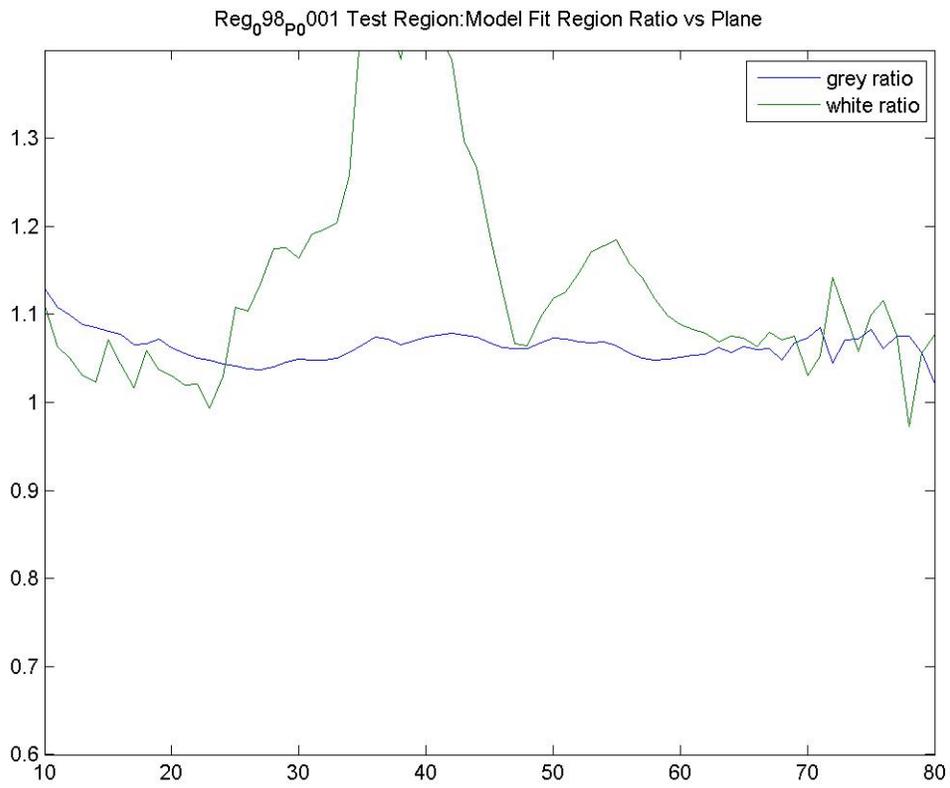
2587

2588 **Figure 18c:**



2589
2590

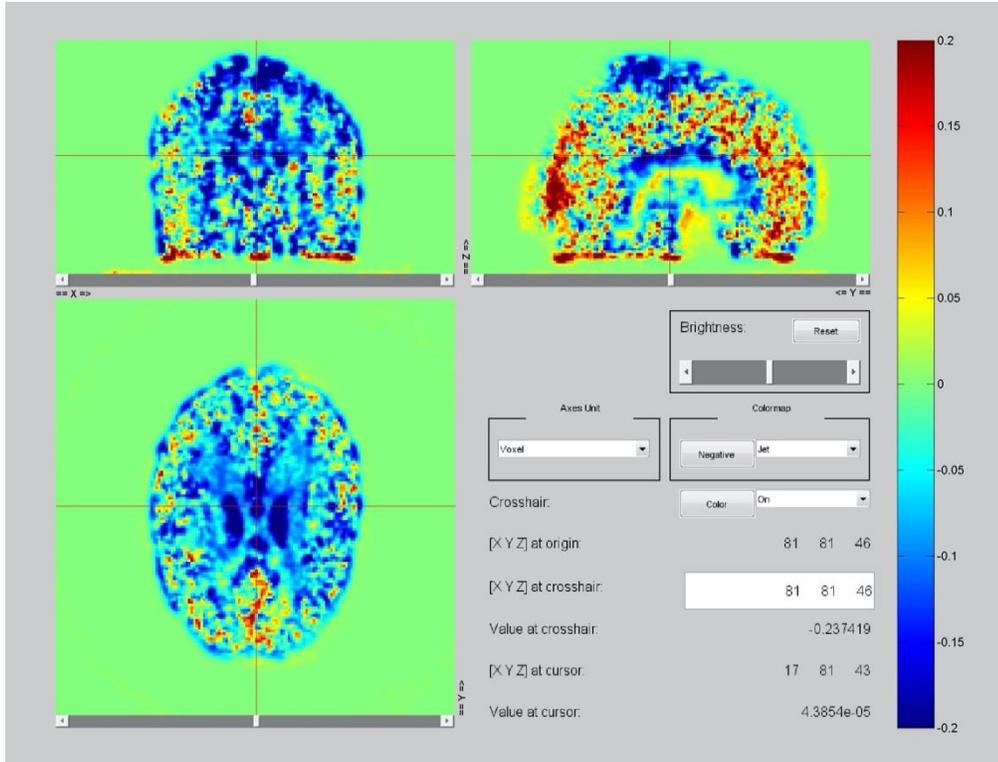
2591 **Figure 18d:**



2592
2593

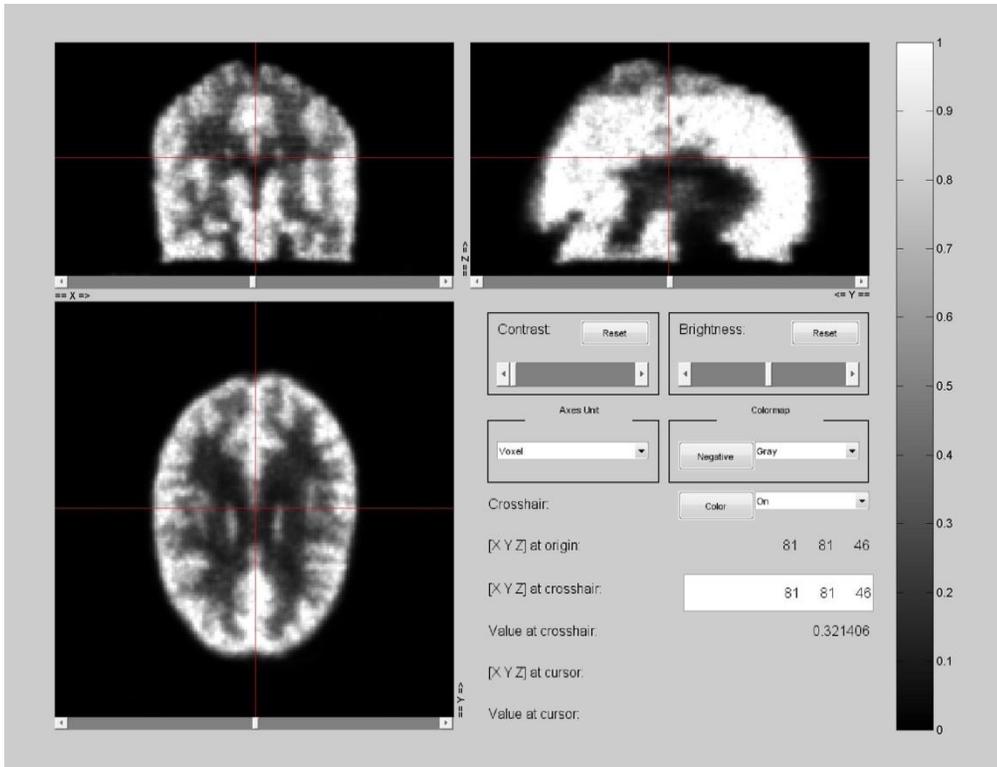
2594 **Figure 19.** Example #4. HRRT Example (128_P_0001). Poor performance at bottom of volume most likely
 2595 due to scatter correction problems. Otherwise, the scan quality is reasonably good. Difference image for
 2596 most of the brain is negative (blue regions) probably due to global image intensity normalization been
 2597 driven too low by the high intensities seen in the lower planes.

