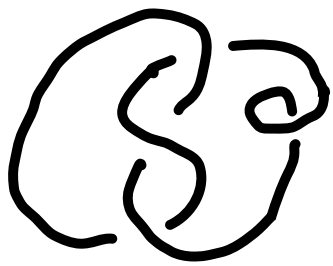


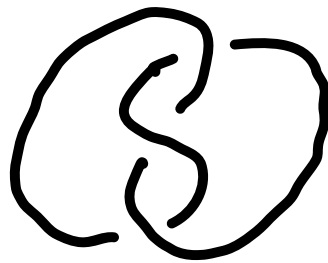
# DOWNSTREAM LESSONS FROM A GEOMETRIC PROOF OF TAIT'S FLYPING CONJECTURE

THOMAS KINDRED

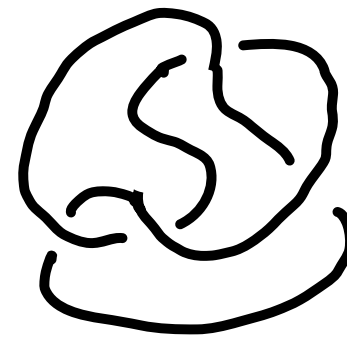
WAKE FOREST UNIVERSITY



NON-REDUCED  
ALTERNATING



REDUCED  
ALTERNATING



NON ALTERNATING

TAIT'S CONJECTURES (1898): Let  $D; D'$  be reduced alternating diagrams of a knot  $K$ .

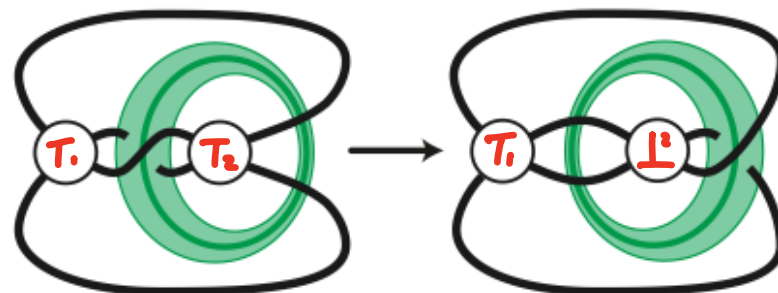
① CROSSINGS:

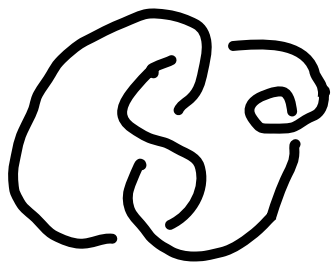
$$c(D) = c(D') = c(K)$$

② WRITHE =  $|\nearrow| - |\searrow|$ :

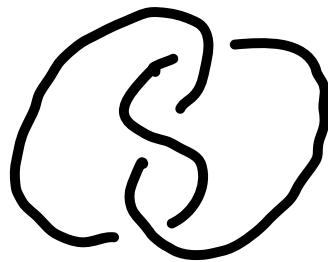
$$W(D) = W(D')$$

③ IF  $K$  IS PRIME, THEN  
 $D; D'$  ARE RELATED  
BY A SEQUENCE  
OF FLYPE MOVES:

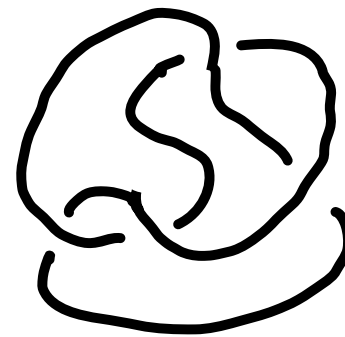




NON-REDUCED  
ALTERNATING



REDUCED  
ALTERNATING

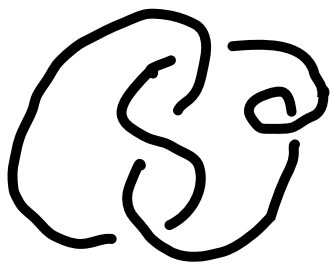


NON ALTERNATING

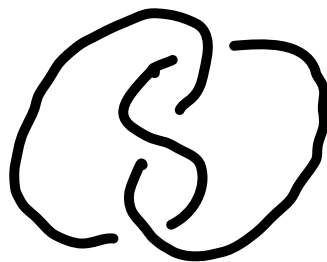
TAIT'S CONJECTURES (1898): Let  $D, D'$  be reduced alternating diagrams of a knot  $K$ .

- ①  $c(D) = c(D') = c(K)$
- ②  $w(D) = w(D')$
- ③ IF  $K$  IS PRIME, THEN  
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OF FLYPE MOVES:

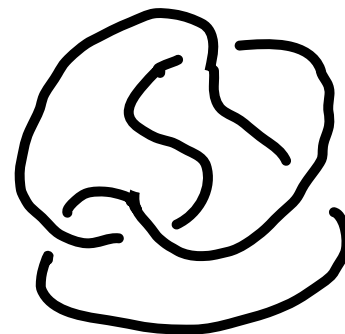
PROVEN IN 1987-93 by COMBOS  
KAUFFMAN, MENASCO, MURASUGI,  
AND THISTLETHWAITE.  
PROOFS ALL USED THE JONES  
POLYNOMIAL (DISCOVERED  
IN 1985)



NON-REDUCED  
ALTERNATING



REDUCED  
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NON ALTERNATING

TAIT'S CONJECTURES (1898): Let  $D, D'$  be reduced alternating diagrams of a knot  $K$ .

- ①  $c(D) = c(D') = c(K)$
- ② reproved without THE JONES POLYNOMIAL BY GREENE IN 2017
- ③ IF  $K$  IS PRIME, THEN  $D, D'$  ARE RELATED BY A SEQUENCE OF FLYPE MOVES

PROVEN IN 1987-93 by COMBOS KAUFFMAN, MENASCO, MURASUGI, AND THISTLETHWAITE.

PROOFS ALL USED THE JONES POLYNOMIAL (DISCOVERED IN 1985)

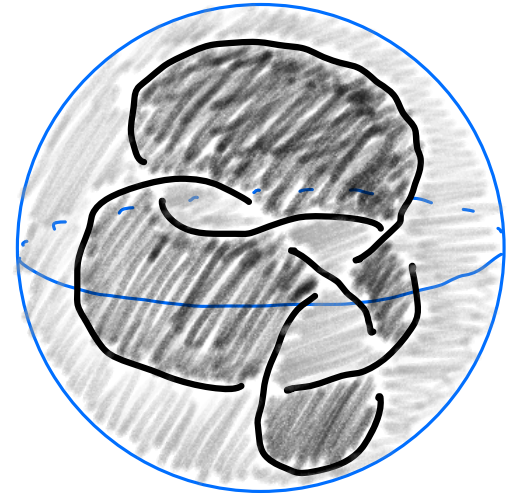


# GEOMETRIC PROOF OF TAIT'S FLYPING CONJECTURE (K), MAIN IDEA:

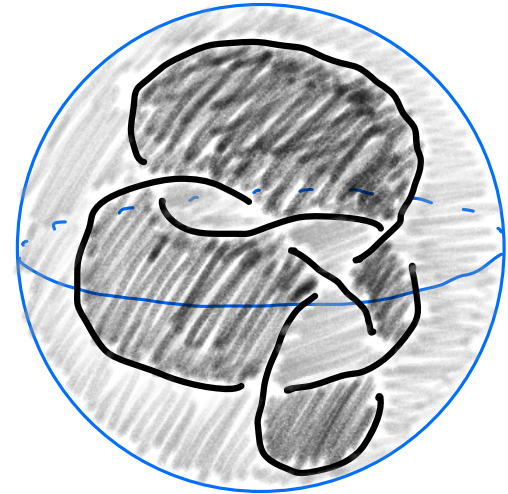
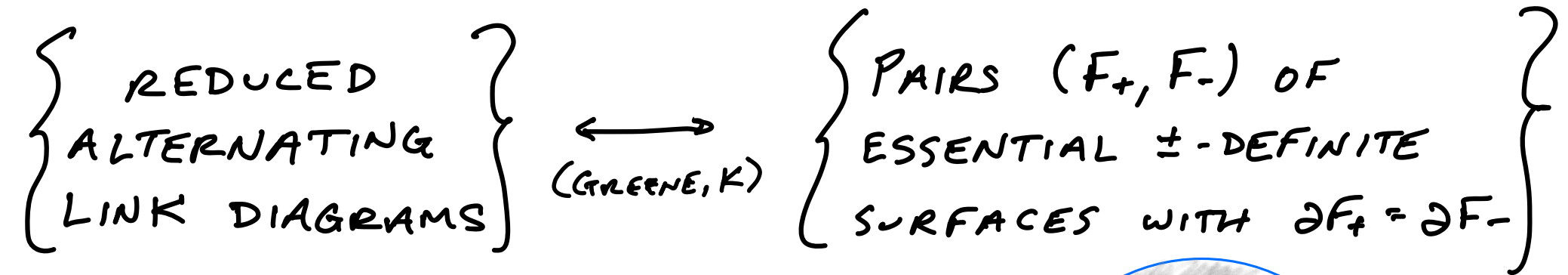
{ REDUCED  
ALTERNATING  
LINK DIAGRAMS }

