

Black Oak Securities, Inc.

OUR PRIVACY POLICY

Black Oak Securities, Inc. is committed to protecting your privacy. Title V of the Gramm-Leach-Bliley Act of 1999 (GLBA) required that the SEC and certain other federal agencies adopt rules relating to the privacy of nonpublic personal information of consumers and customers. As a Broker/Dealer we know that your trust in us is very important. This document discloses our privacy policy in detail.

Does Black Oak Securities, Inc. (BOS) disclose customer information to, or share customer information with, outsiders?

BOS does not disclose, and does not wish to reserve the right to disclose nonpublic personal information to affiliates or nonaffiliated third parties, except to nonaffiliated parties as authorized by law. If this policy should change, BOS will notify you by mail, and you will be given an opportunity to request that your information not be disclosed to, or shared with, other businesses.

What categories of nonpublic personal information does BOS collect?

BOS collects and maintains various types of information about its customers. The types of information we collect and maintain may include:

- * Name, address, telephone number, and social security number
- * Employer, business address, occupation, spouse employer, and business telephone number
- * Estimated annual income, estimated net worth, and estimated tax bracket
- * Investment experience, suitability and investment objectives, and risk tolerance
- * Citizenship, date of birth, relationship to broker, nature of account, and beneficiaries

How do we protect the confidentiality and security of your personal nonpublic financial information?

The information you provide to us is used for BOS and Administrative Services, Inc. purposes only. Employees and registered reps have access to your information, and are authorized to review it, only for the purposes of carrying out their official duties and responsibilities. Employees and registered reps are required to keep customer information confidential.

Should your relationship with BOS end, your personal information will remain protected in accordance with our privacy practices as outlined in this Privacy Policy.

How do we deal with Cybersecurity, hacking, data theft, and identity theft?

These are all serious concerns of the modern day world. Each situation must be dealt with as soon as possible. If we or if you suspect any breaches such as loss of data confidentiality, integrity, or availability it is incumbent on both parties to initiate immediate contact to begin resolution.

If you have any question about the privacy of your information, you can contact us at 217-498-7876.

Member Financial Industry Regulatory Authority

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