



Trust. Invest. Progress

PEERLESS SECURITIES LTD.

Regd. Office : 1, Chowringhee Square, 2nd Floor, Kolkata - 700 069

Phone : (033) 4050-2700, E.mail : pslrelations@peerlessec.co.in

Website : www.peerlessec.co.in, CIN : U67120WB1995PLC067616

Email ID for Investor Complaint : pslrelations@peerlessec.co.in

Commodity Broking

EXCHANGE ADDRESS

National Stock Exchange Of India Ltd.

Regd. Office : Exchange Plaza, Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051
Phone : (022) 2659-8100 to 8114, Fax : (022) 2659-8120

Regional Office : 1st Floor, Park View Apartments
99, Rash Behari Avenue, Kolkata - 700 029
Phone : (033) 4040-0400, 2419-5400, Fax : (033) 4040-0440, 2463-1791

BSE Limited

Regd. Office : Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001
Phone : (022) 2272-1233/1234, 6654-5695 (Hunting)
Fax : (022) 2272-1919, E.mail : is@bseindia.com

Regional Office : 22, Camac Street
Unit No.A-1, 1st Floor, Block A, Kolkata - 700 016

Multi Commodity Exchange Of India Ltd. (MCX)

Regd. Office : Exchange Square, Suren Road, Chakala
Andheri East, Mumbai - 400 093, India
Phone : (022) 6731-8888, 6649-4000, Fax : (022)6649-4151
Email : info@mcxindia.com

Regional Office : 4th Floor, 55 & 55/1, Chowringhee Court Building
Chowringhee Road, Kolkata - 700 001, West Bengal

Securities and Exchange Board of India

Regd. Office : Plot No. C4-A, 'G' Block Bandra Kurla Complex
Bandra (East), Mumbai - 400 051
Phone : (022) 2644-9000, 4045-9000
Fax : (022) 2644-9019 to 9022, 4045-9019 to 9022, E-mail : sebi@sebi.gov.in
Interactive Voice Response System (IVRS) : Phone : (022) 2644-9950, 4045-9950

Regional Office : L&T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700 017
Phone : (033) 2302-3000, Fax : (033) 2287-4307, Email : sebiro@sebi.gov.in

Date : _____

Peerless Securities Ltd.
1, Chowringhee Square, 2nd Floor
Kolkata - 700 069

Dear Sir,

I/We _____ bearing UCC _____ is a client of your _____ Branch. I/We came to know that you became a registered a Member of Multi Commodity Exchange of India Ltd. So I/we wish to active my/our above mentioned Unique Client Code in the said exchange. I have executed sets of documents in respect of broker client relationship as under:

1. Know Your Client (KYC) Application Form (Individual / Non-Individual) in Annexure-1, Part-I
2. Other details of Clients in Annexure-1, Part-II
3. Other Documents :
 - a. Risk Disclosure Document
 - b. Rights and Obligations of Members, Authorized Persons and Clients
 - c. Guidance Note - Do's and Don'ts for the Clients
 - d. Tariff sheet
 - e. Policies and Procedures (May be different)
 - f. Running Account Authorization
 - g. Authorization for Electronic Contact Notes
 - h. FATCA / CRS Declaration
 - i. Form for availing the Internet bases Trading Facility

Peerless Securities Ltd. is authorized to continue with the existing sets of documents in respect of broker client relationship as executed by me as mentioned above. The same shall remain valid and acceptable to me.

Mandatory documents like Risk Disclosure Document, Rights and Obligations of Members, Authorized Persons and Clients, Guidance Note - Do's and Don'ts for the clients, Policies and Procedures, Tariff sheet etc. which are different from earlier documents including additional Risk Disclosure documents for Options Trading has been provided by Peerless Securities Ltd.

Additional non-mandatory documents like Running Account Authorization, Authorization for Electronic Contact Notes etc. have been provided by Peerless Securities Ltd. and I have given my consent for the same.

All necessary documents like MOA, AOA / Partnership Deed / Trust Deed / Deed of HUF etc. alongwith necessary resolution has been provided to Peerless Securities Ltd.

Please activate my / our Client Code in Multi Commodity Exchange of India Ltd. at your earliest.

Thanking you,

Yours faithfully,

✓ _____
Signature of the client

Name of the Client : _____

TARIFF SHEET
BROKERAGE DETAILS (To be filled by the Branch Manager)
BROKERAGE SLAB

	MCX FUTURE SEGMENT*			MCX OPTIONS SEGMENT*	
	1st Leg	2nd Leg		1st Leg	2nd Leg
Intraday / Sq. off.			% of Premium		
Carry Forward			Minimum		

*Subject to minimum brokerage per contract, which may vary from time to time.

Transaction Charges, Stamp Duty, GST, CM Charges, CTT Charges and any other statutory levies will be charged extra.

BM's Signature

✓_____
Client Signature

Disclosure of Proprietary Trading pursuant to SEBI Circular No. SEBI/MRD/SE/Cir-42/2003 dated 19th Nov. 2003, NSE Circular No.: NSE/INVG/PRE/2003/16 dated 25th Nov., 2003 and BSE Notice No. 20031125-7 dated 25 November, 2003 Multi Commodity Exchange Circulars & Guidelines:

We, **Peerless Securities Ltd.**, are also engaged in Proprietary trading apart from Client based business.

CLIENT COMMODITYWISE CATEGORISATION (MANDATORY - ONLY FOR COMMODITY CLIENTS)

Peerless Securities Ltd., Regd. Office : 1, Chowringhee Square, 2nd Floor, Kolkata - 700 069

I do hereby declare that my Commoditywise categorisation as a participant is as follows :

SR. NO.	SYMBOL DESCRIPTION	•FARMER FPOS	•VALUE CHAIN PARTICIPANTS (VCPs)	•PROPRIETARY TRADES	•DOMESTIC FINANCIAL INSTITUTIONAL INVESTORS	•FOREIGN PARTICIPANTS	•OTHERS
1.	ALUMINIUM	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	BARLEY	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	BRASS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	CARDAMOM	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	CASTOR SEED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	CHANA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	COPPER	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	COPRA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.	CORIANDER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.	COTTON	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11.	COTTONSEED OIL CAKE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.	CRUDE PALM OIL	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.	CRUDE OIL	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14.	DIAMOND	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15.	GOLD	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16.	GUARGUM	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.	GUARSEED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18.	ISABGUL	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19.	JEERA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20.	JUTE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21.	KAPAS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
22.	LEAD	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23.	MAIZE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
24.	MENTHA OIL	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25.	NATURAL GAS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
26.	NICKEL	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27.	PEPPER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.	RAPEMUSTARD SEEDS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29.	RBDPMOLEIN	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30.	RUBBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.	SACK	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
32.	SILVER	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33.	SOYABEAN	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
34.	SOY OIL	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
35.	STEEL	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36.	SUGAR	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
37.	TURMERIC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38.	WHEAT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
39.	ZINC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I also give my consent to upload the information to the respective commodity exchanges.

- * **1) Farmers/FPOs** : It includes participants such as farmers, farmers' co-operatives, Farmers Producers Organisations (FPOs).
2) Value Chain Participants (VCPs) : It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs. **3) Proprietary Traders** : It includes the members of stock exchanges trading in their proprietary account.
4) Domestic Financial Institutional Investors: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
5) Foreign Participants : It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets. **6) Others** : All other participants which cannot be classified in the above categories.

✓

Client Signature