$\longleftrightarrow$   
(GREENE, K)

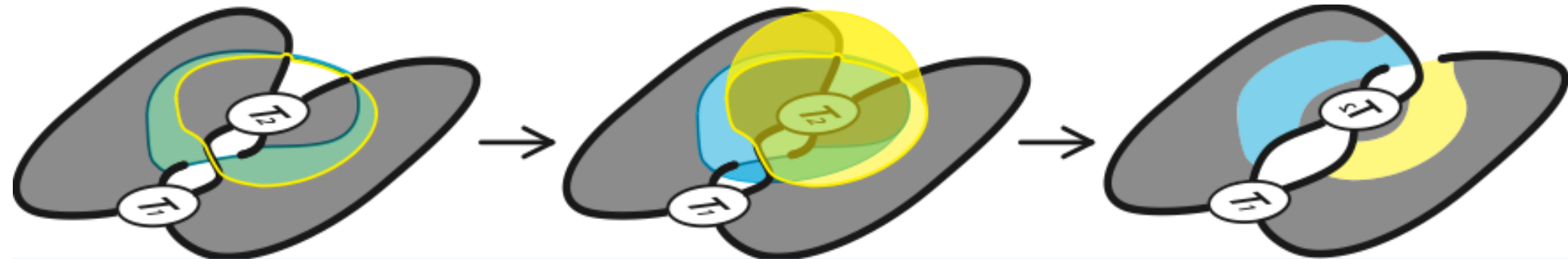
{ PAIRS  $(F_+, F_-)$  OF  
ESSENTIAL  $\pm$ -DEFINITE  
SURFACES WITH  $\partial F_+ = \partial F_-$  }

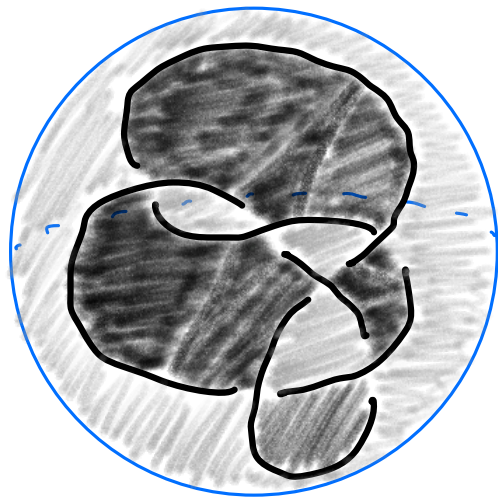


# GEOMETRIC PROOF OF TAIT'S FLYPING CONJECTURE (K), MAIN IDEA:

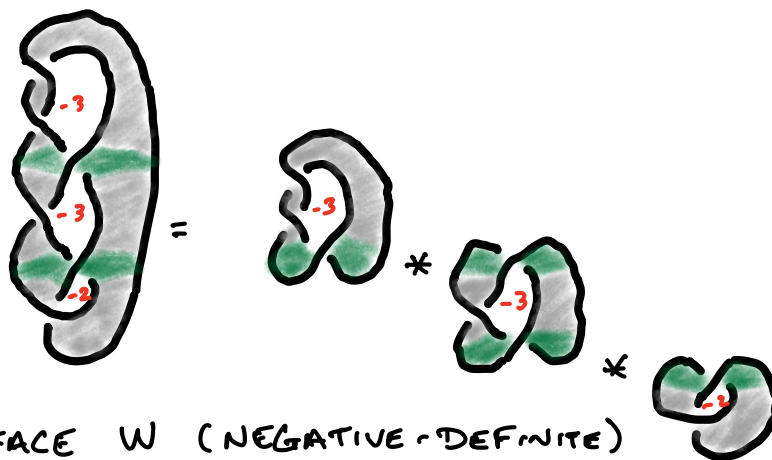
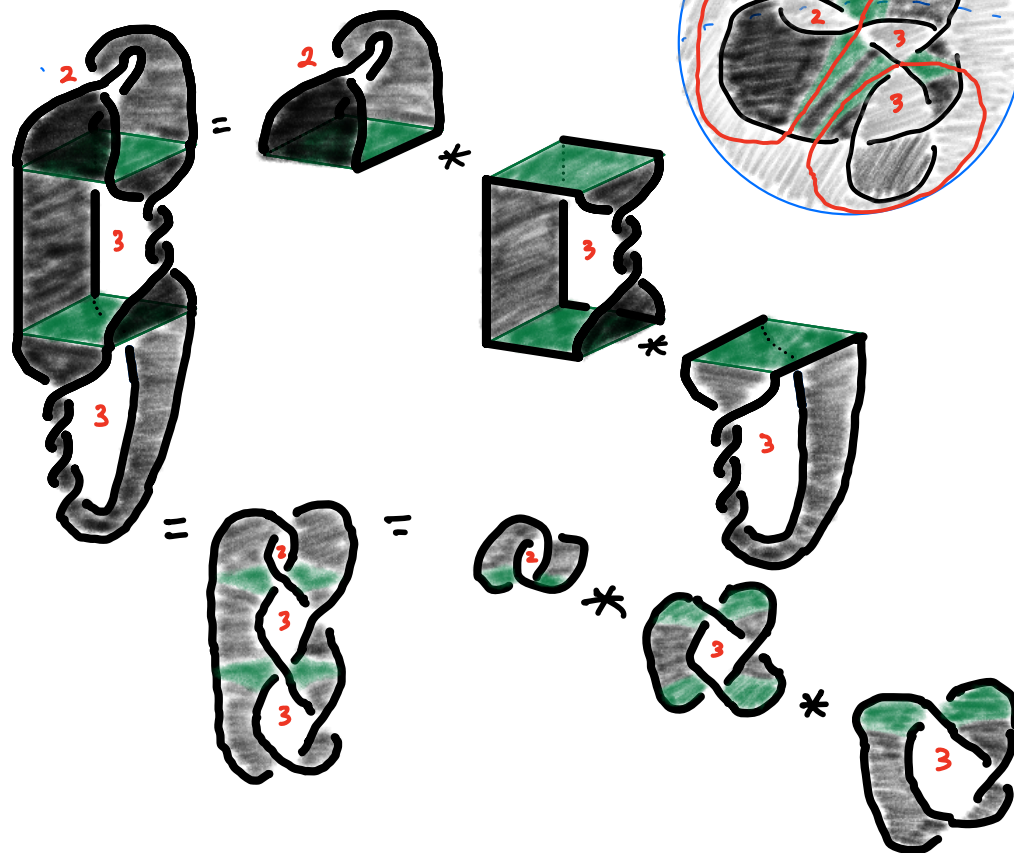


FLYPE MOVE  $\longleftrightarrow$  CERTAIN "REPLUMBING"  $F_+$  OR  $F_-$

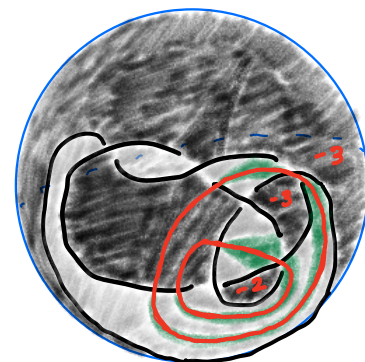


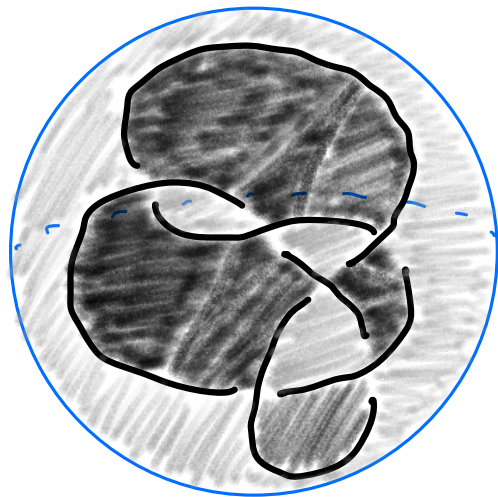


BLACK SURFACE B  
(POSITIVE-DEFINITE)

