National COPS Evaluation of St. Paul: 2000

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Introduction

Saint Paul Police Chief William K. (Corky) Finney was appointed to head the Saint Paul Police Department in July 1992. Born in the City and a lifelong resident, Finney had served for twenty-one years in SPPD, as a patrol officer, investigator, community projects leader, Director of Training, and executive officer. Finney came up in a Department in which the seeds of community policing were planted during the 1970s in the form of decentralized team policing. From the mid-seventies through the 1980s, team policing waxed and waned as City finances rose and fell in Saint Paul, but it never died out. Instead, it emerged as community policing beginning in the late 1980s, developing formally under Chief Finney's administration during the 1990s.

Saint Paul's long and continuous involvement in team, and then community, policing can be traced in large part to its strong neighborhoods: for decades, citizens have identified with small, yet distinctive neighborhood areas, often comprised of only a few blocks—Railroad Island, Como Park, Saint Anthony Park, the lower east side. The Department itself is a tightly knit force with a high percentage of officers who have lived in Saint Paul and worked in the Department for their entire professional lives. Generations of officers in families, relatives within extended families, trained volunteers who contribute hundreds of hours a year, and active civilian employees all contribute to a Department that is rooted in and reflects the City's neighborhoods. Today, policing remains neighborhood-centered: patrol and many investigative functions are decentralized, carried out from three district stations, four substations, and twelve storefront offices throughout the City. As neighborhoods have changed demographically, SPPD has worked to build partnerships with new residents: the second largest Hmong community (from Southeast Asia) in the country is in Saint Paul, with many living in public housing; recently the City has opened its doors to families on welfare seeking to leave Chicago, Milwaukee, and Detroit for a better life in Saint Paul. Community policing as a strategy has flourished in Saint Paul because of the "fit" between team and community policing, and this evolving neighborhood structure.

With the origins of community policing in Saint Paul firmly rooted in the Department's experiments with neighborhood policing and subsequent move into team policing during the 1970s, the fundamental ethos and guiding principles learned by patrol officers early on served the same officers well as they moved into supervisory and even upper management positions during the 1980s and 1990s. It was not one, two, or even three officers who led Saint Paul into community policing; rather, there were many in SPPD who found the transition a natural one

given their experiences in policing locally. These supervisory and management staff were able to graft new technology and strategies onto a foundation of policing close to the ground, and problem solving, in neighborhoods. They faced no significant opposition, save that of budget constraints at various times.

Chief Finney, as one of the officers who rose to the top in SPPD, mirrors this transition. Unlike some other executive officers in the Department, Finney developed as both a top manager within SPPD, and a public figure with a following in the community. In five years as Chief, he has already left his mark, leading SPPD, with its decentralized orientation and new community-oriented programs, into formally adopting community-oriented policing. He has pushed SPPD further than previous administrations with a form of community policing that involves, in his own words, "opening up the Department," increasing substantially the opportunities for minorities and women to become sworn officers and for civilians to serve in SPPD, reaching out to new constituencies in the City, evidencing greater accountability and responsiveness to citizens.

But Finney, too, continues to carry out "policing by neighborhood:" in its current form, this has meant developing strategies that ask citizens, including the business community, to assume joint responsibility for public safety and crime reduction, while further tailoring the delivery of police services through the creation of Neighborhood Service Areas. As neighborhoods make claims on the Department, and as crime patterns change around the City, SPPD has had to struggle with how to allocate resources: for example, Saint Paul's public housing projects have become models recognized nationwide for their high standards and low incidence of crime; at the same time, criminal activity appears to be climbing on the east side of town, challenging police to think about whether they should open new storefronts and substations, and close others.

The Context for Policing in Saint Paul

Saint Paul, Minnesota, grew up during the nineteenth century as a frontier town near Fort Snelling, situated at the upper terminus of the Mississippi River boat trade. Early on it attracted tradesmen, merchants, and settlers on their way West, and served the nearby logging industry. Originally called "Pig's Eye" after the nickname of its first settler, trader Pierre Parrant, it was renamed in 1841 by Father Lucian Galtier when he built the first church. During the second half of the nineteenth century, the City's growth paralleled that of the railroad, as Saint Paul became a distribution center.

Today the capital of Minnesota, Saint Paul covers approximately fifty-five square miles on both sides of the Mississippi River, in Ramsey County, southeastern Minnesota. Adjacent to Minneapolis, it is part of a Twin Cities metropolitan region that in 1990 had a population of 2,464,124. The Saint Paul population was 272,235: 82.4% were White; 7.5% African American; 1.2% Native American; 7.0% Asian; and 1.9% other. Just under 4.0% of these racial groups was Hispanic in origin.

In the downtown commercial area, located to the north of a bend in the Mississippi, a well-developed skyway links major businesses, banks, hotels, and other institutions above ground level. Fifty to sixty thousand people flock into the City each day to work and shop in adjacent

establishments. In many senses the skyway has replaced City streets as primary public thoroughfares—particularly during the harsh winter months. The City itself is a major road and air transportation hub, one of the largest trucking centers in the country, supports numerous industries (including printing, automotive assembly plants, the manufacture of electronic equipment, chemicals, abrasives, adhesives, refrigerators, foods, and construction equipment), and serves as a market center for grain and livestock. Along with Minneapolis, Saint Paul is also a cultural and educational center.

Saint Paul has a strong mayor/council form of government, with the mayor serving a four-year term. Current Mayor Norm Coleman, a Republican, was elected in 1993, and re-elected in 1997. Seven City Council members, representing specific wards, approximately equal in population, are elected for two-year terms. Saint Paul also contains seventeen planning districts, each represented by an elected council. The mayor is the chief executive officer of the City: he recommends appointments for department directors, and members of boards and commissions, and policies and budgets, for Council approval. The Council is a legislative body; it also monitors and maintains liaisons with community groups to ensure citizen participation; and it analyzes, adopts and oversees the city budget. The mayor has veto authority over Council action; however, the Council can override the mayor's veto with a five vote minimum.

A chief of police in Saint Paul is selected by the mayor and City Council: when the mayor announces a vacancy for the position, the City Council establishes a committee to assess candidates. Based upon its review and examination of applicants, the committee (sometimes referred to as a citizens' commission) prepares a short list of five candidates, from which the mayor appoints the Chief, subject to approval by the City Council. The term of office for the chief is determined by charter (giving the chief a stronger position than in many other settings):² a one year probationary period is followed by a set term of five years, during which time s/he may be fired only for cause, and with the vote of five out of seven council members.

Current Ramsey County Sheriff Bob Fletcher is a former police lieutenant who commanded SPPD's Juvenile Unit. Widely acknowledged as a leader in juvenile programs, Fletcher maintains a close working relationship with the Department. Susan Gaertner, Ramsey County Attorney, is recognized locally, and within SPPD, is seen in the City and Department as an innovative prosecutor, an advocate of collaborative community-oriented programs, and a willing partner in several SPPD projects. The County Attorney's Office has jurisdiction over the prosecution of felonies, while City Attorney Peg Birk and her office handle the prosecution of misdemeanors and ordinance violations, as well as conducting forfeiture actions with SPPD.

A History of the Saint Paul Police Department

In 1851, Alexander Marshall was appointed to enforce the laws of a violent Territory of Minnesota, whose northern, eastern and southern boundaries were the same as those of today's state, but whose western limits ran to the Missouri and White Earth Rivers and encompassed

¹ Elections are held in November of odd-numbered years. Six years ago the electoral system changed from city-wide to ward representation by council members.

² Saint Paul City Charter Sec. 12.12.4.

most of what is today North Dakota. Marshall lasted only until 1854 before resigning. Shortly after, Saint Paul was incorporated as a city, with William Miller appointed Chief of Police. He was assisted by four patrolmen. By 1857, with murder, robbery, and assault frequent occurrences, and prostitution and gambling rampant, the police force was increased to twelve, and a Vigilance Committee of 40 men was established. Even this enlarged force failed to keep the peace, however, and the City maintained its reputation for disorder and criminal activity. Minnesota was admitted to the Union in 1858. With the onset of the Civil War, river traffic was greatly reduced and the business life of the City came to a virtual standstill. Three-quarters of the police enlisted in the Army, and no funds could be found to pay the remainder. When the night police were disbanded, a force of two hundred volunteers organized to take their places—dividing into four companies, with each responsible for maintaining order in one section of the City.

After the War, Police Chief Michael Cummings and twelve patrolmen replaced the Vigilance Committee. The City began to assist in crime reduction efforts: for example, to address flourishing prostitution, an arrangement was worked out with the House of Good Shepard to accept female offenders for rehabilitation instead of sending them to jail. Private citizens also assisted the Department: to aid patrolmen struggling to propel drunks uphill to jail, one merchant left a horse and delivery wagon parked at 7th and Wabasha overnight for their use. By 1885, the population of the City had reached 45,000, and the mounted patrol of horse and bicycle riders had grown to 160 officers. Four police substations were opened on May 1, 1887, at 747 Margaret Street (now an apartment building), Robert and Delos Streets, Rondo and Western, and 490 North Prior.

Around the turn of the century, police officers on the beat communicated by signals sent with their nightsticks: a sergeant searching for a patrolman tapped twice for a "call rap;" a patrolman needing assistance from another gave a single rap, or whistle, to be answered by the same from another officer. Headquarters moved several times until 1911, when it was located at 110 W. Third Street, at Washington. In 1930, with the advent of one-way radio, the police installed receivers in sixteen squad cars, and calls were broadcast over KSTP Radio. When the dispatcher received a complaint, he interrupted general programming on the station, sounded a gong, and gave the call to the squad three times; after the call was completed, regular programming resumed. In 1939, the Department went to two-way radios.

