

# HOPI TRIBAL HOUSING AUTHORITY

## PUBLIC ANNOUNCEMENT



February 2, 2022

**SUBJECT: ANNUAL PERFORMANCE REPORT FOR FISCAL YEAR ENDED DECEMBER 31, 2021.**

Dear Hopi Sinom,

As a recipient of funds under the Native American Housing and Self Determination Act of 1996 (NAHASDA), the Hopi Tribal Housing Authority is required to make public its Annual Performance Report of Fiscal Year ended December 31, 2021.

Should you have any questions or comments in regards to the Annual Performance Report please submit one of the following ways:

By email: [spahe@htha.org](mailto:spahe@htha.org)  
By Mail: P.O. Box 906  
Polacca, AZ 86042

For immediate correspondence you may contact me at 928-737-2800.

Sincerely,

A handwritten signature in black ink, appearing to read 'Stanley Pahe'.

Stanley Pahe, Executive Director  
Hopi Tribal Housing Authority





# Energy and Performance Information Center ( EPIC )

Grant Number: **55-IH-04-02180**  
 Report: **APR Report for 2021**  
 First Submitted On:  
 Last Submitted On:

OMB CONTROL NUMBER: 2577-0218  
 EXPIRATION DATE: 07/31/2019

## Cover Page

### Grant Information:

Grant Number	55-IH-04-02180
Recipient Program Year	01/01/2021-12/31/2021
Federal Fiscal Year	2021
Initial Indian Housing Plan (IHP):	Yes
Amended Plan	
Annual Performance Report (APR):	Yes
Amended Plan	
Tribe:	
TDHE:	Yes

### Recipient Information:

Name of the Recipient	HOPI TRIBAL HOUSING AUTHORITY
Contact Person	Pahe, Stanley
Telephone Number with Area Code	928-737-2801
Mailing Address	PO Box 906
City	Polacca
State	AZ
Zip	860420906
Fax Number with Area Code	928-737-9270
Email Address	spahe@htha.org
Tribes:	Hopi Tribe

### TDHE/Tribe Information:

Tax Identification Number	860223702
DUNS Number	623354651
CCR/SAM Expiration Date	05/08/2021

### Planned Grant-Based Budget for Eligible Programs:

IHBG Fiscal Year Formula Amount	\$6,560,593.00
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## Housing Needs

Type of Need (A)	Low-Income Indian Families (B)	All Indian Families (C)
Overcrowded Households	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Renters Who Wish to Become Owners	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Substandard Units Needing Rehabilitation	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Homeless Households	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Households Needing Affordable Rental Units	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
College Student Housing	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Disabled Households Needing Accessibility	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Units Needing Energy Efficiency Upgrades	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Infrastructure to Support Housing	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify below)	<input type="checkbox"/>	<input type="checkbox"/>
Planned Program Benefits	The Indian Housing Plan is developed to address housing shortage in the Hopi Service area with the construction of Rental and Homeownership Units. Rehabilitating Private Homes to safe decent and sanitary conditions assist families with Rental and Mortgage Buydown Assistance.	
Geographic Distribution	Navajo County: All Housing Programs will be available to low income families in this county and Program 2021-02 for Over Income of 1(one) family. Coconino County: All housing programs will be available to low income families residing within the Village of Moenkopi which is in this county. In all other areas of county. The HTHA will assist low income Hopi families with VASH, Rental and Mortgage Buydown Assistance. Apache and Maricopa counties the HTHA will assist low income Hopi families with VASH, Rental and Mortgage Buydown Assistance. VASH assistance only in Yavapai County.	

#### Programs

##### 2021-01 : Operation of NAHASDA Units

Program Name:	Operation of NAHASDA Units							
Unique Identifier:	2021-01							
Program Description (continued)	Assist households under NAHASDA home ownership program and rental program with management and maintenance inspection, warranty follow up work, routine maintenance on homes, provide counseling as needed, collect home buyer payments, and over see the equity accounts, ensure compliance with housing policies and regulations are followed. Maintenance will only be provided for rental units.							
Eligible Activity Number	(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]							
Intended Outcome Number	(6) Assist affordable housing for low income households							
APR: Actual Outcome Number	(6) Assist affordable housing for low income households							
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income.							
Types and Level of Assistance	Families will be assisted with tracking of home buyer payments, tracking of equity accounts, compliance with home ownership occupancy, grievance and collection policy, training or counseling in compliance with the home ownership agreement, and routine maintenance							
APR : Describe Accomplishments	Implemented maintenance plans for units in Moenkopi until COVID restrictions hindered completions. Conducted 40 rental inspections.							
Planned and Actual Outputs for 12-Month Program Year	<table> <thead> <tr> <th></th><th>Planned</th><th>APR - Actual</th></tr> </thead> <tbody> <tr> <td>Number of Units to be Completed in Year</td><td>105</td><td>106</td></tr> </tbody> </table>			Planned	APR - Actual	Number of Units to be Completed in Year	105	106
	Planned	APR - Actual						
Number of Units to be Completed in Year	105	106						
APR: If the program is behind schedule, explain why	Annual inspections delayed/postponed due to many homes being in quarantine for COVID-19 pandemic.							

#### Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program

Total all other funds to be expended in 12-month program year (M)

Total funds to be expended in 12-month program year (N=L+M)

Total IHBG (only) funds expended in 12-month program year (O)

Total all other funds expended in 12-month program year (P)

Total funds expended in 12-month program year (Q=O+P)

year  
(L)

\$600,289.00	\$0.00	\$600,289.00	\$436,021.17	\$0.00	\$436,021.17
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**2021-02 : Operation of NAHASDA Units-Over Income**

Program Name:	Operation of NAHASDA Units-Over Income								
Unique Identifier:	2021-02								
Program Description (continued)	Assist the family under the NAHASDA home ownership program with management and maintenance inspection, and warranty work, extra-ordinary maintenance on their home. Address other occupancy issues as needed, provide counseling on financial literacy and maintenance on home buyer's home. Track home buyer payments and tracking of equity accounts.								
Eligible Activity Number	(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]								
Intended Outcome Number	(12) Other-must provide description in the box below If Other: Assist affordable housing for over income (80%-100%) household								
APR: Actual Outcome Number	(6) Assist affordable housing for low income households								
Who Will Be Assisted	Families with income between 80%–100% of Median Income								
Types and Level of Assistance	Families will be assisted with tracking of home buyer payments, tracking of equity accounts, compliance with home ownership occupancy, grievance, collection policy, training or counseling in compliance with the home ownership agreement and routine maintenance.								
APR : Describe Accomplishments	Families were assisted with on-going counseling and supplemental with CARES Funding programs.								
Planned and Actual Outputs for 12-Month Program Year	<table><tr><td></td><td>Planned</td><td>APR - Actual</td></tr><tr><td>Number of Units to be Completed in Year</td><td>1</td><td>2</td></tr></table>				Planned	APR - Actual	Number of Units to be Completed in Year	1	2
	Planned	APR - Actual							
Number of Units to be Completed in Year	1	2							
APR: If the program is behind schedule, explain why	Due to COVID-19 Annual inspections delayed/postponed due to many homes being in quarantine.								

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$5,717.00	\$0.00	\$5,717.00	\$0.00	\$0.00	\$0.00

**2021-03 : Operation of 1937 Housing Units**

Program Name:	Operation of 1937 Housing Units
Unique Identifier:	2021-03
Program Description (continued)	Operation of 1937 Housing Units, including administrative costs of inspecting, re-certification process, routine and non routine maintenance required. Maintenance is only provided to rental units.
Eligible Activity Number	(2) Operation of 1937 Act Housing [202(1)]
Intended Outcome Number	(6) Assist affordable housing for low income households
APR: Actual Outcome Number	(6) Assist affordable housing for low income households
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income
Types and Level of Assistance	Assist qualified households of affordable housing assistance, housing application intake, move-in, housing inspection re-certification, and maintenance of homes.
APR : Describe Accomplishments	HTHA conducted technical assistance work order for 1937 Housing and Rental units. Continued counseling & lease compliance aids in less work

	orders. Four 4% for Homeownership and thirty-three (33) Rental units. Thirty three (33) Annual Inspections completed for Rental units.	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of Units to be Completed in Year	41
APR: If the program is behind schedule, explain why	Due to COVID 19 pandemic Annual inspections were delayed/postponed due to many homes being in quarantine.	

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$317,893.00	\$0.00	\$317,893.00	\$205,255.34	\$0.00	\$205,255.34

**2021-04 : Modernization of 1937 Housing Units**

Program Name:	Modernization of 1937 Housing Units
Unique Identifier:	2021-04
Program Description (continued)	Modernization dwelling units constructed under the 1937 Housing Act, with assistance on mechanical system, electricity and lighting, structural system, upgrade of building materials to ensure safe and energy efficient units, update homes for accessibility for handicap and elderly clients.
Eligible Activity Number	(1) Modernization of 1937 Act Housing [202(1)]
Intended Outcome Number	(3) Improve quality of substandard units
APR: Actual Outcome Number	(3) Improve quality of substandard units
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income
Types and Level of Assistance	Based on annual housing inspections and work orders, the families will be assisted in upgrade of homes to ensure the homes are safe and energy efficient.
APR : Describe Accomplishments	Rehabilitation to units to ensure housing to complete Maintenance plans from year before.
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b> Number of Units to be Completed in Year
	41
	<b>APR - Actual</b> 35
APR: If the program is behind schedule, explain why	Due to COVID 19 pandemic Executive Orders were in place by villages which held us back from implementing maintenance plans.

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$328,000.00	\$0.00	\$328,000.00	\$161,738.13	\$0.00	\$161,738.13

**2021-05 : Twelve (12) Scattered Site Homes Planning**



Program Name:		Twelve (12) Scattered Site Homes Planning	
Unique Identifier:		2021-05	
Program Description (continued)		Planning for new construction of twelve (12) Homeownership Units	
Eligible Activity Number		(11) New Construction of Homebuyer Units [202(2)]	
Intended Outcome Number		(1) Reduce over-crowding	
APR: Actual Outcome Number		(1) Reduce over-crowding	
Who Will Be Assisted		The HTHA will assist households with income at or below 80% of US Median Income	
Types and Level of Assistance		Planning for new affordable housing units to assist families by providing opportunities under the Homeownership Program.	
APR : Describe Accomplishments		Due to COVID 19 pandemic tribal departments were closed, no concurrences was acted on by the tribe. HTHA and public were on COVID restrictions.	
Planned and Actual Outputs for 12-Month Program Year		<div>Planned</div> <div>Number of Units to be Completed in Year0</div>	<div>APR - Actual</div> <div>0</div>
APR: If the program is behind schedule, explain why		Due to COVID 19 pandemic tribal departments were closed, no concurrences was acted on by the tribe. HTHA and public were on COVID restrictions.	

#### Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$150,000.00	\$0.00	\$150,000.00	\$0.00	\$0.00	\$0.00

#### 2021-06 : Twenty (20) Winslow Rental Units Project #1 Planning

Program Name:		Twenty (20) Winslow Rental Units Project #1 Planning	
Unique Identifier:		2021-06	
Program Description (continued)		Planning for twenty (20) rental units in Winslow.	
Eligible Activity Number		(4) Construction of Rental Housing [202(2)]	
Intended Outcome Number		(1) Reduce over-crowding	
APR: Actual Outcome Number		(1) Reduce over-crowding	
Who Will Be Assisted		The HTHA will assist households with income at or below 80% of US Median Income	
Types and Level of Assistance		Planning for new affordable rental housing units to assist families with providing opportunities under the Rental Program.	
APR : Describe Accomplishments		Pre-planning activities at 75% completion and on-going.	
Planned and Actual Outputs for 12-Month Program Year		Planned	APR - Actual
		Number of Units to be Completed in Year	00
APR: If the program is behind schedule, explain why		Slight delay due to contractor responses due to COVID restrictions.	