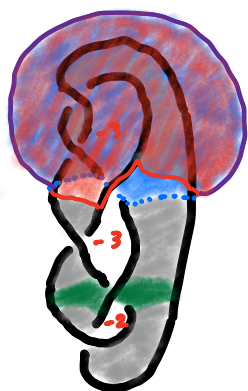
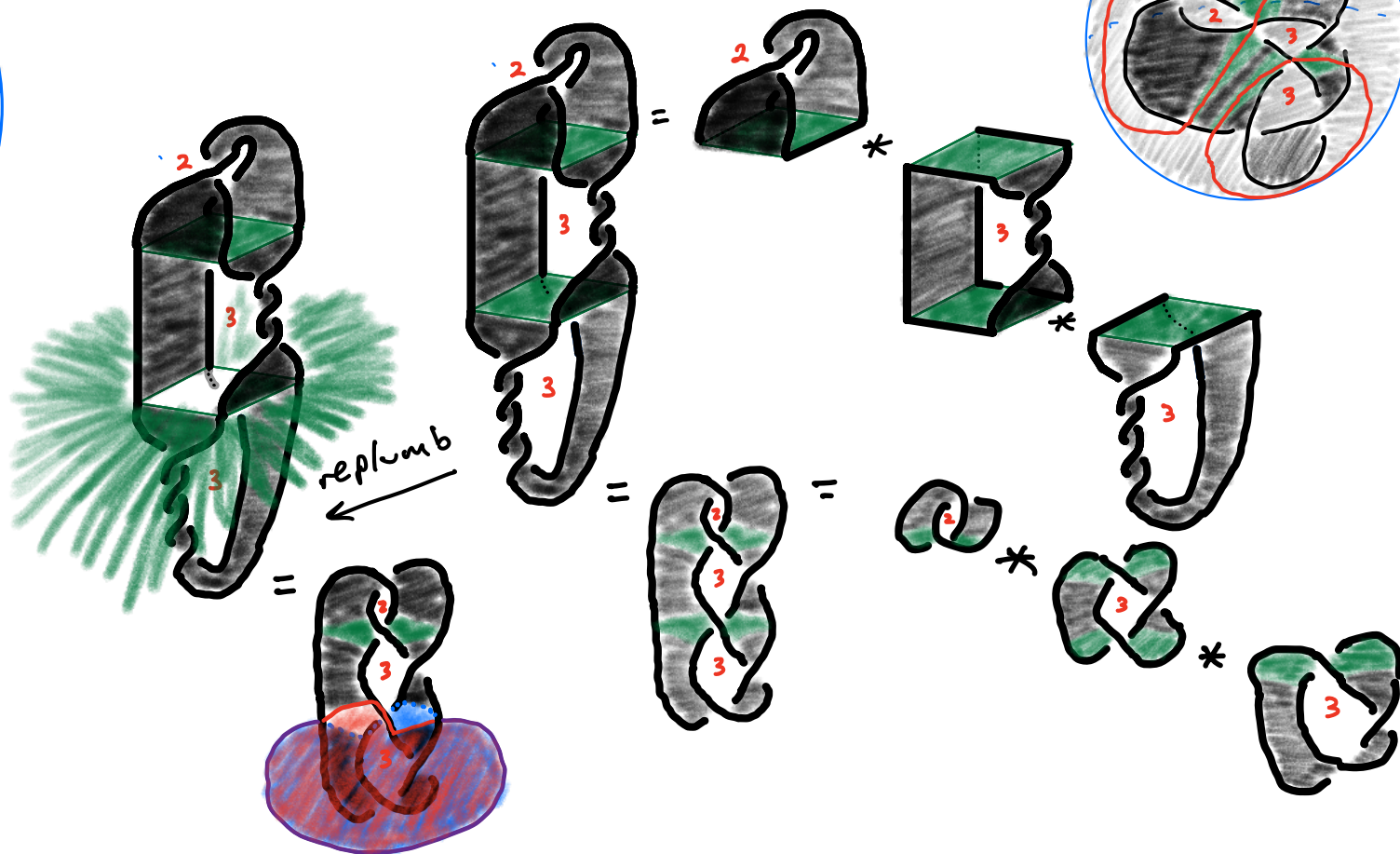
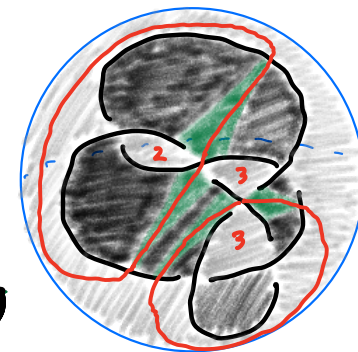


WHITE SURFACE W (NEGATIVE-DEFINITE)

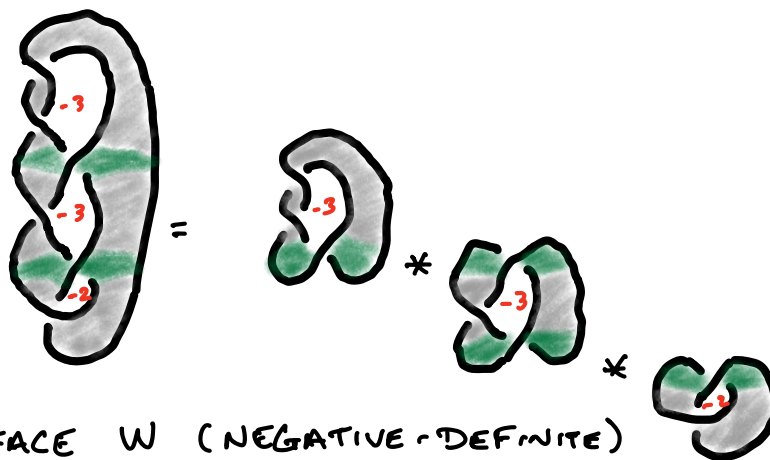




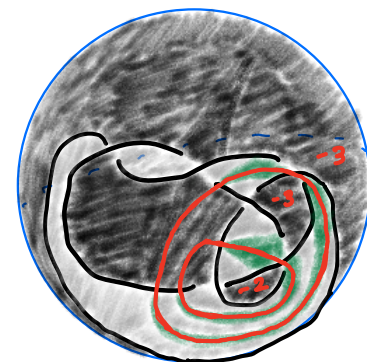
BLACK SURFACE B  
(POSITIVE-DEFINITE)