During the 1920s and 1930s, Saint Paul's Police Department was riddled with corruption—these were the days of Dillinger and O'Connor, when outlaws were left alone in St. Paul as long as they committed their crimes elsewhere.³ This changed with the Hamm kidnapping, and from 1938-40, when Bill Salvi was mayor for two years, a reform of the Police Department was launched. SPPD became a "reform" department, with close oversight and control of officers a primary concern: to ward off corruption, officers assigned to day, midnight, and afternoon shifts alternated every two weeks. From World War II to the mid-1970s, the Department was a highly

³ See Paul Maccabee, John Dillinger Slept Here: A Crooks' Tour of Crime and Corruption in St. Paul, 1920-1936. Minnesota Historical Society Press, 1995.

centralized organization that delivered services with an approach described in a later evaluation as "when-called-we-respond." The first specialized unit was created in the late 1950s, when a small tactical unit (under the command of Tony Tigue) comprised of six officers and a sergeant operated citywide, taking on whatever special projects developed and focusing on "hot spots." Through the efforts of Larry McDonald and Al Johnson, the first teams of officers and canines were also trained and formed at this time—with Saint Paul only the second police department in the country to be using them. These teams began working with the tactical unit and soon proved their effectiveness: one won a national "Lassie" award for locating a fleeing burglar.

SPPD officers whose institutional memory extends back to the 1970s see in today's "community policing" the elaboration of basic practices and principles that were present in the Department over two decades ago. They describe foot patrol beats that "came and went"—some continued for periods of several years, with the same officers walking these beats, and getting to know the local neighborhood well. Beyond this, interest in the principles and practices underlying community policing was evident in specific programs begun in Saint Paul during the late 1960s and early 1970s. The first initiatives—H.E.L.P.-P. (the Housing Environmental Liaison Police Project) and the Police Community Relations Program (which started in the late 1960s under Chief McAuliffe)—were followed in the mid-1970s by a pilot project in team policing. These early efforts took place during the administration of Chief Richard Rowan, who served from 1970-79 and oversaw the implementation of team policing citywide in 1977. When announcing his resignation, Rowan cited the implementation of team policing as a major achievement of his 9.5 years as chief.⁵

In becoming Chief, Rowan had vied for the position with then Captain William McCutcheon. Reportedly McCutcheon was a strong and influential figure in the Department even under Chief McAuliffe. When McCutcheon lost in his bid for chief, he ran for the state senate, where he served for the next ten years while retaining his position as captain and then deputy chief in SPPD. When Rowan left SPPD, there was no question of who would be the next Chief: Mayor George Latimer appointed McCutcheon, who resigned from the State Senate in order to serve. McCutcheon was chief from 1980-1992. According to Latimer, McCutcheon was the right person for the job: he understood the City, he was a civil libertarian and respectful of citizens' rights, and he was respected by his officers. Complaints of police brutality were negligible under his tenure. McCutcheon's considerable acumen in financial matters was enhanced through his legislative experience—he had chaired the Senate Tax Committee—so that he was particularly shrewd in understanding city finances, ferreting out funds, communicating with lawmakers, and mobilizing support for new policing programs. Described by some as a tough manager who ran a tight ship, Chief McCutcheon was nevertheless open to innovation and change. He "made major strides in recruiting women and minority officers, and then promoting those officers to positions of responsibility." Recognizing that stopping drug abuse ultimately began with prevention, he coordinated fund-raising efforts that brought DARE (Drug Abuse Resistance Education) to Saint

⁴ "Team Policing in St. Paul, Minnesota, An Evaluation of Two Years Implementation," November 5, 1979, p. 4. Draft, prepared by the Team Police Evaluation Unit, SPPD.

⁵ In an editorial published on June 27, 1979, the day on which Rowan announced his intended retirement at the end of the year, The Saint Paul Dispatch, concurred.

Paul schools. SPPD's 1992 Annual Report credits his administration as having "set the stage for the new era of community policing." Between 1972-1977, he also was largely responsible for developing high POST standards that remain in place in Minnesota.

After two terms as Chief, at age 65, McCutcheon announced that he would not seek reappointment. The McCutcheon era came to an end on July 15, 1992, when Mayor James Scheibel named Bill Finney Chief of the Saint Paul Police Department. Two days later the City Council unanimously approved his selection and Finney became the first African-American Police Chief in Minnesota history. Today, Chief Finney leads a force of 571 sworn officers (up from 519 when he took office) and 197 civilians.

Retrospective: The Origins of Community Policing in Team Policing, the 1970s-80s

Local Government and the Changing Community

For both the City and SPPD, the 1970s were a period of reflection, self-scrutiny regarding policing, early experimentation in neighborhood policing, and planning for reorganizing and improving policing services in light of social changes and increasing community diversity. Public animosity toward police had heightened during the 1960s, following the images of police televised nationally from the Democratic National Convention in Chicago in 1968, and the civil rights demonstrations of the 1960s. By the early 1970s, relations between African-American residents of public housing in Saint Paul and police were hostile enough that police were hesitant to enter the projects. In 1970, an SPPD officer was assassinated. Chief Finney himself remembers that both citizens and officers were angry: citizens, especially African-American residents, wanted police to be more respectful. They also wanted more African-American police officers. The first wave of immigrants from southeast Asia also began arriving in the early 1970s: in particular, large numbers of Hmong (a tribal agricultural people) from Laos would eventually settle in Saint Paul's public housing. The Lao Family Community of Minnesota, one of the first organizations established by Hmong refugees to assist in the acculturation process, began in 1977.

In spite of the tensions created by these changes, relative to many other cities Saint Paul was a fairly tolerant community. Unlike some others, it was never torn apart by conflicts that did arise over civil rights, the war, and a substantial immigrant population: the Police Department did not become the "enemy" of citizens. Nevertheless, early in the 1970s, SPPD was looking at what it could do differently. Bill Wilson, an early civil rights activist, member of the City Council during the 1980s, and Council President from 1990-93, recalls:

⁶ DARE is now funded by public sources, and continues in city schools.

⁷ SPPD, Annual Report, 1992, p. 24.

I can remember meetings held in the community by advocacy groups advocating for less intrusion...they stated that they were over-policed and underprotected.... These were basically student activists from the University of Minnesota. Because of the large demonstrations...there was a lot of concern about the anti-war movement and civil rights movement. So those two movements merged, blended, and spread out into the community....It was at that time that the whole idea of bringing the police closer to the community began to emerge as a strategy to offset conflict between police and the community....

And so then residents started talking about the need, in the community, for what they called storefront operations. Part of this came out of the Model Cities initiatives which allowed the community to use its funding to help support the programs that fit the needs of the community. Part of that funding was used to develop a storefront on Selby Avenue in the early 1970s. This was a novel demonstration...the idea was to have cops located in the community. But then it took a certain kind of police officer, a person who could work with the community as opposed to being there to apprehend and enforce, more so to relate to the community and share what policing was about and to make connections...while at the same time respecting the integrity of the community.

To meet these needs, SPPD formed the Police Community Relations Unit, and to bring in more African American officers, it created "quasi-cop" positions or Community Service Officers, hiring African Americans to fill them. Two of those hired—Samuel Ballard and Willie Hudson—eventually became police officers. But the most far-reaching change, and the one on which the Department pinned its hopes, lay in the introduction of team policing. SPPD team policing was seen as the answer to many of the deficiencies in professional, "tour mode" policing—in terms of improving the ability of police both to address crime problems, and to establish positive relationships within the community. By all accounts, the move into team policing (and later its extension into community policing) received support from within SPPD, as well as from City officials.

From 1976 to 1990, when team policing took hold in Saint Paul and then underwent a transformation to community policing, Democrat George Latimer was mayor. While campaigning in his first election in 1976, Latimer brought in Deputy Chief McCutcheon to brief him on the team policing pilot project that was taking place on the West Side of Saint Paul. Latimer was running for office in part to mobilize the business community: he recalls that crime was not a big issue in the City at the time, but he became a supporter of team policing, and pledged to extend it city-wide if elected, in essence "co-opt policing and crime issues by building on an already strong Department." A participant in the Executive Sessions on Policing held at the Program in Criminal Justice, John F. Kennedy School of Government, Harvard University, early in the 1980s, Latimer was knowledgeable about new developments in policing and an early

⁸ Selby Avenue was an area with many African-American residents, and one in which crime problems were especially serious. The storefront opened after the assassination of SPPD Officer Sackett. It remains a focus for police efforts today in Western District.

⁹ Ballard still serves in the Department today, while Hudson later resigned and is now a minister.

proponent as well of the "broken windows" theory, recognizing the value of police attending to quality of life issues in neighborhoods.

