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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

RALPH T. IANNELLI and ESSEX  
CAPITAL CORP.,

Defendants.

Case No. 2:18-cv-05008-FMO-AFM

ORDER GRANTING FOURTH  
INTERIM APPLICATION OF  
RECEIVER, GEOFF WINKER, AND  
HIS PROFESSIONALS FOR  
PAYMENT OF FEES AND  
REIMBURSEMENT OF EXPENSES  
[October 1, 2019 - December 31, 2019]

Ctrm: 6D  
Judge Hon. Fernando M. Olguin

19 Before the Court is the Fourth Interim Application of Receiver, Geoff  
20 Winkler, and His Professionals for Payment of Fees and Reimbursement of  
21 Expenses (the "Fee Application"), filed by Geoff Winkler (the "Receiver"), the  
22 Court-appointed permanent receiver for Defendant Essex Capital Corporation and  
23 its subsidiaries and affiliates (collectively, the "Receivership Entities"), for services  
24 rendered during the period from October 1, 2019 through December 31, 2019 (the  
25 "Application Period").

26 The Receiver requests approval of 100% of his fees and expenses  
27 (\$112,286.30 and \$5,142.35, respectively) incurred during the Application Period,  
28 and payment, on an interim basis, of 80% of his fees (\$89,829.04) and 100% of his

1 expenses (\$5,142.35). The Receiver and his counsel of record, Allen Matkins Leck  
2 Gamble Mallory & Natsis LLP ("Allen Matkins"), request approval of 100% of  
3 Allen Matkins' fees and expenses (\$155,288.70 and \$2,819.10, respectively)  
4 incurred during the Application Period, and payment, on an interim basis, of 80% of  
5 its fees (\$124,230.96) and 100% of its expenses (\$2,819.10). The Receiver and his  
6 special litigation counsel for the action styled Essex Capital Corp. v. Garipalli, et al.,  
7 S.D.N.Y. Case No. 17-cv-06347, the Teitelbaum Law Group, LLC ("Teitelbaum"),  
8 request approval of 100% of Teitelbaum's fees (\$24,200.00), and payment, on an  
9 interim basis, of 80% of its fees (\$19,360.00).

10 The Fee Application having been considered, and good cause appearing  
11 therefor, this Court orders as follows:

- 12 1. The Fee Application is granted, in its entirety;
- 13 2. The fees and expenses incurred by the Receiver during the Application  
14 Period, in the respective amounts of \$112,286.30 and \$5,142.35, are approved;
- 15 3. The Receiver is authorized to pay himself, on an interim basis, 80% of  
16 his fees incurred during the Application Period, in the amount of \$89,829.04, and  
17 100% of his expenses incurred during the Application Period, in the amount of  
18 \$5,142.35, from the assets of the Receivership Entities;
- 19 4. The fees and expenses incurred by Allen Matkins during the  
20 Application Period, in the respective amounts of \$155,288.70 and \$2,819.10, are  
21 approved;
- 22 5. The Receiver is authorized to pay Allen Matkins, on an interim basis,  
23 80% of the fees it incurred during the Application Period, in the amount of  
24 \$124,230.96, and 100% of the expenses it incurred during the Application Period, in  
25 the amount of \$2,819.10, from the assets of the Receivership Entities;
- 26 6. The fees incurred by Teitelbaum during the Application Period, in the  
27 amount of \$24,200.00, are approved;

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