Richard L. Minaya Rich Michaels Investments, LLC CRD # 6184429 499 E. Vermontville Hwy. Potterville, MI 48876 (517) 999-8888

February 2024

This Brochure Supplement provides information about Richard L. Minaya that supplements the Rich Michaels Investments, LLC Brochure. You should have received a copy of that Brochure. Please contact Rich Michaels Investments, LLC if you did not receive the Firm's Brochure or if you have any questions about the contents of this supplement.

Additional information about Richard L. Minaya is available on the SEC's Web site at www.adviserinfo.sec.gov.

#### **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Richard L. Minaya was born in 1960 in Huntingdon, Pennsylvania. He attended West Governors University, Lansing Community College and York College of Pennsylvania.

Richard L. Minaya's business experience is as follows:

Firm Name:	<b>Rich Michaels Investments, LLC</b>
Job Title:	Owner/President
Employment Dates:	May 2021 – Present
Firm Name:	ING/Voya Financial Advisors
Job Title:	Advisor
Employment Dates:	April 2013 – May 2021
Firm Name:	Rich Michaels
Job Title:	Owner/DBA
Employment Dates:	July 2014 – Present
Firm Name:	<b>Rich Michaels Financial Services</b>
Job Title:	Owner
Employment Dates:	June 2013 – May 2021
Firm Name:	Bye Insurance
Job Title:	Insurance Producer
Employment Dates:	May 2013 – Nov 2022
Employment Dates.	Way 2013 - 100 2022
Firm Name:	<b>Rich Michaels Productions, LLC</b>

Job Title: Employment Dates: Owner July 1989 – Present

Firm Name: Job Title: Employment Dates:

**Clear Channel Radio/IHeart** Radio host July 2011 – December 2012

### **ITEM 3 - DISCIPLINARY INFORMATION**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Rich Michaels Investments or the integrity of Rich Michaels Investments' management.

A. Criminal or Civil Action

Neither Rich Michaels Investments, nor any of its management persons, has had any administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

B. Administrative Procedure

Neither Rich Michaels Investments, nor any of its management persons, has had any administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

C. Self-Regulatory Organization

Neither Rich Michaels Investments, nor any of its management persons, has had any proceedings before any self-regulatory organizations. Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Minaya or Rich Michaels Investments, LLC.

#### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Richard L. Minaya is a licensed insurance representative using the brokerage Crump/Time Life, Inc. Through this relationship he may sell life, health, fixed annuities and fixed indexed annuities and other types of insurance products for reasonable and customary commissions to advisory and non-advisory clients. Property/Casualty insurance is through a 3rd party. He devotes less than 20% of his time to this business, some of which is during trading hours.

#### **ITEM 5 - ADDITIONAL COMPENSATION**

Rich Minaya does not receive any additional compensation related to the provision of investment advisory services.

### **ITEM 6 - SUPERVISION**

Richard L. Minaya, as President of the Firm, is responsible for the supervision of his activities as well as those of all other investment advisory representatives of the Firm. He may be reached at (517) 999-8888.

# ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISORS

### A. Principal Executive Officers and Management Persons

Rich Minaya is the sole executive officer. As detailed herein ADV Part 2B.

# **B.** Other Business Interests

As detailed herein ADV Part 2B.

# C. Performance-Based Fees

Rich Michaels Investments does not accept performance-based fees.

### **D. Disciplinary Events**

Neither the Rich Michaels Investments nor Richard L. Minaya have any matters to disclose under this item that has not been disclosed elsewhere in this brochure.

# E. Relationships with Issuers of Securities

Neither Rich Michaels Investments nor its management persons have any relationships or arrangements with any issuers of securities.