

# The evolving role of OAEs in newborn hearing screening

BY JAMES W HALL III

An admission on emissions from **James Hall!** OAEs hold an established place in the screening of infants; Professor Hall introduces how OAEs established this position and the advances keeping the test relevant to today's infant screening battery.

## Introduction

Truthfully, when it first was published in 1978 I did not read David Kemp's classic article entitled 'Stimulated acoustic emissions from within the auditory system' [1]. I offer in my defence three feeble arguments. First, I was then entirely devoted to collecting acoustic reflex amplitude data from elderly subjects and completing other requirements in my PhD studies at Baylor College of Medicine while also holding down three part-time jobs (two in audiology) to support my family of three. Secondly, like most clinical audiologists at the time and today, I rarely opened an issue of the *Journal of the Acoustical Society of America*. Plus, I didn't have the time or motivation to regularly poke around the basic science literature. Finally, it wasn't clinically relevant at the time to know anything about small amounts of mechanical energy in the cochlea that could, with complex laboratory instrumentation, be recorded in the external ear canal as very low levels of sound. Almost a decade slipped away before I began to pay attention to the slow but steady increase in publications from the UK and Scandinavia on mysterious auditory responses referred to as "evoked acoustic emissions".

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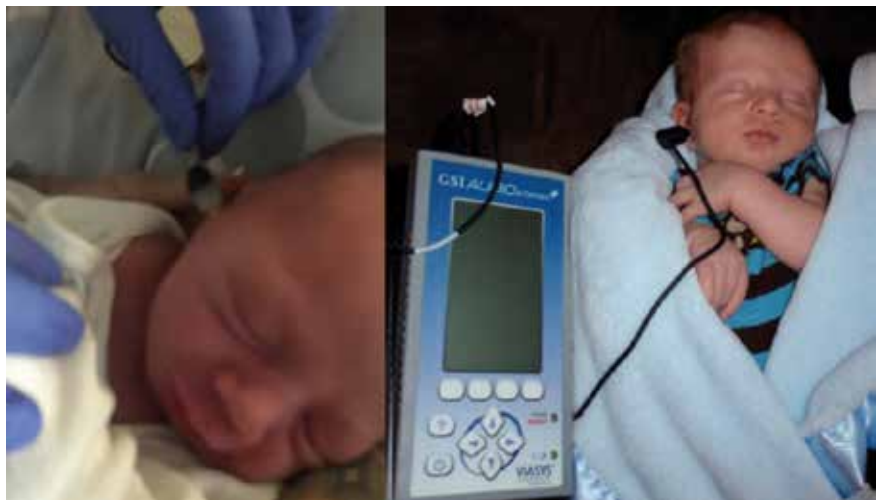


Figure 1. Newborn hearing screening with OAEs in a birthing centre (left) and at home following hospital discharge (right). Photograph (left) of William-James Finn McNeal at two days old courtesy of Victoria Hall-McNeal. Photograph (right) of Charlie Hall at two weeks old courtesy of Austin and Alessandra Hall.

## Early rapid growth of OAEs as a clinical tool

In the 20-year period from 1978 to 1998, more than a dozen scientific discoveries, technological advances and audiological events contributed in combination to the emergence of OAEs as a viable and valuable tool for newborn hearing screening. Listed in approximate chronological sequence these discoveries, advances, and events were:

- Discovery of outer hair cell motility (~early 1980s)
- Initial application of OAEs in newborn hearing screening (early to mid-1980s)
- Clinical instrumentation for recording transient evoked OAEs (1988)
- Large scale federally-funded studies in the USA of newborn hearing screening with OAEs (early 1990s)
- National Institutes of Health (NIH) recognition of OAEs as an evidence-based technique for universal newborn hearing screening (1993)
- Joint Committee on Infant Hearing

(JCIH) endorsement of OAEs as a technique for newborn hearing screening (1994)

- Clinical instrumentation in the USA for recording distortion product OAEs (mid-1990s)
- A billing code for clinical measurement of OAEs in the USA (1995)
- Appreciation of the role of OAEs in the identification of auditory neuropathy spectrum disorder (~1996)
- Automated OAE devices (mid- to late 1990s)
- Dozens of papers documenting sensitivity of OAEs to cochlear (outer hair cell) dysfunction (throughout the 1990s)
- Textbooks on OAEs (1997 and 1999)
- Growing evidence of OAEs as screening tool as reported in more than 100 peer-reviewed papers (1980s and 1990s).