As mayor, Latimer made it a policy to be publicly supportive of the Police Chief, and in his own words, pretty much "kept hands off the Department" when Rowan and McCutcheon were in office. During the 1980s, financial pressures in the City led to the contraction, and later reinstatement, of team policing under Chief McCutcheon. Nevertheless, the debate in the City never ended about "how to get more participation by the police in the community." By this time Bill Wilson was on the City Council. When the dialogue began to form around community policing, and (in Wilson's words) "...the discussion came to the City Council, there was general support, because it simply made so much good sense. There wasn't union opposition. There wasn't community opposition. There wasn't department opposition." But there was concern given to organizational questions: "Does it make sense from an organizational point of view? And then how do we finance locations or facilities that are sufficient beyond having a storefront?" Wilson remained actively involved in searching for answers to these questions and other policing issues, and continually engaged the police department. He developed a concern about gangs, far ahead of others in the City, and got people thinking more seriously about how to address gang behavior and gang-related crime, and about pornography. Another Council member at the time, current Sheriff Bob Fletcher, also participated in the discussions about developing police programs to address juvenile crime issues.

Transition in The Saint Paul Police Department

Operations in Change: from the "Tour" Mode to Team Policing

The "Tour" Mode of Policing

During the early 1970s, the Patrol Division operated through a "tour" mode, as the Department identified it: "the command structure was organized by time of day, with all personnel assigned on a permanent basis to one tour or shift. Although the City was divided into four zones, the assignment of personnel and squad cars to zones was not rigid, and dispatching was not rigorously done by zones (i.e., cars assigned to one zone would often be dispatched into other zones)." In the downtown area, the skyways presented special policing problems. Begun by developers in Saint Paul early in the early 1970s, they continued to expand, eventually linking major businesses (banks, hotels, and commercial establishments) at several stories above the ground through interconnecting enclosed walkways. Yet 'beat cops' from the downtown beat unit were still assigned to patrol the streets below the skyway. The Business Owners and Managers Association (BOMA) began asking Chief Rowan, "why?'—the streets were mostly empty, since people had moved up into the skyway above. Finally they got a skyway patrol—the Downtown Patrol Beat—started, and federal dollars gradually added to the numbers of officers assigned.

¹⁰ "Team Policing in St. Paul, Minnesota, An Evaluation of Two Years Implementation," November 5, 1979, p.4. Draft, prepared by the Team Police Evaluation Unit, SPPD.

By the early 1970s, the Saint Paul Police Department had already developed a number of innovative policing practices, including both call prioritization, and crime mapping and analysis. SPPD started very early to look at computers and attempt to determine how they might be used in law enforcement. The law enforcement computer system in Ramsey County began in the early 1970s, and SPPD began tracking incidents at that time. Later in the 1970s, a small (three to four person) crime analysis unit was formed to keep track of crime trends. An important use of the information, according to Lieutenant Joe Polski who heads the Records/Evidence Unit today, was in "being able to get feedback to the community about crime in Saint Paul, and being able to look at where we were succeeding and where we needed to put resources."

Recruitment and Training

Recruitment of officers for SPPD during the late 1960s was made especially difficult because of the hostility and anger toward police that followed the civil rights movement, and the Democratic National Convention. Recalling his own role during this time, Larry McDonald reports being asked to recruit for SPPD:¹¹ he helped to start what later become the Internship Program, traveling throughout the state and into the Dakotas and Iowa, going to small towns, to farms, churches, schools, and barbershops, posting handwritten notices, and asking about young men who might be interested in becoming police officers. McDonald would take young men around with him for a day, talk with them about policing, and try to get a sense of whether they might be good recruits. When potential candidates were identified (and he was lucky to find twelve in a year), thorough background investigations were conducted, psychological profiles were done, and families (parents, girlfriends) were brought in, interviewed, and prepared for entering and working with the Department as well. The Department's attitude was that it was forming a relationship with an entire family when it hired an officer. New recruits attended Demonstration School, a two-week period of programs, before actual training started, to make certain that they knew what was involved in becoming an officer, and were prepared. McDonald contends that this proved a highly successful strategy in recruiting officers who would stay with the Department for decades—such as John Sturner, recently retired Commander of Central Patrol District, and Don Winger, Commander of Eastern Patrol and an officer who started many innovative programs over the course of his career.

Recruitment of women and minorities played virtually no role as either a priority in, or even part of, Departmental hiring efforts at this time. From 1972-75, however, Saint Paul's Police Department was under a consent decree, ordered by the courts to hire one African American for every four new employees. Officers were not hired under the decree until 1975: by this time, the Department had lost approximately 50 officers through attrition, and new hiring had to make up for this decline. ¹² The decree was modified in 1977 to include women. ¹³ Later in the decade, the new Asian population presented challenges that SPPD tried to meet by recruiting Hmong,

¹¹ Civil Service was unable to come up with a pool of candidates at this time.

¹² In 1975, 12 African Americans were hired: 11 male and 1 female; in 1977, 12 more were hired, again 11 male and 1 female. In 1978 and 1979, two minorities were hired each year; however, they were not African Americans.

¹³ During this same period POST standards were being raised in Minnesota: according to some in the Department, the raising of these standards also set back attempts to hire minorities.

Vietnamese, and other officers who were fluent in the languages, knowledgeable about the culture, and familiar with the Asian community.

During the late 1960s, the first training unit began compiling its own curriculum, gradually adding a field training component as well. Larry McDonald was part of this effort. Working within the Research Unit, the officers assigned to this task wrote their own lesson plans and brought in experienced SPPD officers to teach classes for recruits.

Early Initiatives: The Help-P Unit (1970-73) and Team Policing Pilot Project (1974-77)

While formal team policing would begin later in the decade in Saint Paul, it was grounded in two early experiences. Beginning in 1970, the H.E.L.P.-P. project, funded by LEAA and the City of Saint Paul, placed officers in four low-income public housing projects—Roosevelt, Mt. Airy, Dunedin, and McDonough—in an effort to improve police/citizen cooperation. Newly promoted Lieutenant Larry McDonald was asked to command the project, with Sergeant Dick Ekwall assisting. McDonald wanted only officers who volunteered for the project to participate, and took the unusual step of involving residents in the housing projects in interviewing officers before they were finally selected. He was determined that citizens would "buy in" from the beginning. A Citizen Participation Committee (which met monthly) was formed for each target area, with citizen representatives elected by community organizations for one-year terms. In addition, a Target Area Advisory Council, consisting of SPPD officers, social workers, and others assisting in the project, also operated. Community Relations Officers also worked with the project, along with University of Minnesota student interns, who assisted police in solving disputes in the areas, placing abandoned children, and dealing with domestic disturbances and runaway children.

The latitude given to the HELP-P team to innovate created very real tensions with the rest of the Department. One of the first changes then-Lieutenant McDonald made involved shift schedules: HELP-P officers were placed on a ten-hour shift to accommodate their work with citizens. While HELP-P officers "loved it," this created something of a rift with the rest of SPPD, which did not change its shift structure until much later. HELP-P officers also spent much of their time meeting with local citizens and attending community events, which sometimes required heavy reliance on backup from the rest of the Patrol Division to cover calls that could not be answered by HELP-P officers. Yet when non-HELP-P officers came into the area on a call, citizens in the project did not like it—they wanted HELP-P officers only, and not "strangers." (McDonald recalls that citizens were never timid in pointing out what was going right or wrong.) McDonald tried to reciprocate by having HELP-P officers respond outside the housing projects around the periphery of their areas when patrol officers couldn't handle all the calls coming in. But he never solved the problem of citizen responses. The HELP-P staff did focus on problem solving: working together, police and citizens addressed problems such as vandalism and burglary in schools located within the projects, paint-sniffing by young people, traffic problems, damage to Housing Redevelopment Authority property by teenage boys, and disputes among tenants. And

responses to the project by police and citizens alike were highly favorable: ¹⁴ when federal funding ended for the project, the City took it on.

While HELP-P was operating, several drawbacks were perceived in the "tour" mode of the larger SPPD organization. First, it was believed that the supervisory span of control was too large: typically, one lieutenant would have responsibility for the entire city for his tour. Second, many crime and police-related problems had geographic rather than temporal patterns, and required service delivery that corresponded accordingly. Finally, in the tour mode responsibility and accountability remained concentrated at the top: since most police problems occurred across tours, and innovative strategies could only take place at the deputy chief level, it was difficult to assign responsibility at lower levels. 15 In 1973, an external report requested by Mayor Cohen following the revision of the City Charter (which placed the Police Department under the administrative control of the mayor rather than the City Council) was harshly critical of this form of policing, concluding that there was a serious lack of accountability within SPPD's Patrol Division. ¹⁶ An internal SPPD study of Patrol Division management concurred, and proposed geographical decentralization as a means for improvement. In 1975 a Departmental committee appointed to formulate specific recommendations issued a report citing neighborhood team policing "as the best means of solving both the accountability problem and the need to integrate a variety of community oriented programs within the Department." ¹⁷

In the meantime, SPPD's positive experience with HELP-P, the need to improve relations with the Hispanic community in Saint Paul, and the desire to address accountability problems in "tour mode" policing led Deputy Chief McCutcheon to initiate a team policing experiment on the West Side early in 1974. The setting, a well-defined community across the Mississippi River from the downtown Saint Paul area, made it an ideal location for carrying out the pilot project and measuring outcomes. Although staff saw it as a somewhat isolated location (they would have preferred a residential or commercial area), the downtown airport provided a base for the project. It was commanded by (then) Lieutenant Ed Fitzgerald. Several officers got their first taste of team or community policing here—among them Commander (then sergeant) Don Winger. The team policing project built directly on the work of the HELP-P project in Dunedin housing project, located in the area, and generated many of the same positive responses from citizens and police alike.