2598 **Figure 19a:**



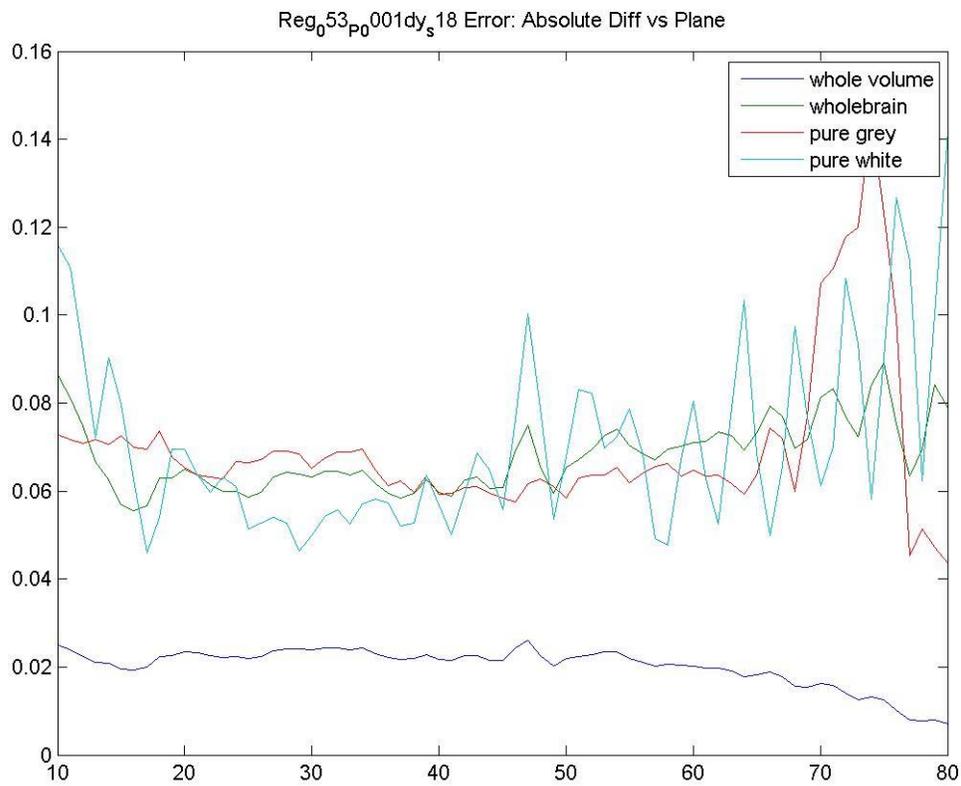
2599
 2600

2601 **Figure 19b:**



2602

2603 **Figure 19c:**

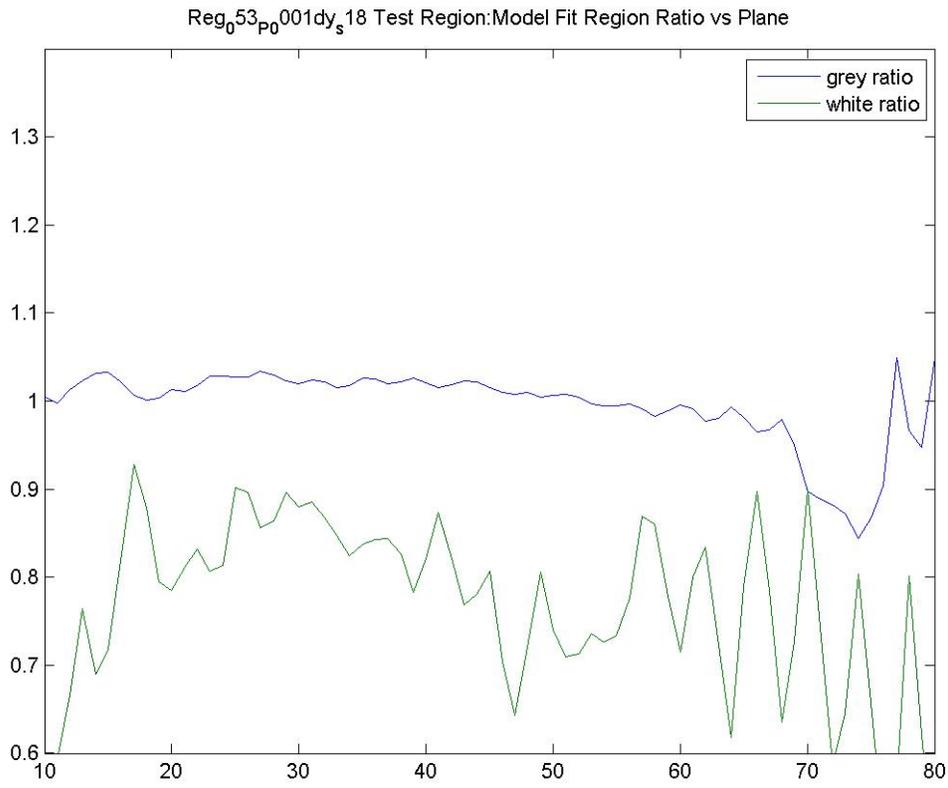


2604

2605

2606 **Figure 19d:**

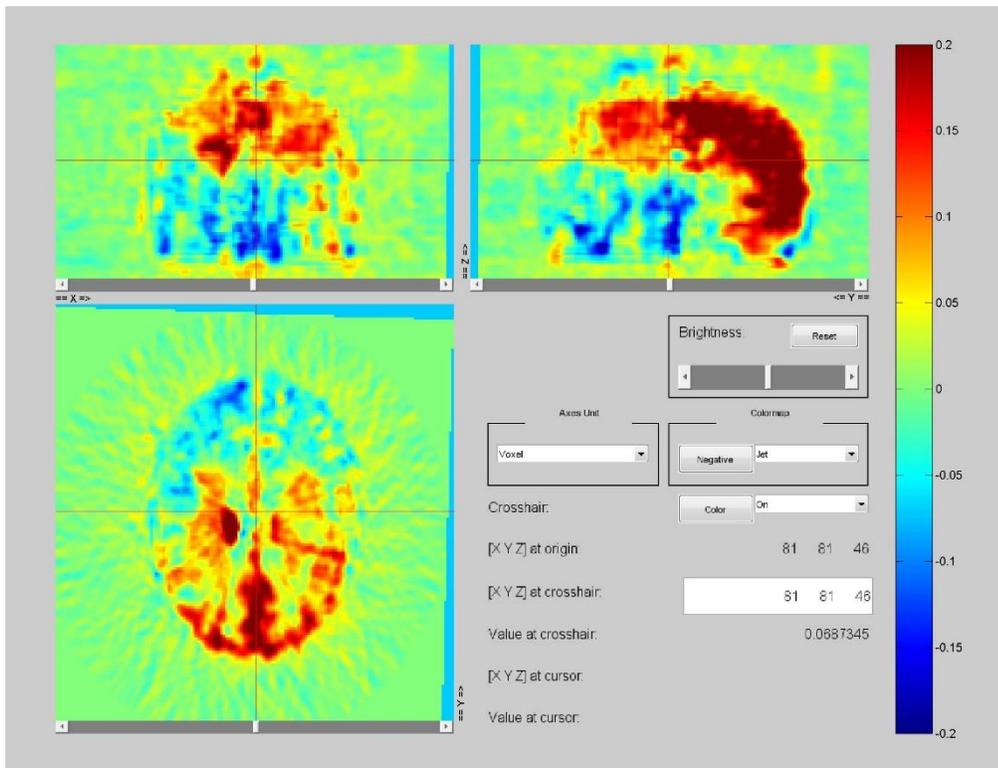
2607



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2609

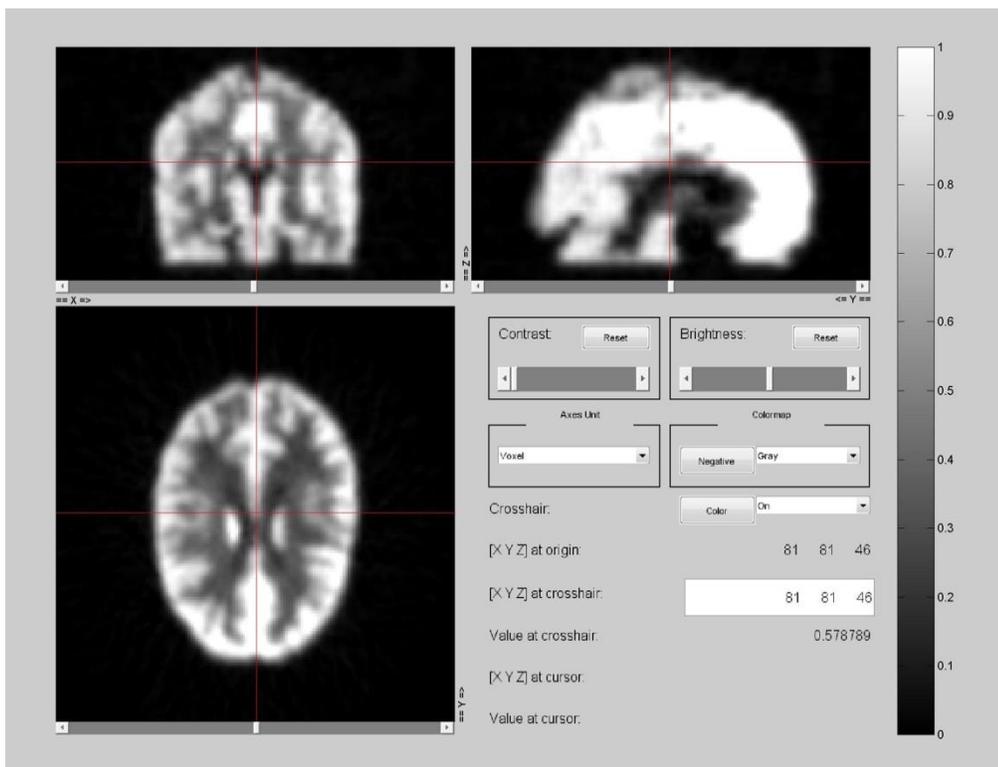
2610 **Figure 20.** Example #5. (136_P_0004) – GE Discovery ST. Poor Quality – likely fail. Very large errors in the
 2611 frontal lobe regions. White matter values compared to reference very high.

2612 **Figure 20a:**



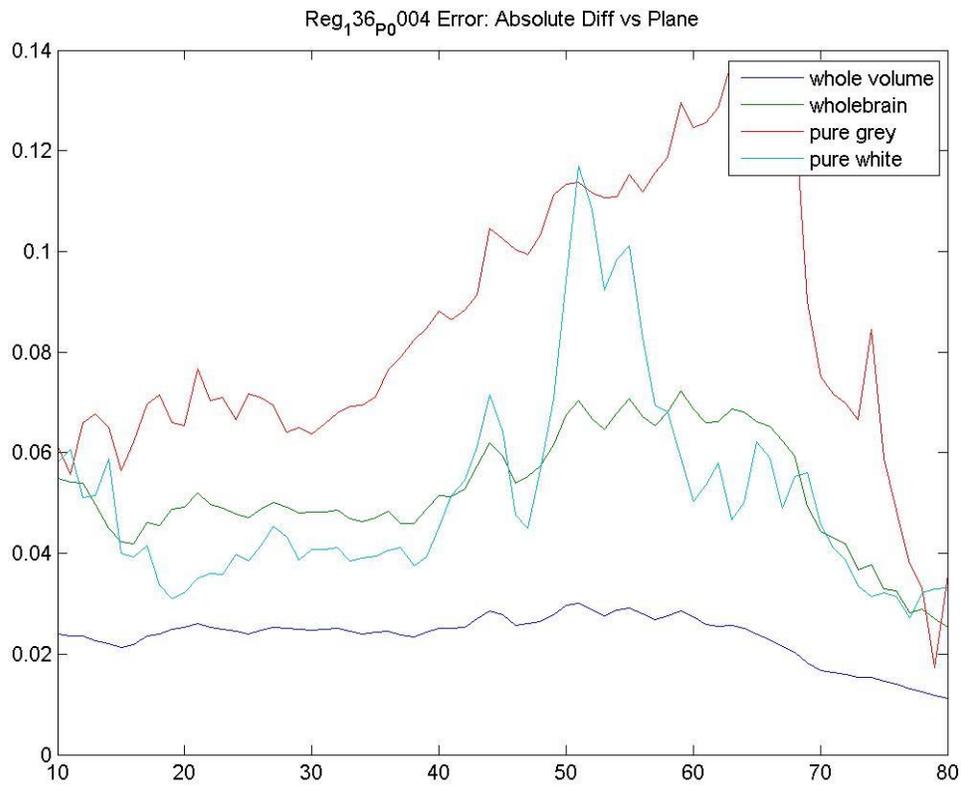
2613

2614 **Figure 20b:**



2615

2616 **Figure 20c:**



2617

2618 It is noted that a poor quality phantom scan may point to the scanner itself but can also be caused by
 2619 improper filling of the phantom. For example, in cases where laterality is observed in a phantom scan, the
 2620 possible contribution of phantom filling could be determined (and ruled out as appropriate) by flipping
 2621 the direction of the phantom and rescanning.

