1. **Description of Customer’s Business**

Detail Description of the business they conduct and why they need account as well as what currencies they need to trade.

1. **Financial Resources and Credit**
	1. Provides details of the company’s borrowing or loans taken including identifying assets pledged as collateral to lenders or other parties.
	2. Provide a description or attach copy of the company’s liquidity policy.
2. **Risk Management**
	1. Provide experience and qualifications of those individuals directly responsible for oversight of trading and risk management:
	2. Provide details of whether the firm matches each customer position with that of a counterpart or allows for intra-day or overnight exposures.
	3. Provide the country from which funds will originate and what time zone:
	4. Provide the name and branch of the financial institution from which margin and settlement funds will originate:
	5. Provide a copy of the firm’s written risk policy and procedures.
3. **Compliance**
	1. Provide list of regulators with applicable registrations or disclose why regulation is not required.
	2. Provide the AML Policy or a statement about AML Procedures and Controls.

Some of these questions might not be applicable and please answer “Not Applicable”

**EXHIBIT I: Customer Activity Profile and Limit Request**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1 | Customer financial statement base currency: |  MXN |  |  |
|  |  |  |  |  |  |  |
| 2 | Account collateralized as follows: |  Credit |   |  Margin  |  X |
|  |  |  |  |  |  |  |
| 3 | Account will be setup for: |  Delivery (DVP) |  X |  Cash |   |
|  |  |  |  |  |  |  |
| 4 | Initial USD NOP Limit Requested: |  |  |  |  |
|  |  |  |  |  |  |  |
| 5 | Expected monthly USD equivalent notional volume: |   |  |   |
|  | Limit is based on available margin up to the maximum NOP. |  |  |
|  |  |  |  |  |  |  |

#4 NOP – net open position (what is the maximum in millions which you would trade in one day)