

Full Name	
Company Name	
<Account Designation>	
Address 1	
Address 2	
City/Town	
Postcode	
Phone	
Email	
Account number (if known)	
STK Markets Advisor	
Investment Amount \$	

Investment Amount \$

By completing and signing this form, you fully acknowledge and understand the risks and merits of accepting an offer of the Financial Products without disclosure.

Signed By

Experiences Investor(s)		
Print Name		

Experienced Investor Application

If successful in this questionnaire this will make you or your investing entity an Experienced **Investor**. STK Markets as an AFSL licensee will be satisfied on reasonable grounds as described in Section **708(10)** and s761GA of the Corporations Act 2001 (Cth.) that you the person to whom future offers are made have previous experience in **investing** in securities that allows you to assess: the merits and risk of future offers.

The benefits of an **Experienced investor** are considered to have a depth of experience and market knowledge that makes them eligible for certain benefits and opportunities that retail investors cannot access.

The risks of being an experienced investor are that at the end of the day it is the experienced investors call as to whether you want to accept an offer and take on the risk. STK Markets will certainly provide you with information and explain our views on the investment on where the company is going but the risk is borne by you.

If you accept the above, please complete the following questionnaire.

Date: _____

Name: _____

Capacity in which the investor acts (e.g. trust, director)

Position: _____

Relevant qualifications

Course: _____

Relevant work Experience

Experience #1

Employer _____

Position _____

Experience #2

Employer _____

Position _____

Relevant Investment Experience

Do you or have you ever worked in financial services? If so what position(s) have you held and for how long?

Do you hold any qualifications (academic or professional) related to financial services? If so please list.

What does the term "market capitalisation" mean?

In your own words define liquidity in terms of the stock market.

What is Currency risk?

What is a franking credit?

If a company is paying dividends, will it always continue to do so?

If you buy a share for \$1000, are you guaranteed to sell it for \$1000?

Why do shares go up and down? What is supply and demand?

Volatility is the measure of a share's price fluctuation. When volatility is high what happens to share prices?

If you have opened an MDA account who makes the investment decisions?

When buying or selling shares domestically how many days after the trade will the stock settle?

What is a convertible note?

How long have you been investing in Shares?

Have you used an online broker and made your own investment decisions without a full-service stockbroker? Y/N

How many trades did do you do in the last 12 months? _____

Have you invested in shares the following groups? _____

ASX 20 Y/N

ASX 50 Y/N

ASX 100 Y/N

ASX 200 Y/N

ASX 300 Y/N

Have you ever participated in an IPO? Y/N

If so, how many have you dealt in and provide an example as to why you invested?

What is an IPO? _____

What is the risk of investing in an IPO? _____

Have you ever participated in an IPO worth less than \$50,000,000 Y/N

Have you ever participated in an IPO worth less than \$100,000,000 Y/N

Have you ever participated in an IPO worth less than \$500,000,000 Y/N

Have you ever invested in a placement? Y/N

What risks are there with investing in a placement? _____

What is a rights issue? _____

What risks are there with a rights issue? _____

Have you ever invested in seed capital? Y/N

What is seed capital? _____

What is the risk of investing in seed capital? _____

Can share investments become worthless? Y/N

If I've invested in an IPO can I cancel my order if I have bid firm on stock before it lists? _____

If I invest in seed capital am I guaranteed to get my money back? _____

Are shares in a placement guaranteed to trade on the ASX? Y/N

What is a P/E ratio? _____

I/we acknowledge that I have completed these questions on my own and all the information is true and correct to the best of my knowledge.

I/we understand that some investments in unlisted and speculative offers may not be able to be liquidated, for some time or not at all.

I/we understand that some offers made to experienced investors do not have the same consumer protection and disclosure requirements as retail products available to the general public.

I/we are satisfied that I/we have the ability to obtain the information needed to make an informed decision in relation to investments only offered to experienced investors.

Date: _____

Name: _____

Signed: _____



Adviser Signed: _____

Approved as experienced investor Y/N