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2624

6.9 Appendix I: Kinetic Modeling and Comparison to SUVR

6.9.1 Introduction

This section is intended as a reference to explain (a) the difference between late timeframe SUVR measurement, and the DVR measure calculated through full kinetic modeling, (b) reasons that amyloid burden values can differ between these two approaches, (c) cautions regarding potential sources of error introduced in SUVR measurement that are addressed through kinetic modeling, (d) logistical considerations in acquiring full dynamic images, and (e) recommendations for measurement approaches.

6.9.2 The contributors to amyloid PET signal

The signal intensity measured in a particular image voxel (three dimensional pixel) of a PET image reflects the amount of radiotracer present in that location at the time of measurement. To translate the signal intensity of an amyloid PET tracer into a meaningful measure of amyloid binding, it is necessary to separate out the contributions of tracer present in the blood, tracer bound to the target (the measurement of interest), tracer bound non-specifically (to entities other than target, for example white matter) and unbound tracer in tissue. The amount of tracer in each of these is dependent upon blood flow rate, membrane permeability impacting the rate of tracer diffusion into tissue, the presence of target (e.g., amyloid) in tissue, and the rate at which the tracer is cleared from the body (“clearance rate”).

Signal intensity in first few minutes reflects perfusion

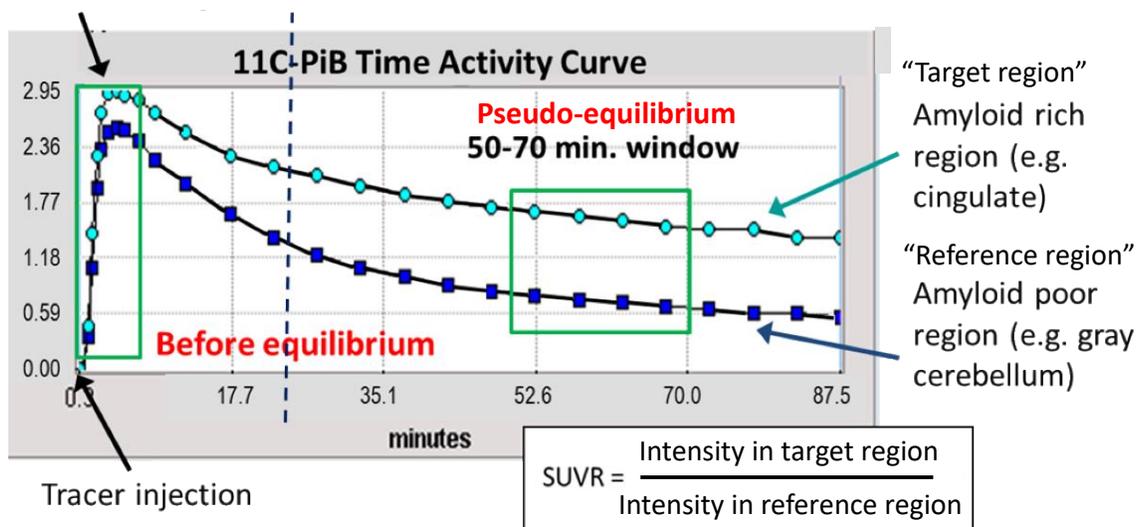
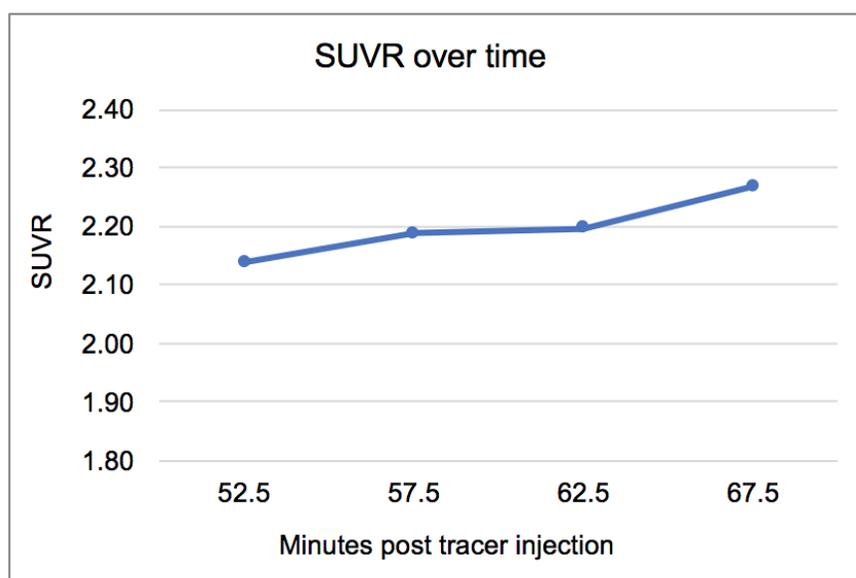


Figure 21. Time activity curves.

Figure 21 shows the signal intensity measured for the original amyloid tracer 11C-PIB in two different regions of the brain from the time of tracer injection to 90 minutes post-injection. The signal intensity curve for any given region over the time from tracer injection to a time following achievement of relative equilibrium is called a Time Activity Curve (TAC). In the initial minutes, the signal intensity reflects the rate at which the tracer is being taken up into tissue (perfusion multiplied by first pass extraction), which is driven by the combination of blood flow rate and membrane permeability. Studies of amyloid tracers

2651 including 11C-PIB and Amyvid (florbetapir) have demonstrated a strong correlation between the early
2652 frame image and that of a blood flow image for the same subject (Forsberg 2012, Gjedde 2013, Hsiao
2653 2012, Rostomian 2011). Following the first few minutes, the tracer begins to clear from the tissue, clearing
2654 less rapidly from amyloid-containing tissue to which the tracer binds. The rate of clearance into the
2655 bloodstream and out of the body is determined by several factors including kidney function and
2656 medication effects. After a tracer-specific period of time (40 to 45 minutes for 11C-PIB), the rate of tracer
2657 influx to tissue is in approximate equilibrium with its efflux back to the bloodstream.

2658 Using the TAC values from Figure 21, the SUVR over time is shown in Figure 22. It can be noted that this
2659 SUVR is not a stable value over time, for reasons discussed below. For a visualization of SUVR over time
2660 using the amyloid tracer flutemetamol see also Figure 6 of Nelissen et al (2009).



2661

2662

Figure 22. SUVR over time based upon the TAC values in Figure 21.

2663 **6.9.3 Kinetic modeling**

2664 Several different models have been developed that use simultaneous differential equations to solve for
2665 the “flux” into and out of compartments, and ultimately the amount of tracer bound to target (in this case,
2666 amyloid). The gold standard approach uses arterial blood measurements to obtain the actual tracer
2667 concentration in blood. This method has some disadvantages due to patient and staff burden and
2668 variability in the blood measurements (Lopresti 2005, Tolboom 2009). Alternate modeling approaches
2669 make use of regional measurement of carotid artery radioactivity (Lopresti 2005) or eliminate the need
2670 for blood sampling by making use of reference measurements in tissue that does not contain the binding
2671 target. For amyloid tracers, this is often the cerebellar cortex, which is generally devoid of amyloid except
2672 in latest stages of Alzheimer’s disease (ref) and certain familial forms of AD (Sepulveda-Falla 2011). The
2673 validity of the reference region approach as an approximation for blood based modeling must be tested
2674 for each new tracer, as it has been for 11-PIB (Price 2005), Amyvid (florbetapir, Wong 2010), Vizamyil
2675 (flutemetamol, Nelissen 2009), and Neuroseq (florbetaben, Becker 2013). All kinetic models make use of
2676 the entire time course of tracer measurement (TAC) from time of injection to a point at which a “pseudo-
2677 equilibrium” has been reached. All of these models have the advantage of segregating the contribution
2678 of blood flow and clearance from that of bound tracer. In the process, they provide a measure of “R1”,
2679 i.e., perfusion relative to reference perfusion. Given the correlation between blood flow and cerebral

2680 glucose metabolism that exists in many cases, this provides an additional “FDG like” image reflecting
 2681 neuronal function. The creation of a full TAC using an early time window and late time window has also
 2682 been demonstrated (Bullich 2017). The measure of target burden (in this case amyloid) derived from a
 2683 kinetic model is called the Distribution Volume Ratio (DVR or $V_{\text{tissue}}/V_{\text{nondisplaceable}}$), equal to non-
 2684 displaceable Binding Potential (BPnd) + 1. Published studies that used kinetic modeling may state the DVR
 2685 value or may alternatively state the BPnd value when stating amyloid burden.

2686 **6.9.4 Standardized Uptake Value Ratio**

2687 Despite the advantages provided by full kinetic modeling in accounting for contributions from blood flow,
 2688 binding, and clearance, there are practical drawbacks. It is difficult for patients, particularly those with
 2689 disease, to lie still in the scanner for the hour plus it may take to acquire a dynamic scan. Acquiring dynamic
 2690 scans presents additional burden on staff and starting the scan at time of injection may require two
 2691 technicians to be present. Historically, not all scanners have supported the acquisition modes or memory
 2692 capacity required to acquire the number of discrete timeframes necessary to capture a full TAC, although
 2693 most newer scanners have this capability. Using the scanner for a full hour or more also precludes its use
 2694 for other patients during that entire time.

2695 For these reasons, the SUVR is often used as an approximation for DVR. This measurement uses only a
 2696 “late timeframe” segment during which the tracer is in equilibrium. In true equilibrium, and assuming that
 2697 blood flow rates are the same in target and reference tissue, the ratio of the two tissues provides a relative
 2698 measure of the signal contribution due to amyloid binding. In reality, equilibrium is “pseudo”, in that tissue
 2699 continues to lose activity. However, numerous studies have demonstrated that the simpler SUVR
 2700 approach can provide discrimination between normal, MCI, and AD groups and, with adequate numbers
 2701 of subjects, measure group level increases or decreases (Biogen ref) over time.