With this track record of two experiments in "team policing," City officials decided to explore the possibility of developing a citywide team policing program for Saint Paul. (At the same time, the City itself, as part of a Citywide Citizen Participation Process, established seventeen districts, with a district council for each. The councils were set up to plan and advise the City on physical,

¹⁴ LEAA, Department of Justice, Discretionary Grant Progress Report—Housing Environmental Liaison Police Program (HELP-P), Grant No. 72-DF-05-0023, Interim Report, October 1, 1973 (covering the period 3-15-72 to 3-14-73). A copy of this document is available in the SPPD Library.

 ¹⁵ Ibid., p. 6. All of these problems were later identified in the original Team Policing grant application, written in
 ¹⁹⁷⁶. See "St. Paul Neighborhood Team Policing," Grant Application No. G-25-77, August 16, 1976, 00. 3-3b.
 ¹⁶ Public Administration Service, Chicago, Illinois, "Individual Technical Assistance Report," August 24, 1973.
 Cited in "Team Policing in St. Paul, Minnesota, An Evaluation of Two Years Implementation," November 5, 1979,
 p. 11. Draft, prepared by the Team Police Evaluation Unit, SPPD.

¹⁷ "Team Policing in St. Paul," p. 11-12, citing St. Paul Police Department, "Patrol Accountability Study," April 1, 1975, and St. Paul Police Department, "Reorganization Study," September 30, 1975.

economic, and social development within the districts, identify neighborhood needs, initiate community programs, recruit volunteers, and inform residents through local newsletters and community events.) Within SPPD, a Decentralization Committee was formed that met from February through June of 1976: it interviewed representatives of various SPPD units that would be affected by decentralization, visited other cities where team policing had already begun, and drafted a set of recommendations for implementing team policing in the City. The major recommendations proposed by the Committee centered on expanding the responsibilities of the Patrol Division by giving it some investigative capacity, and complete responsibility for community relations. Specific proposals included: breaking the Patrol Division into a north and south sector, each to be commanded by a deputy chief; and dividing each sector up into four teams. Every team was to be commanded by a lieutenant, who would be responsible for all patrol operations, and to have eight sergeants (five for street supervision and three to be involved in investigations).

Policing in the team mode was expected to correct the organizational shortcomings of "tour" mode policing: each lieutenant would be responsible for the delivery of police services on a twenty-four hour basis within his geographical area, as well as longer range program planning. Accountability and responsibility were also expected to increase at lower levels: sergeants and patrol officers would become more involved in planning and goal setting. Police service delivery was to be improved as well by increasing and improving police-citizen interaction, with police better informed about the needs of particular communities, and citizens more knowledgeable about their own responsibilities in deterring crime generally and in lessening their own chances of becoming crime victims.

While SPPD's Decentralization Committee was working on its report, a further boost to team policing prospects came with mayoral candidate Latimer's pledge that team policing would be implemented if he were elected. In addition, the director of the Governor's Commission on Crime Prevention and Control became interested in team policing in Saint Paul, which "opened the prospects for federal funding which would permit St. Paul to implement a more ambitious program than could be done with its own resources." With the Mayor, the Governor's Commission, and SPPD firmly and mutually committed, the preparations that would make citywide team policing a reality began during the summer of 1976.

Implementing Team Policing Citywide: 1977

implementing ream roneing citywide. 1977

Planning for implementation began near the end of 1976, with July 1, 1977 proposed as the implementation date. The Patrol Division was divided into north and south sectors in September of 1976, and a federal grant was formally awarded at the end of October. It was anticipated that the federal grant would continue for three years, at which time the City would provide continuing funding. The grant-specified goals for team policing included: first, organizationally, increasing

¹⁸ "Team Policing in St. Paul, Minnesota, An Evaluation of Two Years Implementation," November 5, 1979. Draft, prepared by the Team Police Evaluation Unit, SPPD.

administrative accountability, increasing participation and responsibility in decision making at lower command levels, improving internal communications, and improving job satisfaction. Second, the community involvement goal was to increase interaction between police, and citizens and community groups.

From the beginning, SPPD assumed that considerable lead time would be necessary to move into a full team policing mode:

It was anticipated that approximately three months would be required to work out the basic operational problems in the team mode. An additional three to six months would then be needed to determine the particular desires and needs for police services by the communities and citizens of each team area and—with the participation of all team personnel—to devise appropriate service strategies in response to those particular needs and desires. So long as basic patrol services—essentially having enough patrol cars available to assure timely response—continued to be provided in each team area, each lieutenant was to be given considerable latitude in this means of assessing community needs and of program response. In essence, the first nine months of team policing were expected to involve a considerable amount of on-the-job training for the lieutenant and his team members. ¹⁹

In January 1977 the team boundaries were finalized, and an ambitious training process, to occur in "organizational waves," was begun. This process centered first around the "management team"—the Chief and four deputy chiefs—that would lay out the ground rules and parameters of decentralization; commanders at the team level (two captains and the lieutenants) would then meet to develop specific proposals for implementing guidelines. Later, sergeants assigned to teams, and each team lieutenant, were to meet and plan details for team management and functioning. Finally, patrol officers would be brought into the team training process.

Before this process could be completed, however, fiscal problems surfaced in the City with the threat of an increase in property taxes. By April, the team policing implementation process was halted: all City departments had been ordered to cut their budgets by at least 5%. Although Mayor Latimer remained firmly in favor of team policing, many believed that the City could not afford to fund the program in the original grant proposal when federal funds would be terminated at the end of 1979.

The program that emerged was therefore a substantially reduced version of team policing: each sector was headed by a deputy chief (with an administrative assistant who was a captain); six teams would operate (with a lieutenant in each) rather than eight, necessitating a redrawing of team boundaries; the teams would not have sergeants to conduct follow-up investigations of property crimes (thus requiring fewer promotions to supervisory positions). Investigative responsibilities were greatly curtailed: investigation of serious property crime would remain with the central unit, with the teams responsible for investigating minor crimes. Some sections of CAPROP (Crimes Against Property) and the Juvenile Units nevertheless assigned their personnel by teams in order to facilitate coordination with the Patrol Division team mode—thus the original goal of integrating some investigative functions within the Patrol Division and

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¹⁹ 19 Ibid., p. 9.

improving patrol officers' initial investigative functions was not altogether abandoned. Additionally, the training process was drastically cut back. While top management had been involved early on in the planning process, and three of the lieutenants had had experience in previous team policing efforts, the lack of training for sergeants in management techniques was later seen as a serious loss. ²⁰

In July 1977, although cut back from earlier projections, the team command structure was implemented in Saint Paul. Six teams had been created, based upon a combination of geography and calls for service:

A1 – today's Western Patrol District North

A2 – northern half of what is now Central Patrol District

A3 – what is now Eastern Patrol District

B4 – now Western Patrol District South

B5 – smallest area, but high crime--Summit University area (in today's Western District)

B6 – downtown and West Side (the southern half of what is now Central Patrol).

An evaluation of the first two years of citywide team policing (required as part of the grant) provides some indication of what was actually taking place following the decentralization. Documenting early achievements, the evaluation found that within two months, most scheduling, dispatching, communications and fleet maintenance problems in SPPD had been overcome. To facilitate communications between team offices and central headquarters, an Operations Unit had been established in the Communications Center. A citizens volunteer program, called Neighborhood Assistance Officers (set up before team policing), had been integrated into the team command structure: trained to assist SPPD in minor matters such as traffic control at accidents, temporary custody of lost children, and checks of vacationers' homes, NAO volunteers were placed under the direction of team lieutenants in the area where they resided when team policing was implemented.

Taking a longer range view, the Team Police Evaluation Unit of SPPD found, more significantly, that

[The new] command structure appears to have led to the intended increase in accountability and initiative within the Patrol Division. All team lieutenants, and especially those with prior supervisory experience within the Patrol Division, indicate that they now have a clearer definition of their role and responsibilities than before. In all teams there have been examples of aggressive reactions to specific crime problems, ranging from the use of patrol officers on bicycles to a highly coordinated effort to search for an active rapist. The team structure also

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²⁰ Ibid., p. 22.

²¹ "Team Policing in St. Paul, Minnesota, An Evaluation of Two Years Implementation," November 5, 1979. Draft, prepared by the Team Police Evaluation Unit, SPPD.

provides the opportunity to experiment with alternative management strategies.... While it is not being suggested here that previously nothing was done in reaction to serious crime problems, nor that innovations were totally lacking, there is some evidence that these kinds of activities are more likely to occur in the team structure and that they are more readily coordinated within the on-going routine of patrol operations.

Accounts are mixed as to whether decentralization changed the basic orientation of patrol operations around 911 and responding to calls for service. As the evaluation notes, maintaining "basic patrol services—essentially having enough patrol cars available to assure timely response" remained the first priority. At the same time, under team policing the work of police officers was redefined to include a broader range of functions, to cover greater investigative responsibilities as well as an explicit prescription for increasing contact with and responsiveness to citizens. Some reports today suggest that line officers themselves were doing a significant amount of problem solving in neighborhoods, and carrying out many of the activities later promoted by community policing, while senior management staff who were more removed did not "buy in" to the same degree.

