

*This brochure supplement provides information about David Patrick Bray that supplements the Bray Capital Group LLC brochure. You should have received a copy of that brochure. Please contact David Patrick Bray if you did not receive Bray Capital Group LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about David Patrick Bray is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Bray Capital Group LLC**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

**David Patrick Bray**

Personal CRD Number: 4610996

Investment Adviser Representative

Bray Capital Group LLC

1489 W Warm Springs Road, Suite 110

Henderson, NV 89014

(702) 877-4004

[david.bray@brayfinancial.com](mailto:david.bray@brayfinancial.com)

UPDATED: 04/23/2024

## Item 2: Educational Background and Business Experience

**Name:** David Patrick Bray                      **Born:** 1971

### **Educational Background and Professional Designations:**

#### **Education:**

- Bachelor Hotel Administration, University of Nevada, Las Vegas - 1996
- Master of Science in Clinical Mental Health Counseling, Capella University - 2022

#### **Business Background:**

05/2016 - Present                      Managing Member & CCO  
Bray Capital Group, LLC

06/2002 - Present                      Member/Owner  
Bray Financial Services

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

David Patrick Bray is a licensed insurance agent with Bray Financial Services, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. BCGL always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of BCGL in connection with such individual's activities outside of BCGL.

David Patrick Bray is an Independent Insurance Agent/Broker working under Bray Financial Services and Senior Health Group at Senior Health Group. He devotes 12 hours per month to

business during trading hours and also during outside trading hours. He receives 30% compensation from yearly business.

David Patrick Bray works at HeadsUp Guidance and Wellness Centers OF Nevada which offers counseling and therapeutic services with a focus on mental health. The business does not relate to any business investment. Instead, its primary objective is to provide support and guidance to individuals seeking mental health counseling. He devotes sixty hours during trading hours and twenty hours outside trading hours to the business. He receives 25% compensation from the yearly business.

### **Item 5: Additional Compensation**

David Patrick Bray does not receive any economic benefit from any person, company, or organization, other than Bray Capital Group LLC in exchange for providing clients advisory services through Bray Capital Group LLC.

### **Item 6: Supervision**

As the Chief Compliance Officer of Bray Capital Group LLC, David Patrick Bray supervises all activities of the firm. David Patrick Bray's contact information is on the cover page of this disclosure document. David Patrick Bray adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. David Patrick Bray has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
  
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. David Patrick Bray has been the subject of a bankruptcy:

David Patrick Bray was the subject of a chapter7 bankruptcy petition that was filed on 8/2/2009 and discharged on 01/08/2010.