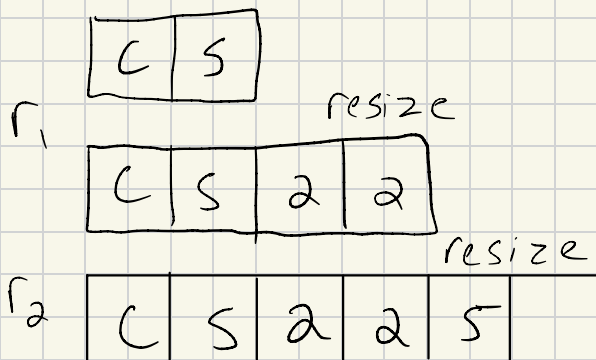




# • Amortized Analysis

total time for n operations  
n



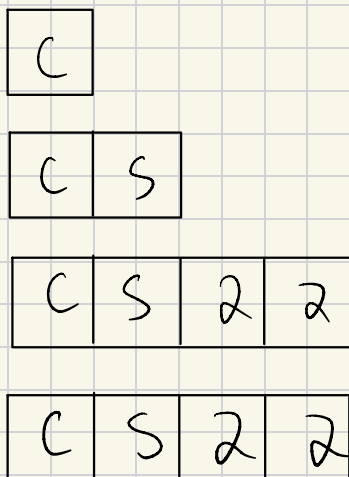
$2^r$  copies per round

$$\sum_{k=1}^r 2^k = r + r^2$$

$$r = \frac{n}{2}$$

$$O\left(\frac{n^2 + 2n}{4}\right) = O(n^2)$$

What if we instead double our space each round?



$2^r$  copies per round

$$\sum_{k=0}^r 2^k \Rightarrow \text{total copies in } r \text{ rounds}$$

$$= 2^{(r+1)} - 1$$

$$2^r = n \Rightarrow r = \log_2 n$$

$$2^{n-1}$$

$$O(2^{n-1}) = O(n)$$

total time for  $n$  inserts (x2 strategy):

$$O(n)$$

Amortized time for each insert

$$O\left(\frac{n}{n}\right) = O(1)$$

this does not mean each operation takes a constant amount of time.

# • Queue ADT

- [Order]:

- FIFO (First In, First out)

- [Implementation]

- enqueue (T data)
  - T dequeue ()

- [Runtime]

- both functions  $O(1)$

# • Stack ADT

- [Order]

- LIFO (Last In, First out)

- [Implementation]

- push (T)
  - T pop ()

- [Runtime]

- both functions  $O(1)$

Queue.h

```
template <typename T>  
class Queue {
```

```
public:
```

```
void enqueue(T e);  
T dequeue();  
bool isEmpty();
```

```
private:
```

```
T *items_;  
unsigned capacity_;  
unsigned size_;  
unsigned front_;  
unsigned where();
```

← An array

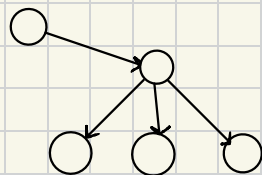
```
};
```

```
enqueue(T e) {  
    items_[size++] = e;  
}
```

```
dequeue  
    size--;  
    return items_[front++];
```

```
unsigned where() {  
    return (size + front) % capacity;
```

# Trees:



• A tree is

- an acyclic graph
- In CS225, all trees have a root

• Binary Tree - Defined

- A binary tree is either:

1.  $T = \emptyset$

2.  $T = \{r, T_L, T_R\}$

- height: length of longest path from root to a leaf

$$\text{height}(T) = \max(\text{height}(T_L), \text{height}(T_R)) + 1$$

- A tree  $F$  is full if

1.  $F = \emptyset$

2.  $F = \{r, F_L, F_R\}$

where either

$$F_L \text{ and } F_R \neq \emptyset$$

or  $F_L$  and  $F_R$  are not empty

- A perfect tree  $p$  is defined in terms of the tree's height

1.  $P_1 = \emptyset$

2.  $P_h = (r, P_{h-1}, P_{h-1})$

- A complete tree

1. A perfect tree for every level except last where the last level is pushed to the left

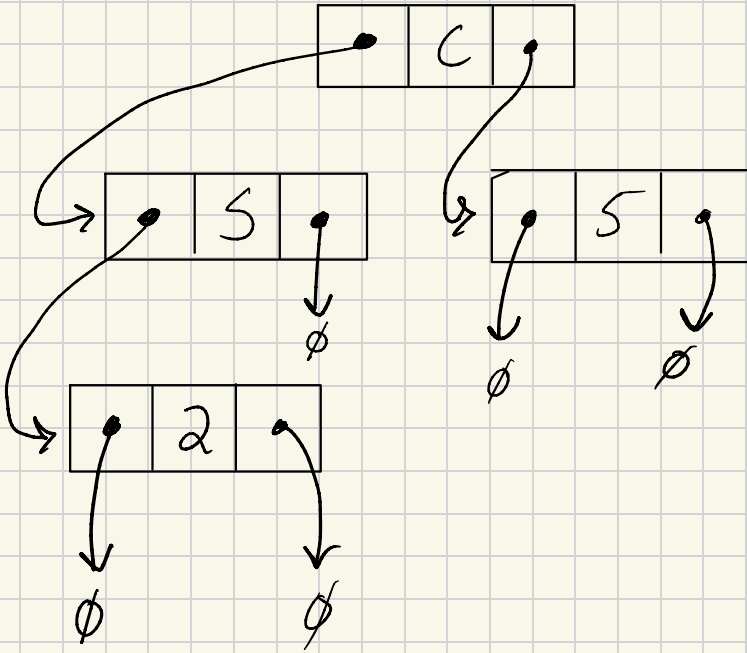
2. For all levels  $k$  in  $[0, h-1]$ ,  $k$  has  $2^{k-1}$  nodes

A complete tree  $C$  of height  $n$ ,  $C_n$ :

$$1. C_{-1} = \emptyset$$

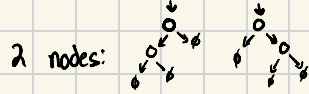
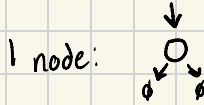
2.  $C_n$  (where  $n > 0$ ) =  $\{r, T_L, T_R\}$  and either  $T_L$  is  $C_{n-1}$  and  $T_R$  is  $P_{n-2}$  or

$T_L$  is  $P_{n-1}$  and  $T_R$  is  $C_{n-1}$



How many Nulls in a binary tree with  $n$  data items

0 nodes:  $\emptyset$



$n+1$  Null Pointers

induction:

Base:

0 nodes = 1

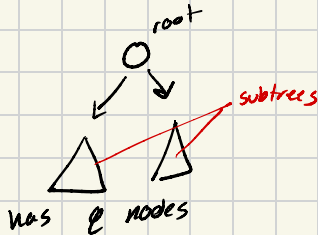
1 node = 2

Induction Hypothesis:

suppose  $\text{Nulls}(n) = n+1 \quad \forall$  trees with  $\leq n$  nodes

Consider an arbitrary tree  $T$  containing  $K$  data elements:

$T$  has  $K$  nodes



WLOG: we choose an arbitrary subtree.

The subtree contains  $K-p-1$  nodes

$p < K$

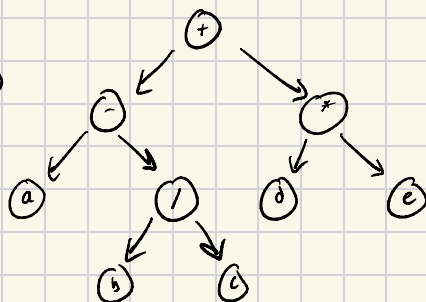
$p$  has  $p+1$  nulls by induction hypothesis.

The subtree has  $K-p-1+1$  nulls

$T$  has  $K - \cancel{p} - \cancel{1} + \cancel{p} + 1 = K+1$

Access all the nodes - traversals (how to visit all nodes in tree once)

one example:  
(preorder traversal)



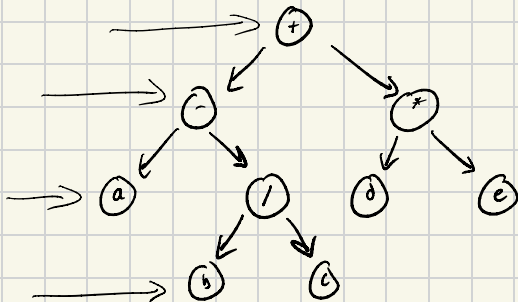
```
Traverse(root)
if (root == NULL)
    return;
visit(root)
traverse(root->left)
traverse(root->right)
```

Side note: "pointers" can be used  
in boolean:  
zero if Null  
one else

PostOrder: print after recursion  
abc/-de\*

InOrder: print data in sequential order  
a-b/c + d \* e

A different type: go layer by layer



+ - \* a / d e b c

put root in a queue Q  
while (Q not empty)  
node = Q.dequeue  
if (node)  
visit(node)  
Q.enqueue(children)

## AVL Trees:

• Number of Nodes in an AVL Tree:

$$N(n) > 2^{n/2}$$

and inverting:

$$n > N(n) > 2^{n/2}$$

$$n > 2^{n/2}$$

$$\log(n) > n/2$$

## Other balanced BST

• Red-Black Tree

- Max height:  $2 \times \lg(n)$

- Constant number of rotations on insert, remove, and find.