Retired Commander Larry McDonald provides an account of one type of problem solving that his officers carried out when he was Lieutenant in A3, under team policing. Late in the 1970s, problems arose around Harding High School with drug transactions taking place near the school, in full view of citizens. McDonald appealed twice to the Narcotics Unit downtown for help, but they wouldn't bother with such a minor problem. McDonald recounts: "I'm catching hell at this point from citizens in the area.... I told my Deputy Chief Griffin, who was on the school board at the time, I've got to get some relief. I'm going to put out my own patrol people, and reassign them, and we're going to carry on a surveillance, we're going to gather all the information." Deputy Chief Griffin reminded McDonald that this was really breaking with tradition—but told him to go ahead. McDonald set up his surveillance unit: he took officers out of uniform and had them gather evidence of marijuana use and take photographs; one young officer, working undercover, enrolled in the high school and lived in Roosevelt housing project (with help from some residents there). McDonald himself trained all the officers in proper techniques for evidence gathering. When they went before a judge, the evidence was so good that warrants were issued immediately for all the juveniles from whom buys had been made. Things were tense with SPPD's Narcotics Unit after this—and the school wasn't too happy at being kept in the dark either. But the outcome was so successful that the same strategy was picked up and utilized by other teams.

INVESTIGATIONS AND SPECIALIZED UNITS: As McDonald's problem-solving example illustrates, the tension between centralized specialized units and decentralized patrol, and in particular between centralized and decentralized investigations, persisted after the implementation of city-wide team policing. While lieutenants headed the Teams, captains generally ran investigations and other specialized units downtown. By many accounts today, these captains were less familiar with and supportive of team policing generally than the decentralized team officers.

Nevertheless, on the ground, patrol officers were expanding some of their investigation activities as part of solving problems. In surveys conducted for the two year evaluation of team policing,

responses from patrol officers suggested strongly that they were spending more time investigating crimes under team policing. Two months after team policing was implemented, at a meeting of all unit heads in the Department, Chief Rowan decided that some increase in response time would be tolerated in order to achieve the goal of expanding the patrol role in investigations. In addition, the Department adopted the federally funded "Managing Criminal Investigations" program that provided for the introduction of revised report forms. These forms were explained to all patrol personnel during in-service training for team policing, and implemented along with it. An automated fingerprint identification system (MAFIN, Minnesota Automated FP ID Network) also became operational in 1979. Planning for the system included patrol operations, since patrol officers were (and are) responsible in Saint Paul for obtaining most fingerprints from crime scenes.

Nevertheless, the more serious property crime investigations, and most crimes against persons, continued to be handled centrally: robbery and burglary are investigated in the districts; while fraud, forgeries, auto thefts, homicides, assaults, and sex crimes are handled centrally.

COMMUNITY RELATIONS AND COMMUNITY RESPONSES TO TEAM POLICING: One of the most positive reported outcomes of the first two years of city-wide team policing occurred in the area of community relations:

In terms of citizen-police interaction goals, all teams have contacted numerous business and citizen groups... every team has held an open house.... Representatives of the teams have also attended less formal citizen meetings...all team lieutenants have publicized the availability and willingness of themselves and other team members to participate in any citizen meetings.... These contacts with citizens and business people have led to a number of activities by patrol personnel. As a result of requests from the business communities, many teams occasionally utilize a roving foot beat...there has been more rigorous enforcement of liquor and other park use regulations.... [T]eams have become more active in crime prevention programs.... [I]t was anticipated that team policing would be a two-way street requiring effort both on the part of police and on the citizens served; this interaction appears to have happened in St. Paul.²²

Perhaps most striking was the finding that citizens overwhelmingly preferred team policing to the previous system. While overall calls for service increased in the City, complaints filed with Internal Affairs dropped, fear of crime appeared to decrease, and the attitudes of minority citizens showed large improvements toward police behavior in several areas (for example, perceptions of police prejudice toward non-whites dropped). Within the business community, nearly three-quarters were found to prefer team policing over the previous central office organization. ²³

Sustaining Team Policing through the 1980s

Accounts from management staff in SPPD who recall the 1980s differ over the degree to which team policing remained a vital and expanding strategy throughout the decade. Some suggest that in spite of the positive responses to team policing, enthusiastic popular support that had been

²³ Ibid., pp. Ch. IV, pp. 127-160.

²² Ibid., pp. 24-26.

present earlier in Saint Paul, diminished. (A similar lack of interest in joint efforts involving the community is cited in the waning of block clubs, which had been very strong in Saint Paul neighborhoods during the 1970s.) There did not seem to be any perception of failure by SPPD: whether these changes were due to the "different political leadership in the country," the general focus in on individual rights rather than community values, or changing economic conditions, "team policing became somewhat passe, more of a program of legal parking than active participation." Others point to a lack of focus by the late 1980s, when the Department lost the "cutting edge" mentality that it had held—in technology, policing methods, and other areas—that had been very strong since the 1970s. Still others, including George Latimer, disagree, and recall that team policing did not lessen in intensity, as occurred in other cities around the country, but remained vibrant right through the transformation into community policing. Regardless of which interpretation may be nearer the truth, SPPD faced a number of challenges during the 1980s.

In 1982 Saint Paul faced severe financial cuts: with the indexing of income tax at the state level, and the recession of 1981, the City had to find 5-6 million dollars out of an operating budget of 65 million at the time. When the mayor gave City departments the option of how to deal with the cut, Chief McCutcheon made the decision to cut back team policing to two sectors. Some interpreted his stance as playing hardball, holding a popular program (team policing) hostage to a particular level of funding for the Department. Another interpretation is that personnel changes caused the Department to lose the ranking officers (especially captains and lieutenants) necessary to operate and manage all the teams—about forty to fifty police officer positions were lost, and there were thirty lay-offs at SPPD (because of seniority, primarily from the midnight shift), as well as several demotions—so that McCutcheon had no choice. (At that time, the Department went to a low of 490 sworn personnel: the decision was made to downsize the whole Department, rather than cutting only line officer personnel.) In addition to personnel issues, although most of the team offices had been donated or else were situated in City buildings (such as A3, in an old fire station, and B4, in an old school), maintenance costs were a concern. Therefore six Teams were consolidated into two sectors—East and West. The A2 headquarters became East Sector Headquarters, and the B5 Headquarters became the West Sector Headquarters; the other four offices were abandoned.

When federal dollars went away, the Downtown Patrol Beat (in the skyway) also disappeared, prompting BOMA to argue that even though there was not a serious safety problem then, a small number of incidents could easily be magnified in the downtown setting. Continuing to press Chief McCutcheon, they eventually got their skyway patrol back. In 1984, the City's financial crisis eased up, and the Department reorganized into four teams that would continue to operate for the next ten years. Around the same time another specialized unit, the Street Crimes Unit, was created (as a follow-up to the earlier Tactical Unit): about ten to twelve officers were assigned to the unit, which focused on hot spots around the City. The Unit did not last long—some suggest that it became more of a warrant unit, pulled without focus in too many directions—but in many ways, it was the precursor to the FORCE Unit that would be formed in the 1990s (see below). Overall, during the remainder of the 1980s, the size of the Department never returned to earlier levels: from 547 sworn officers in 1980-81, the number dipped precipitously in 1982-83; in 1986 it moved up to 514; and stood at 520 in 1990.

Management and the Culture of SPPD under Team Policing

The Saint Paul Police Department was a relatively stable organization throughout the 1970s and 1980s. Chiefs changed infrequently (for example, Latimer notes that during the time SPPD was headed by three chiefs—McAuliffe, Rowan, and McCutcheon—Minneapolis had nine). Police officers who joined SPPD did so in large numbers for life—they were relatively well-paid and well-treated within the organization. The Department's reputation was one of integrity, and officers from other departments frequently sought entry, as they still do.

Although patrol operations were decentralized during the late 1970s and throughout the 1980s, recollections from officers at all levels inside SPPD indicate that even under Chief McCutcheon, communication and decision making moved from the top down. Nevertheless, some "old timers" in the Department believe the transition to team policing was the "biggest change to appear" in nearly thirty years. As one officer recalls from his experience on a team, the lieutenant who commanded it was in charge of a neighborhood "was basically forced to deal with his community. He was responsible to the chief of police through his involvement in those teams. He...identified through the community what the problems were.... We all participated in team meetings...then it was up to us to make it work on the street level. We didn't have a whole lot of information when we first started as to exactly what they wanted us to do." Some complained about the lack of centralized management. The evaluation of team policing reported, however, that a positive attitude toward supervisors grew even more favorable with team policing, and that officers were much more satisfied with their jobs on patrol. Anecdotal accounts suggest that positive responses from neighborhoods also contributed to officers' satisfaction.

All in all, team policing appears to have caused patrol officers to pay much greater attention to problem solving and to identify more closely with their "turf." The leaders of team policing in the Department—sergeants and lieutenants in the teams, some of whom moved up into command positions—also bought into the approach. (Again, Larry McDonald, Commander of Southwest Team in the late 1980s: "When you get older and you've been around for a while, you're not as afraid to challenge people, to do what you want to do.") Yet it was not until community policing began to supplant team policing that top management came around to really adopting this view as well.

The Late 1980s and early 1990s: From Team Policing to Community Policing

While patrol officers were carrying out team policing on the ground, Mayor George Latimer was attending the Executive Session in Policing at Harvard during the early 1980s. A few members of SPPD's management staff were also making use of the materials supplied by the program, in effect participating from distance. Tom Reding, a special assistant to McCutcheon, studied the papers that were prepared for the sessions, communicated and worked with Bob Trojanowicz at Michigan State University, and briefed Latimer on developments in community policing. Reding notes that this was one avenue through which information and discussions about community policing made their way into SPPD.

²⁴ Former Chief McCutcheon was unavailable for interviews when data were collected for this case study due to an illness in the family.