2702 **6.9.5 Bias in SUVR measurements**

2703 The fact that true equilibrium is never reached can create an upward bias in SUVR value relative to DVR
 2704 (Slifstein et al, 2007, Carson et al, 1993, Frokjaer et al, 2007, van Berckel et al, 2013). To illustrate this
 2705 conceptually, from the TACs in Figure 21, it can be seen that the “receptor poor” reference region TAC
 2706 asymptotes, or flattens, more rapidly than the “receptor rich” TAC. This is because tracer binding slows
 2707 tracer flux back into the bloodstream. Even in late timeframes, neither curve is flat, which would be the
 2708 case if equilibrium were reached, and net flux were zero. However, the receptor poor curve approaches a
 2709 “flatter” stage first, as the concentration difference between tissue and plasma is lower. The difference
 2710 between the rate of change in the receptor rich TAC (the SUVR numerator) and the reference TAC (the
 2711 SUVR denominator) creates an artificially high value. A mathematical expression of this is provided in
 2712 Slifstein et al (2007), which the reader is encouraged to review for further detail along with other
 2713 references cited. In brief, as described mathematically in Slifstein, a change in concentration in a given
 2714 region is depicted by $[k_1 * C_{\text{plasma}}] \text{ minus } [k_2 * C_{\text{tissue}}]$, where k_1 is the transport coefficient from plasma to
 2715 tissue, C_{plasma} is the concentration in plasma, k_2 is the transport coefficient from tissue to plasma, and C_{tissue}
 2716 is the concentration in tissue. At equilibrium, these would sum to zero consistent with a lack of net
 2717 concentration change. The expression $C_{\text{tissue}}/C_{\text{reference}}$, which is the SUVR, would equal the DVR (where $\text{DVR} = V_{\text{tissue}}/V_{\text{ND}}$ and ND refers to nondisplaceable binding in reference region). However, only “pseudo-
 2718 equilibrium” is reached and instead, $C_{\text{tissue}}/C_{\text{reference}} = [V_{\text{tissue}} * (k_1 C_{\text{plasma}} + |dC_{\text{tissue}}/ct|)] / [V_{\text{tissue}} * (k_1 C_{\text{plasma}} + |dC_{\text{reference}}/ct|)]$. The rate of change in tissue $|dC_{\text{tissue}}/ct|$ in the numerator of this expression is greater
 2719 than the rate of change $|dC_{\text{reference}}/ct|$ for the reference tissue (which “flattened” earlier) in the expression
 2720 denominator. This erroneously increases the value of the $C_{\text{tissue}}/C_{\text{reference}}$, the SUVR.
 2721
 2722

2723 SUVR bias is often on the order of 10% (Lopresti 2005) but can reach 20% or greater depending upon the
2724 value of k_1 (van Berckel et al, 2013). Bias increases from the point at which the approach toward pseudo-
2725 equilibrium begins (e.g., 30 to 35 minutes for 11C-PIB) and continues to increase (until approximately 70
2726 minutes for 11C-PIB, van Berckel et al, 2013) before plateauing. If blood flow and clearance rates do not
2727 change from scan to scan, this bias would cancel out for longitudinal measurement. However, longitudinal
2728 error in measuring a change in SUVR can occur if the k_1 value changes from one scan to another. Changes
2729 in k_1 are influenced by blood flow and first pass extraction. Blood flow in particular can be impacted by
2730 medications including candidate therapeutics for AD. In a simulation modeled by van Berckel et al, error
2731 decreases with later timeframes, but for a decrease in k_1 from 0.32 to 0.26 the error introduced at 60
2732 minutes would be approximately -4%, significant in the context of amyloid accumulation rates.

2733 Longitudinal error can also occur if the ratio (R1) of the rate of tracer delivery to the target (“amyloid rich”)
2734 region to the rate of tracer delivery to the reference region changes from one scan to another. Such a
2735 change could be produced by (a) blood flow rate changes (e.g., decreases) in certain cortical regions
2736 relative to flow rate in a cerebellar reference region, or (b) changes in regional membrane permeability
2737 influencing tracer extraction efficiency. Using a longitudinal follow up period of 30 +/- 5 months, Van
2738 Berckel et al found that R1 values were stable over time in normal controls and MCI patients but were
2739 reduced by approximately 20% in AD patients. This is consistent with decreases in blood flow that have
2740 been observed with AD progression in regions consistent with those in which glucose hypometabolism
2741 becomes pronounced. Changes in regional blood flow rate and local membrane permeability can also be
2742 caused by therapeutic agents. A 20% reduction in R1 value was estimated to create a 2% longitudinal
2743 increase in SUVR at 60 minutes post tracer injection (van Berckel). A study that used the early (first 20
2744 minutes) and late frames (50 to 70 minutes) of florbetapir images acquired in ADNI subjects to estimate
2745 the contribution of blood flow unaccounted for in SUVR measures, also found that potential longitudinal
2746 errors on the order of 2% to 5% could occur in late MCI/AD patients due to changes in blood flow (Cselenyi
2747 et al, 2015). In the van Berckel example (Figure 1 of the reference publication), it can be seen that the
2748 error is more pronounced in the 60 to 90 minute SUVR than the 40 to 60 minute SUVR. While part of this
2749 may be due to the bias phenomenon, it has also been observed that 60 to 90 minute PIB SUVR
2750 measurements involve substantially more technical variability than earlier measurement, likely arising
2751 from lower tracer signal with noise inflated through decay correction, and greater subject motion as time
2752 in scanner proceeds.

2753 Bias in kinetic models (and SUVRs) that use a reference region

2754 It should be noted that bias also occurs in kinetic models, depending upon the model (and potentially the
2755 tracer) used, for a different reason than that discussed above for SUVRs. All reference tissue models,
2756 whether DVR or SUVR assume that:

- 2757 1. the level of non-specific binding is the same in target and reference regions
- 2758 2. the ratio K_1/k_2 is the same for target and reference regions.

2759 If either of these assumptions is violated, then the reference tissue model will not produce a true
2760 reflection of binding to target. Whether or not the model can still be used on a practical basis depends
2761 upon study objectives. Assumption 1 could be violated in the case of off-target binding, which is not
2762 homogeneous, and assumption 2 could be violated in the case of blood brain barrier (BBB) breakdown.

2763

2764 In a comparison of several modeling methods applied to the same 11C-PIB scans, Lopresti et al (2005)
2765 compared DVRs generated using the Logan graphical model with arterial blood sampling over 90 minutes
2766 (“gold standard”) to DVRs generated using methods including arterial sampling and a 60 minute interval,
2767 Logan reference region models with cerebellar cortex as reference, the Simplified Reference Tissue Model
2768 (SRTM), and SUVRs measured from 40 to 60 minutes and 40 to 90 minutes with cerebellar cortex as
2769 reference. Logan reference tissue models showed a negative bias averaging -11% for high DVR subjects,
2770 while the SRTM model showed a mean 5% bias but with broader variance than all other models for low
2771 DVR subjects, and a mean -5% bias for high DVR subjects. For comparison, the mean bias for SUVR models,
2772 high DVR subjects was 6% (60 minutes) to 9% (90 minutes). Van Berckel et al (2013) showed that DVRs
2773 generated using the Logan reference region method were 6% lower than those generated using the model
2774 Receptor Parametric Mapping (RPM2), while SUVRs were biased upward. Kinetic model bias has been
2775 attributed to a suspected difference between tracer clearance rate in the cerebellar cortex reference
2776 tissue vs. plasma (Lopresti 2005), or to differences in model susceptibility to reference region noise (van
2777 Berckel 2013). These factors can be mitigated in part through optimized model selection.

2778 **6.9.6 Logistical considerations for dynamic modeling**

2779 Acquisition of discrete timeframe data for dynamic modeling requires several short duration frames
2780 occurring immediately following tracer injection, followed by longer timeframes later on. The scanner
2781 must be capable of acquiring multi-frame data and must have adequate memory storage to support what
2782 will likely be more than 20 frames in a single session (this issue has decreased with newer scanners). The
2783 site must also either have scanner equipment that provides for a button enabling start of scan along with
2784 tracer injection, or a second staff person available to initiate scanner data acquisition at time of injection.
2785 There are further considerations with the length of the IV line depending upon the tracer (due to affinity
2786 for tubing walls for some tracers), and the position of the subject within the scanner. As additional
2787 considerations, scanner utilization time and patient burden are increased. A dual “early” (first minutes
2788 post injection) and “later” (pseudo equilibrium) data acquisition approach has been demonstrated that
2789 allowed extrapolation of a full TAC for kinetic modeling while also allowing the subject to have a “break”
2790 (Bullich 2017). However, the potential benefit of allowing a site to fit an extra scan within that “break”
2791 period is offset by the potential occurrence of a delay in continuing the scan, and associated introduction
2792 of technical variability. To assess blood flow changes, alternate modalities such as arterial spin labeling
2793 (ASL) MRI have been proposed; however, these require validation for use in this context and do not
2794 capture clearance changes.

2795 It should be noted that kinetic modeling does not overcome error introduced by subject motion,
2796 misalignment between emission and transmission scan, or other technical sources of noise. Since the risk
2797 of subject movement increases with longer times in the scanner, these variables can actually outweigh
2798 the benefits unless provisions are made to align each timeframe prior to attenuation correction.

2799 **6.9.7 Conclusions**

2800 Longitudinal changes in SUVR arising from systematic changes in blood flow ratios and clearance rates
2801 mentioned in this section are not accounted for in the coefficient of variation in the profile Claim, which
2802 captures non-systematic variability. The impact of systematic changes is highly dependent upon the study
2803 population and therapeutic agent. When evaluating patient populations where the disease process may
2804 impact blood flow or clearance rate, or where a therapeutic intervention could impact these factors, it is
2805 strongly recommended to conduct at least an initial study using full dynamic modeling in order to
2806 determine whether the SUVR approach is an acceptable substitute. Despite the logistical challenges of

2807 conducting full dynamic imaging, there are certain sites that routinely acquire data of this type. The
2808 benefit of characterizing potential erroneous signal changes due to changes in blood flow or clearance
2809 merits inclusion of such studies prior to broadening a longitudinal amyloid measurement trial through use
2810 of SUVR.

