| С | ase 2:18-cv-05008-FMO-AFM Document 61 | Filed 12/06/18 | Page 1 of 4 | Page ID #:2311 |
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| 1 2 3 | GARY Y. LEUNG (Cal. Bar No. 302928) Email: leungg@sec.gov DOUGLAS M. MILLER (Cal. Bar No. 24 Email: millerdou@sec.gov YOLANDA OCHOA (Cal. Bar No. 2679) |) 10398) 93) | | |
| 4 5 6 7 8 | Attorneys for Plaintiff Securities and Exchange Commission Michele Wein Layne, Regional Director Alka N. Patel, Associate Regional Directo Amy J. Longo, Regional Trial Counsel 444 S. Flower Street, Suite 900 Los Angeles, California 90071 Telephone: (323) 965-3998 Facsimile: (213) 443-1904 | T | | |
| 9 | UNITED STATES DISTRICT COURT | | | |
| 10 | CENTRAL DISTRICT OF CALIFORNIA | | | |
| 11 | Western Division | | | |
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| 12 13 | SECURITIES AND EXCHANGE COMMISSION, | Case No. 2: | | |
| | | PLAINTIFI EXCHANG | F SECURIT E COMMIS | IES AND SSION'S |
| 13 | COMMISSION, | PLAINTIFI EXCHANG STATEMEI TO COURT | F SECURIT E COMMIS NT OF NON -APPOINT | IES AND SSION'S OPPOSITION ED |
| 13 14 15 16 | COMMISSION, Plaintiff, vs. RALPH T. IANNELLI and ESSEX | PLAINTIFI EXCHANG STATEMEI TO COURT MONITOR | F SECURIT E COMMIS NT OF NON C-APPOINT 'S REPORT | IES AND SSION'S OPPOSITION ED AND |
| 13 14 15 16 17 | COMMISSION, Plaintiff, vs. | PLAINTIFI EXCHANG STATEMEI TO COURT MONITOR | F SECURIT E COMMIS NT OF NON C-APPOINT 'S REPORT | IES AND SSION'S OPPOSITION ED |
| 13 14 15 16 17 18 | COMMISSION, Plaintiff, vs. RALPH T. IANNELLI and ESSEX CAPITAL CORPORATION, | PLAINTIFI EXCHANG STATEMEI TO COURT MONITOR | F SECURIT E COMMIS NT OF NON C-APPOINT 'S REPORT | IES AND SSION'S OPPOSITION ED AND |
| 13 14 15 16 17 | COMMISSION, Plaintiff, vs. RALPH T. IANNELLI and ESSEX CAPITAL CORPORATION, Defendants. | PLAINTIFI EXCHANG STATEMEI TO COURT MONITOR REQUEST A RECEIVI | F SECURIT E COMMIS NT OF NON C-APPOINT 'S REPORT FOR APPO ER | IES AND SSION'S OPPOSITION ED AND INTMENT AS |
| 13 14 15 16 17 18 19 | COMMISSION, Plaintiff, vs. RALPH T. IANNELLI and ESSEX CAPITAL CORPORATION, | PLAINTIFI EXCHANG STATEMEI TO COURT MONITOR REQUEST A RECEIVI | F SECURIT E COMMIS NT OF NON C-APPOINT 'S REPORT FOR APPO ER EC") does no | IES AND SSION'S NOPPOSITION ED AND INTMENT AS |
| 13 14 15 16 17 18 19 20 | COMMISSION, Plaintiff, vs. RALPH T. IANNELLI and ESSEX CAPITAL CORPORATION, Defendants. Plaintiff Securities and Exchange C | PLAINTIFI EXCHANG STATEMEN TO COURT MONITOR REQUEST A RECEIVI | F SECURIT E COMMIS NT OF NON C-APPOINT 'S REPORT FOR APPO ER EC") does no er, Geoff Win | IES AND SSION'S OPPOSITION ED AND INTMENT AS t oppose any of nkler, in his |

24 order submitted by Mr. Winkler appointing him receiver. The recommendations and25 proposed order are reasonable and supported by the Report.

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|------------------|---------------------------|---------------|----------------------------|--------------|--------------------|
| 1 2 3 4 | Dated: December 6, 2018 | 3 | /s/ Douglas | | |
| 5 6 | | | Attorney for Securities ar | | Commission |
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| 1 | PROOF OF SERVICE | | | | |
| 2 | I am over the age of 18 years and not a party to this action. My business address is: | | | | |
| 3 | U.S. SECURITIES AND EXCHANGE COMMISSION, 444 S. Flower Street, Suite 900, Los Angeles, California 90071 Telephone No. (323) 965-3998; Facsimile No. (213) 443-1904. | | | | |
| 4 | | | | | |
| 5 | On December 6, 2018, I caused to be served the document entitled PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S STATEMENT OF | | | | |
| 6 | NONOPPOSITION TO COURT-APPOINTED MONITOR'S REPORT AND REQUEST FOR APPOINTMENT AS A RECEIVER on all the parties to this action addressed as stated on the attached service list: | | | | |
| 7 | | | | | |
| 8 | OFFICE MAIL: By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on | | | | |
| 9 | familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on | | | | |
| 10 | the same day in the ordinary course of business. | | | | |
| 11 | PERSONAL DEPOSIT IN MAIL: By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such envelope was deposited with the U.S. Postal Service at Los Angeles, California, with first class | | | | |
| 12 | deposited with the U.S. Postal Service at Los Angeles, California, with first class postage thereon fully prepaid. | | | | |
| 13 | EXPRESS U.S. MAIL: Each such envelope was deposited in a facility | | | | |
| 14 15 | EXPRESS U.S. MAIL: Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail at Los Angeles, California, with Express Mail postage paid. | | | | |
| 16 | HAND DELIVERY: I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list. | | | | |
| 17 18 | UNITED PARCEL SERVICE: By placing in sealed envelope(s) designated by United Parcel Service ("UPS") with delivery fees paid or provided for, which I deposited in a facility regularly maintained by UPS or delivered to a UPS courier, at Los Angeles, California. | | | | |
| 19 | ELECTRONIC MAIL: By transmitting the document by electronic mail to | | | | |
| 20 | the electronic mail address as stated on the attached service list. | | | | |
| 21 | E-FILING: By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with | | | | |
| 22 | the CM/ECF system. | | | | |
| 23 24 | FAX: By transmitting the document by facsimile transmission. The transmission was reported as complete and without error. | | | | |
| 24 25 | I declare under penalty of perjury that the foregoing is true and correct. | | | | |
| 23 26 | Date: December 6, 2018 /s/ Douglas M. Miller | | | | |
| 20 27 | DOUGLAS M. MILLER | | | | |
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| 1 2 | SEC v. RALPH T. IANNELLI, et al. United States District Court—Central District of California Case No. 2:18-cv-05008-FMO-AFM |
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| 8 | Email: solson@omm.com |
| 9 | J. Jorge deNeve |
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| 15 | Counsel for Defendants |
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