• AVL Trees

- Max height:  $1.44 \times \lg(n)$

- rotations:

insert:  $O(1)$

remove:  $O(\log(n))$

## Summary of Balanced BST

Cons:

- Runtime:  $\log(n)$

- In-Memory Requirement

## Every structure so far

|          | Unsorted Array | Sorted array | Unsorted list | Sorted list | BT     | BST                       | AVL          |
|----------|----------------|--------------|---------------|-------------|--------|---------------------------|--------------|
| Find     | $n$            | $O(\log(n))$ | $O(n)$        | $O(n)$      | $O(n)$ | $O(n)$                    | $O(\log(n))$ |
| Insert   | $O(1)^k$       | $O(n)$       | $O(1)$        | $O(n)$      | $O(1)$ | $O(n)$                    | $O(\log(n))$ |
| Remove   | $O(n)$         | $O(n)$       | $O(1)$        | $O(n)$      | $O(n)$ | $O(n)$                    | $O(\log(n))$ |
| Traverse | $O(n)$         | $O(n)$       | $O(n)$        | $O(n)$      | $O(n)$ | $O(n)$<br>but also $O(n)$ | $O(n)$       |

AVL is best so far

## Iterators Types

- Forward

-(in lecture) operator ++, operator \*, operator !=

- Bidirectional

- operator --

- Random Access

also in  $O(1)$  time

if a and b are iterator

(a+5) - five elements past a

distance(a,b) - difference in # of elements from a to b.

- Random Access Iterators

- Big steps

- move by  $+ \text{int}$
    - $- \text{int}$
    - $+= \text{int}$

- Distance

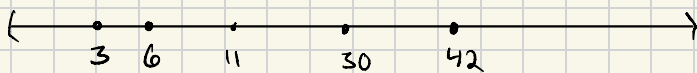
- $a < b$  - true if  $a$  is earlier in container than  $b$

- $a - b$  - distance from  $a$  to  $b$ .

- Range-Based Searches

- Balanced BSTs are useful structures for range-based and nearest-neighbor searches

What points fall in  $[1, 42]$ ?



R-B Trees in C++

iterator `std::map<K, V>::lowerbound(const K&);`

iterator `std::map<K, V>::upperbound(const K&);`

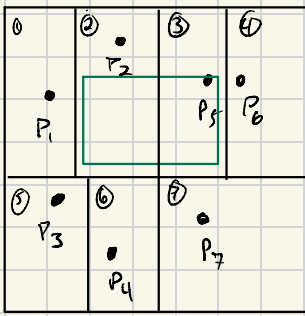
or `end`

an iterator  
at smallest  
not less than  
input

iterator smallest  
greater than input

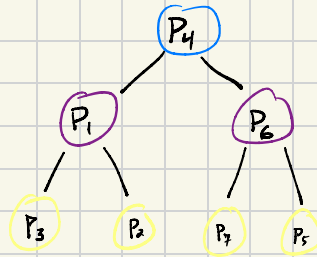
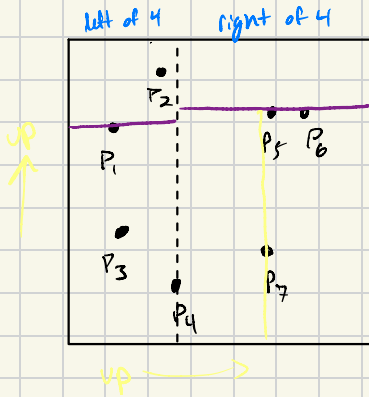
- Consider points in 2D:  $P = \{p_1, p_2, p_3, \dots, p_n\}$

What points are in the rectangle

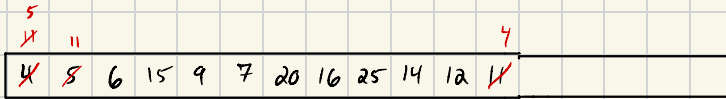
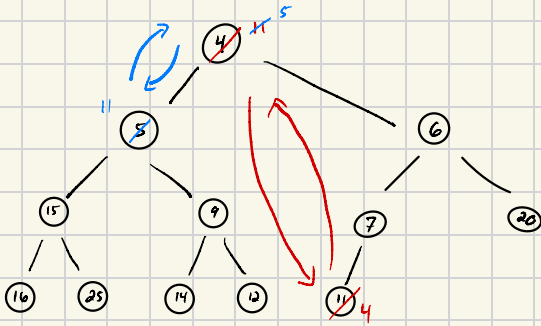


All we have to do is  
search box 2 + 3 because  
the square of interest is  
contained in 2+3. This  
is the best algorithm  
ever.