#### Uses of Funding:

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Prior and current year IHBG (only)	Total all other funds to be expended in 12-	Total funds to be expended in 12-month	Total IHBG (only) funds expended in 12-	Total all other funds expended in 12-month	Total funds expended in 12-month program
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<b>funds to be expended in 12- month program year (L)</b>	<b>month program year (M)</b>	<b>program year (N=L+M)</b>	<b>month program year (O)</b>	<b>program year (P)</b>	<b>year (Q=O+P)</b>
\$400,000.00	\$0.00	\$400,000.00	\$117,769.25	\$0.00	\$117,769.25

**2021-07 : Winslow Twenty (20) Rental Units Project#1 Construction**

Program Name:		Winslow Twenty (20) Rental Units Project#1 Construction	
Unique Identifier:		2021-07	
Program Description (continued)		Construction of affordable rental housing for low-income families	
Eligible Activity Number		(4) Construction of Rental Housing [202(2)]	
Intended Outcome Number		(7) Create new affordable rental units	
APR: Actual Outcome Number		(7) Create new affordable rental units	
Who Will Be Assisted		The HTHA will assist households with income at or below 80% of US Median Income	
Types and Level of Assistance		Low Income Rental Housing for eligible families	
APR : Describe Accomplishments		Project on-going at 20% with dirt work.	
Planned and Actual Outputs for 12-Month	Program Year	<b>Planned</b>	<b>APR - Actual</b>
		Number of Units to be Completed in Year	200
APR: If the program is behind schedule, explain why		Project behind schedule due to issue with Environmental Assessments and the RROF.	

**Uses of Funding:**

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<b>Prior and current year IHBG (only) funds to be expended in 12- month program year (L)</b>	<b>Total all other funds to be expended in 12- month program year (M)</b>	<b>Total funds to be expended in 12-month program year (N=L+M)</b>	<b>Total IHBG (only) funds expended in 12- month program year (O)</b>	<b>Total all other funds expended in 12-month program year (P)</b>	<b>Total funds expended in 12- month program year (Q=O+P)</b>
\$6,506,384.00	\$0.00	\$6,506,384.00	\$1,629,333.54	\$0.00	\$1,629,333.54

**2021-08 : Eight (8) Scattered Site Homes Construction**

Program Name:		Eight (8) Scattered Site Homes Construction	
Unique Identifier:		2021-08	
Program Description (continued)		Construction of eight (8) new homes built throughout the Hopi Reservation	
Eligible Activity Number		(11) New Construction of Homebuyer Units [202(2)]	
Intended Outcome Number		(1) Reduce over-crowding	
APR: Actual Outcome Number		(1) Reduce over-crowding	
Who Will Be Assisted		The HTHA will assist households with income at or below 80% of US Median Income	
Types and Level of Assistance		Develop Homeownership units	
APR : Describe Accomplishments		Project at 30% on-going with dirt and foundation work.	
Planned and Actual Outputs for 12-Month Program Year		Planned	APR - Actual
		Number of Units to be Completed in Year	80
APR: If the program is behind schedule, explain why		Slight delay due to Procurement and Contractor responses, project was re-bid.	

**Uses of Funding:**



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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$3,335,046.00	\$0.00	\$3,335,046.00	\$1,994,506.77	\$0.00	\$1,994,506.77

**2021-09 : Bacavi Youth & Elderly Center Demolition and Rebuild**

Program Name:	Bacavi Youth & Elderly Center Demolition and Rebuild
Unique Identifier:	2021-09
Program Description (continued)	Completing Construction Phase of Demo and rebuild of Youth and Elderly Center for low income residents to be used for affordable housing activities.
Eligible Activity Number	(22) Model Activities [202(6)]
Intended Outcome Number	(12) Other-must provide description in the box below If Other: Provide a new youth and elderly center for low income families that reside in the Village of Bacavi located in Third Mesa.
APR: Actual Outcome Number	(12) Other-must provide description in the box below If Other: Provided a new youth and elderly center for low income families that reside in the Village of Bacavi located in Third Mesa.
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income
Types and Level of Assistance	Assist the Village of Bacavi in Third Mesa for a place to carry out activities to benefit low-income household residents.
APR : Describe Accomplishments	The Village of Bacavi has a new Youth and Elderly Center project completed 100%.
Planned and Actual Outputs for 12-Month Program Year	<p><b>Planned</b>                      <b>APR - Actual</b></p> <p>The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.</p>
APR: If the program is behind schedule, explain why	

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$300,000.00	\$0.00	\$300,000.00	\$94,966.29	\$0.00	\$94,966.29

**2021-10 : Crime Prevention**

Program Name:	Crime Prevention
Unique Identifier:	2021-10
Program Description (continued)	This program will provide physical security improvements, safety equipment, and security personnel to protect our tenants of affordable housing from crime.
Eligible Activity Number	(21) Crime Prevention and Safety [202(5)]
Intended Outcome Number	(11) Reduction in crime reports

APR: Actual Outcome Number	(11) Reduction in crime reports				
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income				
Types and Level of Assistance	Provide physical improvements to enhance security (ie. Fences, speed bumps, monitors, locks, additional lighting), employ security personnel, shelter and equipment for security personnel for affordable housing units in the CAS/Low Rent and NAHASDA rentals.				
APR : Describe Accomplishments	With procurement of equipment and CARES funding we have housing complex well secured & being monitored. Reduction in crimes and reports has dropped significantly.				
Planned and Actual Outputs for 12-Month Program Year	<table> <tr> <th>Planned</th><th>APR - Actual</th></tr> <tr> <td colspan="2">The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.</td></tr> </table>	Planned	APR - Actual	The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.	
Planned	APR - Actual				
The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.					
APR: If the program is behind schedule, explain why	Security arm bar pending approval to install on HDOT inventory.				

