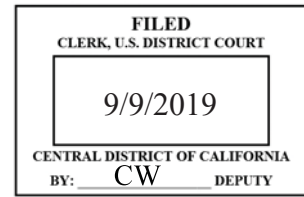


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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

RALPH T. IANNELLI and ESSEX
CAPITAL CORP.,

Defendants.

Case No. 2:18-cv-05008-FMO-AFM

ORDER GRANTING SECOND
INTERIM APPLICATION OF
RECEIVER, GEOFF WINKER, AND
ALLEN MATKINS LECK GAMBLE
MALLORY & NATSIS LLP, GENERAL
COUNSEL TO THE RECEIVER, FOR
PAYMENT OF FEES AND
REIMBURSEMENT OF EXPENSES
[April 1, 2019 - June 30, 2019]

Ctrm: 6D
Judge Hon. Fernando M. Olguin

Before the Court is the Second Interim Application of Receiver, Geoff Winkler, and Allen Matkins Leck Gamble Mallory & Natsis LLP ("Allen Matkins"), General Counsel to the Receiver, for Payment of Fees and Reimbursement of Expenses (the "Application"), filed by Geoff Winkler (the "Receiver"), the Court-appointed permanent receiver for Defendant Essex Capital Corporation and its subsidiaries and affiliates (collectively, the "Receivership Entities" or "Entities"), for services rendered for the period from April 1, 2019 through June 30, 2019 (the "Application Period").

In the Application Period, the Receiver requests approval of 100% of his fees and expenses (\$177,830.75 and \$2,094.12, respectively), and payment, on an

1 interim basis, of 80% of his fees (\$142,264.60) and 100% of his expenses
2 (\$2,094.12). The Receiver and Allen Matkins also request approval of 100% of
3 Allen Matkins' fees and expenses (\$130,994.55 and \$1,713.92, respectively), and
4 payment, on an interim basis, of 80% of its fees (\$104,795.64), and 100% of its
5 expenses (\$1,713.92).

6 The Application having been considered, and good cause appearing therefor,
7 this Court orders as follows:

- 8 1. The fees and expenses incurred by the Receiver during the Application
9 Period, in the respective amounts of \$177,830.75 and \$2,094.12, are approved;
- 10 2. The Receiver is authorized to pay himself, on an interim basis, 80% of
11 his fees incurred during the Application Period, in the amount of \$142,264.60, and
12 100% of his expenses incurred during the Application Period, in the amount of
13 \$2,094.12, from the assets of the Receivership Entities;
- 14 3. The fees and expenses of Allen Matkins during the Application Period,
15 in the respective amounts of \$130,994.55 and \$1,713.92, are approved;
- 16 4. The Receiver is authorized to pay Allen Matkins, on an interim basis,
17 80% of the fees it incurred during the Application Period, in the amount of
18 \$104,795.64, and 100% of the expenses it incurred during the Application Period, in
19 the amount of \$1,713.92, from the assets of the Receivership Entities; and
- 20 5. The fees approved, but not paid, pursuant to this Order, shall be subject
21 to final review by the Court in connection with the Receiver's and Allen Matkins'
22 final fee and expense application, to be submitted contemporaneously with the
23 wind-down and termination of the receivership in the above-entitled matter.

24
25 **SO ORDERED.**

26
27 Dated: September 9, 2019

/s/

28 Hon. Fernando M. Olguin
Judge, United States District Court