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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

RALPH T. IANNELLI and ESSEX  
CAPITAL CORP.,

Defendants.

Case No. 2:18-cv-05008-FMO-AFM  
[PROPOSED] ORDER GRANTING  
APPLICATION OF RECEIVER, GEOFF  
WINKER, FOR PAYMENT OF FEES  
AND REIMBURSEMENT OF  
EXPENSES INCURRED DURING HIS  
SERVICE AS COURT-APPOINTED  
MONITOR  
[October 1, 2018 - December 20, 2018]  
Ctrm: 6D  
Judge Hon. Fernando M. Olguin

Before the Court is the Application of Receiver for Payment of Fees and Reimbursement of Expenses Incurred During his Service as Court-Appointed Monitor (the "Fee Application"), filed by Geoff Winkler (the "Receiver"), the Court-appointed permanent receiver for Defendant Essex Capital Corporation and its subsidiaries and affiliates (collectively, the "Receivership Entities"), for services rendered for the period from October 1, 2018 through December 20, 2018 (the "Monitorship Period"), in his capacity as Court-appointed Monitor.

For the Monitorship Period, the Receiver requests approval and payment of 100% of his fees and expenses (\$75,000.00 and \$6,872.00, respectively), after application of the aggregate discount noted in the Fee Application.

1 The Fee Application having been considered, and good cause appearing  
2 therefor, this Court orders as follows:

3 1. The Fee Application is granted, in its entirety;

4 2. The fees and expenses incurred by the Receiver during the Monitorship  
5 Period, in his capacity as the Court-appointed Monitor, in the respective amounts of  
6 \$75,000.00 and \$6,872.00, after application of the aggregate discount noted in the  
7 Fee Application, are approved; and

8 3. The Receiver is authorized to pay himself, immediately upon entry of  
9 this Order, 100% of his post-discount fees incurred during the Application Period, in  
10 the amount of \$75,000.00, and 100% of his post-discount expenses incurred during  
11 the Application Period, in the amount of \$6,872.00. Payment of the fees and  
12 expenses incurred during the Monitorship Period shall first be paid from the  
13 \$80,000.00 retainer held by the Receiver, as detailed in the Fee Application, and the  
14 remainder shall be paid from the assets of the Receivership Entities.

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16 **SO ORDERED.**

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18 Dated: \_\_\_\_\_

\_\_\_\_\_  
Hon. Fernando M. Olguin  
Judge, United States District Court

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**PROOF OF SERVICE**

*Securities and Exchange Commission v. Ralph T. Iannelli and Essex Capital Corporation*  
USDC, Central District of California – Case No. 2:18-cv-05008-FMO-AFM

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 865 S. Figueroa Street, Suite 2800, Los Angeles, California 90017-2543.

On **August 14, 2019**, I caused to be served the document entitled: **[PROPOSED] ORDER GRANTING APPLICATION OF RECEIVER, GEOFF WINKLER, FOR PAYMENT OF FEES AND REIMBURSEMENT OF EXPENSES INCURRED DURING HIS SERVICE AS COURT-APPOINTED MONITOR [October 1, 2018 thru December 20, 2018]** on all the parties to this action addressed as stated on the attached service list.

**OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with the firm's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

**OVERNIGHT DELIVERY:** I deposited in a box or other facility regularly maintained by express service carrier, or delivered to a courier or driver authorized by said express service carrier to receive documents, a true copy of the foregoing document(s) in sealed envelope(s) or package(s) designed by the express service carrier, addressed as indicated on the attached service list, with fees for overnight delivery paid or provided for.

**HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

**ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

**E-FILING:** By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

**FAX:** By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare that I am employed in the office of a member of the Bar of this Court at whose direction the service was made. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on **August 14, 2019** at Los Angeles, California.

/s/ Martha Diaz  
Martha Diaz

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**SERVICE LIST**

*Securities and Exchange Commission v. Ralph T. Iannelli and Essex Capital Corporation*  
USDC, Central District of California – Case No. 2:18-cv-05008-FMO-AFM

Mark Riera, Esq.  
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Via First Class Mail