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$180,000.00	\$0.00	\$180,000.00	\$8,723.26	\$0.00	\$8,723.26

**2021-11 : NAHASDA Units Settlement**

Program Name:	NAHASDA Units Settlement				
Unique Identifier:	2021-11				
Program Description (continued)	Demo and rebuild of two (2) NAHASDA units who have extensive damage due to foundation settlement.				
Eligible Activity Number	(11) New Construction of Homebuyer Units [202(2)]				
Intended Outcome Number	(3) Improve quality of substandard units				
APR: Actual Outcome Number	(3) Improve quality of substandard units				
Who Will Be Assisted	HTHA will assist household with income at or below 80% of US Median Income				
Types and Level of Assistance	Demo and Rebuild of two homes that experienced foundation settlement problems				
APR : Describe Accomplishments	Project 100% completed.				
Planned and Actual Outputs for 12-Month Program Year	<table> <tr> <th>Planned</th><th>APR - Actual</th></tr> <tr> <td>Number of Units to be Completed in Year</td><td>2</td></tr> </table>	Planned	APR - Actual	Number of Units to be Completed in Year	2
Planned	APR - Actual				
Number of Units to be Completed in Year	2				
APR: If the program is behind schedule, explain why					

**Uses of Funding:**

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\$813,401.00	\$0.00	\$813,401.00	\$523,037.60	\$0.00	\$523,037.60
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#### 2021-12 : BIA-HIP Supplement Rehabilitation

Program Name:	BIA-HIP Supplement Rehabilitation	
Unique Identifier:	2021-12	
Program Description (continued)	Assist with Rehabilitation costs to privately owned homes	
Eligible Activity Number	(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Low income native families 80% or less who applied for assistance under the BIA home program	
Types and Level of Assistance	Rehabilitation of homes	
APR : Describe Accomplishments	BIA/HIP project two (2) homes 100% completed.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year	2
APR: If the program is behind schedule, explain why		

#### Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$90,353.00	\$145,073.00	\$235,426.00	\$4,108.76	\$144,073.66	\$148,182.42

#### 2021-13 : Mortgage Buy Down

Program Name:	Mortgage Buy Down	
Unique Identifier:	2021-13	
Program Description (continued)	This program enables families who are low-income families who meet eligibility criteria to finance a home or modular home to be used as the primary place of residence. The family must meet all the lender's requirements associated with obtaining a mortgage (i.e. credit worthiness, total debt-including housing payment cannot exceed guidelines established within HTHA policies).	
Eligible Activity Number	(13) Down Payment/Closing Cost Assistance [202(2)]	
Intended Outcome Number	(2) Assist renters to become homeowners	
APR: Actual Outcome Number	(2) Assist renters to become homeowners	
Who Will Be Assisted	HTHA will assist households with income at or below 80% of US Median Income	
Types and Level of Assistance	Provide down payment assistance in accordance with the policy set on a tier to establish a cost of assistance provided to the purchase of a home.	
APR : Describe Accomplishments	Education of program to families to ensure the assistance provides a mobile home or mortgage down payment. No families assisted.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year	5
APR: If the program is behind schedule, explain why	Families weren't able to to close with lender for mobile home purchase; family didn't have enough credit.	

#### Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$50,000.00	\$0.00	\$50,000.00	\$0.00	\$0.00	\$0.00

#### 2021-14 : Infrastructure for CAS 1937 Housing Act & NAHASDA Units

Program Name:	Infrastructure for CAS 1937 Housing Act & NAHASDA Units
Unique Identifier:	2021-14
Program Description (continued)	To assist homeowners with utility maintenance up keeping and repairs.
Eligible Activity Number	(24) Infrastructure to Support Housing [202(2)]
Intended Outcome Number	(4) Improve quality of existing infrastructure
APR: Actual Outcome Number	(3) Improve quality of substandard units
Who Will Be Assisted	HTHA will assist households with income at or below 80% of US Median Income
Types and Level of Assistance	HTHA will be provided to families for emergency water line breaks and upgrades.
APR : Describe Accomplishments	No units serviced due to no water line break upgrades.
Planned and Actual Outputs for 12-Month Program Year	<p><b>Planned</b>                      <b>APR - Actual</b></p> <p>The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.</p>
APR: If the program is behind schedule, explain why	N/A

#### Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$50,000.00	\$0.00	\$50,000.00	\$0.00	\$0.00	\$0.00

#### 2021-15 : Tenant Based Rental Assistance

Program Name:	Tenant Based Rental Assistance
Unique Identifier:	2021-15
Program Description (continued)	Management and assistance of Tenant Based Rental Assistance
Eligible Activity Number	(17) Tenant Based Rental Assistance [202(3)]
Intended Outcome Number	(6) Assist affordable housing for low income households
APR: Actual Outcome Number	(6) Assist affordable housing for low income households
Who Will Be Assisted	HTHA will assist households with income at or below 80% of US Median Income
Types and Level of Assistance	Assistance will be provided to families that qualify for rental assistance at 30% of adjusted income and does not include assistance for utilities or deposits.



APR : Describe Accomplishments	HTHA assisted three (3) families with monthly rental charges in Coconino and Maricopa counties. Further assisted with CARES programs. Assisted VASH family with continued rental assistance after veterans deceased.	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of <b>Households</b> 10 to be served in Year	3
APR: If the program is behind schedule, explain why	Due to COVID 19 pandemic some apartments were closed and others did not approve the assistance for the families.	

