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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

RALPH T. IANNELLI and ESSEX  
CAPITAL CORP.,

Defendants.

Case No. 2:18-cv-05008-FMO-AFM

ORDER GRANTING FIRST INTERIM  
APPLICATION OF RECEIVER,  
GEOFF WINKER, AND ALLEN  
MATKINS LECK GAMBLE  
MALLORY & NATSIS LLP, GENERAL  
COUNSEL TO THE RECEIVER, FOR  
PAYMENT OF FEES AND  
REIMBURSEMENT OF EXPENSES  
[December 21, 2018 - March 31, 2019]

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Judge Hon. Fernando M. Olguin

Before the Court is the First Interim Application of Receiver, Geoff Winkler, and Allen Matkins Leck Gamble Mallory & Natsis LLP, General Counsel to the Receiver, for Payment of Fees and Reimbursement of Expenses (the "Fee Application"), filed by Geoff Winkler (the "Receiver"), the Court-appointed permanent receiver for Defendant Essex Capital Corporation and its subsidiaries and affiliates (collectively, the "Receivership Entities" or "Entities"), for services rendered for the period from December 21, 2018 through March 31, 2019 (the "Application Period").

In the Application Period, the Receiver requests approval of 100% of his fees and expenses (\$363,747.70 and \$10,445.10, respectively), and payment, on an

1 interim basis, of 80% of his fees (\$290,998.16) and 100% of his expenses  
2 (\$10,445.10). The Receiver and Allen Matkins also request approval of 100% of  
3 Allen Matkins' fees and expenses (\$155,166.75 and \$3,882.63, respectively), and  
4 payment, on an interim basis, of 80% of its fees (\$124,133.40), and 100% of its  
5 expenses (\$3,882.63).

6 Having reviewed and considered the Application and the Intervenors'  
7 Limited Objection (Dkt. 84), and good cause appearing therefor, this Court orders as  
8 follows:

9 1. The fees and expenses incurred by the Receiver during the Application  
10 Period, in the respective amounts of \$363,747.70 and \$10,445.10, are approved;

11 2. The Receiver is authorized to pay himself, on an interim basis, 80% of  
12 his fees incurred during the Application Period, in the amount of \$290,998.16, and  
13 100% of his expenses incurred during the Application Period, in the amount of  
14 \$10,445.10, from the assets of the Receivership Entities;

15 3. The fees and expenses of Allen Matkins during the Application Period,  
16 in the respective amounts of \$155,166.75 and \$3,882.63, are approved;

17 4. The Receiver is authorized to pay Allen Matkins, on an interim basis,  
18 80% of the fees it incurred during the Application Period, in the amount of  
19 \$124,133.40, and 100% of the expenses it incurred during the Application Period, in  
20 the amount of \$3,882.63, from the assets of the Receivership Entities; and

21 5. The fees approved, but not paid, pursuant to this order, shall be subject  
22 to final review by the Court in connection with the Receiver's and Allen Matkins'  
23 final fee and expense application, to be submitted contemporaneously with the  
24 wind-down and termination of the receivership in the above-entitled matter.

25 **SO ORDERED.**

26 /s/

27 Dated: July 26, 2019

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Hon. Fernando M. Olguin  
Judge, United States District Court