2811

2812

6.10 Appendix I: SNMMI PAT Uniform Phantom Analysis sample report



Introduction

The Uniform Phantom Analysis is meant to provide five distinct measures of scanner performance. These are relevant for daily clinical performance as well as qualifying a scanner for use in trials.

1. Scanner Quantitative Calibration Accuracy
2. Uniformity in the axial (across planes) direction
3. Uniformity in the radial (within planes) direction
4. Spatial resolution in the axial direction
5. Spatial resolution in the radial direction

Phantom Data Acquisition and Reconstruction

This phantom study is meant to quantify some of the most fundamental metrics associated with your PET scanner performance. To get accurate measures this test is meant to be performed using:

1. A lengthy two-bed position (at least) scan of your 20 cm diameter uniform phantom (15-30 minutes per bed position). The phantom is tilted on a slight incline (front edge raised approximately 2 cm) so that spatial resolution can be accurately assessed from the edge of the phantom given that its physical edge occurs at a gradual progression of y-locations (floor to ceiling) in different axial slices. The long acquisition minimizes statistical noise.
2. Your standard clinical oncology reconstruction to get an accurate assessment of resolution using your clinically-used reconstruction algorithm and parameters.

Software Functioning

The software expects the uniform phantom data to be acquired on a slight incline. It understands the cylindrical geometry of the phantom and analyzes the images to determine the 3D equation of the central axis of the cylinder. Given this information, a series of measurements is made without requiring user interaction.

- **Calibration Accuracy:** A large cylindrical VOI is placed in the center of the phantom (avoiding edge effects).
- **Uniformity in the Axial Direction:** Individual approximately 15 cm diameter circular ROIs are placed in the center of each axial slice.
- **Uniformity in the Radial Direction:** Five individual circular regions of interest approximately 4 cm in diameter are placed in each axial slice anterior, posterior, left, right, and center.
- **Spatial Resolution in the Axial Direction:** An edge profile is drawn for the central axial slice, and several slices in front and several slices behind. Using the measured phantom axis angle to calculate fractional offset of the adjacent edge curves, a highly sampled edge response curve can be pieced

together. A mathematical function is fit to this curve in order to measure the axial resolution.

- **Spatial Resolution in the Radial Direction:** An edge profile is drawn on the central coronal slice and several slices to the left and right. In a manner similar to the previous step, piecing these several profiles together creates a highly sampled edge response function that can be used to assess the radial resolution.

Caveats

The software expects the phantom data to be collected at a slight incline. If it is not, and the scan is performed with the phantom parallel to the axis of the scanner then all measurements will still be valid EXCEPT the resolution measurements, which require the higher sampling afforded by the inclined phantom.

Report Header

The header of the report is at the top of the first page, see Figure 23.

Facility: University of Iowa Hospitals	Phantom: Uniform	Concentration: 0.21 μ Ci/ml
Scanner Model: SIEMENS Biograph64_Vision 600	Scan: 08/02/2019	Time Per Bed: 3.0min.
Reconstruction: PSF+TOF 4i5s Gauss3.00		

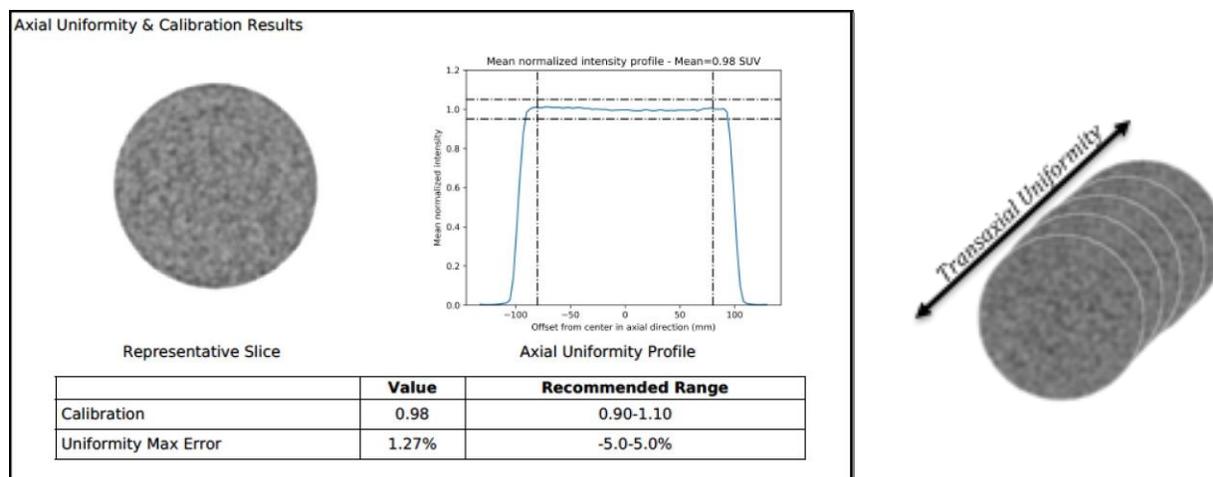
Figure 23. Example report header.

This Section reads the facility name, scanner make and model, reconstruction, scan date, and time per bed position from the DICOM Tags. It also reports the actual concentration in the phantom based upon the reported activity injected into the phantom, and the phantom volume.

Scanner Calibration and Axial Uniformity

The scanner calibration accuracy is reported at the bottom of the first box. The “Calibration” reported is the PET measured concentration from a large cylindrical VOI automatically placed on the image data, divided by the actual concentration at scan time as determined by the decay corrected concentration as calculated from the data entered into PAT (activity injected into the phantom, time of dose measurement, the phantom fill volume). The Calibration reported should ideally be 1.00 with an acceptable range between 0.90 -1.10 (within $\pm 10\%$ of actual concentration).

2883



2884 **Figure 24.** Example results report.

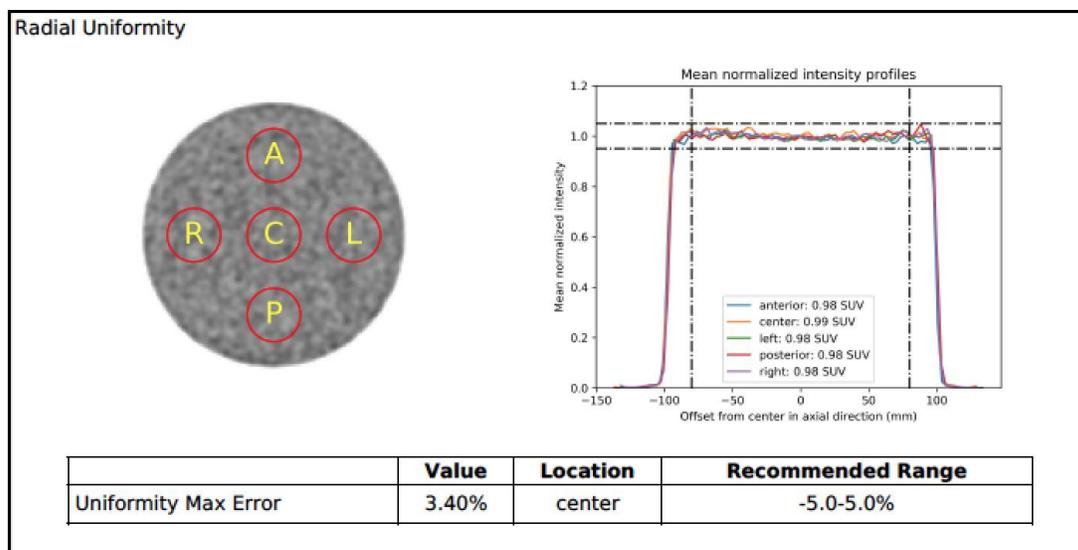
2885 Axial uniformity is reported both graphically as a profile through all axial slices of the scanner, and
 2886 numerically in a downloadable spreadsheet available from PAT (Figure 24). For purposes of uniformity (but
 2887 not of accuracy) the plot is normalized to the mean measured across the scanner’s axial field of view and will
 2888 always be centered around 1.0. A circular region of interest of approximately 15 cm is centered in each slice
 2889 around the centroid pixel to determine the mean concentration per slice.

2890 For purposes of uniformity assessment, only the central 80% of slices are analyzed (designated by two dotted
 2891 vertical lines in the plot) so as to avoid edge/resolution effects. Two horizontal dotted lines are provided at \pm
 2892 5%. Typically, a scanner should have uniformity that stays within that \pm 5% window. The largest deviation
 2893 from 1.0 is reported in the first box underneath the Calibration measure. One should *not* observe a gradient
 2894 from front to back (or vice versa), and this would be evidence of a problem, even if it were to stay within the
 2895 \pm 5% boundaries.
 2896

2897 **Radial Uniformity**

2898 Radial uniformity is reported both graphically and numerically in the second box as a profile through all axial
 2899 slices of the scanner (Figure 25). For this measurement, five individual circular regions of interest
 2900 approximately 4 cm in diameter are placed in each axial slice anterior, posterior, left, right, and center to assess
 2901 radial uniformity in each slice. Like the first box, this plot is normalized to the mean measured across the
 2902 scanners axial field of view, and so will always be centered around 1.0.
 2903

2904



2905 **Figure 25.** Example results report.

2906

2907 For purposes of uniformity assessment, only the central 80% of slices are analyzed (designated by two dotted
 2908 vertical lines in the plot) so as to avoid edge/resolution effects. Two horizontal dotted lines are provided at
 2909 $\pm 5\%$. Typically, all five regions should have uniformity that stays within that $\pm 5\%$ window, however because
 2910 these are smaller regions, noise may result in excursions slightly above and below the 5% line, which is to be
 2911 expected and is likely of no consequence. Here we are looking for geometric bias. Is the anterior region
 2912 systematically different than the posterior region? Is the left different than the right? Is the center region
 2913 higher or lower than the peripheral regions (as might be seen if either attenuation or scatter corrections are
 2914 not being performed appropriately)? It is up to the reader to make these determinations, as no automated
 2915 detection of regional bias is performed.