**Uses of Funding:**  
The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$100,000.00	\$0.00	\$100,000.00	\$13,087.32	\$0.00	\$13,087.32

#### 2021-16 : VASH - Veteran Affairs Supporting Housing

Program Name:	VASH - Veteran Affairs Supporting Housing		
Unique Identifier:	2021-16		
Program Description (continued)	To serve eligible veteran clients with Tenant Based Rental Assistance vouchers		
Eligible Activity Number	(17) Tenant Based Rental Assistance [202(3)]		
Intended Outcome Number	(5) Address homelessness		
APR: Actual Outcome Number	(5) Address homelessness		
Who Will Be Assisted	HTHA will assist households with income at or below 80% of US Median Income		
Types and Level of Assistance	Rental Assistance for veterans.		
APR : Describe Accomplishments	HTHA assisted thirteen (13) families with program in Yavapai, Coconino and Maricopa Counties.		
Planned and Actual Outputs for 12-Month Program Year	Planned		APR - Actual
	Number of Households to be served in Year	15	13
APR: If the program is behind schedule, explain why			

**Uses of Funding:**  
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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$0.00	\$130,000.00	\$130,000.00	\$0.00	\$161,124.58	\$161,124.58

#### 2021-17 : Emergency Home Repair Assistance

Program Name:	Emergency Home Repair Assistance
Unique Identifier:	2021-17

Program Description (continued)	Emergency assistance to damaged homes related to weatherization for private homeowners in the Hopi community.	
Eligible Activity Number	(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Low income 80% or less household living in damaged homes	
Types and Level of Assistance	Emergency assistance for related damages and weatherization.	
APR : Describe Accomplishments	No families requested emergency assistance.	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of Units to be Completed in Year 10	0
APR: If the program is behind schedule, explain why	Policy was approved on August 24, 2021. No applications were picked up for assistance.	

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$20,000.00	\$0.00	\$20,000.00	\$0.00	\$0.00	\$0.00

**2021-18 : Home Roof Rehabilitation Program**

Program Name:	Home Roof Rehabilitation Program	
Unique Identifier:	2021-18	
Program Description (continued)	The HTHA will provide home roof repair for low income eligible families within its service area.	
Eligible Activity Number	(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	HTHA will assist households with income at or below 80% of US Median Income.	
Types and Level of Assistance	The HTHA will focus on Home Roof Rehabilitation and minor repairs on the exterior of unit that will reduce the need for full unit rehabilitation by preventing damage to homes	
APR : Describe Accomplishments	Six (6) families were assisted through the program.	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of Units to be Completed in Year 20	6
APR: If the program is behind schedule, explain why	Due to COVID 19 pandemic some villages were closed which hindered the completion of units.	

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
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year (L)					
\$292,000.00	\$0.00	\$292,000.00	\$40,342.41	\$0.00	\$40,342.41

#### 2021-19 : Home Rehabilitation Program

Program Name:	Home Rehabilitation Program							
Unique Identifier:	2021-19							
Program Description (continued)	Rehabilitation of privately owned affordable housing units.							
Eligible Activity Number	(16) Rehabilitation Assistance to Existing Homeowners [202(2)]							
Intended Outcome Number	(3) Improve quality of substandard units							
APR: Actual Outcome Number	(3) Improve quality of substandard units							
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income.							
Types and Level of Assistance	Provide moderate rehabilitation including roof repair, wall reconstruction on traditional homes, installation of flooring, installation of plumbing, kitchen and bathroom, upgrading of windows and providing physical accessibility for disabled persons. Relocation assistance will be provided. Assistance is not limited to traditional homes.							
APR : Describe Accomplishments	Four (4) families assisted through program.							
Planned and Actual Outputs for 12-Month Program Year	<table> <tr> <th></th><th>Planned</th><th>APR - Actual</th></tr> <tr> <td>Number of Units to be Completed in Year</td><td>16</td><td>4</td></tr> </table>		Planned	APR - Actual	Number of Units to be Completed in Year	16	4	
	Planned	APR - Actual						
Number of Units to be Completed in Year	16	4						
APR: If the program is behind schedule, explain why	Due to COVID 19 pandemic some villages were closed which hindered the completion of more units.							

#### Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$1,170,000.00	\$0.00	\$1,170,000.00	\$342,922.83	\$0.00	\$342,922.83

#### 2021-20 : First Mesa Youth Center

Program Name:	First Mesa Youth Center	
Unique Identifier:	2021-20	
Program Description (continued)	Provide youth activities for residents of affordable housing that will reduce or eliminate the use of drugs. Provide assistance to maintain and operate the youth center.	
Eligible Activity Number	(18) Other Housing Service [202(3)]	
Intended Outcome Number	(11) Reduction in crime reports	
APR: Actual Outcome Number	(11) Reduction in crime reports	
Who Will Be Assisted	HTHA will assist households with income at or below 80% of US Median Income.	
Types and Level of Assistance	Provide youth activities that reduce or eliminate the use of drugs and to provide for salaries and expenses to staff. Includes Maintenance and Operation.	
APR : Describe Accomplishments	First Mesa Youth Center continued to provide activities to youth. FMYC believed it is imperative that they do what they could to stay engaged with our youth and their families as we continued to get through this pandemic. The mental wellness of social and emotional connection was of high priority in ensuring the youth were able to get through the isolation periods, being away from family and school. Activities held in 2021: After School Program	

August 8-December 16, Enhance Green Thumb: January 7 & 8, 14&15, 21 & 22, 28&29; February 4&5, 11 & 12, 18& 19 25 &26; March 4&5, 11 & 12, 18 &19, Wednesdays and Thursdays. Spring Break Camp March 15-18,; Summer Day Camp June 28-July 22; Stay-At-Home January 4 & 18; February 1&15, March 1 & 29, April 12 & 26; Backpack Distribution May 18&19; Summer Youth Event: July 31; Halloween October 30; Farmer's Market-Kids Corner: July 31-September 21; Earth Day: August 15, 22 & 29; Winter Break Activity - Gingerbread House Making December 23.

Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
Number of Households to be served in Year	200	661
APR: If the program is behind schedule, explain why		

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$200,000.00	\$0.00	\$200,000.00	\$169,103.32	\$0.00	\$169,103.32

**2021-21 : Modular Home Construction Unit**

Program Name:	Modular Home Construction Unit		
Unique Identifier:	2021-21		
Program Description (continued)	Providing Homeownership Opportunity to low-income families under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA)		
Eligible Activity Number	(11) New Construction of Homebuyer Units [202(2)]		
Intended Outcome Number	(1) Reduce over-crowding		
APR: Actual Outcome Number	(1) Reduce over-crowding		
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income		
Types and Level of Assistance	Provide a Modular Unit Homeownership Program.		
APR : Describe Accomplishments	Homeownership Program Modular unit project completed 100%.		
Planned and Actual Outputs for 12-Month Program Year	Planned		APR - Actual
	Number of Units to be Completed in Year	1	1
APR: If the program is behind schedule, explain why			

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$30,000.00	\$0.00	\$30,000.00	\$109,392.96	\$0.00	\$109,392.96

**2021-22 : Winslow 40 Rental Housing Rehabilitation and Home Improvement**

Program Name:	Winslow 40 Rental Housing Rehabilitation and Home Improvement	
Unique Identifier:	2021-22	
Program Description (continued)	Site civil engineering construction of foundation repairs, interior and exterior work.	
Eligible Activity Number	(5) Rehabilitation of Rental Housing [202(2)]	
Intended Outcome Number	(4) Improve quality of existing infrastructure	
APR: Actual Outcome Number	(4) Improve quality of existing infrastructure	
Who Will Be Assisted	Assist households with income at or below 80% of US Median Income	
Types and Level of Assistance	Improvement for existing rental housing and rehabilitation.	
APR : Describe Accomplishments	Pre-planning and pre-design work conducted on project at 45% completed.	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of Units to be Completed in Year 4	0
APR: If the program is behind schedule, explain why	Tribes response to Environmental concurrence were delayed due to Tribal Office restrictions and closure.	

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$1,200,000.00	\$0.00	\$1,200,000.00	\$17,535.00	\$0.00	\$17,535.00

**2021-23 : Property Acquisition and Remodeling**

Program Name:	Property Acquisition and Remodeling	
Unique Identifier:	2021-23	
Program Description (continued)	Acquisition of property in border towns, remodel/renovate and convert to rental units.	
Eligible Activity Number	(8) Conversion of Other Structures to Affordable Housing [202(2)]	
Intended Outcome Number	(5) Address homelessness	
APR: Actual Outcome Number	(5) Address homelessness	
Who Will Be Assisted	The HTHA will assist households with income at or below 80% of US Median Income.	
Types and Level of Assistance	New acquisition of properties to convert into rental apartments.	
APR : Describe Accomplishments	Pre-planning at 50% appraisal and environmental on-going.	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of Units to be Completed in Year 4	0
APR: If the program is behind schedule, explain why	Slight delay on responses from Tribal departments due to COVID restrictions and closure.	

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
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year (L)					
\$4,758,228.00	\$0.00	\$4,758,228.00	\$86,533.89	\$0.00	\$86,533.89

#### 2021-24 : Winslow 40 Rental Units Infrastructure & Streets

Program Name:	Winslow 40 Rental Units Infrastructure & Streets
Unique Identifier:	2021-24
Program Description (continued)	Construction of road and street improvement, irrigation system, water line upgrade to rental units.
Eligible Activity Number	(24) Infrastructure to Support Housing [202(2)]
Intended Outcome Number	(4) Improve quality of existing infrastructure
APR: Actual Outcome Number	(4) Improve quality of existing infrastructure
Who Will Be Assisted	Assist households with income at or below 80% of Median Income
Types and Level of Assistance	Improve existing infrastructure (roads, community water/sewer lines etc.)
APR : Describe Accomplishments	Pre-planning and design work at 50% completed.
Planned and Actual Outputs for 12-Month Program Year	<div>Planned</div> <div>APR - Actual</div> <p>The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.</p>
APR: If the program is behind schedule, explain why	Due to COVID 19 restrictions and closure of Tribal departments caused delay in responses.

#### Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$1,350,000.00	\$0.00	\$1,350,000.00	\$108,461.50	\$0.00	\$108,461.50

#### Maintaining 1937 Act Units, Demolition, and Disposition

Maintaining 1937 Act Units	The HTHA has a plan for continuous regular inspections to identify maintenance needs as well as sustain the units for long term life expectancy of the homes.
Demolition and Disposition	No 1937 CAS Units will be demolished and rebuild.