Tree construction:



March 4<sup>th</sup> • Heap



Want to remove min

- ① swap root with last
- ② swap root with minChild if larger

## • Disjoint Sets

$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

2 5 4

7

0 1 4 8

3 6

$\{\{2, 5, 4\}, \{7\}, \{0, 1, 4, 8\}, \{3, 6\}\}$

Union two sets  $\{\{2, 5, 7, 4\}, \{0, 1, 4, 8\}, \{3, 6\}\}$

• Find Union

• Add to universe

• Union

• Operation find(n)

- Find(4) - using example above, returns 0

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 0 | 0 | 2 | 3 | 0 | 2 | 3 | 7 | 0 | 2 |

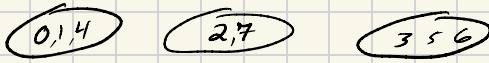
→ store conical element

Use Find to determine if two elements belong to the same space

ex.  $\text{Find}(4) == \text{Find}(8)$

- Maintain a collection  $S = \{s_0, s_1, \dots, s_k\}$
- Each set has a representative element (conical element)

### Implementation #1



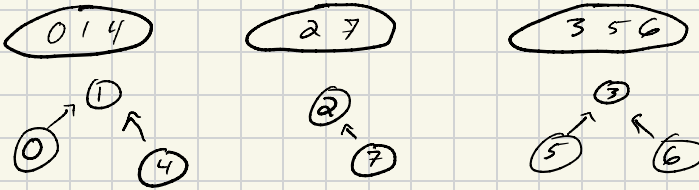
|           |   |   |   |   |   |   |   |   |
|-----------|---|---|---|---|---|---|---|---|
| element → | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| conical → | 0 | 0 | 2 | 5 | 0 | 5 | 5 | 0 |
| data →    |   |   |   |   |   |   |   |   |

Find( $k$ ): return data[ $k$ ]

Union( $k_1, k_2$ ):

```
for (i = 1:n) {
    if (data(i) == Find( $k_1$ ))
        data(i) =  $k_2$ 
}
```

### Implementation #2



|   |    |    |    |   |   |   |   |
|---|----|----|----|---|---|---|---|
| 0 | 1  | 2  | 3  | 4 | 5 | 6 | 7 |
| 1 | -1 | -1 | -1 | 1 | 3 | 3 | 2 |

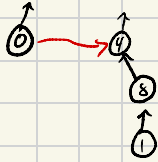
Find( $k$ ):

```
if (data[ $k$ ] == -1)
    return  $k$ 
```

Union( $k_1, k_2$ ):

```
Find(data[ $k_1$ ])
data[ $k_1$ ] =  $k_2$ 
```

• While doing union:



union(0, 4)

we choose to add smaller  
height tree to the larger one

# Getting started w/ graphs

## Data Structures

### Array

- sorted array
- unsorted array
  - stacks
  - queues
  - priority queues
    - 1. Heaps
  - Disjoint Sets
    - 1. Vptrees

### Linked

- Doubly Linked List
- Trees
  - Btree
  - Binary Tree
    - 1. Kdtree
    - 2. AVL Tree
    - 3. BST
    - 4. BBST

## Common Vocabulary:

$$G = (V, E)$$

$$|V| = n$$

$$|E| = m$$

Incident Edges:

$$I(v) = \{\{x, v\} \text{ in } E\}$$

$$\text{Degree}(v) : |I|$$

Adjacent Vertices:

$$A(v) = \{x : \{x, v\} \text{ in } E\}$$

Path: Sequence of Vertices connected by edges

Cycle: Path w/ a common beginning and end vertex with at least 3 vertices

Subgraph:

$$G' = (V', E'):$$

$$V' \subseteq V, E' \subseteq E \text{ and}$$

$$(u, v) \in E' \rightarrow u \in V', v \in V'$$

Complete subgraph - all vertices are connected

Connected subgraph -

Connected component -

Acyclic subtree - a tree, a graph w/ no cycles

Spanning tree -

How many edges:

Minimum Edges:

Not Connected: 0

Connected\*:  $n-1$

Maximum Edges:

Simple:  $\frac{n(n-1)}{2}$

Not Simple: no bound

# Graph ADT

## Data:

- Vertices
- Edges
- Some data structure maintaining the structure between vertices and edges

## Functions:

- insertVertex (K key)
- insertEdge (Vertex  $v_1$ , Vertex  $v_2$ , K Key)
- removeVertex (Vertex  $v$ )
- removeEdge (Vertex 1, Vertex 2)
- incidentEdges
- are Adjacent
- origin
- destination

# Minimum Spanning Tree Algorithms

Input: Connected, undirected graph  $G$  with edge weights

Output: A graph  $G'$  with the following properties:

1.  $G'$  is a spanning graph of  $G$
2.  $G'$  is a tree (connected, acyclic)
3.  $G'$  has a minimal total weight among all spanning trees