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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

vs.

RALPH T. IANNELLI and ESSEX  
CAPITAL CORP.,

Defendants.

Case No. 2:18-cv-05008-FMO-AFM

**[PROPOSED] ORDER GRANTING  
THIRTEENTH INTERIM  
APPLICATION OF RECEIVER,  
GEOFF WINKLER, AND HIS  
PROFESSIONALS FOR PAYMENT  
OF FEES AND REIMBURSEMENT  
OF EXPENSES**

Date: October 13, 2022  
Time: 10:00 a.m.  
Ctrm: 6D  
Judge: Hon. Fernando M. Olguin

19 Before the Court is the thirteenth interim application for the payment of fees  
20 and the reimbursement of expenses (the "Fee Application") of Geoff Winkler (the  
21 "Receiver"), the Court-appointed permanent receiver for defendant Essex Capital  
22 Corporation and its subsidiaries and affiliates (collectively, the "Receivership  
23 Entities"), along with his counsel of record, Allen Matkins Leck Gamble Mallory &  
24 Natsis LLP ("Allen Matkins"), and his tax accountant, Miller Kaplan Arase, LLP  
25 ("Miller Kaplan," and collectively, with the Receiver and Allen Matkins, the  
26 "Applicants").

27 With respect to the Receiver and Allen Matkins, the Fee Application covers  
28 their fees and expenses incurred during the period from April 1, 2022, through

1 June 30, 2022 (the "Application Period"). The Receiver requests approval of 100%  
2 of his fees and expenses incurred during the Application Period (\$115,043.50 and  
3 \$1,414.83, respectively) and payment, on an interim basis, of 80% of those fees  
4 (\$92,034.80) and 100% of those expenses (\$1,414.83). Allen Matkins likewise  
5 requests approval of 100% of its fees and expenses incurred during the Application  
6 Period (\$297,739.80 and \$7,746.75, respectively) and payment, on an interim basis,  
7 of 80% of those fees (\$238,191.84) and 100% of those expenses (\$7,746.75).

8 With respect to Miller Kaplan, the Fee Application covers its fees and  
9 expenses incurred during the period from October 1, 2021, through May 31, 2022  
10 (the "MK Application Period"). Miller Kaplan requests approval of 100% of its fees  
11 and expenses incurred during the MK Application Period (\$7,736 and \$0,  
12 respectively) and payment, on an interim basis, of 80% of those fees (\$6,188.80)  
13 and 100% of those expenses (\$0).

14 The Court having considered the Fee Application, and good cause appearing  
15 therefor,

16 **IT IS HEREBY ORDERED** that

- 17 1. The Fee Application is granted in its entirety;
- 18 2. The Receiver's fees and expenses incurred during the Application  
19 Period, in the respective amounts of \$115,043.50 and \$1,414.83, are approved;
- 20 3. The Receiver is authorized to pay himself, on an interim basis, 80% of  
21 his approved fees incurred during the Application Period, in the amount of  
22 \$92,034.80, and 100% of his approved expenses incurred during the Application  
23 Period, in the amount of \$1,414.83, from the funds of the receivership estate of the  
24 Receivership Entities (the "Receivership Estate");
- 25 4. Allen Matkins's fees and expenses incurred during the Application  
26 Period, in the respective amounts of \$297,739.80 and \$7,746.75, are approved;
- 27 5. The Receiver is authorized to pay Allen Matkins, on an interim basis,  
28 80% of its approved fees incurred during the Application Period, in the amount of

1 \$238,191.84, and 100% of its approved expenses incurred during the Application  
2 Period, in the amount of \$7,746.75, from the funds of the Receivership Estate;

3 6. Miller Kaplan's fees and expenses incurred during the MK Application  
4 Period, in the respective amounts of \$7,736 and \$0, are approved;

5 7. The Receiver is authorized to pay Miller Kaplan, on an interim basis,  
6 80% of its approved fees incurred during the MK Application Period, in the amount  
7 of \$6,188.80, and 100% of its approved expenses incurred during the MK  
8 Application Period, in the amount of \$0, from the funds of the Receivership Estate;  
9 and

10 8. The fees that have been approved but have not been otherwise  
11 authorized to be paid at this time by this order shall be subject to final review by the  
12 Court in connection with the Applicants' final fee application, to be submitted  
13 contemporaneously with the winddown and termination of the receivership in the  
14 above-entitled matter.

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17 Dated: \_\_\_\_\_

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Hon. Fernando M. Olguin  
United States District Judge

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