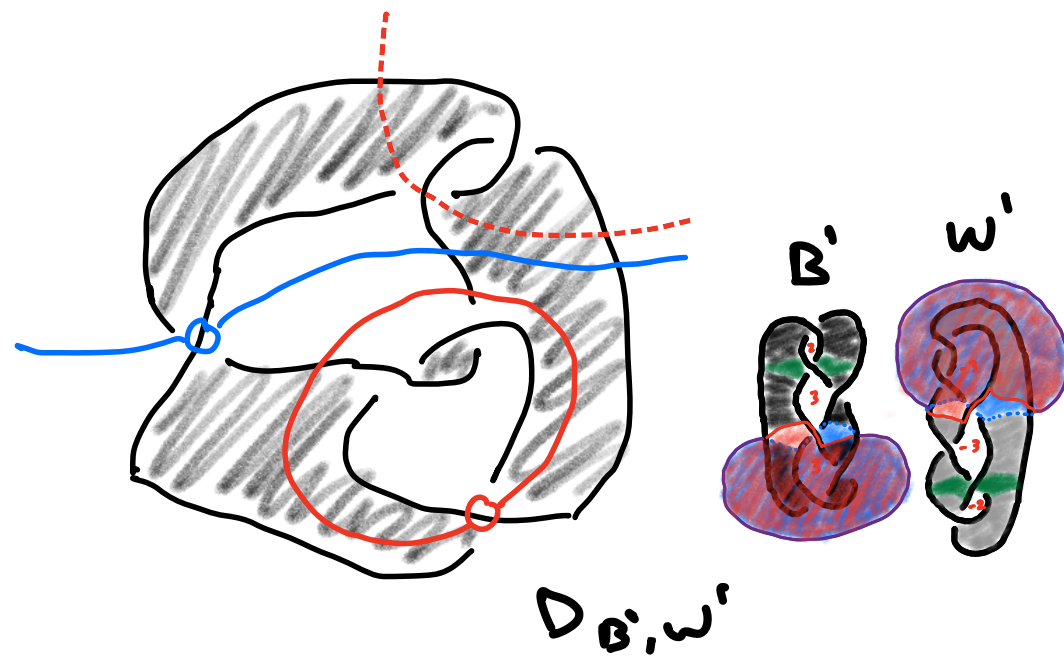
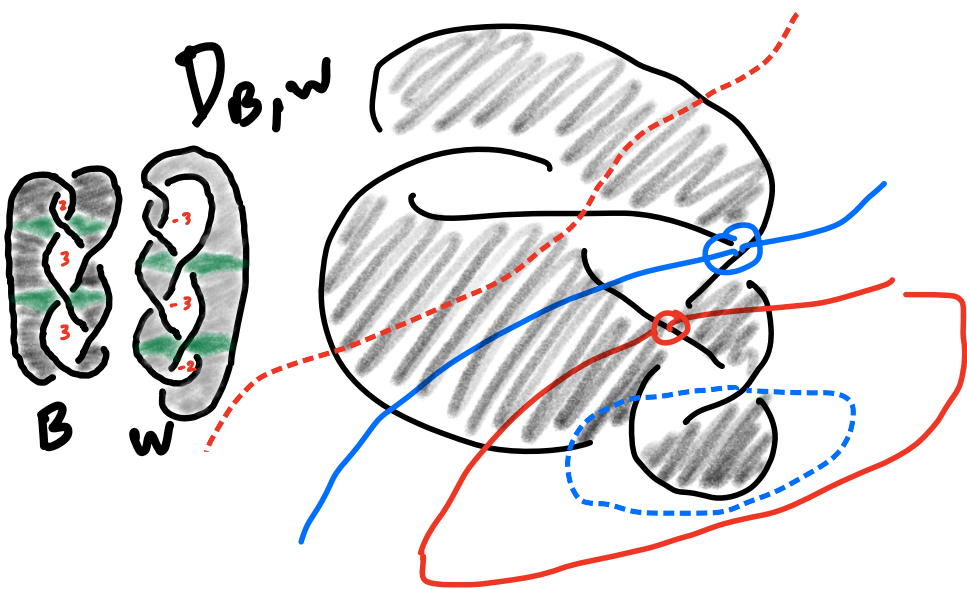
replumb



WHITE SURFACE W (NEGATIVE-DEFINITE)





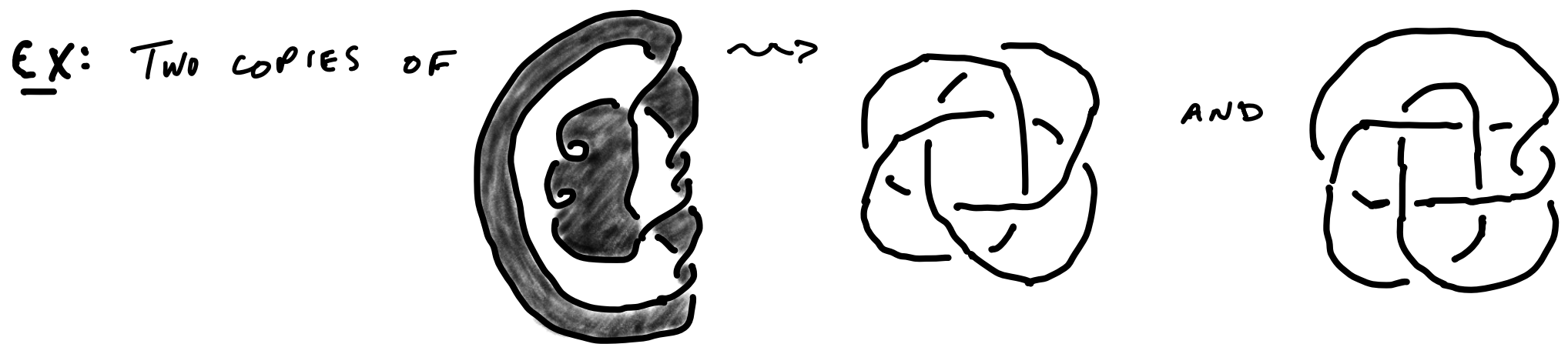




NOTE: In general, pairs  $B, W$  of spanning surfaces for a given knot need not determine a diagram, let alone uniquely.



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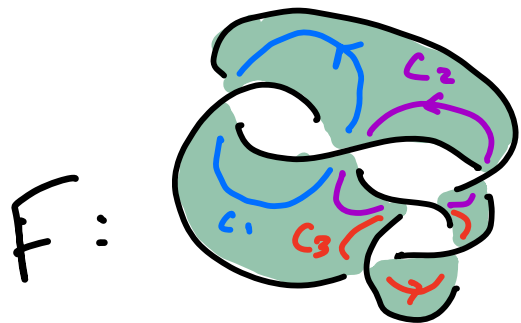




The **GOERITZ MATRIX**  $G$  of a checkerboard surface  $F$  measures how much  $F$  twists:

IDEA: Circle  $\gamma \subset F \rightsquigarrow$  vector  $\vec{x} \rightsquigarrow \vec{x}^T \cdot G \cdot \vec{x} = |\chi|$

EX:

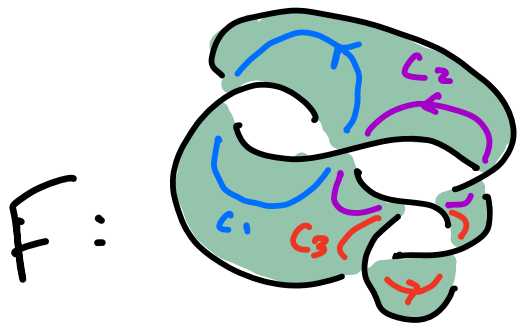


$$G = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 3 & -1 \\ 0 & -1 & 3 \end{bmatrix}$$

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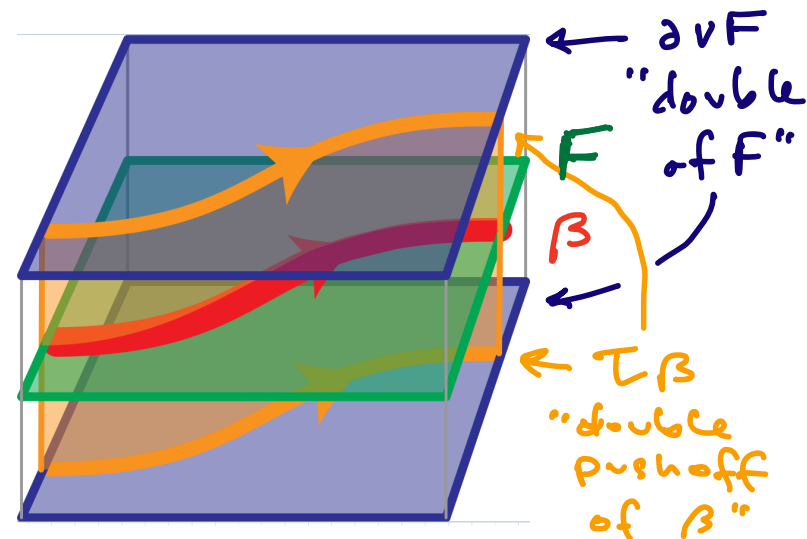
$$G = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 3 & -1 \\ 0 & -1 & 3 \end{bmatrix}$$

$G$  REPRESENTS THE GORDON-LITHERLAND PAIRING

$$\langle \cdot, \cdot \rangle : H_1(F) \otimes H_1(F) \rightarrow \mathbb{Z}$$

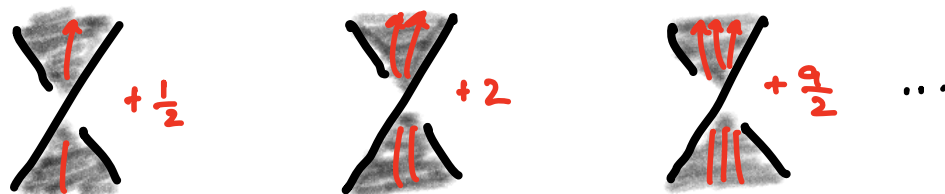
$$\langle \alpha, \beta \rangle = \text{lk}(\alpha, \tau\beta)$$

DEFN:  $F$  is  $\pm$  definite if its GL pairing is  $\pm$  definite.