By this time, SPPD was already carrying out (and in some manner had been since the 1970s) many of the practices proposed by community policing advocates at Harvard. Latimer himself describes SPPD as operating through "neighborhood deployment, with a pretty high level of sophisticated problem solving, and good use of technology (they were already tracking deployment of force according to incidence of crime in 1977)." Latimer's belief was that most people, with some exceptions, felt relatively safe in Saint Paul's neighborhoods: there was little apparent out-migration due to safety concerns. Although the question of whether to create a civilian review commission had been raised a number of times, Latimer had examined the issue and concluded that the City would not benefit from it. And he saw enough evidence of a responsive, problem-oriented team effort, supported by the community, that he didn't "force" the issue of community policing on McCutcheon.

Yet McCutcheon's top managers were clearly pursuing community policing: Captains Mike Smith and Larry McDonald went to Houston in 1988 to look at Lee Brown's model of community-oriented policing. The Department brought PERF in to hold training sessions for managers, and Chris Braiden came in from Edmonton to discuss problem-oriented policing. Larry McDonald, who worked with Braiden, comments, "what Braiden was talking about was no different from what we had experienced...basically it is trying to solve people's problems as if you had the problem." Bringing in Braiden, an acknowledged expert, an outsider, re-affirmed for SPPD managers that they were on the right track; Braiden would work first with top management. But by this time there was already a critical mass in terms of supervisors in SPPD with substantial experience in policing in neighborhoods, schooled in decentralized team policing and problem solving. No single leader pushed or pulled the Department into community policing at this time; rather community policing as a strategy was congruent with the principles and experiences of many officers in SPPD, at both line and supervisory levels, and for many, eventually would seem the next logical step to take.

In 1990, Latimer decided not to run again for mayor: he had won with 84 percent of the vote in 1986, and remained popular—it was simply time to move on. He was replaced as mayor by James Scheibel, a liberal Democrat. Under Scheibel's administration, general interest in community policing continued to grow. With assistance from outside the Department, two highly visible new programs—ACOP and FORCE—were in the planning stages, ready to begin operations. They would prove to be a significant plank in the Department's bridge from team to community policing.

A New Chief: William K. Finney and the Formal Move into Community Policing

Bill Finney recalls that when he first sought to join SPPD, in addition to being African American, he was "too tall, too educated, and wore glasses"—there were plenty of obstacles. Having grown up in Saint Paul, Finney attended Mankato State University, where he began working as a reserve officer in the Mankato Police Department. In 1971, after graduating, he returned to Saint Paul: he was hired provisionally at SPPD for ten months; this was followed by six months of probation. After serving for six years Finney joined the Army, and was commissioned. Returning to SPPD, Finney's upward progress was steady, albeit with a few lateral diversions: he made sergeant in 1978—the second African American to achieve this rank; he was the first African American to be promoted to lieutenant (in 1982); and to Captain in 1987.

But his progress was not necessarily welcomed or facilitated by all in upper management in SPPD. Finney cultivated an attitude that he recalls having learned in the military: "Bloom where you're planted."

Finney was part of team policing in Saint Paul from his earliest days in SPPD. He walked the skyway as a beat officer from 1977-78, and then served as a team policing sergeant. When SPPD went to two sectors, with three shifts, in 1982, he became midnight shift commander in East Sector. At about the same time, Finney became active in Noble—although he had been a member since 1978—and his experiences with Noble were linked with his first serious exposure to community policing. Attending a Noble Conference in the summer of 1983, he was introduced to "the cutting edge of community policing." He recalls especially the discussions surrounding proposals to eliminate the use of deadly force by police officers; he met Lee Brown, and was "schooled" by Brown and his protegees. Finney came back to St. Paul looking for ways in which he could use what he was learning. When SPPD went back to four teams (Northwest, Southwest, Central, and East), Lieutenant Finney became an assistant commander in Central District, remaining there from 1984-86.²⁵ He was ready then to work closely with downtown business representatives and officials.

In 1986, Finney was appointed to head the Parking Services Unit. At this time, he approached the Chief to request the creation of a Parking Enforcement Officer position that would facilitate the "affirmative hiring" of minorities, giving them four years to obtain their credentials for full hiring (including two years of college), and offering them promotional rights into the police officer ranks. By 1987, when Finney made captain, he had three more years before he could make Deputy Chief. Chief McCutcheon appointed him head of Training and Personnel Sections, and Finney then used his new position to begin to address diversity issues in training, and to convince the Chief that certain individuals—in particular some minority officers—should be promoted to acting sergeant positions from patrol officer. When Finney left Training, in about 1989, he was made executive officer for detectives. Finally, he was assigned to command Central District, which included three distinct neighborhoods: the West Side (Latino), the Rice Street area (with working class and poor white residents), and the downtown commercial area. This gave him an opportunity to become involved with BOMA, and to renew relationships he had formed many years earlier with people in the downtown area.

Nevertheless, after making Captain, during the late 1980s, Finney felt "stymied" at the top of the organization, as if he was being defined as "not ready for upward mobility within SPPD." He was already well-known in the City, to business and civic leaders as well as citizens, and began to look outside the Department. In 1989, Finney ran for and was elected to the school board. And he continued to develop professionally: in 1985, he applied for the position of chief of police at the University of Minnesota; in 1991, he was offered the post of commissioner in Cambridge,

²⁵ In preparation for the move to four sectors, Chief McCutcheon approached the four lieutenants who were in line to be team commanders, in advance, to obtain their input and discuss where the boundaries would be. Finney was one of the four. Just before the teams were implemented, McCutcheon changed his mind and decided that captains would head the teams.

²⁶ Soon after Finney was appointed lieutenant, the rules changed for ascending to Deputy Chief: in 1982, it had been a tested, civil service position, so that even detectives could take the exam, and if they passed, skip lieutenant and captain grades, to become Deputy Chief. After the change, the requirement was that an individual had to achieve the rank of captain, and then spend three years in the grade, before making Deputy Chief.

MA. He declined the first because he lacked twenty years service that would bring his retirement pension, and because former Chief Rowan convinced him to stay. Then in 1991, when the Cambridge offer came, his wife was established in the area professionally, and an editorial in the local newspaper urged him to "stick around." Finney stayed. He knew Chief McCutcheon's term would be up in 1992—if he didn't make Chief then, he would go.

But the Cambridge experience convinced Finney that he could "make it," and gave him the confidence to wait. In the meantime, he had been invited in 1990 to attend the FBI Academy's National Executive Institute, which he completed. He also attended the Police Executive Research Forum's Senior Management Institute for Police. Since then, as a senior executive and then Chief, Finney has served on numerous local and state government and law enforcement commissions and task forces, and as an executive board member for the National Association of Black Law Enforcement Executives, IACP, and the Minnesota Chiefs of Police. In 1997 he was Vice Chair of the United Way of Saint Paul.

1992: Becoming Chief in Saint Paul

After Chief McCutcheon announced that he would not be a candidate for another term, early in 1992 Mayor James Scheibel announced that a citizens' commission would be formed to consider applicants for the position of Chief of Police. Fifteen citizens (out of 70 who applied) were selected by the City Council to serve on the commission, which then considered 28 candidates. Seven of these were Saint Paul officers; three were from outside the Department. After receiving written statements from and interviewing ten finalists, the citizens' commission sent Mayor Scheibel a list of the top five, which included, along with Finney, then SPPD Deputy Chief John Sturner, Superintendent of the Minnesota Bureau of Criminal Apprehension (BCA) Mark Shields, SPPD Captain Ed Steenberg, and David Dobrotka, Deputy Chief of the Minneapolis Police Department. Finney was the mayor's choice, and in July, Scheibel appointed him Chief.

Why Finney? Among the top candidates, Finney was viewed as a close fit with Scheibel's liberal Democratic political leanings and had strong advocates on the City Council. He also had a great deal of popular support from various constituencies in the City. As Commander of Central Patrol District, which included the downtown commercial area of the City, he had developed a good working relationship with leading business persons in the City. Bill Buth (who served on the citizens' commission that narrowed the list of applicants for Chief), president of the Building Owners and Managers Association (BOMA), explains:

There was a call, he never passed it off as . . . 'it's the business fat cats.' He responded. Ninetynine times out of a hundred it was in person; otherwise it was on the telephone. He listened intently to what we were talking about.... [S]ometimes business is not recognized as being a neighborhood.... He said 'You called. You've got a concern. I'm here to respond because I have that team with officers in the downtown area.' He had the people skills.

Another consideration for members of the citizens' commission at the time Finney was selected was "Where are we going with this police department? You've got an in-migration of cultures. How are we going to deal with that?" Earlier on Finney had emerged as a peacemaker in the eyes of many, able to communicate with people throughout the community during the period

following the Rodney King incident, when tensions were high in the City. At the same time, a debate was taking place in the City over whether community-oriented policing should be more fully implemented. With Finney's appointment, there was no question: SPPD's move into community policing was assured.

Taking the Helm at SPPD

Finney's immediate goals upon becoming Chief were several: first, he wanted to increase and improve communication between SPPD and the public, making use of the media. In one sense this was easy for Finney personally—he had an attractive public persona, and by the time he became Chief, was already popular in the community. But Finney was also comfortable with the media, and he believed that they—and the public—had a right to know what was going on with SPPD. When he became Chief, therefore, Finney tried to make good use of the Department's Public Information Coordinator, Paul Adelman, who was responsible for providing the public and news media with information on police activities and the primary contact point for journalists seeking information. Finney also sought to improve communication inside SPPD. One of his first moves was to address the insularity of Investigations by breaking it into separate units, and placing property crime investigators (including robbery) out in the Districts. Although this action produced a fair amount of resistance at first, it eventually gained support within SPPD, and "broke down some of the walls that existed."

