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    GEOFF WINKLER
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                       UNITED STATES DISTRICT COURT
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                      CENTRAL DISTRICT OF CALIFORNIA
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                               WESTERN DIVISION
    SECURITIES AND EXCHANGE
                                          Case No. 2:18-cy-05008-FMO-AFM
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    COMMISSION,
                                          NOTICE OF FILING OF
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               Plaintiff,
                                          RECEIVER'S TENTH INTERIM
                                          REPORT AND PETITION FOR
14
                                          FURTHER INSTRUCTIONS
         VS.
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    RALPH T. IANNELLI and ESSEX
                                          Date: April 28, 2022
                                          Time: 10:00 a.m.
    CAPITAL CORP.,
16
                                          Ctrm: 6D
               Defendants.
                                                350 W. 1st Street, 6th Floor
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                                                Los Angeles, CA 90012
                                          Judge: Hon. Fernando M. Olguin
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LAW OFFICES

Allen Matkins Leck Gamble
Mallory & Natsis LLP

TO THE HONORABLE FERNANDO M. OLGUIN, THE UNITED STATES 1 DISTRICT JUDGE; AND ALL INTERESTED PARTIES AND THEIR 3 **COUNSEL OF RECORD:** PLEASE TAKE NOTICE that on March 25, 2022, Geoff Winkler (the 4 5 "Receiver"), the Court-appointed permanent receiver for defendant Essex Capital Corporation and its subsidiaries and affiliates, filed the *Receiver's Tenth Interim* 6 7 Report and Petition for Further Instructions (the "Report"). The Report was filed in accordance with Rule 66-6 of the Local Civil Rules of the United States District 8 Court for the Central District of California and the Court's *Order in Aid of* 10 Receivership entered on February 1, 2019, ECF No. 69. 11 12 Dated: March 25, 2022 ALLEN MATKINS LECK GAMBLE 13 MALLORY & NATSIS LLP DAVID R. ZARO 14 JOSHUA A. DEL CASTILLO MATTHEW D. PHAM 15 16 By: /s/ Matthew D. Pham 17 MATTHEW D. PHAM Attorneys for Receiver GEOFF WINKLER 18 19 20 21 22 23 24 25 26 27 28

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4878-8036-2507.1

1 **PROOF OF SERVICE** Securities and Exchange Commission v. Ralph T. Iannelli and Essex Capital Corporation 2 USDC, Central District of California - Case No. 2:18-cv-05008-FMO-AFM 3 I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 865 S. Figueroa Street, 4 Suite 2800, Los Angeles, California 90017-2543. 5 On March 25, 2022, I caused to be served on all the parties to this action addressed 6 as stated on the attached service list the document entitled: NOTICE OF FILING OF RECEIVER'S TENTH INTERIM REPORT AND PETITION FOR FURTHER 7 **INSTRUCTIONS** 8 **OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with 9 the firm's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in 10 the ordinary course of business. 11 **OVERNIGHT DELIVERY:** I deposited in a box or other facility regularly 12 maintained by express service carrier, or delivered to a courier or driver authorized by said express service carrier to receive documents, a true copy of the foregoing 13 document(s) in sealed envelope(s) or package(s) designed by the express service carrier, addressed as indicated on the attached service list, with fees for overnight 14 delivery paid or provided for. 15 HAND DELIVERY: I caused to be hand delivered each such envelope to the 16 office of the addressee as stated on the attached service list. **ELECTRONIC MAIL**: By transmitting the document by electronic mail to the 17 electronic mail address as stated on the attached service list. 18 **E-FILING**: By causing the document to be electronically filed via the Court's X 19 CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system. 20 I declare that I am employed in the office of a member of the Bar of this Court at 21 whose direction the service was made. I declare under penalty of perjury under the laws of 22 the United States of America that the foregoing is true and correct. Executed on March 25, 2022 at Los Angeles, California. 23 /s/ Martha Diaz 24 Martha Diaz 25 26 27 28 4810-7184-4579.36

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