#### Budget Information

##### Sources of Funding

Funding Source	Estimated(IHP) /Actual(APR)	Amount on hand at beginning of program year (F)	Amount to be received during 12-month program year (G)	Total sources of funds (H=F+G)	Funds to be expended during 12-month program year (I)	Unexpended funds remaining at end of program year (J=H-I)	Unexpended funds obligated but not expended at end of 12-month program year (K)
	Estimated	\$17,528,257.00	\$5,898,817.00	\$23,427,074.00	\$23,427,074.00	\$0.00	
IHBG Funds:	Actual	\$18,801,931.00	\$6,560,593.00	\$25,362,524.00	\$7,044,743.65	\$18,317,780.35	\$0.00
	Estimated	\$600,000.00	\$0.00	\$600,000.00	\$0.00	\$600,000.00	

<b>IHBG Program Income:</b>	Actual	\$250,624.63	\$0.00	<b>\$250,624.63</b>	\$0.00	<b>\$250,624.63</b>	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Title VI:</b>	Actual	\$0.00	\$0.00	<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Title VI Program Income:</b>	Actual	\$0.00	\$0.00	<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	
<b>1937 Act Operating Reserves:</b>	Actual	\$0.00		<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	
<b>Carry Over 1937 Act Funds:</b>	Actual	\$0.00		<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated						
<b>LEVERAGED FUNDS</b>							
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
<b>ICDBG Funds:</b>	Actual	\$0.00	\$0.00	<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated	\$275,073.00	\$0.00	\$275,073.00	\$275,073.00	\$0.00	
<b>Other Federal Funds:</b>	Actual	\$316,122.55	\$188,403.00	<b>\$504,525.55</b>	\$305,198.24	<b>\$199,327.31</b>	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
<b>LIHTC:</b>	Actual	\$0.00	\$0.00	<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Non-Federal Funds:</b>	Actual	\$0.00	\$0.00	<b>\$0.00</b>	\$0.00	<b>\$0.00</b>	\$0.00
	Estimated	\$18,403,330.00	\$5,898,817.00	\$24,302,147.00	\$23,702,147.00	\$600,000.00	\$0.00
<b>Total:</b>	Actual	<b>\$19,368,678.18</b>	<b>\$6,748,996.00</b>	<b>\$26,117,674.18</b>	<b>\$7,349,941.89</b>	<b>\$18,767,732.29</b>	<b>\$0.00</b>

#### Uses of Funding

Program Name	Unique Identifier	Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
Operation of NAHASDA Units	2021-01	\$600,289.00	\$0.00	\$600,289.00	\$436,021.17	\$0.00	\$436,021.17
Operation of NAHASDA Units-Over Income	2021-02	\$5,717.00	\$0.00	\$5,717.00	\$0.00	\$0.00	\$0.00
Operation of 1937 Housing Units	2021-03	\$317,893.00	\$0.00	\$317,893.00	\$205,255.34	\$0.00	\$205,255.34
Modernization of 1937 Housing Units	2021-04	\$328,000.00	\$0.00	\$328,000.00	\$161,738.13	\$0.00	\$161,738.13
Twelve (12) Scattered Site Homes Planning	2021-05	\$150,000.00	\$0.00	\$150,000.00	\$0.00	\$0.00	\$0.00
Twenty (20) Winslow Rental Units Project #1 Planning	2021-06	\$400,000.00	\$0.00	\$400,000.00	\$117,769.25	\$0.00	\$117,769.25
Winslow Twenty (20) Rental Units Project#1 Construction	2021-07	\$6,506,384.00	\$0.00	\$6,506,384.00	\$1,629,333.54	\$0.00	\$1,629,333.54
Eight (8) Scattered	2021-08	\$3,335,046.00	\$0.00	\$3,335,046.00	\$1,994,506.77	\$0.00	\$1,994,506.77



**Site Homes Construction**

<b>Bacavi Youth &amp; Elderly Center Demolition and Rebuild</b>	2021-09	\$300,000.00	\$0.00	\$300,000.00	\$94,966.29	\$0.00	\$94,966.29
<b>Crime Prevention</b>	2021-10	\$180,000.00	\$0.00	\$180,000.00	\$8,723.26	\$0.00	\$8,723.26
<b>NAHASDA Units Settlement</b>	2021-11	\$813,401.00	\$0.00	\$813,401.00	\$523,037.60	\$0.00	\$523,037.60
<b>BIA-HIP Supplement Rehabilitation</b>	2021-12	\$90,353.00	\$145,073.00	\$235,426.00	\$4,108.76	\$144,073.66	\$148,182.42
<b>Mortgage Buy Down</b>	2021-13	\$50,000.00	\$0.00	\$50,000.00	\$0.00	\$0.00	\$0.00
<b>Infrastructure for CAS 1937 Housing Act &amp; NAHASDA Units</b>	2021-14	\$50,000.00	\$0.00	\$50,000.00	\$0.00	\$0.00	\$0.00
<b>Tenant Based Rental Assistance</b>	2021-15	\$100,000.00	\$0.00	\$100,000.00	\$13,087.32	\$0.00	\$13,087.32
<b>VASH - Veteran Affairs Supporting Housing</b>	2021-16	\$0.00	\$130,000.00	\$130,000.00	\$0.00	\$161,124.58	\$161,124.58
<b>Emergency Home Repair Assistance</b>	2021-17	\$20,000.00	\$0.00	\$20,000.00	\$0.00	\$0.00	\$0.00
<b>Home Roof Rehabilitation Program</b>	2021-18	\$292,000.00	\$0.00	\$292,000.00	\$40,342.41	\$0.00	\$40,342.41
<b>Home Rehabilitation Program</b>	2021-19	\$1,170,000.00	\$0.00	\$1,170,000.00	\$342,922.83	\$0.00	\$342,922.83
<b>First Mesa Youth Center</b>	2021-20	\$200,000.00	\$0.00	\$200,000.00	\$169,103.32	\$0.00	\$169,103.32
<b>Modular Home Construction Unit</b>	2021-21	\$30,000.00	\$0.00	\$30,000.00	\$109,392.96	\$0.00	\$109,392.96
<b>Winslow 40 Rental Housing Rehabilitation and Home Improvement</b>	2021-22	\$1,200,000.00	\$0.00	\$1,200,000.00	\$17,535.00	\$0.00	\$17,535.00
<b>Property Acquisition and Remodeling</b>	2021-23	\$4,758,228.00	\$0.00	\$4,758,228.00	\$86,533.89	\$0.00	\$86,533.89
<b>Winslow 40 Rental Units Infrastructure &amp; Streets</b>	2021-24	\$1,350,000.00	\$0.00	\$1,350,000.00	\$108,461.50	\$0.00	\$108,461.50
<b>Planning and Administration</b>		\$1,179,763.00	\$0.00	\$1,179,763.00	\$981,904.31	\$0.00	\$981,904.31
<b>Loan Repayment (describe in 3 &amp; 4 below)</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>		\$23,427,074.00	\$275,073.00	\$23,702,147.00	\$7,044,743.65	\$305,198.24	\$7,349,941.89