Soon after taking office, Finney submitted a memo to the City Finance Chair setting out an immediate reorganization plan for the Department in light of the 1993 Budget. These first proposals indicated the general direction that Finney would move in the next few years flattening the organization, decentralizing and moving more operations out into the community, and bringing patrol and special unit functions closer together. As a first step, Chief Finney decided to move from four divisions (Detectives, Patrol, Administration, and Support Services) to three: Administration, Operations, and Support Service. The Deputy Chief of Administration would assume responsibility for many of the executive duties of the Chief's Office; he would also command the Special Investigations Unit (including Vice), FORCE, Police Community and Volunteer Services, Personnel/Timekeeping, and the Watch Commanders Office. Reporting directly to the Chief were the heads of the Research and Development Unit (a civilian professional who would oversee grant writing and evaluation, fiscal affairs, and the Crime Analysis Unit, thus helping to "focus on the development and evaluation of new police programs geared toward community policing"²⁷), Internal Affairs, and Inspection. Finney also announced that he was working with the Mayor's Task Force on Police Priorities to develop some form of Civilian Review Board.

The new Operations Division would combine previously separate Patrol and Detective Divisions. In Patrol, Chief Finney brought the Downtown Foot Patrol, then a separate organization in which the sergeant reported directly to a Deputy Chief of Patrol, directly into the chain of command of Central District. Up to this time, headquarters, including the Communications Center, also had operated completely separately, even though it was located within Central District. Finney demoted Deputy Chief John Sturner but made him commander of a unified Central Patrol

²⁷ Memo to Finance Chair Rettman from Police Chief Finney, October 6, 1992.

District that included headquarters and the Communications Center, as well as the Downtown Patrol. Turning to Investigations, Finney proposed consolidating several units into two: Crimes Against Persons (CAPERS), and Crimes Against Property (CAPROP). To create a closer working relationship with patrol officers and neighborhoods, many investigators would be assigned directly to Patrol Team Commanders.

Finally in the Support Services Division, civilianization of the Emergency Communications Center (with a lieutenant and nine sergeants supervising civilian telecommunicators and dispatchers) was to be completed by the end of 1992. This action was being taken in accord with a City Council directive to civilianize police operations wherever possible. Finney also proposed to combine several units within the Division to free up additional officers.

The First "Community Policing" Programs

While still new in office, Chief Finney invited Chris Braiden back to Saint Paul. Braiden worked with Finney's management team at a week-long retreat on community policing. Every manager then received three days of intensive training in COP, and each officer attended at least one day of training. But most in the Department recall the development of programs and concepts already in existence or else in the planning stages in SPPD as most significant in moving toward community policing at this time.

When he took office, Chief Finney inherited one program that many in SPPD identify with the initiation of "community policing," ACOP, and essentially developed another, FORCE, that existed only in skeleton form. Even in ACOP, however, the new chief made significant changes to bring it into line with his own goals and mission for the Department.

ACOP (A Community Outreach Program)

Beginning around 1990, SPPD had applied for and received three years of grants, totaling more than \$1.8 to provide police services, interpreters and social workers to assist public housing residents solve problems and prevent and fight crime. The program began as the Asian Outreach Program in McDonough housing project, in the Central Patrol District. At the time nearly 90% of residents in public housing were refugees from Southeast Asia, mostly Hmong. About 90% of them were living in poverty. Most had no understanding of Western culture when they arrived in the United States, and continued to feel that their family had adjusted little to American life. Problems were arising from the clash in values associated with traditional Hmong culture and an American way of life; when young Asian children assimilated to American culture rapidly while their elders did not, these problems became acute. In addition, SPPD itself was struggling with how to provide better service, given the cultural and language differences that were present in the housing projects, and between officers and residents. Earlier SPPD projects in public housing provided a model. When a legal challenge was raised on the grounds that the program should address the problems of all residents, and not just Asians, it was transformed into ACOP.

From early on ACOP officers reached out to elderly and disabled residents living in several highrise buildings that fell within their territory. When Larry McDonald began supervising ACOP operations, he and the other ACOP officers started storefronts in the high-rise buildings (in office space donated by the Housing Authority). Once the officers gained their cooperation, elderly residents began staffing the office, and monitoring a police radio placed there. ACOP officers began to carry pagers so that residents could reach them directly. McDonald recounts an experience when, after earning the trust of these older citizens, getting a tip from one panned out:

In the storefronts, I put a picture of every ACOP cop, I put his squad number and his pager number there, so if you had a favorite cop you could page him any time you wanted to...because you get favorite cops and you are not afraid to ask them for a favor.... When something went wrong we got tips—we had a lady that calls Jim and says, "this guy just ripped off this other guy and stole his TV and they are selling it for crack down the hallway.... Send Ray, because he is black and these guys are black." So Ray went down and talked to her...and we ended up taking her pop money (from the pop fund where we sell pop to our employees) to buy the TV back. I had to call another team to find a black female to make the buy...and she was four months pregnant and on the desk, and she volunteered. Then I had to call the FORCE Unit...because they have undercover guys that do all these stings. So this was a cooperative effort.... But I got in a little trouble because I did not turn the TV in, I gave it back to the guy—well, he was handicapped, and the only entertainment was his TV, and they wanted to hold it down in the property room for four months for trial. The city attorney gave me a good _____, and then the county attorney gave me a good _____, and I said well, the guy was really happy, he got his TV back.... We photographed it, took affidavits, serial numbers, everything we had to have.... Is community policing screwing your citizens to make a prosecution or is it...?

When Chief Finney took office, he had some changes in mind for ACOP that he believed would make it more responsive to community needs. In 1992, ACOP was expanded with a new \$740,000 grant from the Department of Housing and Urban Development, to include one sergeant, eight officers, two social workers, and three interpreters/community liaison workers currently enrolled in law enforcement studies. Eventually it would cover four housing projects and three high-rise buildings. Late in 1992, the new chief approached Sergeant Dan Carlson about stepping in to head ACOP. Carlson, then an investigator in the Juvenile Division, had been named Minnesota Officer of the Year by the Minnesota Chiefs of Police Association for his coordination of efforts to curb violence by Asian youth gangs. He had lived in Thailand, spoke Thai, was married to a Thai woman, and had significant ties to the local Asian community. Carlson had become something of a "catch-all" to whom any SPPD problem involving Asian residents would be assigned. Carlson himself had two ideas about how to improve ACOP before he even began: first, he wanted officers assigned who he thought would be effective as role models and who could communicate with residents. He asked the chief for a young African-American officer who could work days with African-American youth in public housing, and a female officer, since many of the residents in the projects were single mothers. Second, Carlson suggested that ACOP officers be available to represent and assist the Asian community outside, as well as inside, the projects. To this end, he proposed replacing Community Service Officers with Community Liaison Officers (CLOs) who would be recruited for specific language skills (many spoke not only Hmong but other Asian languages as well): eventually, the position began to serve as an entry route into SPPD for Asians with the necessary language skills who were prepared to study law enforcement and train to become SPPD officers. When the CLOs showed how effective they could be in handling calls from persons who did not speak English well, a three way patch was established with ACOP and the Emergency Communications Center so that

calls coming in from around the City that required any language assistance—Ethiopian, Russian, whatever—would be sent through to ACOP. CLOs could take time with the caller to determine whether an immediate response was needed, and then get back to the ECC. The Asian community throughout the City benefited, but so did speakers of many other languages.

Since ACOP began operating, most ACOP officers have actively sought assignment to the program: once in it, they become intimately involved with life in the housing projects. Monthly meetings with resident councils are held in each housing project, but ACOP officers are regularly involved as well in dispute settlement among residents, dealing with problem properties from which drug dealing or other illegal activity emanates (sometimes with the help of the FORCE Unit), general problem solving (for example, reducing the number of unauthorized guests on the properties), crime prevention, drug arrests, and running swimming programs, sports teams, and homework study for youngsters. Close involvement with residents gives ACOP officers access to information that enables them to monitor youth involvement in gangs, and in collaboration with the Asian Gang Task Force in the City and County (which began in ACOP), to work at reducing gang violence. As one officer describes:

...even though a lot of the gang members have moved out of public housing...they [the Asian Gang Task Force] know all the gang kids and all the gang kids know them, and it is because of their close working relationship in the community—the kids talk to them all the time. The kids are always turning in guns to them, when the kids get in trouble and they know they are going to be arrested, they page them at home, 'come and get me.' When their house gets shot up, before they call 911 they will either page us or call the task force guys off duty and say, 'hey, can you help me? They are shooting at me, I have got squads outside my house and I do not know what to do,' and it is because they know us, that is why it works.

Recently juvenile and adult probation have joined the Task Force and ACOP in their attempts to reduce youth violence.