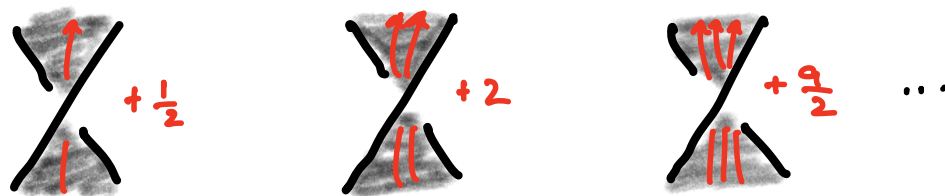
THEOREM (GREENE '17): A knot  $K \subset S^3$  is alternating if and only if it has positive & negative definite spanning surfaces  $F_{\pm}$ .  
 In that case,  $K$  has an alternating diagram whose checkerboard surfaces are isotopic rel boundary to  $F_{\pm}$ .

PARTIAL INTUITION:



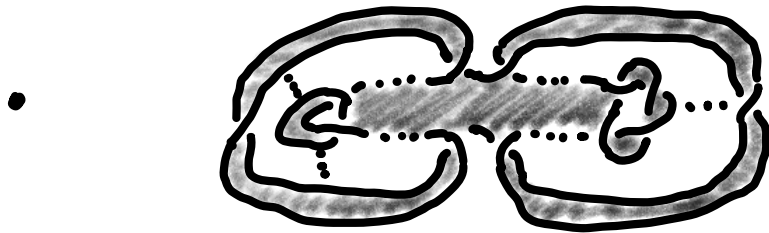
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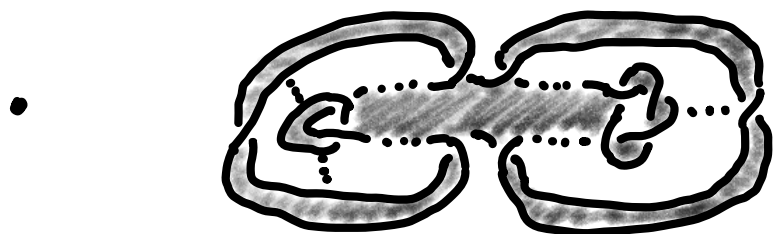
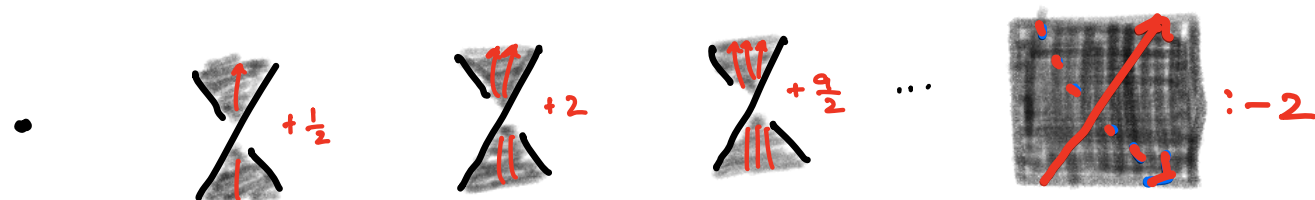


SURPRISING OBSERVATION: THE (G-L PAIRING ON THE) DOUBLE OF A CHECKERBOARD SURFACE FOR A REDUCED ALTERNATING DIAGRAM NEED NOT BE DEFINITE...

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• SIMPLER EXAMPLES (JOINT W/ H. HOWARDS, F. MOORE, <sup>\*</sup>J. TOLBERT<sup>\*</sup>)

OPEN QUESTIONS: CAN THE DOUBLE OF A CHECKERBOARD SURFACE CONTAIN AN ESSENTIAL **UNKNOTTED** SIMPLE CLOSED CURVE w/ zero framing? WITH NEGATIVE FRAMING?

# GOERITZ MATRIX v. GORDON-LITHERLAND PAIRING

TRALDI:

TWO KNOTS HAVE DIAGRAMS W/ THE SAME GOERITZ MATRICES

↔ THE KNOTS ARE MUTANTS.

\* ANY MUTATION-INVARIANT KNOT INVARIANT  
IS DETERMINED BY GOERITZ MATRICES.

BONINGER: GOERITZ MATRIX → Jones Polynomial

# GOERITZ MATRIX v. GORDON-LITHERLAND PAIRING

TRALDI:

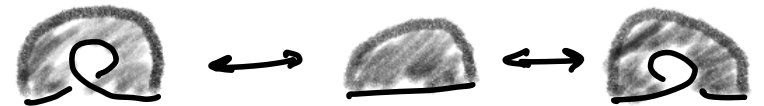
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BONINGER: GOERITZ MATRIX  $\longrightarrow$  Jones Polynomial

K: All checkerboard surfaces are related by kinking/unkinking moves:



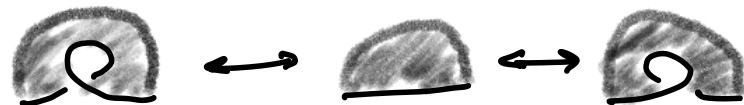
Hence, all "G-L MATRICES" FOR ALL SUCH SURFACES FOR A  
GIVEN KNOT ARE RELATED BY

$$G \longleftrightarrow P^T G P \quad (P \text{ UNIMODULAR}) \quad ; \quad G \longleftrightarrow \begin{bmatrix} G & 0 \\ 0 & \pm 1 \end{bmatrix}$$

(change of basis) (  $\pm$  (un)kinking )





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Hence, all "G-L MATRICES" FOR ALL SUCH SURFACES FOR A GIVEN KNOT ARE RELATED BY

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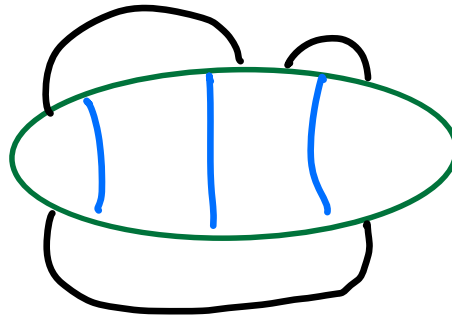
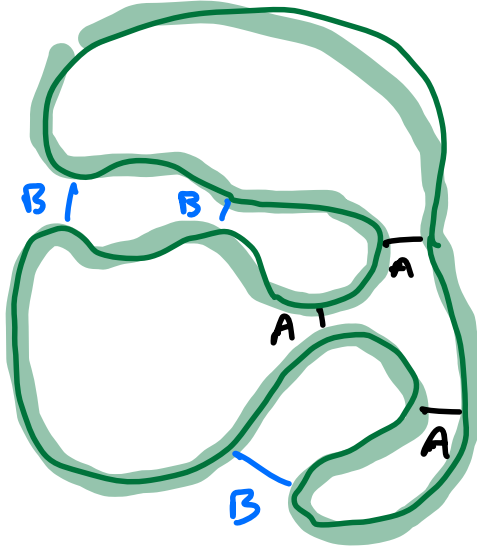
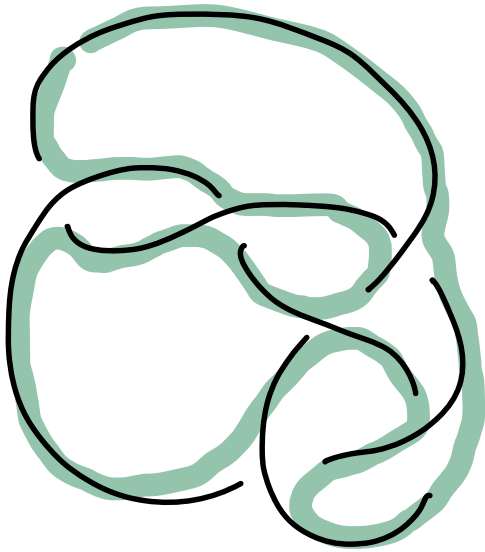
(change of basis) (  $\pm$  (un)kinking )