APR	VASH - \$130,000.00 and BIA/HIP - \$145,073.00
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APR	None
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**Other Submission Items**

Useful Life/Affordability Period(s)	Assistance under \$5,000: 6 months assistance \$5001-\$20,000 -3 years assistance \$20,001-\$70,000 -5 years Construction of Acquisition of new homes -15 years. Construction of Modular Unit -15 years.
Model Housing and Over-Income Activities	2021-09 Model Activity - Bacavi Youth and Elderly Center Demo and Rebuild. The type of activity will be for a community center funded 100% by IHBG funds. In accordance with Section 202(6) of NAHASDA Eligibility requirements the Bacavi Community Center in completion of construction phase for low income residents to be used for affordable housing activities within the Village of Third Mesa. The center will provide affordable housing activities to low income families.
Tribal and Other Indian Preference Does the tribe have a preference policy?	YES



	Hopi Tribal Members first before other tribal members.		
Anticipated Planning and Administration Expenses Do you intend to exceed your allowable spending cap for Planning and Administration?	NO		
Actual Planning and Administration Expenses Did you exceed your allowable spending cap for Planning and Administration?	NO		
Does the tribe have an expanded formula area?:	NO		
Total Expenditures on Affordable Housing Activities:		<b>All AIAN Households</b>	<b>AIAN Households with Incomes 80% or Less of Median Income</b>
	<b>IHBG Funds</b>	\$0.00	\$0.00
	<b>Funds from Other Sources</b>	\$0.00	\$0.00
For each separate formula area, list the expended amount		<b>All AIAN Households</b>	<b>AIAN Households with Incomes 80% or Less of Median Income</b>
	<b>IHBG Funds</b>	\$0.00	\$0.00
	<b>Funds from Other Sources</b>	\$0.00	\$0.00

#### Indian Housing Plan Certification Of Compliance

In accordance with applicable statutes, the recipient certifies that it will comply with Title II of the Civil Rights Act of 1968, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and other federal statutes, to the extent that they apply to tribes and TDHEs, see 24 CFR 1000.12.	YES
In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that there are households within its jurisdiction at or below 80 percent of median income.	Not Applicable
The recipient will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD:	YES
Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA:	YES

#### IHP Tribal Certification

Tribal Name	Certification	Signature	Title	Certify Date
Hopi Tribe	Tribe has certificate on file with HUD	QUINN, CRISTAL	Grant Management Specialist	09/14/2020

#### Tribal Wage Rate Certification

1. You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe

has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.

2. You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.

YES

3. You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.

4. List the activities using tribally determined wage rates:

#### Self Monitoring

Do you have a procedure and/or policy for self-monitoring?:

YES

Pursuant to 24 CFR § 1000.502 (b) where the recipient is a TDHE, did the TDHE provide periodic progress reports including the self-monitoring report, Annual Performance Report, and audit reports to the Tribe?:

YES

Did you conduct self-monitoring, including monitoring sub-recipients?:

YES

Self-Monitoring Results: Describe the results of the monitoring activities, including corrective actions planned or taken.

Self Monitoring conducted through the monitoring of Administration, Resident Services, Finance, Development and Maintenance issues were identified with corrective actions and on-going. Due to COVID 19 pandemic staff had limited access to documents.

#### Inspections

Activity (A)	Total number of Units (B)	Units in standard condition (C)	Units needing rehabilitation (D)	Units needing to be replaced (E)	Total number of units inspected (F=C+D+E)
<b>1937 Housing Act Units:</b>					
a. Rental	33	33	0	0	33
b. Homeownership	0	0	0	0	0
c. Other	0	0	0	0	0
<b>1937 Act Subtotal:</b>	<b>33</b>	<b>33</b>	<b>0</b>	<b>0</b>	<b>33</b>
<b>NAHASDA Assisted Units:</b>					
a. Rental	40	40	0	0	40
b. Homeownership	0	0	0	0	0
c. Rental Assistance	3	3	0	0	3
d. Other	13	13	0	0	13
<b>NAHASDA Subtotal:</b>	<b>56</b>	<b>56</b>	<b>0</b>	<b>0</b>	<b>56</b>
<b>Total:</b>	<b>89</b>	<b>89</b>	<b>0</b>	<b>0</b>	<b>89</b>

2. Did you comply with your inspection policy?

YES

#### Audits

1. Did you expend \$750,000 or more in total Federal awards during the previous fiscal year ended (24 CFR 1000.544) ?  
If Yes, an audit is required to be submitted to the Federal Audit Clearinghouse. If No, an audit is not required.

YES

#### Public Availability

Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD (24 CFR § 1000.518)?

YES

If you are a TDHE, did you submit this APR to the Tribe

YES

If you answered No to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so:

Summarize any comments received from the Tribe and/or the

APR posted on HTHA website at [www.htha.org](http://www.htha.org), Keams Canyon,

citizens :

Polacca, Second Mesa, Kykotsmovi and Hotevilla post office and Hopi Tribe Administration Office for public viewing.

**Jobs Supported By NAHASDA**

Number of Permanent Jobs Supported by Indian Housing Block Grant Assistance(IHBG):

34

Number of Temporary Jobs Supported by Indian Housing Block Grant Assistance(IHBG):

4

Narrative (Optional):