2916 The largest deviation from 1.0 is reported in the first box underneath the Calibration measure, along with
 2917 which region this occurred in.

2918

2919 **Resolution Measurement**

2920

2921 Spatial resolution measurements of PET scanners have historically been performed using point sources of F-
 2922 18 in air reconstructed using filtered back-projection. This is the NEMA approach, which has the explicit
 2923 purpose of measuring the *intrinsic* resolution of a PET scanner; it does not, however, provide a meaningful
 2924 measurement of resolution under clinical scanning conditions.

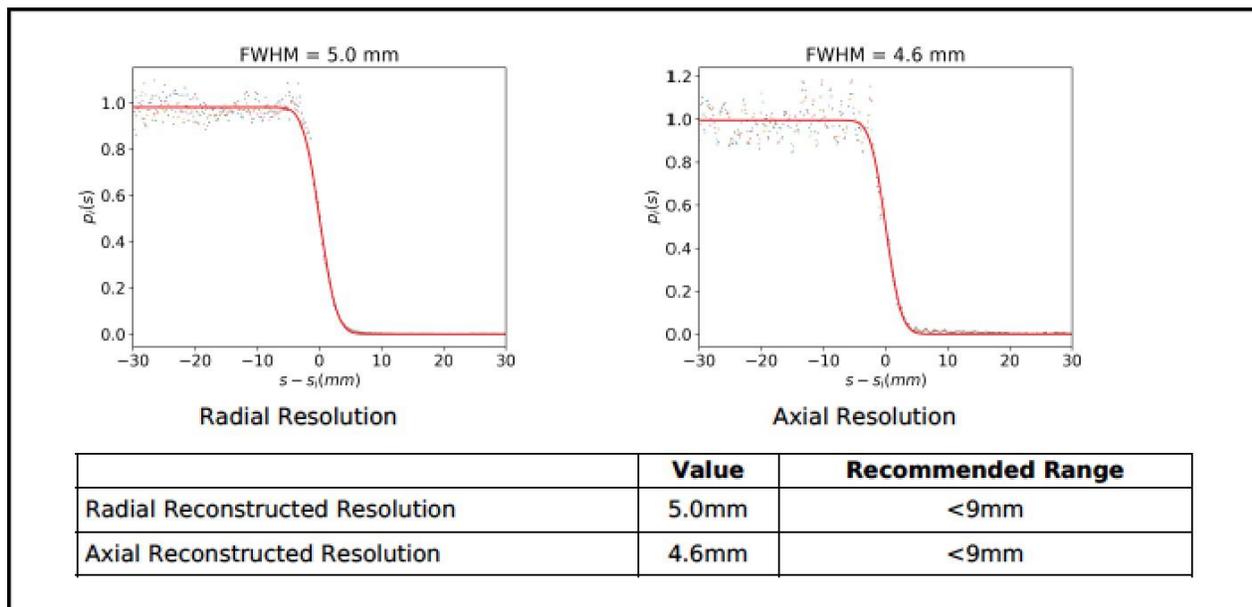
2925

2926 The PAT approach targets providing sites with a meaningful measure of spatial resolution under more
 2927 clinically relevant conditions. PAT implements an algorithm developed by Lodge¹ that uses the edge
 2928 response function measurement from the uniform phantom acquired at a slightly oblique angle to measure
 2929 both axial and radial resolution. This approach uses the phantom data reconstructed with the site's clinical
 2930 reconstruction method in the presence of scatter and attenuation material to generate a clinically
 2931 meaningful measurement of resolution.

2932 The table provided in the PAT report includes the composite edge response function for the radial and
 2933 axial planes, along with the functional fit to the data. Figure 26 documents the axial and radial resolution

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measurements. The dots indicate the data, and the curves indicate the function fit from which the resolution measure is derived.



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Figure 26. Example results report.

2939 DICOM and Fill Information

2940 Relevant DICOM header and fill information is displayed in Figure 27. This is provided to provide a simple
2941 means to check the fill and reconstruction information.

2942
2943

Name	Value
Institution	University of Iowa Hospitals
Phantom	Uniform
Series Description	PET WB ultraHD
Scan Date	08/02/2019
Scan Time	14:58:07
Assay Time	14:32:00
Background Volume	6303.0g
Background Activity	1.59
Uptake Time	26.1
Minutes per Bed	3.00
Voxel Dimensions	1.65x1.65x3.00mm
Matrix Dimensions	440x440x88
Scanner Make and Model	SIEMENS Biograph64_Vision 600
Reconstruction Method	PSF+TOF 4i5s
Reconstruction Parameters	
Reconstruction Filter	XYZ Gauss3.00

2944
2945

Figure 27. Relevant DICOM information.

2946 **References**

2947 *Measuring PET Spatial Resolution Using a Cylinder Phantom Positioned at an Oblique Angle.*

2948 Lodge MA, Leal JP, Rahmim A, Sunderland JJ, Frey EC. J Nucl Med. 2018 Jun 14

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6.11 Appendix K: Conformance Checklists

6.11.1 INSTRUCTIONS

Amyloid PET Imaging

This Checklist is organized by "Actor" for convenience, in Tables 54-62. If a QIBA Conformance Statement is already available for an actor (e.g., your analysis software), you may choose to provide a copy of that statement rather than confirming each of the requirements in that Actors checklist yourself.

Within an Actor Checklist the requirements are grouped by the corresponding Activity in the QIBA Profile document. If you are unsure about the meaning or intent of a requirement, additional details may be available in the Discussion section of the corresponding Activity in the Profile.

Conforms (Y/N) indicates whether you have performed the requirement and confirmed conformance. When responding N, please explain why.

An additional Site Opinion column is included during the Technical Confirmation process to allow you to indicate how the requirement relates to your current, preferred practice. When responding Not Feasible or Feasible, will not do (i.e., not worth it to achieve the Profile Claim), please explain why.

An additional column has been included to assess the impact of a given step for the purposes of checklist finalization. This column could be migrated to a quantitative scoring or note regarding quantitative impact in future versions. Some items that are "Low Impact" or else "Done anyway" may not be as important to include in practical use. For example, in the case of requirements that relate to DICOM fields, typically these could be confirmed through knowledge of the scanner model, software version, and DICOM conformance, rather than checked separately.

Feedback on all aspects of the Profile and associated processes is welcomed.

Site checklist	Page 2
Imaging Facility Coordinator checklist	Page 3
Nuclear Medicine Physician / Radiologist checklist	Page 4
Medical Physicist checklist	Page 5
Technologist checklist	Page 7
Acquisition Device and Reconstruction software checklist	Page 11
Image Analyst / Tool checklist	Page 16

2993 **6.11.2 SITE CHECKLIST**2994 **Table 54. Site checklist.**

Parameter	Conforms (Y/N)	Requirement (Site)
Acquisition Devices		Shall confirm all participating acquisition devices conform to this Profile.
Reconstruction Software		Shall confirm all participating reconstruction software conforms to this Profile.
Image Analysis Tools		Shall confirm all participating image analysis tools conform to this Profile. (not applicable in clinical trial with central data QC, processing, analysis)
Radiologists		Shall confirm all participating radiologists conform to this Profile.
Physicists		Shall confirm all participating physicists conform to this Profile.
Technologists		Shall confirm all participating technologists conform to this Profile.

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2998 **6.11.3 IMAGING FACILITY COORDINATOR CHECKLIST**

2999 **Table 55. Imaging facility coordinator checklist.**

Section	Parameter	Conforms (Y/N)	Requirement (Imaging Facility Coordinator)	Inclusion notes
3.8.2	Accreditation / Qualification		Shall maintain and document Accredited status for clinical practice (ACR, IAC, TJC, etc.) or Qualified status for clinical trials (e.g., ACRIN, SNMMI-CTN, EARL, iCROs, etc.).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Personnel Roster		Each site shall have the support of certified technologists, physicists, and physicians experienced in the use of amyloid-PET/CT in the conduct of clinical trials.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Technologist		Technologist certification shall be equivalent to the recommendations published by the Society of Nuclear Medicine and Molecular Imaging Technologists Section (SNMMI-TS) and the American Society of Radiologic Technologists (ASRT) and meet all relevant regulatory requirements.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Medical Physicist		Medical physicists shall be certified in Medical Nuclear Physics or Radiological Physics by the American Board of Radiology (ABR) or equivalent certification.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Physician		Physicians overseeing PET/CT scans shall have board certification by the American Board of Nuclear Medicine (ABNM) or equivalent.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.3.2	Scanner hardware		The same scanner will be used for all longitudinal scans acquired for the same subject.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.3.2	Scanner operating software		The same scanner software will be used for all longitudinal scans acquired for the same subject (or requalified if update is necessary).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.1	PET scanner		This Profile shall only address full ring PET scanners that have the capability of acquiring a transmission image for attenuation correction and have a minimum axial FOV of 15 cm for a single bed position.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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3002 **6.11.4 NUCLEAR MEDICINE PHYSICIAN / RADIOLOGIST CHECKLIST**

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3004 (Note: This Profile addresses quantitation and does not cover visual reads, which would involve additional
 3005 requirements for the Nuclear Medicine Physician or Radiologist. Certification of the physicians is covered
 3006 under the Facility Coordinator as an actor.)

