



RSP Investments, LLC Business Continuity Planning

RSP Investments, LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at 202-446-0493, you should call our alternative number 202-964-3935.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by protecting the health and safety of our associated persons, safeguarding the firm’s property, making a rapid financial and operational assessment, quickly recovering and resuming operations, protecting all of the firm’s books and records, fulfilling legal and regulatory obligations, and continuing to conduct the firm’s business. In short, our Business Continuity Plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our Business Continuity Plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, associated persons, and regulators; alternate physical location of associated persons; critical supplier, contractor, bank and counter-party impact; and regulatory reporting.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. Our firm’s response to a significant business disruption will vary depending on the type and its severity, which may include greater reliance on other organizations and systems. In the event of a significant business disruption, we have plans in place to move to a back-up location or remote locations as necessary. In either situation, we plan to continue in business.

For more information – If you have questions about our business continuity planning, you can contact us at 202-446-0493 or info@rspinv.com.