EX   $\rightarrow [5] \rightarrow \begin{bmatrix} 5 & 0 \\ 0 & -1 \end{bmatrix} \xrightarrow{\text{change of basis}} \begin{bmatrix} -5 & 0 \\ 0 & 1 \end{bmatrix} \rightarrow [-5] \rightarrow$  

PROBLEM: FIND OBSTRUCTIONS FOR MOVES ON G-L MATRICES  
VIA-A-VIS MOVES ON GOERITZ MATRICES

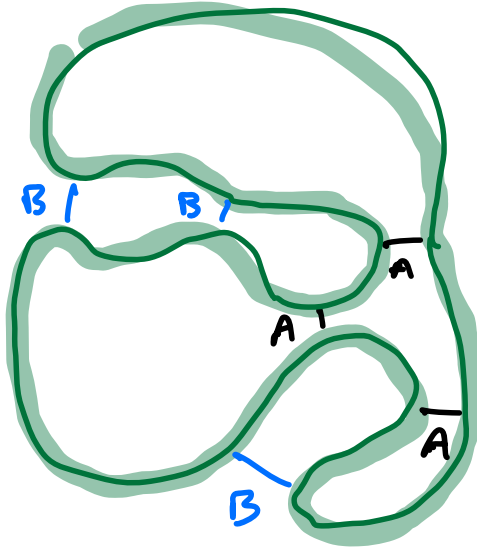
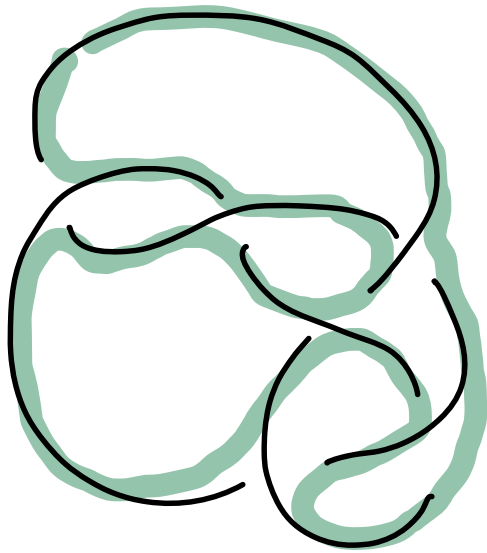
INSIGHT: EVERY KNOT DIAGRAM HAS A KAUFFMAN  
STATE WITH A SINGLE STATE CIRCLE:

EX:



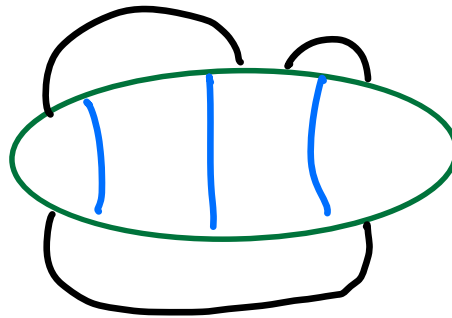
INSIGHT: EVERY KNOT DIAGRAM HAS A KAUFFMAN STATE WITH A SINGLE STATE CIRCLE:

EX:



$$\begin{bmatrix} -1 & 0 & 0 & 0 & 0 & 1 \\ 0 & -1 & 0 & 1 & 1 & 0 \\ 0 & 0 & -1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

OBS: THE SIZE OF THIS MATRIX PROVIDES A LOWER BOUND FOR CROSSING #...



$$\left[ \begin{array}{cc|cc} I & 0 & & A \\ 0 & -I & & \\ \vdots & \vdots & & \\ A^T & & I & 0 \\ & & 0 & -I \end{array} \right]$$

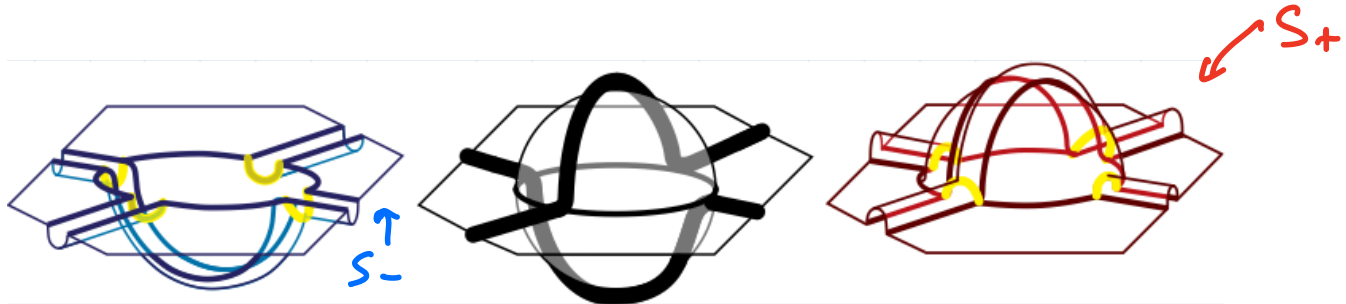
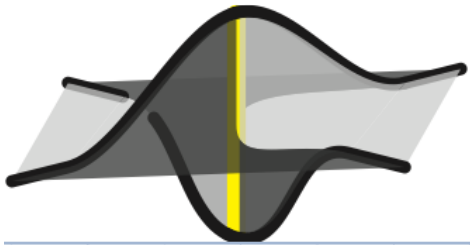
NOTE: THE STATE SURFACE  $F_K$  IS A PLUMBING  $F_K = F_1 * F_2$  WHERE

BOTH  $F_i$  ARE  $H^2$ 's of  $\mathbb{D}^2$ 's AND  $\mathbb{D}^2$

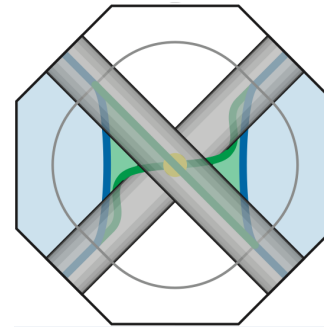
POSSIBLE APPLICATION TO "TAIT 1"?

## BACK TO THE GEOMETRIC PROOF:

- Given diagrams  $D_{B,W}$  and  $D_{B',W'}$  CONSIDER  
HOW  $B'$  SITS RELATIVE TO  $B, W$



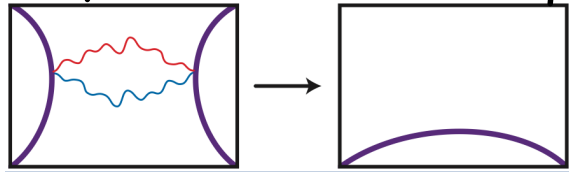
- INSIST THAT NEAR ANY CROSSING  
BAND,  $B'$  LIES BELOW  $B, W$ :



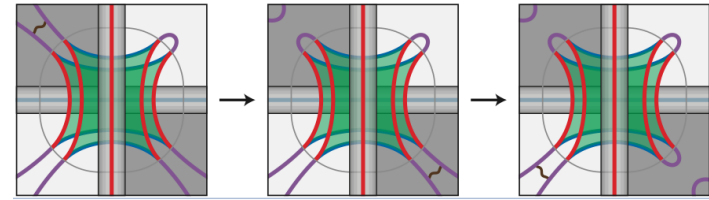
- NOW, CIRCLES OF  $B' \cap S_+$  WILL SUGGEST HELPFUL  
SIMPLIFYING MOVES.