3007 **Table 56. Physician checklist.**

Section	Parameter	Conforms (Y/N)	Requirement (Physician)	Inclusion notes
3.3.3.1.3	Administered amyloid radiotracer Activity		Qualified health professional shall assay the pre-injection activity, record time of assay, inject quantity per protocol and record time of injection, assay residual activity after injection and record time of measurement	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Amyloid radiotracer administration		Shall administer tracer intravenously through indwelling catheter (24 gauge or larger), with 3-way valve system attached to allow at least 10 cc normal saline flush after injection	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Suspected infiltration or extraneous leakage		Shall record event and expected amount, and image infiltration site	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.5	PET scanner Resolution		Shall perform and document, on at least an annual basis or during an initial site qualification process, a qualitative resolution QC test by using the manufacturer’s settings and verifying resolution of normal gross anatomic features within either a clinical image or representative brain phantom.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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3010 **6.11.5 MEDICAL PHYSICIST CHECKLIST**3011 **Table 57. Medical physicist checklist.**

Section	Parameter	Conforms (Y/N)	Requirement (Physician)	Inclusion notes
3.8.4.4	Uniformity measurement		<p>Axial uniformity shall be measured at least monthly by placing a circular ROI that is at least 1 cm in diameter less than the active diameter of the cylinder phantom, centered on each of the axial planes. Mean axial concentrations in ROIs in the central 80% of planes shall be within $\pm 3\%$ of the overall average for each qualified axial slice within sufficient distance from the axial edge of the field of view (2-4 cm). A method and software such as the PAT Uniformity software available from SNMMI may be used for measurement.</p> <p>Uniformity across planes against a gold standard reference can also be measured using a Hoffman phantom as described in Appendix H.</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.5	PET scanner Resolution		<p>Shall perform (during an initial site qualification process, and then at least every one year) and document performance of a <u>quantitative</u> assessment (using a phantom with differing size defined targets such as the Hoffman, ACR or NEMA IQ phantoms) for spatial resolution. The FWHM resolution of the scanner should be ≤ 8.0 mm with a preferable target of 4 to 5 mm.</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.6	Phantom tests: Frequency of noise measurements		<p>Shall perform at baseline, quarterly and after scanner upgrades, maintenance or repairs, and new setups.</p>	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.8.4.6	Phantom test: noise measurements		<p>A uniform cylinder phantom or equivalent shall be filled with an 18-F concentration in the uniform area (approximately 0.1 to 0.2 $\mu\text{C}/\text{ml}$) and scanned using the intended acquisition protocol. Using a rectangular or spherical region as close as possible to, but no smaller than, 3 cm to a side, the COV of the voxel values within the region should be below 15%, for the slices within the central 80% of the axial FOV.</p>	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.8.4.7	Phantom test: gray/white matter ratio measurement		<p>Using a phantom that contains different regions having uptake ratios between 2:1 and 4:1, measure the high to low ratio and ensure that the ratio is within 10% of specified contrast.</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.8	Phantom test: SUVR accuracy		<p>The quantitative accuracy of the scanner shall be within $\pm 10\%$ of the cross-referenced radionuclide calibrator (when properly calibrated).</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Physician)	Inclusion notes
3.8.5.1	Radionuclide Calibrator Linearity		Shall evaluate quarterly (or after any radionuclide calibrator event) using either 18F or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi) and the true value is determined by a linear fit (to the log data) over the same operating range. Concentric sleeve method is acceptable.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.2	Scales		Shall evaluate annually or after any repair by qualified personnel.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.3	Scanner and site clocks		PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within +/-1 minute.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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3014 **6.11.6 TECHNOLOGIST CHECKLIST**

3015 **Table 58. Technologist checklist.**

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
3.3.3.1.3	Administered amyloid radio-tracer Activity		Qualified health professional shall assay the pre-injection activity, record time of assay, inject quantity per protocol and record time of injection, assay residual activity after injection and record time of measurement	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Amyloid radiotracer administration		Shall administer tracer intravenously through indwelling catheter (24 gauge or larger), with 3-way valve system attached to allow at least 10 cc normal saline flush after injection	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Suspected infiltration or extraneous leakage		Shall record event and expected amount, and image infiltration site	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.1	Tracer Injection Time		Shall enter the time of amyloid tracer injection into PET scanner console during the acquisition	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.1	Tracer Uptake Time		Shall ensure that the tracer uptake time for the baseline scan is within the acceptable range for the specific radiotracer	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.1	Tracer Uptake Time		When repeating a scan on same subject, shall apply the same time interval used at the earlier time point as closely as possible and not more than +/- 5 minutes	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall position the subject according to protocol specifications consistently for all scans, with brain fully in field of view, ideally centered and with bottom of cerebellum at least 2.5 cm away from edge of axial FOV unless otherwise specified by protocol.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall ensure the comfort of the subject in the head holder prior to initiating the scan, to minimize the likelihood of movement.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall instruct the subject to hold as still as possible during the scan.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall document the head position of the subject in the scanner FOV so that this can be replicated for subsequent scans.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning (non-compliance)		Shall document issues regarding subject non-compliance with positioning.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
3.4.1.3	Anatomic Coverage		Shall perform the scan such that the anatomic coverage (including the entire brain) is acquired in a single bed position according to the protocol specifications and the same for all time points.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.4.1.4.1	PET acquisition mode		The key PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) shall be set as specified by study protocol and used consistently for all patient scans.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.4.1.4.1	PET acquisition mode		PET shall be acquired in listmode format (best) or dynamic time frames of no more than 5 minutes each, when possible, in order to allow checking and correction for subject motion.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.4.2	CT acquisition mode		The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be set as specified by study protocol and used consistently for all subject scans.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.4.2	CT acquisition mode		If CT kVp is not specified in the study protocol, a minimum kVp of 80 shall be used and used consistently for all subject scans.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.1	PET image reconstruction		The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be identical for a given subject across time points.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.1	PET image reconstruction		If available, the Point Spread Function (PSF) option can be used; the use or non-use of PSF must be consistent for a given subject across time points.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway (High impact relates to the need for consistent use if applied.)
3.5.1	PET image reconstruction		If available, the time of flight (TOF) option can be used; the use or non-use of TOF must be consistent for a given subject across time points.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway (High impact relates to the need for consistent use if applied.)
3.5.1	PET image reconstruction		The Technologist shall perform the image reconstruction such that the matrix, slice thickness, and reconstruction zoom shall yield a voxel size of ≤ 2.5 mm in the x and y dimensions and ≤ 2.5 mm in the z direction (relatively recent GE scanners have a resolution of 3.27 mm but are also acceptable; older scanners such as GE Advance and GE Discovery LS	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway Loss of resolution reduces ability

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
			may require up to 4.25 mm and are not as recommended).	to detect signal change
3.5.1	Correction factors		All quantitative corrections shall be applied during the image reconstruction process. These include attenuation, scatter, random, dead-time, and efficiency normalizations.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.2.13.5.2.2.1	Image orientation		The raw image will be spatially oriented per study protocol.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.3	Data archiving: raw images		The originally reconstructed PET images (image raw data), with attenuation correction, and CT images shall always be archived at the local site.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	Radionuclide Calibrator Constancy		Shall evaluate daily (or after any radionuclide calibrator event) using a NIST-traceable (or equivalent) simulated 18F, Cs-137, or Co-57 radionuclide calibrator standard and confirmed that measured activity differs by no greater than $\pm 2.5\%$ from the expected value.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	Radionuclide Calibrator Accuracy		Shall evaluate annually (or after any radionuclide calibrator event) with a NIST-traceable (or equivalent) simulated F-18 radionuclide calibrator standard (use of other long-lived NIST standards are acceptable). Shall confirm that net measured activities differ no greater than $\pm 2.5\%$ from expected value.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	Radionuclide Calibrator Linearity		Shall evaluate quarterly (or after any radionuclide calibrator event) using either 18F or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi).	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	PET Radiation Dose		Shall record the radiation dose from the administered activity.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.8.5.2	Scales		Shall evaluate annually or after any repair by qualified personnel.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway Not required for claim
3.8.5.3	Scanner and site clocks		PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within ± 1 minute. Synchronization of all clocks used in the conduct of the amyloid-PET study shall be checked weekly and	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
			after power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur)	
4.1	CT Scanner Calibration		Follow manufacturer’s recommendations.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.1	PET Scanner Calibration		Shall perform daily/weekly/monthly scanner QA and vendor recommended maintenance procedures (e.g., replace weak transmission sources for dedicated PET scanner); ensure that output values are acceptable	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.1	Radionuclide calibrator		Calibrated to 18F using NIST traceable source or equivalent either by site or calibrator manufacturer.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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3018 **6.11.7 IMAGE ANALYST AND WORKSTATION CHECKLIST**

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3020 **Table 59. Image analyst checklist.**

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
3.5.2.2.1	Inter timeframe spatial alignment		When a multi-frame PET scan is provided, the translational and rotational adjustment required to align the frames will be assessed prior to combining frames into a single scan.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.2.2.1	Action based on inter-timeframe consistency check		If <u>inter-frame alignment has been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold or <u>if inter-frame alignment has not been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.2.2.2	Static Image generation		Only timeframes identified as appropriately aligned will be included in this image generation.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.3	Data archiving: post-processed images		If a static image has been generated by aligning frames and summing or averaging discrete timeframes, or through other parametric image generation, the image will be archived at the site where the static image generation occurred.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.2.2	Image smoothing		When combining scans from different scanners and/or reconstruction software that produce different image resolutions, filtering will be applied per protocol to produce comparable signal for the same amount of radioactivity.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.1.1	PET and MRI image fusion		When coregistering a subject's PET and MRI images, accurate alignment of the images in all planes (transaxial, coronal, sagittal) will be verified visually or using an alternate method that achieves this.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.1.2	Co-registration of longitudinal scans		When coregistering a subject's longitudinal PET images, accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually or using an alternate method that achieves this.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.1	Target Region Definition		The same target region definitions (which may be transformed to each individual subject's morphology) will be applied consistently to subjects and across a study.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.2	Reference Region Definition		The reference region definition will conform to protocol by including the specified tissue.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
			Quality control measures will be applied to ensure that longitudinal change is not attributable to technical noise or artifact in a particular reference region.	<input type="checkbox"/> Done anyway
3.6.3.2.3	Region placement		The placement of all regions of interest and reference region(s) will be verified to be on the correct tissue	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.3	Region placement		All regions will be checked to ensure that boundaries do not include empty space (scan truncation). Regions will be adjusted using a consistent approach, such as automated exclusion of voxels, with a sub-threshold value, to exclude voxels where tissue is missing.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.3	Region placement		The same portion of tissue will be measured between longitudinal scans for the same subject.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image analysis workstation performance evaluation		Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image analysis workstation repeatability		Shall, if operator interaction is required by the Image Analysis Workstation tool to perform measurement, be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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3022 **Table 60. Image post processing workstation checklist.**

