

## AUI Contractor General Liability Application

| Quote Identifier:   |                    |                      |                  |                     |                              |
|---|--------------------|----------------------|------------------|---------------------|------------------------------|
| Requested Policy  | Period:            |                      | to _             |                     |                              |
| INSURED INF   | ORMATION           |                      |                  |                     |                              |
| Applicant:  |                    |                      | DBA:             |                     |                              |
|   | (List all ov       | vners)               |                  |                     |                              |
| Individual  | Partnership        | Corporation          | Other            |                     |                              |
| Contact:  |                    |                      | _ Contact Phon   | e Number:           |                              |
| Business<br>Address:  |                    |                      |                  | Mailing<br>Address: |                              |
| FEIN or SSN:  |                    |                      |                  |                     | (Same as Business Address)   |
|   | RMATION            |                      |                  |                     |                              |
| Agency Name:  | Producer's Name:   |                      |                  |                     |                              |
| Agency Address  | s:                 |                      |                  |                     |                              |
| Phone:  | Phone: Fax: Email: |                      |                  |                     |                              |
| Producer Code:  |                    |                      |                  |                     |                              |
| NEW VENTUR  | E SECTION          |                      |                  |                     |                              |
| Years under cur   | rent name: If      | more than 3 years ur | nder current nam | e please proc       | eed to loss history section. |
| Date business established: Years of related experience:                           |                    |                      |                  |                     |                              |
| List all business names that applicant/owner has owned in the past:               |                    |                      |                  |                     |                              |
|   |                    |                      |                  |                     |                              |
| Brief summary of prior experience <i>(experience must be in the same field</i> ): |                    |                      |                  |                     |                              |
|   |                    |                      |                  |                     |                              |
|   |                    |                      |                  |                     |                              |



| Applicant:   | Applicant: DBA:   |                             |                                   |  |  |  |
|--|---|-----------------------------|-----------------------------------|--|--|--|
| LOSS HISTORY   |   |                             |                                   |  |  |  |
|  | This business has had general liability claims, totaling (paid and reserve) within the past three (3) years.  |                             |                                   |  |  |  |
|  | There are open claims.       *If losses apply please attach currently valued loss runs including a complete description of all losses .         Have you had more than one construction defect claim?       Yes       No       *If losses apply please attach currently valued loss runs including a complete description of all losses . |                             |                                   |  |  |  |
| PRIOR C  | CARRIER INFORMATION   |                             |                                   |  |  |  |
| Carrier:   |   | – Carrier: –                | Carrier:                          |  |  |  |
| Policy N   | Number:   | – Policy Number:            | Policy Number:                    |  |  |  |
| Eff-Exp  | Date:   | _ Eff-Exp Date:             | Eff-Exp Date:                     |  |  |  |
| Total Pr   | remium:   | _ Total Premium:            | Total Premium:                    |  |  |  |
| PROGR  | AM SPECIFIC INFORMATION   |                             |                                   |  |  |  |
| Limits F   | Requested:  |                             |                                   |  |  |  |
| Damage   | e to Rented Premises/Medical Expen  | se:                         |                                   |  |  |  |
| 1)   | Detailed Description of Operations  |                             |                                   |  |  |  |
|  |   |                             |                                   |  |  |  |
|  |   |                             |                                   |  |  |  |
| 2.)  | Commercial New Construction   | Residential                 |                                   |  |  |  |
|  | Remodeling %  | <b>%</b>                    |                                   |  |  |  |
| 3.)  | Number of owners:   | Number of employees:        |                                   |  |  |  |
| 4.)  | Direct payroll excluding owner, pri   | ncipals, sales, & clerical: |                                   |  |  |  |
|  | 4a.) Insured Subcontractor Costs:   | 4c.)                        | Uninsured Subcontractor Costs:    |  |  |  |
|  | 4b.) Gross Receipts Last Year:  | 4d.)                        | Estimated Gr. Receipts This Year: |  |  |  |
| *Subcontractors must carry limits equal to or greater than applicant to be considered insured. |   |                             |                                   |  |  |  |
| 5.)  | Llst all operations performed by <u>u</u><br><u>underinsured</u> subcontractors:  | ninsured or                 |                                   |  |  |  |