# HIERARCHY OF ISOTOPY MOVES 1-9:

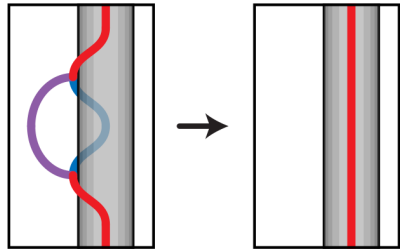
MOVE 1:



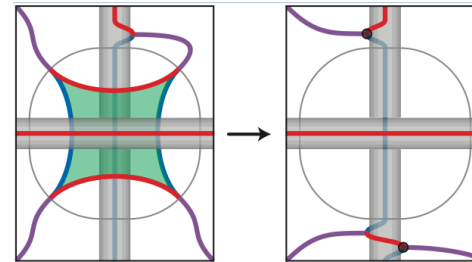
MOVE 2:



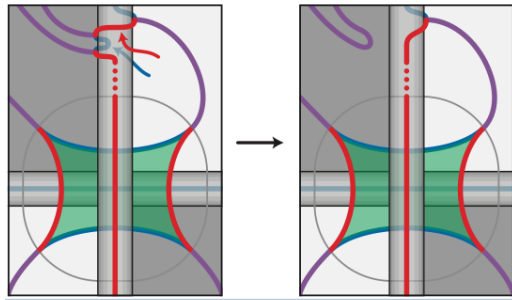
MOVE 3:



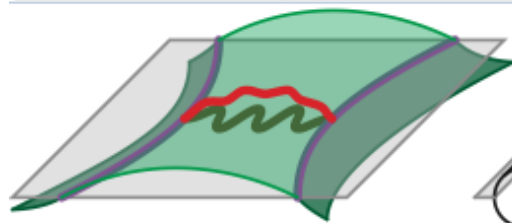
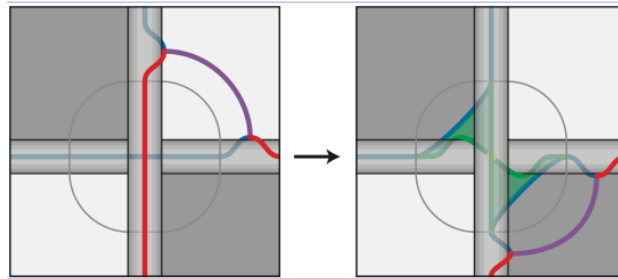
MOVE 4:



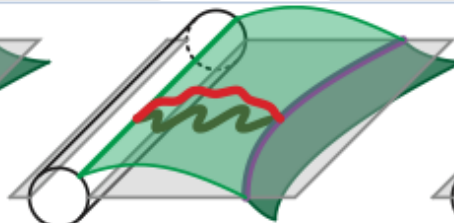
MOVE 5:



MOVE 6:



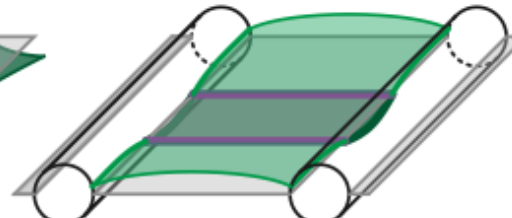
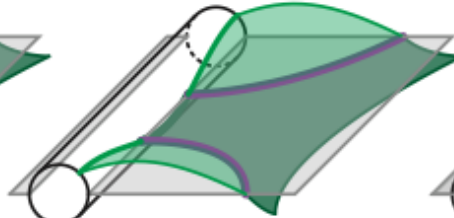
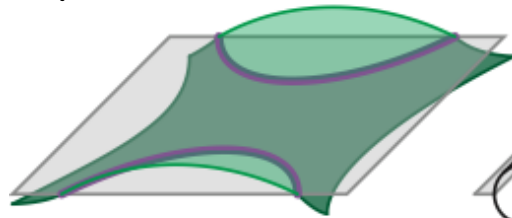
MOVE 7:



MOVE 8:



MOVE 9:



Upshot: AFTER EXHAUSTING MOVES 1-9, IT IS POSSIBLE TO PERFORM A CERTAIN RE-PLUMBING (MOVE 10). AFTER EXHAUSTING MOVES 1-10,  $B'$  IS ISOTOPIC TO  $B$ .

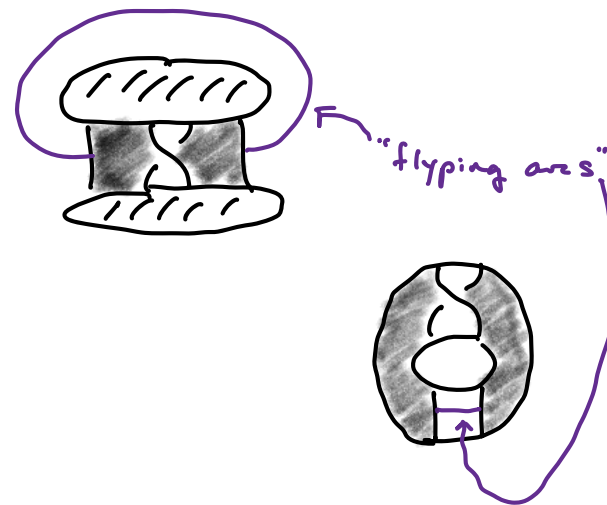
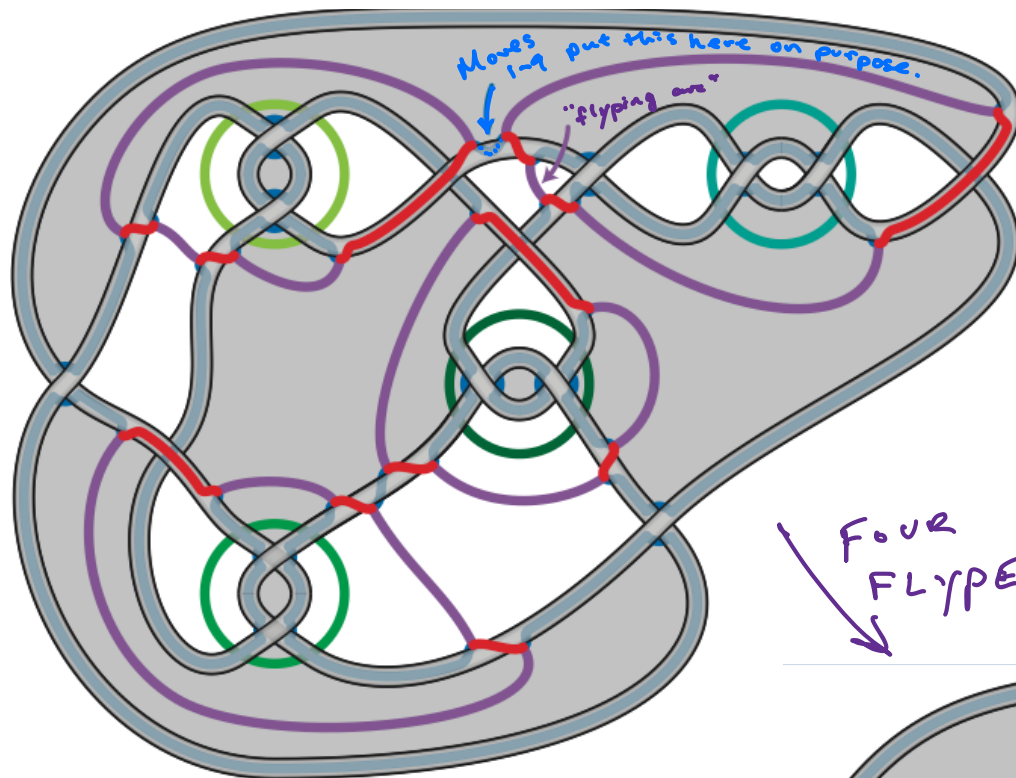
## UTILIZING THE HIERARCHY:

- ① Define a notion of complexity.  
Use it to argue that sequences terminate.
- ② Argue that the resulting picture is nice.
- ③ Press the rewind button. What happened last?