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	Metadata		Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Image Analysis Workstation section.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.4	Metadata		Shall be able to display all information that affects SUVrS either directly in calculation (e.g., region of interest intensity) or indirectly (image acquisition parameters).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image acquisition		Shall be capable to display or include link to display the number of minutes between injection and initiation of imaging (as per derivation guidelines described in Section 4.2), and the duration of each	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
			timeframe in cases where the image consists of multiple timeframes.	
4.4	Decay correction		Shall allow for image decay correction if not performed during reconstruction. Shall use either the Acquisition Time field (0008,0032) or Radiopharmaceutical Start Time (0018,1072), if necessary. If a series (derived or not) is based on Acquisition Time decay correction, the earliest Acquisition Time (0008,0032) shall be used as the reference time for decay correction.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image orientation		Shall allow user to orient image per protocol in x, y, and z directions.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Intra-scan, inter-frame alignment		Shall be able to automatically spatially align the different timeframes that may have been acquired	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Intra-scan, inter-frame alignment		Shall allow selection of an anchor frame to which other frames are aligned	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Intra-scan, inter-frame alignment		Shall measure and display the translational and rotational parameters necessary to align each frame to the reference frame.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Static image creation		Shall allow exclusion of one or more frames from the static image that is created through frame averaging or summation	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Static image creation		Shall be able to sum and/or average the selected timeframes to create a static image for analysis	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Smoothing		Shall be able to apply a 3D smoothing filter if indicated as part of study protocol	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.4	Data storage and transfer		Shall be able to store images after each major step of image manipulation (e.g., after frame summation)	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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3025 **Table 61. Image analysis workstation checklist.**

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	Performance Evaluation		Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	Repeatability		Shall be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Linearity		Shall be validated to achieve: <ul style="list-style-type: none"> • slope (\hat{A}_1) between 0.95 and 1.05 • R-squared (R^2) >0.90 See Appendix F.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image Quality control: Visual inspection		Shall be able to display each image in a manner such that all image slices in the transaxial, sagittal, and coronal views may be examined visually.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Spatial mapping: Image fusion (co-registration)		Shall be able to automatically and accurately spatially align the PET image with the subject's MRI scan in cases where this approach is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Spatial mapping: Co-registration between visits		Shall be able to automatically and accurately spatially align multiple PET visits to one another when this approach is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Spatial Mapping: warp to template		Shall be able to automatically and accurately spatially map the subject's scan and template to each other when this approach is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Target and reference region definition		Shall provide either the means for defining target and reference region of interest boundaries to be applied to the subject scan, or for importing pre-defined region of interest boundaries (or masks) that may have been generated using other software (such as generated through segmentation of subject's MRI or pre-defined based upon an image template and atlas).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	SUVr image creation		Shall be able to create an SUVr image by dividing each voxel by the average value within a selected reference region, if this option is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Region placement		Shall be able to apply (place for measurement) pre-specified regions of interest onto the PET scan in an anatomically accurate manner.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Region placement quality control		Shall allow means for quality assurance that regions for measurement have been accurately placed on the PET scan (either by final region placement inspection and/or inspection and/or automatic quality measurements performed at each image manipulation step). (see section 4.4 for accuracy description)	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Region of interest measurement		Shall be able to calculate the mean value within each region of interest, and store for SUVr calculations (if not based on an SUVr image) and/or reporting.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	SUVR calculation		Shall be able to calculate SUVR values by dividing the mean value in a target region by the mean value in the reference region (if not based on an SUVR image).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	SUVR output		Shall be able to store and output SUVR values for display and for transfer to a study report, to a precision as required by the study protocol.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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3028 **6.11.8 ACQUISITION DEVICE AND RECONSTRUCTION SOFTWARE CHECKLIST**

3029

3030 Notes:

- 3031 • Requirements pertaining to acceptance of data in DICOM fields should be standard with DICOM
 3032 conformant scanners. A more efficient approach to verifying those line items may be to confirm
 3033 that the scanner used at the site is among an acceptable list of manufacturers and models.
- 3034 • The ability to accept information into DICOM headers does not preclude errors made during entry,
 3035 and Quality control should be implemented through personnel, study protocol, and use of
 3036 transmittal forms where applicable.
- 3037 • Similarly, the reconstruction capabilities could be covered using a list of acceptable operating
 3038 software and version numbers.
- 3039 • Since this Profile makes use of SUVR and DVR, height and weight are not relevant unless to detect
 3040 cases where injected dose compared to weight or body mass is out of expected range.

3041 **Table 62. Image acquisition device and reconstruction checklist.**

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.2	PET Scanner: calibration		Shall be able to be calibrated according to the specifications in section 3.8.4	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: Weight		Shall be able to record patient weight in lbs or kg as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Weight field (0010,1030) in the DICOM image header, as per DICOM standard.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway Not required for claim
4.2	PET scanner: Height		Shall be able to record patient height in feet/inches or cm/m as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Size field (0010,1020) in the DICOM image header, as per DICOM standard.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway Not required for claim
4.2	PET scanner: Administered Radionuclide		Shall be able to accept the radionuclide type (i.e., F-18) from the DICOM Modality Worklist either from the NM/PET Protocol Context, if present, or by deriving it from the Requested Procedure Code via a locally configurable tables of values. Shall be able to enter the radionuclide type (i.e., F-18) by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence (0054,0300) in the DICOM image header (e.g., (C-111A1, SRT, “ ¹⁸ Fluorine”)).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway Impacts decay correction; impact lowered for SUVR due to ratio

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.2	PET scanner: Administered Radiotracer		Shall be able to record the specific radiotracer as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence field (0054,0300) in the DICOM image header, e.g., (C-B1031, SRT, "Fluorodeoxyglucose F18").	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Administered Radiotracer radioactivity		Shall be able to enter the administered radioactivity, in both MBq and mCi, as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Total Dose field (0018,1074) in the DICOM image header in Bq.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Administered Radiotracer Time		Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Decay Correction Methodology		Encoded voxel values with Rescale Slope field (0028,1053) applied shall be decay corrected by the scanner software (not the operator) to a single reference time (regardless of bed position), which is the start time of the first acquisition, which shall be encoded in the Series Time field (0008,0031) for original images. Corrected Image field (0028,0051) shall include the value "DECY" and Decay Correction field (0054,1102) shall be "START", which means that the images are decay corrected to the earliest Acquisition Time (0008, 0032).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Scanning Workflow		Shall be able to support Profile Protocol (Section 3) PET and CT order(s) of acquisition. Shall be able to pre-define and save (by imaging site) a Profile acquisition Protocol for patient acquisition.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: CT Acquisition Parameters		Shall record all key acquisition parameters in the CT image header, using standard DICOM fields. Includes but not limited to: Actual Field of View, Scan Duration, Scan Plane, Total Collimation Width, Single Collimation Width, Scan Pitch, Tube Potential, Tube Current, Rotation Time, Exposure and Slice Width in the DICOM image header.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: PET-CT Alignment		Shall be able to align PET and CT images within ± 2 mm in any direction.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway In all but the newest scanners

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
				this is a manual operation and not frame by frame.
4.2	PET scanner: CT Absorbed Radiation Dose		Shall record the absorbed dose (CTDI, DLP) in a DICOM Radiation Dose Structured Report.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Activity Concentration in the Reconstructed Images		Shall be able to store and record (rescaled) image data in units of Bq/ml and use a value of BQML for Units field (0054,1001).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Tracer Uptake Time		Shall be derivable from the difference between the Radiopharmaceutical Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072) and the Series Time field (0008,0031) or earliest Acquisition Time field (0008,0032) in the series (i.e., the start of acquisition at the first bed position), which should be reported as series time field (0008,0031).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: PET Voxel size		See Section 4.3 (PET Voxel size) under the Reconstruction Software specification requirements.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway This is simply a reference to another section.
4.2	PET scanner: CT Voxel size		Shall be no greater than the reconstructed PET voxel size. Voxels shall be square, although are not required to be isotropic in the Z (head-foot) axis. Not required to be the same as the reconstructed PET voxel size.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: Subject Positioning		Shall be able to record the subject position in the Patient Orientation Code Sequence field (0054,0410) (whether prone or supine) and Patient Gantry Relationship Code field Sequence (0054,0414) (whether head or feet first).	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: Documentation of Exam Specification		Shall be able to record and define the x-y axis FOV acquired in Field of View Dimensions (0018,1149) and reconstructed in Reconstruction Diameter (0018,1100).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: DICOM Compliance		All image data and scan parameters shall be transferable using appropriate DICOM fields according to the DICOM conformance statement for the PET scanner.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.2	PET scanner: DICOM Data transfer and storage format		PET images shall be encoded in the DICOM PET or Enhanced PET Image Storage SOP Class, using activity-concentration units (Bq/ml) with additional parameters stored in public DICOM fields to enable calculation of SUVs. PET images shall be transferred and stored without any form of lossy compression.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: DICOM Editing		Shall be able to edit all fields relevant for SUV calculation before image distribution from scanner. Shall provide appropriate warnings if overriding of the current values is initiated.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Metadata		Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Reconstruction section.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Data Corrections		PET emission data must be able to be corrected for geometrical response and detector efficiency, system dead time, random coincidences, scatter and attenuation.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Reconstruction Methodology		Shall be able to provide iterative and/or analytical (e.g., filtered back projection) reconstruction algorithms.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Methodology / Output		Shall be able to perform reconstructions with and without attenuation correction.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Data Reconstruction 2D/3D Compatibility		Shall be able to perform reconstruction of data acquired in 3D mode using 3D image reconstruction algorithms. If 3D mode data can be re-binned into 2D mode, shall be able to perform reconstruction of data acquired in 3D mode using 2D image reconstruction algorithms.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Quantitative calibration		Shall apply appropriate quantitative calibration factors such that all images have units of activity concentration, e.g., kBq/mL.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Voxel size		Shall allow the user to define the image voxel size by adjusting the matrix dimensions and/or diameter of the reconstruction field-of-view.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Voxel size		Shall be able to reconstruct PET voxels with a size 2.5 mm or less in the transaxial directions and 2.5 mm or less in the axial dimension (as recorded in Voxel Spacing field (0028,0030) and computed from the	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
			reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices). Pixels shall be square, although voxels are not required to be isotropic in the z (head-foot) axis.	
4.3	Reconstruction Software: Reconstruction parameters		Shall allow the user to control image noise and spatial resolution by adjusting reconstruction parameters, e.g., number of iterations, post-reconstruction filters.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Reconstruction protocols		Shall allow a set of reconstruction parameters to be saved and automatically applied (without manual intervention) to future studies as needed.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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