Along with the auditory brainstem response (ABR), OAEs are now well established for infant hearing screening. As illustrated in Figure 1, infants in many parts of the world

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routinely undergo hearing screening in a birthing facility or non-medical setting within 30 days after birth. Accumulated clinical experience and research findings on infant hearing screening with OAEs is substantial and steadily increasing. A search via the National Library of Medicine website ([www.nlm.nih.gov](http://www.nlm.nih.gov)) with the keywords “otoacoustic emissions newborn screening” reveals more than 750 peer reviewed journal articles.

## Clinical strengths and weaknesses

Research on the application of OAEs in newborn hearing screening has contributed to widespread appreciation of their multiple clinical advantages and, also, the recognition of some clinical disadvantages. Strengths for any clinical procedure are invariably tempered, to some extent, by clinical weaknesses. Some strengths and weaknesses of OAEs as a technique for newborn hearing screening are summarised as follows:

### Strengths:

- Technically simple to measure
- Reasonably short test time
- Automated analysis
- Economical due to automation and limited disposable costs (one probe tip)
- Highly sensitive for the detection of middle ear dysfunction
- Highly sensitive for the detection of sensory hearing loss secondary to outer hair cell dysfunction
- In patients with normal middle ear function, highly site-specific to outer hair cell integrity.

### Weaknesses:

- Relatively high referral rate within the first 36 hours after birth
- Screening outcome may be affected by vernix caseosa in external ear canal
- Screening outcome affected by middle ear dysfunction
- Not useful in detection of sensory hearing loss secondary to isolated inner hair cell dysfunction
- Pass outcome for hearing screening of infants with ANSD
- Not a test of hearing.

## The value of OAEs beyond newborn hearing screening

In addition to newborn hearing screening, measurement of OAEs is standard of care for diagnostic paediatric audiology, as evidenced by their inclusion in clinical practice guidelines for hearing assessment of infants and young children (e.g., Joint Committee on Infant Hearing, 2007 [2]). Indeed, clinical experiences from widespread application of OAEs in newborn infants and follow-up diagnostic hearing assessment led unexpectedly to the discovery of a new clinical entity that we now refer to as auditory neuropathy spectrum disorder (ANSD).

Many audiologists, the author included, encountered in the early 1990s infants with what seemed to be an illogical pattern of test results... perfectly normal OAEs yet absence of other auditory responses such as acoustic reflexes and the ABR. Clinical experience and research findings soon revealed that infants with the diagnosis of ANSD were most often found among the intensive care nursery population, which led to a revision of recommended protocols for infant hearing screening [2]. OAEs were still appropriate for hearing screening of healthy infants in the well-baby nursery, but ABR became the technique of choice for infants at risk for hearing loss, including infants requiring admission to an intensive care nursery. Research confirms that, in many cases, the most effective and efficient strategy for newborn hearing screening combines both OAE and automated ABR techniques [3].

Clinical research on newborn hearing screening with OAEs continues unabated. One exciting recent research direction is the development of instrumentation that combines the capacity for recording transient and distortion product OAEs with measurement of other complimentary techniques, including tympanometry, wideband reflectance or absorbance and auditory brainstem response [4]. There is also renewed appreciation for the role of OAEs in detection and identification of acquired hearing loss, including delayed onset and progressive hearing loss, beyond the neonatal period and throughout the preschool years [5].

## References

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