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15 UNITED STATES DISTRICT COURT  
 16 CENTRAL DISTRICT OF CALIFORNIA  
 17 WESTERN DIVISION

18 SECURITIES AND EXCHANGE  
 19 COMMISSION,

20 Plaintiff,

21 v.

22 RALPH T. IANNELLI and ESSEX  
 23 CAPITAL CORP.,

24 Defendants.

Case No. 2:18-cv-05008-FMO-AFM

NOTICE OF FILING OF FIRST  
 INTERIM REPORT AND PETITION  
 FOR FURTHER INSTRUCTIONS OF  
 RECEIVER, GEOFF WINKLER

Date: May 30, 2019  
 Time: 10:00 a.m.  
 Ctrm: 6D  
 Judge Hon. Fernando M. Olguin

25 **TO ALL INTERESTED PARTIES AND THEIR COUNSEL OF  
 26 RECORD, AND THIS HONORABLE COURT:**

27 **PLEASE TAKE NOTICE THAT**, on April 30, 2019, Geoff Winkler (the  
 28 "Receiver"), the Court-appointed permanent receiver for Defendant Essex Capital  
 Corporation ("Essex") and its subsidiaries and affiliates (collectively, the  
 "Receivership Entities" or "Entities"), filed his First Interim Report and Petition for  
 Further Instructions (the "Report"), in accordance with Civil Local Rule 66-6 and  
 this Court's December 21, 2018 Order Regarding Preliminary Injunction and  
 Appointment of a Permanent Receiver (Dkt. No. 66) (the "Appointment Order") and

1 its February 1, 2019 Order in Aid of Receivership (Dkt. No. 69) (the "Order in  
2 Aid").

3 The Report was filed as Docket No. 78.

4  
5 Dated: April 30, 2019

ALLEN MATKINS LECK GAMBLE  
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DAVID R. ZARO  
JOSHUA A. DEL CASTILLO  
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8 By:           /s/          Joshua A. del Castillo          

JOSHUA A. DEL CASTILLO  
Attorneys for Receiver  
GEOFF WINKLER

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**PROOF OF SERVICE**

*Securities and Exchange Commission v. Ralph T. Iannelli and Essex Capital Corporation*  
USDC, Central District of California – Case No. 2:18-cv-05008-FMO-AFM

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 865 S. Figueroa Street, Suite 2800, Los Angeles, California 90017-2543.

On **April 30, 2019**, I caused to be served the document entitled: **NOTICE OF FILING FIRST INTERIM REPORT AND PETITION FOR FURTHER INSTRUCTIONS OF RECEIVER, GEOFF WINKLER** on all the parties to this action addressed as stated on the attached service list.

**OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with the firm's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

**OVERNIGHT DELIVERY:** I deposited in a box or other facility regularly maintained by express service carrier, or delivered to a courier or driver authorized by said express service carrier to receive documents, a true copy of the foregoing document(s) in sealed envelope(s) or package(s) designed by the express service carrier, addressed as indicated on the attached service list, with fees for overnight delivery paid or provided for.

**HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

**ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

**E-FILING:** By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

**FAX:** By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare that I am employed in the office of a member of the Bar of this Court at whose direction the service was made. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on **April 30, 2019** at Los Angeles, California.

/s/ Martha Diaz  
Martha Diaz

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**SERVICE LIST**

*Securities and Exchange Commission v. Ralph T. Iannelli and Essex Capital Corporation*  
USDC, Central District of California – Case No. 2:18-cv-05008-FMO-AFM

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Via First Class Mail