| Applica | nt: DBA:   |        |
|---------|--|--------|
| 6.)     | Prior Years:<br>Direct Payroll (Exclude Owners) Total Subcontractor Cost Gross Receipts  |        |
|         | Expiring:  |        |
|         | First Prior:   |        |
|         | Second Prior:  |        |
| 7.)     | Does the insured comply with all state and local government licensing requirements?  | Yes No |
| 8.)     | Has any officer, owner, or partner of the company been convicted of a felony?  | Yes No |
| 9.)     | Is any officer, owner or partner currently involved in bankruptcy proceedings?   | Yes No |
| 10.)    | Do you work as a Construction Manager? (Contractor working for the owner, and observing the day-to-day work on site. Subs are employed by and paid by the owner. The construction manager will work for the owner, assuring compliance with codes and quality work, but will not direct the daily operation of the sub contractors.) | Yes No |
| 11.)    | Do you work as a Real Estate Developer? (Contractor who purchases large, unimproved tracts of land, and makes them ready for building by adding streets, roads, utilities, etc)  | Yes No |
| 12.)    | Have you ever had insurance cancelled, declined, or a renewal refused?   | Yes No |
| 13.)    | Do you have a written safety program?  | Yes No |
| 14.)    | Are you involved in any tunneling work, work on public streets & roads, sewer or watermain work, dams or other infrastructure?   | Yes No |
| 15.)    | Are you involved in exterior work over three (3) stories?  | Yes No |
| 16.)    | Are you involved in work with recreational or playground construction?   | Yes No |
| 17.)    | Do you perform any smoke, fire, water, or earthquake restoration (other than replacement of damaged construction materials?  | Yes No |
|         | 17a.) Are you a certified, licensed restoration contractor?  |        |
| 18.)    | Are you involved in any exterior spray painting operations?  | Yes No |
| 19.)    | Do you perform or subcontract any blasting operations?   | Yes No |
| 20.)    | Do you perform work for petroleum, industrial, or chemical facilities?   | Yes No |
| 21.)    | Do you have operations or work on elevator, environmental remediation, swimming pool connstruction, traffic lights, underground tanks, skylights, or EIFS?   | Yes No |
| 22.)    | Are you engaged in any work related to structural retaining walls above six feet in height?  | Yes No |



| Applicant:  | DBA:  |     |  |    |
|---|---|-----|--|----|
| 23.)  | Are you involved in work related to fiber optic cable work or installation?   | Yes |  | No |
| 24.)  | Do you have operations or work on or for airports or railroads?   | Yes |  | No |
| 25.)  | Are you involved in the sale of chemicals, or the application of chemicals, such as herbicides or pesticides, to property?  | Yes |  | No |
| 26.)  | Do you remove asbestos insulation or asbestos containing material, fungus, mold or install insulation materials other than fiberglass or rock wool?   | Yes |  | No |
| 27.)  | Do you sell, install, service or repair wood, coal or waste oil-burning stoves?   | Yes |  | No |
| 28.)  | Are you engaged in any structural work including grading and excavation on slopes greater than 30 degrees or work on retaining walls over 6 feet in height?   | Yes |  | No |
| 29.)  | Do you work on student housing, senior housing, assisted living facilities or retirement homes EXCEPT for repair or remodeling of not more than one unit within a development?                                | Yes |  | No |
| 30.)  | Do you work on condominiums, townhouses, apartments or tract homes over 25 units at any one time, except for repair or remodeling of not more than 25 units within a development at any given time?           | Yes |  | No |
| 31.)  | Do you sell, install, service or repair alarm systems, automatic fire extinguishing systems, boilers, elevators, escalators, surveillance systems or TV monitoring systems, either commercial or residential? | Yes |  | No |
| 32.)  | Do you perform any work on or for hotels/motels?  | Yes |  | No |
| 33.)  | Do you perform any work on or for medical facilities/hospitals or schools?  | Yes |  | No |
| 34.)  | Do you perform any roofing operations? If so provide a completed roofing supplemental   | Yes |  | No |
| or enter  | xplain any YES answers<br>any comments you may<br>out this risk:  |     |  |    |
|   |   |     |  |    |
| ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE<br>OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION<br>CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND<br>[NY: SUBSTANTIAL] CIVIL PENALTIES. (Not applicable in CO, FL, HI, MA, NE, OH, OK, OR, or VT; in DC, LA, ME, TN, VA and WA, insurance benefits may also be denied)<br>IN FLORIDA, ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN<br>APPLICATION CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE. |   |     |  |    |
|   |   |     |  |    |
| THE UNDERSIGNED IS AN AUTHORIZED REPRESENTATIVE OF THE APPLICANT AND REPRESENTS THAT REASONABLE ENQUIRY HAS BEEN MADE TO OBTAIN<br>THE ANSWERS TO QUESTIONS ON THIS APPLICATION. HE/SHE REPRESENTS THAT THE ANSWERS ARE TRUE, CORRECT AND COMPLETE TO THE BEST<br>OFHIS/HER KNOWLEDGE.  |   |     |  |    |

| Applicants Signature: |   | Date: |          |
|-----------------------|---|-------|----------|
| Position:             |   |       |          |
| Producer Signature:   |   | Date: |          |
|                       | 800 Oak Ridge Turnpike, A-1000   Oak Ridge, TN 37830<br>888-376-9633   www.appund.com |       | 01-09-12 |