Although ACOP is not responsible for families once they leave public housing, the relationships that ACOP officers form often last long after a family moves away. ACOP officers are frequently contacted by former residents, some of whose youngsters are involved in gangs, to assist when problems arise. They handle calls within the housing projects, with back-up from the district squads when necessary, and occasionally will respond to calls for service involving Asian citizens outside the projects. ACOP also works closely in planning two major yearly events in the City—the summer Soccer Tournament (held over the fourth of July), and the Hmong New Year celebration. The Soccer Tournament attracts thousands of Asians from throughout the country: SPPD's success in reducing crime and violence surrounding the event in recent years has won much support from the Asian community in Saint Paul, including the Lao Family, which has worked hard to convince its members that they should cooperate with SPPD officers and set aside the fear of police that lingers from experiences in Southeast Asia. The Lao Family has also helped to recruit Hmong who are entering SPPD as community liaison officers, and has worked through ACOP to solve problems that arise between Asian residents and SPPD officers. For a time, the Lao Family provided training on Asian culture as part of the Police Academy for new recruits; this role has gradually been taken over by Hmong police officers themselves.

Many in Saint Paul see ACOP as the "flagship of community policing." Its effectiveness is widely recognized: when a federal housing grant failed to materialize a couple of years ago, the Public Housing Authority polled its employees to ascertain where cuts should be made. One ACOP officer remembers that PHA employees, from managers down to line employees, responded by saying "you cannot cut ACOP," and they laid off twelve people to save the program. ACOP officers themselves say the real benefit is "we have got time to work with the kids so they know us...and that makes a big difference. It is hard to measure prevention...but we know that when they do get involved...when there is a crisis, we become a resource then and are able to solve the issue, solve the crime, a lot faster because we know who the players are."

FORCE (Focusing Our Resources on Community Empowerment) Unit

The FORCE Unit became a core component of community policing in Saint Paul in that it was designed to respond to public and safety priorities set by residents themselves, and in particular to reach out to neighborhoods experiencing drugs and drug-related problems. As one officer explains, "...people call us and know us by name, know more about us than they ever would if you drove around in a squad car. And you get to know them.... It's truly community policing, what this unit does as opposed to anywhere else in the City, other than maybe a beat officer out there...."

FORCE was similar to (and some contend an outgrowth of) the Street Crimes Unit developed by Chief McCutcheon earlier in the 1980s. City Council President Bill Wilson was the first to learn about and investigate personally the Tampa, Florida, QUAD (Quick Uniform Attack on Drugs) Program that was initiated in 1989, and would provide the model for SPPD's creation of FORCE. In reading reports of research on communities and policing, Wilson found a description of the Tampa Bay program, and went down alone to observe it. Soon after, he also went to visit an expedited drug prosecution program in Chicago in which:

...they could expedite the prosecution of cases...target and expedite. What I found different and interesting was...in Tampa the process had more to do with disrupting and not so much apprehending. I was interested to see if you could combine the two. But it turns out in St. Paul, of course, the prosecution's done by the county attorney...so I talked with him (Foley) about how we could expedite processing cases when we got them. How do we insure that there are good working relations between the county and city—the county prosecutor's office and the city's police department? This was all before the program was created—kind of a mind's eye of what could happen here. If we involved the FORCE officers without getting them all tied up in the court system, because they needed to be out in the streets not tied up...whereas the officers who do the final apprehension and confiscation of drugs—they could. So you had to have officers who would be willing to let other officers handle that kind of detail and give up the case.... And that's something the department had to work out. And so that began to tie into the liaison between the FORCE unit and the substations.

Chief McCutcheon then worked with Wilson to raise money for FORCE, which began in the fall of 1992 as a plan to combine crime prevention efforts and block groups with a direct link to police officers who could respond quickly to specific problems—especially the narcotics trade.²⁸

When Finney became Chief, he had funding, but no extra staff for FORCE. He was able to use sergeants and officers from the Emergency Communications Center as a personnel pool, moving them out of the ECC and replacing them with tele-communicators who could be promoted to dispatcher. By December 1992, FORCE was up and running. Chief Finney appointed Lieutenant Gary Briggs as the first commander of FORCE, and was able to establish the (civil service) position of community crime prevention specialist, who would generate and coordinate more block clubs. Briggs recalls:

...one of the things that we learned from the block clubs was that the police department's priorities were not necessarily the community's priorities. We tend to focus resources towards the big cases, the big homicides, and all those kinds of things...and the community was interested in small things, the quality of life issues.... The thing they were telling us that they were most concerned about was narcotics and gangs....and we recognized that there are basically two groups out there. You have got the dealers that move big money...and all these small time kids on the street corner....What we were going to do was focus on those kids out selling the dope and coordinate with them [Narcotics] and free them up a bit so they could focus on the bigger players. We were going to work with the block clubs on the kids, and a number of other issues...some prostitution....

Three types of strategies were—and continue to be—employed. The first was crime prevention: initially, two crime prevention officers and three crime prevention coordinators (civilians) worked with citizens, neighborhood groups and the District Councils to organize block clubs throughout the City. A big part of the job is public education. By the end of 1993, 915 block clubs were active; by 1997, there were 1452. Adopting the Minnesota Crime Free Multi-Housing Program, FORCE has trained landlords, tenants and property managers to prevent and control illegal activity on rental property using the basic principles of CPTED. As part of Graffiti, Inc., which began in 1993, FORCE officers and crime prevention coordinators have taken the lead in a collaboration with private agencies, City departments, and citizen groups to abate and remove illegal graffiti, educate the community about graffiti vandalism, and identify and redirect taggers to legal environments for painting.

FORCE's second strategy was targeting street level narcotics. For these efforts, FORCE has expanded from six officers in 1992 to 20 enforcement officers and three sergeants (one administrative and two who lead teams in Eastern and Western Districts, sharing Central) in 1997. From the beginning, Lieutenant Briggs made sure that his officers learned how to do search warrants as part of their routine activities. To facilitate receiving information from community members, officers carry pagers and give out their numbers so that they can be contacted directly.

²⁸ Funds were raised by increasing the City's share of parking fines (proportionately with the County), and receipt of two Office of Drug Policy grants.

The third strategy involved targeting and taking appropriate action on (or at times closing) problem properties by working with the Health Department, City agencies, and the County Attorney's Office, and relying on nuisance abatement legislation as well as the excessive consumption of police services ordinance.²⁹ FORCE employs a full time Housing Inspector to condemn, vacate, and close housing units, moving dealers and addicts out of neighborhoods, and requiring residences to be repaired and brought up to code or else they will be placed on the vacant building list for possible demolition. In 1995 the Saint Paul Tenants' Union (SPTU) challenged FORCE's practice of having a housing inspector accompany the police on drug raids, contending that innocent individuals were being mistreated during the raids, and that entire families were being forced out of their homes when a husband or boyfriend was found to be dealing. The matter was discussed on the City Council and with Chief Finney, who directed that the commander of FORCE meet weekly with the SPTU and Council representatives: an accommodation was reached with SPPD agreeing not to have the inspector accompany FORCE on drug raids, and for FORCE to produce a brochure advising tenants of their rights.³⁰

Because so many of FORCE's activities involve working with other City or County agencies, at the very beginning Lieutenant Briggs started monthly meetings in which FORCE officers would get together with individuals from agencies and departments all over the City, with a different host and presenter at each meeting. These meetings have been replaced by a more formal Information Exchange group, chaired by the Fire Department. Some reports suggest, however, that the informal setting of the previous meetings was more conducive to officers and other workers getting to know each other and sharing useful information. FORCE officers have sought and received training in CPTED and other strategies and skills useful to their specific activities.

How does FORCE interface with the rest of SPPD? On a day to day basis, as one officer reports,

We'll get a call from Commander Sturner [of Central Patrol District] who says, 'hey, there's drug dealings at bus stops during the daytime when people are coming to and from work and coming downtown to shop. Can you guys do something about that?' Well, we can, on a moment's notice, we can gather enough resources and bodies to say that for the next two days, we're going to work daytime hours at the bus stops and concentrate on this problem. Or if we get a call in a specific area, whether it be at the end of the month, beginning, or middle, if they're having problems with street level narcotics or prostitution, we'll get everybody together and we'll do a street saturation and we'll hit a particular area. We'll do patrol, high calls for service areas, basically what we do as street beat officers in the unit, whatever problem it may be, we'll go and deal with that in the community.

FORCE officers cooperate closely with the City Attorney on loitering and prostitution cases (one assistant city attorney is assigned to flag their cases, so that stay away orders are issued). FORCE also targets the Weed and Seed area—Railroad Island on the lower East Side (three new ones are

²⁹ The Excessive Consumption of Police Services Ordinance is a civil statute permitting the City to charge individuals a fee for police services if there are more than five nuisance calls to a single address within a thirty day period. As it is enforced, the Police Department is attempting to change the behavior at issue or solve the problem rather than actually bringing suit.

³⁰ Edward G. Goetz and Kirby Pitman, "Drug War in St. Paul," SHELTERFORCE, March/April 1997, p. 20.

starting up—another on the East side, and two in West—in which FORCE will also work). FORCE officers routinely field non-emergency calls from throughout the City—returning calls to people who have questions, doing background checks. And at times they are called out to respond to calls around the City.

FORCE officers report that one appealing aspect of their job is the high degree of discretion they are generally afforded by their supervisor:

He's got twenty-two years, and I've got eighteen, and we love police work but there's a point in time when, let's try something different.... When I came in, it was fun because it was more challenging, we're not so closely supervised. I have much more discretion on how to handle things, you have to be more creative.... It's hard to work with a boss that cuts down the discretion, in this situation, because you've got so many different things to do...it's kind of tough if you respect the people working for you, to make them clear everything you do with the Unit commander. Micro-management hems us in, because you can't do what you want with the public. You can't say the things that you think you should say.