THM: AFTER ONE HAS EXHAUSTED ISOTOPY  
MOVES 1-9, EVERY CIRCLE OF  $B' \cap S_+$   
IS A "flying circle"

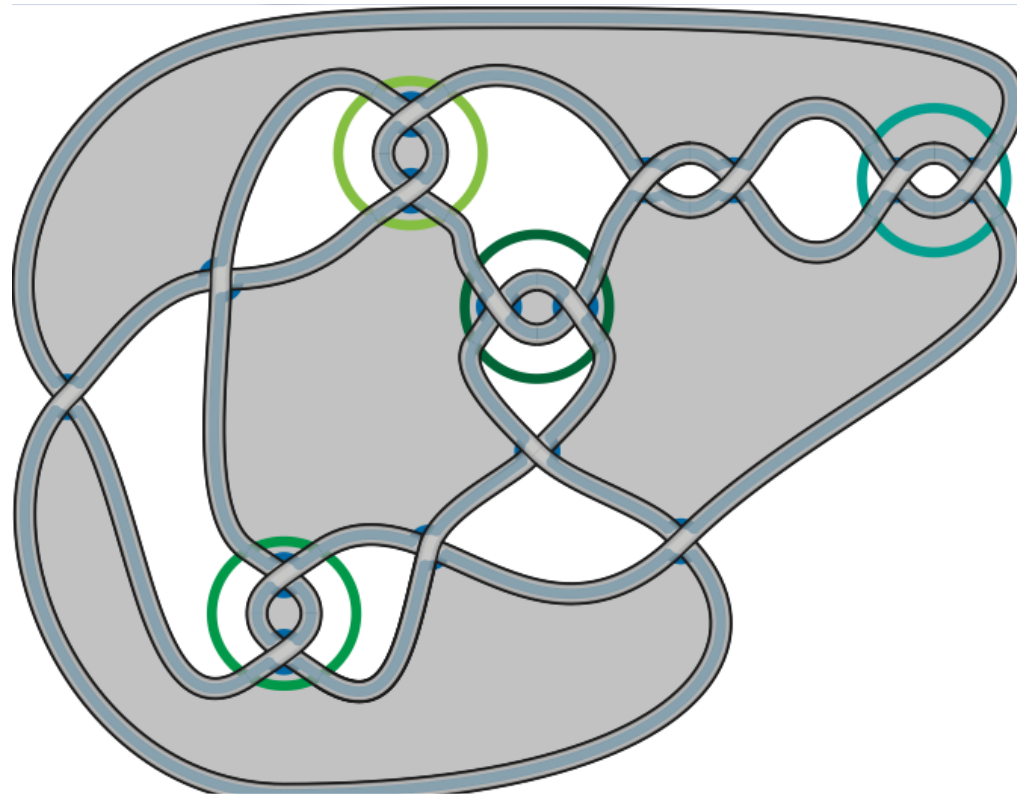
\* Now we can read the entire flying sequence  
 $D_{B,w} \rightarrow D_{B',w}$  straight off of  $B' \cap S_+$ . (THEN  
DO THE SAME FOR  $w'$  TO FLYPE  $D_{B',w} \rightarrow D_{B',w'}$ .)

Ex:



Four  
FLYPIES.

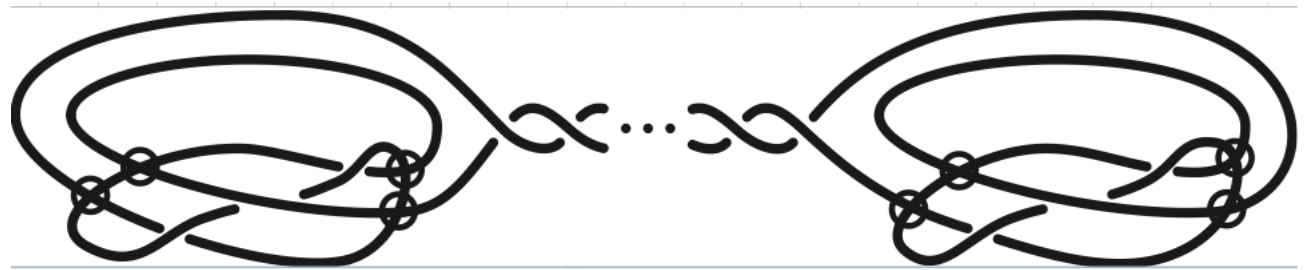
EACH CIRCLE  
OF  $B_n S_+$  HERE  
(PURPLE-RED)  
IS A FLYPING  
CIRCLE.



AND EVERYTHING WORKS FOR VIRTUAL KNOTS TOO...

IF ONE IS CAREFUL:

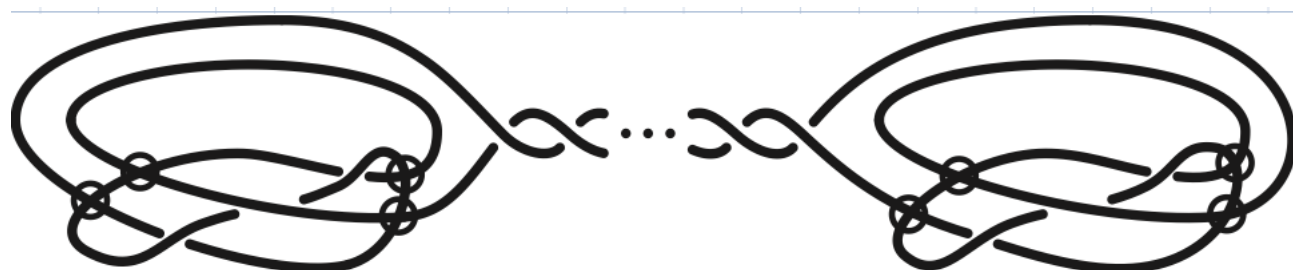
Q: IS THIS VIRTUAL  
KNOT PRIME?





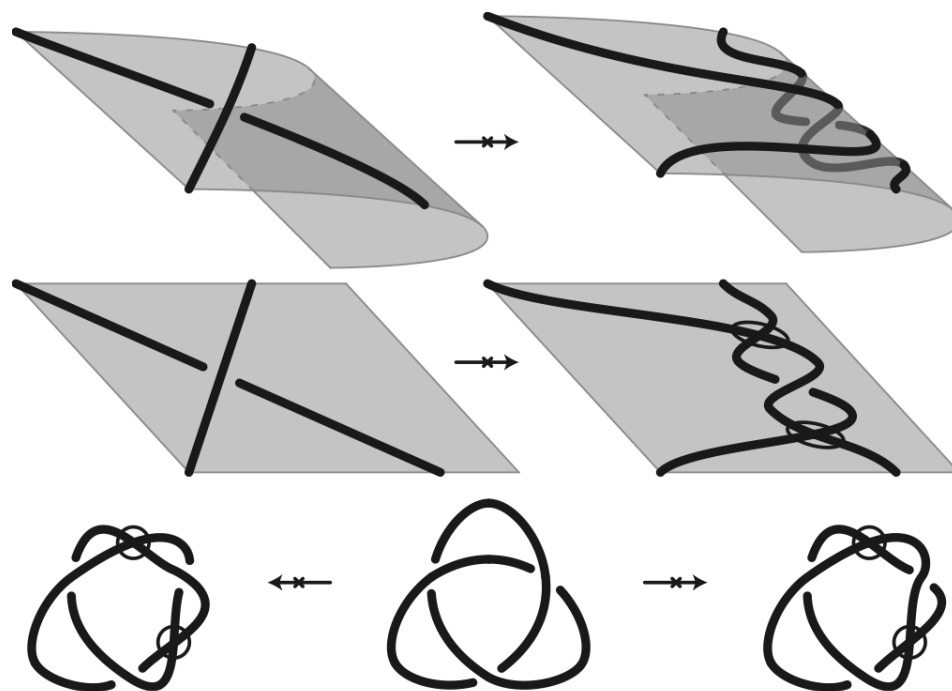
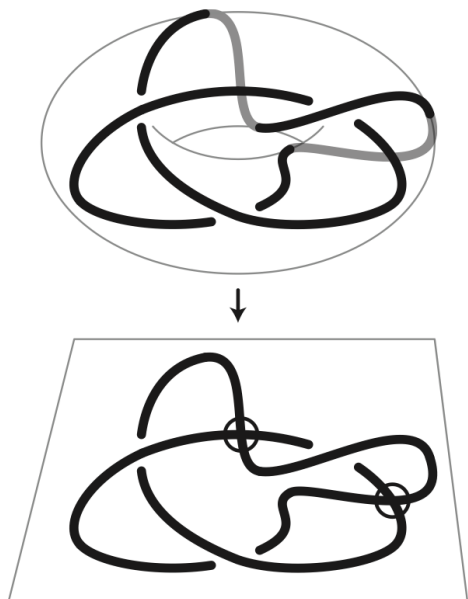
AND EVERYTHING WORKS FOR VIRTUAL KNOTS TOO...  
IF ONE IS CAREFUL!

Q: IS THIS VIRTUAL  
KNOT PRIME?



REGARDING THIS  
CORRESPONDENCE:

THERE'S A CAVEAT:



THANK You!

HAPPY BIRTHDAY Lou!